



NEW YORK
STATE OF
OPPORTUNITY™

FY 2021

Executive Budget Financial Plan

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Introduction

Introduction

This Executive Budget Financial Plan for Fiscal Year (FY) 2021 (the “Executive Budget” or “Financial Plan”) updates and summarizes the State of New York’s official Financial Plan projections for FY 2020 through FY 2024. The projections reflect the estimated impact of the Governor’s Executive Budget proposal for FY 2021, as described herein. State FY 2021 will begin on April 1, 2020 and end on March 31, 2021.

The factors affecting the State’s financial condition are numerous and complex. The Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete, or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expect”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes”, and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Financial Plan.

Significant Budgetary/Reporting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions; (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above the levels on deposit when the fiscal year began; and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in the Financial Plan is generally weighted toward the General Fund.

At times, the Division of the Budget (DOB) will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor agreements covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase "reserved for" and are not held in distinct accounts within the General Fund, and may be used for other purposes.

¹ State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, Generally Accepted Accounting Principles (GAAP). The GAAP-basis financial plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Project Funds and Federal Funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, the State Operating Funds perspective is, in DOB’s view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State’s complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective.

Impact of Medicaid on State Operating Funds

To avoid piercing the Medicaid Global Cap in FY 2019, the Department of Health (DOH) deferred \$1.7 billion in planned Medicaid payments from FY 2019 to FY 2020. If the deferral had not occurred, it would have increased State Operating Funds disbursements for FY 2019 by a like amount. In response to the unexpected deferral, DOB and DOH conducted an in-depth examination of Medicaid expenditures. In the Mid-Year Update to the Financial Plan, DOB reported that a structural imbalance existed within the Medicaid Global Cap estimated at \$4.0 billion in FY 2020, inclusive of the FY 2019 payment deferral, and \$3.1 billion in FY 2021. The Mid-Year Update included an assumption that the State would permanently restructure \$2.2 billion in Medicaid payments as part of the response to the FY 2020 Global Cap imbalance. The assumed restructuring included the continued deferral of the FY 2019 payment (\$1.7 billion), and a new deferral (\$552 million) in FY 2020. In this Financial Plan Update, DOB has concluded that the latter deferral is not needed and the payment is expected to be made by the end of FY 2020.

The Mid-Year disbursement estimates, restated for the deferrals, are shown in the table below.

STATE OPERATING FUNDS SPENDING FY 2019 AND FY 2020		
MID-YEAR REPORTED AND RESTATED		
(millions of dollars)		
	FY 2019 Results	FY 2020 Estimated
FY 2020 Mid-Year Update¹	100,137	102,153
Adjustment for Medicaid Deferrals ²	1,692	0
DOB Restatement of Mid-Year Update	101,829	102,153

¹ FY 2019: Cash-basis results reported by State Comptroller. FY 2020: DOB Mid-Year estimate reported in November 2019.
² The Executive Budget Financial Plan no longer includes new deferrals.

The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using the restated Mid-Year estimates. The Financial Plan tables are not adjusted and use the cash-basis results as reported by the State Comptroller in the FY 2019 report on the cash-basis of accounting.

Other Factors Affecting State Operating Funds Projections

The Financial Plan projections reflect certain actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; (c) restructuring of the STAR program such that certain benefits are provided as a tax credit (which is recorded as a reduction in receipts) rather than a tax exemption (which is recorded as a disbursement), consistent with other State tax credits; (d) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which increases reported disbursements from State Operating Funds; (e) no longer appropriating certain receipts payable to the Metropolitan Transportation Authority (MTA), the largest of which is the Payroll Mobility Tax (“Mobility Tax” or PMT); (f) modification of business practices and transaction processing to eliminate the double-count of certain disbursements related to mental hygiene and higher education programs; and (g) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB’s interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Project Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current service levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

DOB expects that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets that limit the annual growth in State Operating Funds spending to no more than 2 percent. Based on current projections, DOB estimates that limiting annual spending growth to no more than 2 percent annually would produce surpluses in future years.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements, in addition to the State Operating Funds restatement of FY 2019 results by DOB described above. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

Overview of the Financial Plan

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2019	FY 2020	FY 2021	
	Results	Current Estimate	Before Changes ²	Executive Proposal
State Operating Funds Disbursements¹				
Size of Budget	\$101,829	\$103,882	\$111,189	\$105,811
Annual Growth	3.7%	2.0%	7.0%	1.9%
Other Disbursement Measures				
General Fund (Including Transfers) ^{1,3}	\$74,475	\$79,011	\$86,460	\$81,921
Annual Growth	6.8%	6.1%	9.4%	3.7%
Capital Budget (Federal and State)	\$12,266	\$13,428	\$13,619	\$14,466
Annual Growth	15.3%	9.5%	1.4%	7.7%
Federal Operating Aid	\$58,472	\$58,599	\$59,652	\$57,752
Annual Growth	6.4%	0.2%	1.8%	-1.4%
All Funds ¹	\$172,567	\$175,909	\$184,460	\$178,029
Annual Growth	5.4%	1.9%	4.9%	1.2%
Capital Budget (Including "Off-Budget" Capital) ⁴	\$12,783	\$13,986	\$14,183	\$15,029
Annual Growth	13.3%	9.4%	1.4%	7.5%
All Funds (Including "Off-Budget" Capital) ^{1,4}	\$173,084	\$176,467	\$185,024	\$178,592
Annual Growth	5.3%	2.0%	4.8%	1.2%
Inflation (CPI)	2.3%	2.0%	2.3%	2.2%
All Funds Receipts				
Taxes	\$75,578	\$82,390	\$85,686	\$87,932
Annual Growth	-4.7%	9.0%	4.0%	6.7%
Miscellaneous Receipts	\$31,184	\$29,701	\$26,161	\$26,253
Annual Growth	14.4%	-4.8%	-11.9%	-11.6%
Federal Receipts (Operating and Capital)	\$61,344	\$66,162	\$63,478	\$62,187
Annual Growth	4.1%	7.9%	-4.1%	-6.0%
Total All Funds Receipts	\$168,106	\$178,253	\$175,325	\$176,372
Annual Growth	1.6%	6.0%	-1.6%	-1.1%
General Fund Cash Balance	\$7,206	\$6,527	\$5,904	\$5,904
Rainy Day Reserves	\$2,048	\$2,476	\$2,476	\$2,476
Extraordinary Monetary Settlements	\$4,194	\$2,640	\$2,017	\$2,017
Economic Uncertainties	\$0	\$890	\$890	\$890
All Other Reserves/Fund Balances	\$964	\$521	\$521	\$521
Debt				
Debt Service as % All Funds Receipts	4.0%	2.9%	3.8%	3.4%
State-Related Debt Outstanding	\$53,528	\$57,019	\$60,191	\$60,395
Debt Outstanding as % Personal Income	4.0%	4.1%	4.2%	4.2%
State Workforce FTEs (Subject to Direct Executive Control)	117,967	119,962	119,491	118,955

¹ FY 2019 disbursements as restated by DOB for FY 2019 Medicaid payment deferral. See "Introduction - Impact of Medicaid Deferrals on State Operating Funds" in the Fiscal Year 2021 Executive Budget Financial Plan.

² As reported in the FY 2020 Mid-Year Update, before Executive proposals to balance the FY 2021 Budget.

³ Includes planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds for designated purposes.

⁴ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Fiscal Outlook (Prior to Executive Budget Proposal)

The FY 2021 Executive Budget must close an estimated \$6.1 billion budget gap in the General Fund. The gap consists of two distinct parts: the baseline budget gap and the Medicaid budget gap.

The baseline General Fund gap for FY 2021 is estimated at \$4.1 billion and reflects the difference between projected disbursements needed to maintain current services levels and specific commitments, and the resources expected to be available to pay for them. The baseline gap is within the range of those closed in recent years (FY 2018: \$3.5 billion; FY 2019: \$4.4 billion; and FY 2020: \$5.3 billion).

The Medicaid gap in FY 2021 is estimated at just over \$2.0 billion, after the recurring value of the expected FY 2020 Savings Plan (\$890 million) and payment restructuring (\$177 million) assumed in the Mid-Year Update. The gap is the difference between estimated Medicaid spending and the spending limit established by the Medicaid Global Cap index. The Medicaid gap, which emerged abruptly at the end of FY 2019, is a risk to State finances if measures to control costs are not enacted.

FY 2021 Executive Budget

The Executive Budget closes the \$6.1 billion budget gap with recurring solutions. The Financial Plan for FY 2021 is balanced in the General Fund and limits spending growth to 1.9 percent in State Operating Funds. The FY 2022 budget gap, - the clearest measure of whether the Executive Budget improves the State's fiscal position over time, is estimated at \$1.9 billion, a reduction of nearly \$5.6 billion (75 percent) from the Mid-Year estimate. The *cumulative* (FY 2022 through FY 2024) outyear budget gaps are estimated at \$8.5 billion, lower than the *one-year* gap that the Governor closed in his first budget (FY 2012).

The Governor has convened the Medicaid Redesign Team (MRT II) that is charged with identifying cost-containment measures to provide approximately \$2.5 billion in gap-closing savings in FY 2021 and ensure Medicaid spending in future years adheres to the Global Cap indexed rate. The Executive Budget recommends a School Aid increase of \$826 million, or 3 percent, with more than 80 percent of the increase targeted to high-need districts. It proposes targeted savings in local aid programs and continues to limit growth in agency operations to investments that are expected to yield improved performance or reduced costs over time. It continues middle class tax cuts for 4.7 million New Yorkers earning under \$300,000 a year and recommends no new tax increases.

Formal reserves have more than tripled since FY 2011. The State is reserving the entire amount of Extraordinary Monetary Settlements received in FY 2020 (\$890 million to date) for economic uncertainties, and will continue this policy in future years. It also plans to deposit \$428 million into the Rainy Day Reserves at the close of the current fiscal year. Measured as a share of General Fund disbursements, formal reserves have increased from just over 2 percent in FY 2011 to nearly 5 percent in FY 2020. The State’s primary cash reserves, which consist of cash on hand that can be tapped in an emergency, are expected to total nearly \$6 billion at the close of FY 2021.

The following table summarizes the Executive Budget gap-closing plan.

SUMMARY OF REVISIONS TO THE MID-YEAR UPDATE GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
MID-YEAR UPDATE SURPLUS/(GAP)	(6,073)	(7,529)	(8,549)	(8,899)
FY 2020 Savings Plan ¹	(890)	(890)	(890)	(890)
FY 2021 Savings Plan	6,963	6,480	6,126	6,523
Tax Receipts Revisions	2,069	1,869	1,440	1,097
Medicaid MRT II Savings	2,500	2,722	3,122	3,522
Local Assistance	1,783	1,778	1,594	1,644
Agency Operations	359	377	461	412
Other Savings/Revisions	252	(266)	(491)	(152)
EXECUTIVE BUDGET SURPLUS/(GAP) ²	0	(1,939)	(3,313)	(3,266)

¹ The General Fund gap estimates in the Mid-Year Update were reduced by the value of a savings plan that DOB intended to develop and allocate later in the fiscal year. The savings plan is allocated in this Financial Plan Update.

² Before actions to adhere to the 2 percent benchmark.

Key elements of the FY 2021 gap-closing plan include:

- DOB has revised the tax receipts forecast across all years in the Financial Plan based on stronger receipts collections to date and updated economic information. Through the first three quarters of FY 2020, General Fund receipts, including transfers from other funds, totaled \$57.8 billion, \$1.5 billion (2.7 percent) above the initial estimate of which PIT receipts were \$1.3 billion higher.
- The MRT II is charged with identifying cost-containment measures that will provide approximately \$2.5 billion in gap-closing savings in FY 2021 and ensure Medicaid spending in future years adheres to the Global Cap indexed rate. The spending targets are incorporated into the Financial Plan projections. The Team's recommendations are due prior to the Enacted Budget.
- Another \$1.8 billion in local assistance savings is expected from targeted actions and the continuation of prior-year cost containment.
- Savings in agency operations, including fringe benefits, are expected to reduce spending by \$359 million from the baseline forecast. The Executive Budget holds agency operations spending flat at \$10.7 billion, on a State Operating Funds basis. In addition, sensible reforms are again advanced to achieve savings in health insurance and judgments against the State.
- Other actions include debt service savings from refundings, prepayment of expenses, and other portfolio management; an increase in hard dollar capital resources to ensure the State remains within the statutory debt limit; and a range of administrative actions to manage costs.

DOB estimates the General Fund would end FY 2021 with a closing balance of \$5.9 billion, a decrease of \$623 million from FY 2020. The decrease is due exclusively to the planned use of Extraordinary Monetary Settlements to fund activities appropriated from Capital Projects Funds.

Similar to FY 2020, the Executive Budget includes the following measures to respond to uncertainties and Federal risks.

- Legislation is proposed that establishes a process for the reduction of local assistance disbursements up to 1 percent of State Operating Funds disbursements (approximately \$1.02 billion) if DOB identifies a potential General Fund imbalance of \$500 million or more in the current fiscal year. Upon identification of a potential imbalance, the Budget Director would transmit a plan to the Legislature, identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have 30 days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If the Legislature does not act within 30 days, the plan submitted by the Budget Director would take effect automatically. The process expressly excludes certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

- Legislation approved annually since FY 2018 is again proposed to provide a process by which the State can address significant reductions in Federal aid during FY 2020 should they arise. Specifically, the Budget allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to the State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid in funding to the State that affects the State Operating Funds financial projections by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.

A complete summary of the FY 2021 gap-closing actions, financial projections, and balances can be found later in this Executive Budget Financial Plan.

Plan to Address the Medicaid Structural Gap

DOB and DOH conducted an in-depth examination of Medicaid expenditures following the FY 2019 payment deferral. The examination found that a structural gap had formed within the Medicaid Global Cap. The gaps were estimated at \$4.0 billion in FY 2020 and \$3.1 billion in FY 2021. An initial plan to address the gaps was outlined in the Mid-Year Update. At the time, DOB said that it expected the current year gap to be eliminated, and the FY 2021 gap reduced to \$2.0 billion, through a combination of payment restructuring (FY 2020: \$2.2 billion; FY 2021: \$177 million) and savings in the Medicaid program and other General Fund activities (FY 2020: \$1.8 billion; FY 2021: \$890 million). The remaining gaps were to be addressed in the FY 2021 Executive Budget.

Following the inclusion of the FY 2020 Savings Plan in the Mid-Year Update, the State has instituted a plan that is expected to reduce Medicaid costs by \$599 million in FY 2020, growing to \$851 million in FY 2021. Stronger tax receipts and savings elsewhere in the General Fund close the remaining FY 2020 Medicaid gap (\$1.2 billion) and allow the State to reverse the FY 2020 payment deferral (\$552 million) planned in the Mid-Year Update.

As noted above, the Governor has convened an MRT II that is charged with identifying additional cost-containment measures to provide approximately \$2.5 billion in gap-closing savings in FY 2021 and ensure Medicaid spending in future years adheres to the Global Cap indexed rate.

Current Year Update

In the Mid-Year Update to FY 2020, DOB reported that it expected the General Fund to remain in balance, but that the outcome would depend on the successful implementation of measures to address a \$1.8 billion gap caused entirely by Medicaid costs. DOB now estimates that the FY 2020 gap has been eliminated and the General Fund will end the year in balance. The following table summarizes revisions to FY 2020 General Fund projections since the Mid-Year Update. A brief summary of changes follows the table below.

SUMMARY OF REVISIONS TO MID-YEAR UPDATE GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)	
	<u>FY 2020</u>
MID-YEAR UPDATE SURPLUS/(GAP)	0
FY 2020 Savings Plan ¹	(1,782)
Receipts Revisions²	<u>1,049</u>
Tax Receipts (before Debt Service)	1,012
Debt Service (impact on Tax Receipts)	(90)
Non-Tax Receipts	127
Disbursements Revisions	<u>533</u>
Local Assistance	455
Agency Operations	216
Transfers to Other Funds	62
Monetary Settlement Transfers	(200)
Use/(Reserve) of Fund Balance	<u>200</u>
Settlement Transfers	200
EXECUTIVE BUDGET SURPLUS/(GAP)	0
¹ The General Fund gap estimates in the Mid-Year Update were reduced by the value of a savings plan that DOB intended to develop and allocate later in the fiscal year. The savings plan is allocated in this Financial Plan Update.	
² Includes the impact of changes to estimated debt service that alter the amount of tax receipts transferred to the General Fund.	

Receipts

General Fund receipts, including transfers from other funds, is expected to be \$1.0 billion higher than estimated in the Mid-Year Update. The estimate for tax receipts in the current year has been increased by \$1.0 billion, excluding the impact of debt service changes. The revisions are driven mainly by higher than expected PIT collections due to lower refunds to date, and stronger business tax collections to date. Debt service costs in FY 2020 are projected to increase by \$90 million compared to the Mid-Year Update due to prepayment of FY 2021 expenses (\$250 million), partly offset by savings recognized from bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending (\$160 million). Non-tax receipts have been increased to reflect higher investment income and fees based on results to date and updated information.

Disbursements

General Fund disbursements, including transfers to other funds, have been lowered by \$533 million. Medicaid spending has been reduced to reflect the implementation of the FY 2020 Savings Plan (\$599 million), which includes a one percent across the board reduction in rates paid to providers and health plans and reductions in discretionary payments. In addition, spending has been reduced for a range of programs and activities, including education, mental hygiene, social welfare and fringe benefits costs based on updated data, cautious estimation of expenses, and operating results to date. These savings are offset in part by the payment of \$552 million in Medicaid costs that were tentatively planned for deferral in the Mid-Year Update. Other revisions reflect routine adjustments to transfers.

Closing Balance

The General Fund is expected to end FY 2020 with a balance of \$6.5 billion. The balance is \$200 million lower due to the timing of a capital advance that will be reimbursed in full in FY 2021.

Annual Spending Growth

State Operating Funds spending is estimated to total \$105.8 billion in FY 2021, an increase of 1.9 percent over FY 2020 projected spending. The table below summarizes the sources of the annual change.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2020 TO FY 2021				
(millions of dollars)				
	FY 2020	FY 2021	Annual Change	
	Current	Proposed	\$	%
LOCAL ASSISTANCE	70,324	70,754	430	0.6%
School Aid (School Year Basis)	27,724	28,550	826	3.0%
DOH Medicaid ¹	22,039	23,291	1,252	5.7%
Transportation	3,552	4,075	523	14.7%
STAR ²	2,176	2,000	(176)	-8.1%
Social Services	2,823	2,766	(57)	-2.0%
Higher Education	2,927	2,841	(86)	-2.9%
Mental Hygiene ³	3,413	2,137	(1,276)	-37.4%
All Other ⁴	5,670	5,094	(576)	-10.2%
STATE OPERATIONS/GENERAL STATE CHARGES	28,392	29,045	653	2.3%
State Operations	19,711	20,030	319	1.6%
Personal Service:	<u>14,289</u>	<u>14,608</u>	<u>319</u>	<u>2.2%</u>
Executive Agencies	7,892	7,827	(65)	-0.8%
27th Administrative Payroll	0	107	107	0.0%
University Systems	4,239	4,406	167	3.9%
Elected Officials	2,158	2,268	110	5.1%
Non-Personal Service:	<u>5,422</u>	<u>5,422</u>	<u>0</u>	<u>0.0%</u>
Executive Agencies	2,769	2,770	1	0.0%
University Systems	2,093	2,081	(12)	-0.6%
Elected Officials	560	571	11	2.0%
General State Charges	8,681	9,015	334	3.8%
Pension Contribution	2,448	2,495	47	1.9%
Health Insurance	4,308	4,513	205	4.8%
Other Fringe Benefits/Fixed Costs	1,925	2,007	82	4.3%
DEBT SERVICE	5,166	6,012	846	16.4%
TOTAL STATE OPERATING FUNDS	103,882	105,811	1,929	1.9%
Capital Projects (State and Federal Funds)	13,428	14,466	1,038	7.7%
Federal Operating Aid	58,599	57,752	(847)	-1.4%
TOTAL ALL GOVERNMENTAL FUNDS	175,909	178,029	2,120	1.2%

¹ Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. Beginning in FY 2021, the Financial Plan anticipates a \$150 million offset from local contributions. The value of these offsets is reported in "All Other" local assistance disbursements.

² The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount; there is no impact on STAR benefits received by these homeowners.

³ Total Mental Hygiene spending is \$4.3 billion in FY 2021, an increase of 7.7 percent from FY 2020, a portion of which is funded by the DOH Medicaid budget.

⁴ "All Other" includes spending for various other functions, as well as reclassifications between financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, MSA payments deposited directly to a Medicaid Escrow Fund (\$315 million in FY 2020 and \$371 million in FY 2021), and a \$150 million offset from local contributions in FY 2021, which reduces reported disbursements.

State Operating Funds – Summary of Annual Spending Change

State Operating Funds encompasses the General Fund and a wide range of State activities funded from income sources outside of the General Fund, including dedicated tax revenues, tuition, income, and assessments. Activities funded with these dedicated income sources often have no direct bearing on the State's ability to maintain a balanced budget in the General Fund, but nonetheless are captured in State Operating Funds and subject to the 2 percent spending limit.

Spending growth in recent years reflects the State's effort to address long-standing public policy issues. In FY 2013, the State began a three-year phase out of a local district share of growth in the Medicaid costs with the State absorbing all the growth in Medicaid on behalf of local governments, creating significant mandate relief for counties totaling \$1.3 billion in FY 2021. This is in addition to the previous cap that limited annual growth to 3 percent for local governments. In total, the State cost of funding the entire share of local district growth in FY 2021 is estimated at \$4.5 billion. In FY 2017, the State enacted a law that provides scheduled increases in the minimum wage. In 1970, the State's minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods between changes. The State is fully funding the increased costs of the minimum wage in the health care sector, adding to State spending an estimated \$1.5 billion in FY 2020 and \$1.8 billion in FY 2021.

Local Assistance

Local assistance spending includes financial aid to local governments and not-for-profit organizations, as well as benefit entitlement payments to individuals. Local assistance comprises nearly 70 percent of State Operating Funds spending. Education and health care comprise more than half of State Operating Funds spending.

For School Aid, the Executive Budget recommends \$28.5 billion in funding for school year (SY) 2021, an overall School Aid increase of \$826 million (3.0 percent). This includes a \$704 million increase in Foundation Aid, including a \$50 million increase within Foundation Aid for a community schools set-aside to continue to support the transformation of high-need schools into community hubs. In addition, another \$72 million supports increased reimbursements for expense-based aids. The Financial Plan also provides a \$50 million increase for competitive grant programs, which includes a \$15 million expansion of high-quality prekindergarten for three- and four-year-old children, a \$10 million expansion of Empire State After School grants to high-need districts, and an additional \$6 million for early college high schools. More than 80 percent of the FY 2021 School Aid increase is directed to high-need districts.

For Medicaid, the Executive Budget includes \$20 billion in funding for the share of Medicaid subject to the Global Cap, which includes \$2.5 billion in expected MRT II savings. Additional spending outside of the Global Cap includes \$1.8 billion to cover the costs of minimum wage increases for health care providers and \$1.3 billion to provide continuing financial relief to counties and New York City above the \$3.2 billion included in the Global Cap. In total, the State cost of funding the entire share of local district growth in FY 2021 is estimated at \$4.5 billion. Total Medicaid disbursements in FY 2021 are estimated at \$23.3 billion.

Transportation spending primarily reflects increased operating aid to the MTA and other transit systems supported by expected growth in dedicated resources. In addition, the FY 2020 Enacted Budget directed a substantial amount of new funding to the MTA as part of a comprehensive reform plan that is expected to generate a total of \$25 billion in financing for the MTA's 2020-2024 Capital Plan. As part of this plan, sales tax receipts from requiring online marketplace providers to collect sales tax on all sales facilitated through their platforms, in addition to the implementation and enforcement of regulations associated with the *Wayfair* regulations, are projected to provide the MTA with \$150 million in dedicated revenues in FY 2021 from State tax collections which will be deposited into the MTA capital lockbox.

STAR spending is affected by the continuing conversion of benefit payments from a real property tax exemption to a PIT credit. By shifting taxpayers to the credit program, the State is able to more efficiently administer the program while strengthening its ability to prevent abuse. The conversion initially had no impact on the value of STAR benefits available to taxpayers. The FY 2021 Executive Budget transitions all homeowners with incomes between \$200,000 and \$250,000 from the basic exemption benefit program to the advance credit program. The conversions decrease both the level of reported PIT receipts and reported State Operating Funds disbursements by an identical amount (\$1.3 billion in FY 2020 and an estimated \$1.5 billion in FY 2021).²

The decrease in social services spending is primarily due to the requirement of increased use of a Federal grant for child welfare services, increases in New York City (NYC) funding for the Family Assistance (FA), and the Emergency Assistance to Needy Families (EAF) programs, use of available Temporary Assistance for Needy Families (TANF) funding to offset State costs in Child Care, and use of off-budget resources to support consolidated homeless programs.

The Higher Education spending decrease in FY 2021 largely reflects the full implementation of accounting changes for certain student financial aid payments from Higher Education Services Corporation (HESC) to State-operated State University of New York (SUNY) campuses, which are now reflected as transfers instead of disbursements, and projected enrollment declines at SUNY and City University of New York (CUNY) community colleges.

Mental hygiene spending is estimated to total \$4.3 billion in FY 2021, an increase of \$265 million from FY 2020. The growth reflects increased funding to not-for-profit providers for minimum wage costs, the costs of a 4 percent raise for direct care workers through FY 2021 and a 2 percent raise for clinical workers; and community-based employment opportunities for individuals with disabilities. In FY 2021, \$2.2 billion of the \$4.3 billion total spent on mental hygiene is reported under the Global Cap, an increase of \$1.6 billion from FY 2020. This has the effect of reducing the amount of spending reported as "mental hygiene" spending.

² STAR benefits paid through tax exemptions are recorded as disbursements. STAR benefits paid as tax credits are recorded as a reduction in net tax receipts.

State Operations/General State Charges

Operating costs for State agencies include salaries, wages, fringe benefits, and Non-Personal Service (NPS) costs (e.g., supplies, utilities) and comprise more than a quarter of State Operating Funds spending.

Spending for executive agency operations is affected by the retroactive payment of salary increases in FY 2020 for labor contracts reached with the unions representing correctional officers, State troopers and State police investigators, and an additional administrative payroll in FY 2021. In general, agencies are expected to continue to fund salary increases within their operating budgets. However, exceptions have been made for retroactive salary payments. In addition, certain agencies that provide institutional care (e.g., Department of Corrections and Community Supervision (DOCCs) and mental hygiene agencies), as well as the State Police, have allowed to increase their annual operating budgets.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS (millions of dollars)			
	FY 2020 Current	FY 2021 Proposed	Change
Executive Agencies (Excluding 27th Payroll)	10,476	10,589	1.1%
Executive Agencies	10,661	10,704	0.4%
Retroactive Labor Agreements (Prior-Year Costs)	(185)	(8)	--
27th Administrative Payroll	0	(107)	--

Operating spending for SUNY is estimated to grow by 2.4 percent in FY 2021 and includes the cost of a six-year labor contract through academic year (AY) 2022. Operating costs for independently elected offices (Attorney General, Comptroller, Judiciary, and Legislature) are collectively expected to increase by 4.5 percent in FY 2021. Growth reflects in part the costs of an additional administrative payroll.

Spending on fringe benefits is expected to increase mainly due to rising health care and prescription drug costs for State employees and retirees, and a decrease in the use of reserve funds to offset increasing workers' compensation expenses. The annual change is also affected by increasing social security and employee benefit fund costs related to collective bargaining.

Debt Service

Debt service consists of principal, interest, and related expenses paid on State debt. Debt service is projected to increase from FY 2020 to FY 2021 in part due to the impact of prepayments. Excluding prepayments, debt service spending is projected to increase by 4 percent. The prepayment of debt service has been executed each year since FY 2010. DOB determines the level of prepayments each year based on operating results, resources on hand, and other factors. The effect of prepayments is offset in part by the expected growth in debt service on State-supported debt.



General Fund Financial Plan

General Fund Cash-Basis Financial Plan

Note: General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. Two significant factors affect reported General Fund tax receipts that are unrelated to actual collections. First, changes in debt service on State revenue bonds affect General Fund tax receipts. The State has three bonding programs where tax receipts are deposited into dedicated debt service funds (outside of the General Fund) and used to make debt service payments on bonds issued by the State. After satisfying debt service requirements for these bonding programs, the residual is transferred to the General Fund. Second, the STAR program is funded from PIT receipts, with changes in the cost of the program affecting reported PIT receipts. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections" herein.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Multi-Year Projections" herein.

FY 2020 Financial Plan Update

In the Mid-Year Update to FY 2020, DOB reported that it expected the General Fund to remain in balance but that the outcome would depend on the successful implementation of measures to address a \$1.8 billion gap caused entirely by Medicaid. DOB estimates that the gap has been eliminated and the General Fund will end the year in balance.

Receipts

General Fund receipts, including transfers from other funds, are projected to total \$78.3 billion in FY 2020, an increase of \$7.8 billion (11 percent) from FY 2019 results. The annual change is affected by taxpayers shifting estimated PIT payments, typically made on a quarterly basis, into the extension and final payments period, in response to the Tax Cuts and Jobs Act of 2017 (TCJA). FY 2020 receipts are positively affected by an increase in extension and final payments at the expense of FY 2019 estimated payments.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$49.3 billion, an increase of \$6.3 billion (14.6 percent). A large share of the increase in FY 2020 is due to the aforementioned shift in estimated payments from FY 2019 to FY 2018.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$14.6 billion, an annual increase of \$1.1 billion (8.3 percent) from FY 2019 results. The growth is most notably related to sales tax receipts which are estimated to increase due to growth in taxable consumption and legislation enacted this year requiring marketplace providers to collect SUT on sales that they facilitate and eliminating the exemption on Energy Service Companies (ESCOs), coupled with DTF guidance associated with the U.S. Supreme Court Wayfair ruling.

General Fund business tax receipts are estimated at \$6.4 billion, an increase of \$899 million (16.3 percent) from FY 2019 results. The growth is primarily attributable to an increase in corporate franchise tax gross receipts and premium insurance taxes for new for-profit insurance providers, partially offset by a large refund that was processed in April 2019 instead of March 2019.

Other tax receipts to the General Fund are expected to total \$2.1 billion after payment of debt service on Clean Water/Clean Air (CW/CA) bonds, an increase of \$22 million (1.1 percent) from FY 2019 results related to an estimated increase in estate tax receipts resulting from relatively weak estimated growth in household net worth.

Non-tax receipts are estimated at \$6.1 billion, a decrease of \$528 million (8.0 percent) from FY 2019 results. The decline largely reflects the use of resources in FY 2019 that are not expected to recur in FY 2020.

Disbursements

General Fund disbursements, including transfers to other funds are expected to total \$79.0 billion in FY 2020, an increase of \$6.2 billion (8.6 percent) from FY 2019 results.

Local assistance spending is estimated at \$53.6 billion in FY 2020, an increase of \$3.8 billion (7.7 percent) from FY 2019. The increase is primarily driven by Medicaid (\$1.7 billion) and School Aid (\$476 million on a State fiscal year basis). Medicaid spending subject to the Global Cap index is expected to adhere to the limit (\$568 million) after implementation of a FY 2020 Savings Plan that includes targeted actions and an adjustment to the amount of mental hygiene spending funded under the Global Cap. Other increases include the takeover of local Medicaid growth (\$183 million) and the cost of minimum wage increases (\$750 million), as well as revisions to resources available in other funds (e.g., HCRA) to finance Medicaid costs. Medicaid projections assure timely payments, with no additional deferrals planned.

State Operations spending in the General Fund, including fringe benefits and fixed costs, is expected to total \$19.4 billion in FY 2020, an increase of \$884 million (4.8 percent) from FY 2019. The increase reflects salary increases related to labor contracts, including the payment of retroactive salary increases and associated fringe benefits, and underlying growth in fringe benefits, including health insurance costs for State employees and retirees, and State costs for Workers' Compensation. In addition, operating costs in the General Fund are affected by offsets in other funds and fund reclassifications, such as operating costs related to snow and ice removal that were reclassified from Capital Projects Funds to the General Fund beginning in FY 2019.

General Fund transfers to other funds are projected to total \$6.1 billion in FY 2020, an increase of \$1.5 billion from FY 2019. Transfers for capital projects are projected to increase by \$1.3 billion due mainly to the timing of bond proceeds used to reimburse prior-year advances, and increased support for transportation costs and activities funded with Extraordinary Monetary Settlements. SUNY transfers are expected to increase by \$165 million primarily due to a change in accounting for Tuition Assistance Program (TAP) payments made to State-operated SUNY campuses. Debt service transfers are expected to decline by \$269 million, mainly due to prepayments. Other transfers are projected to increase by \$297 million, largely attributable to the transfer of certain sales tax collections to the MTA.

FY 2020 Closing Balance

DOB estimates that the General Fund will end FY 2020 with a cash balance of \$6.5 billion, a decrease of \$679 million from FY 2019 results. The balance, excluding Extraordinary Monetary Settlements, is estimated at \$3.9 billion, or \$875 million higher than FY 2019. The change reflects a new reserve for economic uncertainties funded with new settlement receipts (\$890 million) and planned deposits to the Rainy Day Reserves (\$428 million). These increases are partly offset by the planned use of reserves carried over from FY 2019 to fund retroactive payments related to labor agreements (\$206 million) and a large business tax refund (\$202 million), as well as projected spending from reappropriations in the Community Projects Fund (\$35 million).

Extraordinary Monetary Settlements on deposit at the close of FY 2020 are expected to total \$2.6 billion, a decrease of \$1.6 billion from the FY 2019 closing balance. This decrease reflects disbursements for initiatives funded with settlements and the timing of a capital advance (\$200 million) that is expected to be reimbursed in FY 2021.³

TOTAL BALANCES (millions of dollars)			
	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Current</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	7,206	6,527	(679)
Statutory Reserves:			
Rainy Day Reserves	2,048	2,476	428
Community Projects	35	0	(35)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	0	890	890
Debt Management	500	500	0
Labor Agreements (Timing)	206	0	(206)
Business Tax Refund (Timing)	202	0	(202)
Subtotal Excluding Settlements	3,012	3,887	875
Extraordinary Monetary Settlements	4,194	2,640	(1,554)

³ A more comprehensive discussion of the State's receipt and use of Extraordinary Monetary Settlements can be found in "Other Matters Affecting the Financial Plan -- Extraordinary Monetary Settlements" herein.

FY 2021 Executive Budget Financial Plan

The following table summarizes the projected annual change in General Fund receipts, disbursements, and fund balances from FY 2020 to FY 2021.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2020 Current	FY 2021 Proposed	Annual Change	
			Dollar	Percent
Opening Fund Balance	7,206	6,527	(679)	-9.4%
Total Receipts	<u>78,332</u>	<u>81,298</u>	<u>2,966</u>	<u>3.8%</u>
Taxes ¹	72,273	77,006	4,733	6.5%
Miscellaneous Receipts	2,979	2,106	(873)	-29.3%
Non-Tax Transfers from Other Funds	3,080	2,186	(894)	-29.0%
Total Disbursements	<u>79,011</u>	<u>81,921</u>	<u>2,910</u>	<u>3.7%</u>
Local Assistance	53,573	54,775	1,202	2.2%
State Operations	19,364	20,496	1,132	5.8%
Transfers to Other Funds	6,074	6,650	576	9.5%
Net Change in Operations	(679)	(623)	56	8.2%
Closing Fund Balance	<u>6,527</u>	<u>5,904</u>	<u>(623)</u>	<u>-9.5%</u>
Rainy Day Reserves	2,476	2,476	0	
Economic Uncertainties	890	890	0	
Reserve for Timing of Payments	0	0	0	
All Other Reserves/Balances	521	521	0	
Extraordinary Monetary Settlements	2,640	2,017	(623)	

¹ Includes the transfer of tax receipts from other funds after debt service.

Receipts

General Fund receipts, including transfers from other funds, are estimated to total \$81.3 billion in FY 2021, an increase of nearly \$3 billion (3.8 percent) from FY 2020 projections, reflecting growth in all tax categories.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to total \$52.8 billion, an increase of \$3.6 billion (7.2 percent) from FY 2020. The increase reflects growth in withholding, Tax Year 2020 current estimated payments, Tax Year 2019 extension payments, final returns, and delinquencies, coupled with a decline in total refunds. The decrease in total refunds is driven largely by a steep decline in advance credit payments related to Tax Year 2020, due to the expired Property Tax Relief Credit program, as well as a decrease in the administrative cap on the amount of refunds paid from January to March 2021.

Consumption/use tax receipts, including transfers after payment of debt service on LGAC and Sales Tax Revenue Bonds, are estimated to total \$14.8 billion in FY 2021, an increase of \$251 million (1.7 percent) from FY 2020. Increases reflect projected sales tax base growth of 3.8 percent, and an additional \$148 million in projected revenue related to the full-year impact of FY 2020 Enacted Budget legislation that requires marketplace providers to collect tax on sales that they facilitate and eliminates the exemption on Energy Service Companies (ESCOs), coupled with the Department of Taxation and Finance (DTF) guidance associated with the U.S. Supreme Court *Wayfair* ruling.

Business tax receipts are estimated at \$7.2 billion in FY 2021, an increase of \$828 million (12.9 percent) from FY 2020. The increase is primarily attributable to growth in corporation franchise tax receipts, driven by higher gross receipts and lower refunds.

Other tax receipts, including transfers after payment of debt service on CW/CA Bonds, are expected to total \$2.2 billion in FY 2021, an increase of \$104 million (5.0 percent) from FY 2020 primarily due to an increase in estate tax receipts, reflecting projected growth in household net worth.

Non-tax receipts and transfers are estimated at \$4.3 billion in FY 2021, a decrease of \$1.8 billion from FY 2020. The decline largely reflects a reduction in Extraordinary Monetary Settlements which are not projected to recur in FY 2021 (\$889 million) and the use of certain resources available in FY 2020 that either do not recur or recur at a lower amount in FY 2021, including monies received from the Health Care Transformation Fund (\$247 million), Tribal State Compact Revenue (\$166 million), and Mental Health Services Fund (\$178 million).

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$81.9 billion in FY 2021, an increase of \$2.9 billion (2.9 percent) from FY 2020. General Fund disbursements reflect conservative estimates of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

Local assistance spending is estimated at \$54.8 billion in FY 2021, an increase of \$1.2 billion (2.2 percent) from FY 2020. The increase is primarily driven by School Aid (\$844 million on a State fiscal year basis), Medicaid (\$882 million), and mental hygiene (\$557 million). Medicaid spending growth at the Global Cap index (\$573 million) assumes savings expected from the MRT II cost-containment plan (\$2.5 billion) and the recurring value of the FY 2020 Savings Plan. The cost of minimum wage increases, which is currently outside of the Global Cap, is estimated to grow to \$1.8 billion or \$314 million above the estimated FY 2020 cost.

General Fund personal and non-personal service costs are expected to total \$12.6 billion in FY 2021, an increase of \$848 million (7.2 percent) from FY 2020. Operating costs for many agencies are charged to several funds outside the General Fund and are thus affected by offsets and accounting reclassifications. On a State Operating Funds basis, most executive agencies are expected to hold operations spending at FY 2020 levels. In FY 2021, State Operations spending is affected by an additional administrative payroll, the reclassification to Capital Projects Funds of certain non-personnel expenses related to maintenance and preservation of State assets; the costs of approved and potential labor settlements; and expected savings from agency management plans.

General State Charges (GSCs), which include fringe benefits and certain fixed costs, are projected to increase by \$284 million (3.7 percent) from FY 2020. Health insurance costs for State employees and retirees are projected to increase by \$205 million (4.8 percent), due to medical inflation and current enrollment levels. The State's annual pension payment is projected to grow by \$47 million (1.9 percent). The State's costs for Workers' Compensation are expected to increase by \$68 million, due to underlying growth in the average weekly wage benefit and medical costs, as well as a reduction in other resources available to offset costs.

General Fund transfers to other funds are projected to total \$6.7 billion in FY 2021, an increase of \$576 million from FY 2020. Transfers for capital projects are projected to increase by \$353 million, reflecting an increase in hard dollar resources to fund capital projects. Transfers to support debt service costs is projected to increase by \$53 million. SUNY transfers are expected to increase by \$88 million reflecting accounting changes for certain student financial aid payments from HESC – to State operated SUNY campuses.

FY 2021 Closing Balance

DOB projects the State will end FY 2021 with a General Fund cash balance of \$5.9 billion, a decrease of \$623 million from FY 2020. The decline is due entirely to the expected use of Extraordinary Monetary Settlements for initiatives approved in prior budgets. See "Other Matters Affecting the Financial Plan - Uses of Extraordinary Monetary Settlements" herein.

The General Fund balance excluding monetary settlements is estimated at \$3.9 billion, unchanged from FY 2020. The Executive Budget Financial Plan maintains all Rainy Day Reserves, as well as the \$500 million for debt management purposes and \$890 million for economic uncertainties. DOB plans to reserve future Extraordinary Monetary Settlements for economic uncertainties.

TOTAL BALANCES (millions of dollars)			
	FY 2020 Current	FY 2021 Proposed	Annual Change
TOTAL GENERAL FUND BALANCE	6,527	5,904	(623)
Statutory Reserves:			
Rainy Day Reserves	2,476	2,476	0
Community Projects	0	0	0
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	890	890	0
Debt Management	500	500	0
Labor Agreements (Timing)	0	0	0
Business Tax Refund (Timing)	0	0	0
Subtotal Excluding Settlements	3,887	3,887	0
Extraordinary Monetary Settlements	2,640	2,017	(623)

FY 2021 Detailed Gap-Closing Plan

The following table summarizes the proposed General Fund gap-closing plan. It is followed by a brief summary of the significant actions and revisions.

SUMMARY OF REVISIONS TO MID-YEAR UPDATE GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
MID-YEAR UPDATE SURPLUS/(GAP)	(6,073)	(7,529)	(8,549)	(8,899)
FY 2020 Savings Plan ¹	(890)	(890)	(890)	(890)
Receipts	2,624	1,908	1,199	816
PIT	1,537	1,498	882	514
Other Taxes	541	397	410	456
Miscellaneous Receipts	65	58	55	49
Debt Service Transfers	386	(80)	(153)	(160)
Non-Tax Transfers	95	35	5	(43)
Disbursements	4,539	4,572	4,927	5,707
Local Assistance	4,283	4,500	4,716	5,166
Agency Operations	359	377	461	412
Debt Service Transfers	119	64	19	7
Capital Projects Transfers	(418)	(409)	(388)	12
Monetary Settlement Transfers	200	0	0	0
Other Transfers	(4)	40	119	110
Use/(Reserve) of Fund Balance	(200)	0	0	0
Rainy Day Reserve	0	0	0	0
Extraordinary Monetary Settlements	(200)	0	0	0
EXECUTIVE BUDGET SURPLUS/(GAP) ²	0	(1,939)	(3,313)	(3,266)

¹ The General Fund gaps and State Operating Funds disbursement estimates in the Mid-Year Update were reduced by the value of a savings plan that DOB intended to develop and allocate later in the fiscal year. The savings plan is allocated in this Financial Plan.

² Before actions to adhere to the 2 percent benchmark.

Receipts

PIT tax receipts have been revised upward due mainly to higher than expected withholding and estimated receipts in December 2019 and early January 2020. In addition, the Executive Budget proposes the following PIT tax actions:

- **Make Warrantless State Tax Debt Collection Methods Permanent.** The Executive Budget makes permanent two DTF authorizations for warrantless debt collection methods. These programs for income executions (effective since FY 2014) and bank account data matching (effective since FY 2018) make collection of past-due fixed and final tax debts more efficient and are taxpayer-friendly as they reduce the need for issuing public warrants.
- **Cap the Maximum Amount and Income for the Long-Term Care Insurance Credit.** The Executive Budget proposes to limit the credit for long-term care insurance premiums to \$1,500, and to taxpayers with incomes under \$250,000.
- **Enhance Empire State Child Credit.** The Executive Budget expands the Empire State Child credit to include children under the age of four for families with New York State Adjusted Gross Income of \$50,000 or less.
- **STAR.** The Executive Budget transitions all homeowners with incomes above \$200,000 from the basic exemption benefit program to the advance tax credit program, which builds off the Enacted FY 2020 Budget that transitioned all homeowners with incomes above \$250,000 to the advance credit program. The shift from the basic exemption to the credit program will not reduce the value of the benefit received by homeowners. To further incentivize participation in the STAR credit program, the Executive Budget also re-opens the enrollment period to allow recipients to retroactively verify their income so that qualified late enrollees will receive a STAR check from DTF.

Other tax categories have been revised upward due mainly to stronger business tax collections results to date. In addition, the Executive Budget proposes the following tax actions:

- **Reduce the Burden on Small Businesses.** To continue the State's robust economic growth and record of job creation, the Executive Budget provides further tax relief for small businesses.
- **Reform the Tobacco Products Tax.** The Executive Budget amends the definition of "wholesale price" to reflect the price at which a tobacco product is sold to a New York State registered distributor.
- **Other Tax Actions.** The Executive Budget includes other tax credits, extensions, enforcement initiatives and reforms. These include Hire-A-Vet credit and a gross excise tax on adult cannabis.

Miscellaneous Receipts. The Executive Budget includes additional revenues generated from implementation of Green Light NY which makes standard driver's licenses accessible to undocumented New Yorkers as well as updated estimates of investment income, motor vehicle and ABC license fees.

Debt Service Transfers. Debt service spending estimates reflect the prepayment of an additional \$250 million in FY 2020 in debt service costs due in FY 2021, as well as revised multi-year estimates for debt service spending to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending.

Non-Tax Transfers. Other resource changes include a Certificate of Need fees that are assessed on health-care facilities construction projects, proceeds from the merger of CVS Health Corp/Pharmacy and Aetna Health Insurance Company, and updated estimates of various transfers from other funds, including mental hygiene Federal reimbursements.

Disbursements

Local Assistance. Targeted actions and continuation of prior-year cost containment are expected to generate nearly \$4.3 billion in General Fund savings compared to the current services estimate. The Executive Budget includes the following proposed actions.

- **Health Care.** DOB estimates that, absent the actions included in the Executive Budget, State-share Medicaid spending subject to the Global Cap would exceed the indexed growth amount by \$3.1 billion in FY 2021. The Executive Budget Financial Plan includes \$2.5 billion in cost-containment measures that are expected to be identified by the MRT II, as well as recurring savings from the FY 2020 Savings Plan (\$851 million). Other health care savings include initiatives to reduce certain public health programs; enhanced commercial insurance financial participation in early intervention; modifications to pharmacy benefit programs; and reforms to local government share of Medicaid for those counties that pierce the property tax cap or exceed 3 percent growth in spending.
- **Education.** General Fund savings include a decline in SY 2021 aid compared with the FY 2020 Enacted Budget estimates for expense-based aid, as well as increased revenues from video lottery terminals (VLTs) for education purposes. Pursuant to enacted 2020 legislation, School Aid growth cannot exceed the ten-year average of the State Personal Income Growth Index (PIGI). The Executive budget proposes a 3.0 percent School Aid increase. This increase aligns with the FY 2021 Medicaid Global Cap while staying within the statutorily imposed ten-year average PIGI growth cap (4.0 percent).

- **Human Services.** Savings reflect proposed rebalancing of fiscal equity shares between the State and NYC for the FA, and EAF programs, the planned use of available TANF to offset state costs in Child Care program, the requirement of increased use of a Federal grant for child welfare services, and the use of off-budget resources to support the consolidated homeless programs. In addition, recent budget actions that provided targeted support to workers in foster care and adoption programs, and costs of the minimum wage in the human services program areas, continue to be funded.
- **Mental Hygiene.** Spending revisions reflect updated assumptions and revised timelines for ongoing transformation efforts to ensure efficient use of State resources in the mental hygiene service delivery system; and continued expansion of programs and services to ensure individuals with developmental disability and behavioral health needs have appropriate access to care. These investments are supported in part by continued efficiencies in program operations, and reductions in unnecessary institutional capacity.
- **All Other.** Savings are expected as a result of targeted actions and updated spending projections across many program areas, including updated enrollment data for community colleges; and revised estimates for the County-Wide Shared Services Initiative.

Agency Operations. Reductions to agency operations contribute \$359 million to the General Fund gap-closing plan.

- **Executive Agencies.** The Executive Budget holds spending flat on a State Operating Funds basis, with limited exceptions, such as costs attributable to the Raise the Age implementation. Agencies are expected to continue to use less costly forms of service delivery, improve administrative practices, and pursue statewide solutions, including Lean initiatives to streamline operations and management. In addition, the Executive Budget reflects savings from the planned reduction of excess prison capacity due to prison population declines and the shift of certain costs related to the maintenance, protection, preservation, and operation of facilities to the Capital Projects Funds.

Pension estimates reflect the planned payment of the full FY 2021 Employees' Retirement System (ERS)/Police and Fire Retirement System (PFRS) pension bill in May 2020. Health insurance savings are based on eliminating taxpayer-subsidized Income-Related Monthly Adjustment Amount (IRMAA) reimbursements for high income New York State Health Insurance Program (NYSHIP) enrollees and maintaining Medicare Part B premium reimbursements at \$144.60 per month.

The Executive Budget also proposes lowering the interest charged on judgments against the State from as high as 9 percent (currently authorized) to a fair-market interest rate. The current rate was established in 1982 when interest rates were at 12 percent to avoid unnecessary taxpayer costs. The recommended rate is in line with the interest rate applied to judgements in Federal courts and would ensure that neither side in a lawsuit will be disadvantaged by an interest rate that is above or below what otherwise could be earned while cases are being adjudicated and will save taxpayers millions of dollars annually.

- **Elected Officials.** The Executive Budget reflects the Judiciary’s request for increased operating support to fund salary increases, an additional administrative payroll, and staff increases in court operations and security. In addition, spending has been increased for OSC and the Department of Law mainly due to increased personal service expenses.

Debt Service Transfers. The Executive Budget reflects savings from expected refundings, continued use of competitive bond sales, prepayment of expenses, and other debt management actions.

Capital Projects Transfers. The Executive Budget reflects the use of new hard dollar resources to fund capital projects, rather than debt. The Financial Plan assumes \$400 million of hard dollar capital annually from FY 2021 through FY 2023, which will reduce debt issuances on a dollar-for-dollar basis. In addition, capital transfers support higher costs for NYC bridge and tunnel security and modernizing the Judiciary’s computer network and equipment.

Monetary Settlement Transfers. The timing of transfers of settlement reserves has been updated based on FY 2020 spending to date and estimated activity over the multi-year Financial Plan.

Other Transfers. The Executive Budget reflects updated estimates of various transfers to other funds, including the dedicated mass transportation fund, commensurate with changes to debt service payments.

Cash Position

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have adequate liquidity in FY 2021 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES				
FY 2021				
(millions of dollars)				
	General Fund	Other Funds	All Funds	
April 2020	11,039	6,629	17,668	
May 2020	3,792	6,394	10,186	
June 2020	3,825	7,427	11,252	
July 2020	3,886	8,426	12,312	
August 2020	3,313	8,089	11,402	
September 2020	5,774	7,652	13,426	
October 2020	6,010	6,951	12,961	
November 2020	4,482	6,639	11,121	
December 2020	7,014	7,032	14,046	
January 2021	9,904	9,307	19,211	
February 2021	10,510	8,707	19,217	
March 2021	5,904	5,687	11,591	



Other Matters Affecting the Financial Plan

General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to predict or control. DOB asserts that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For example, in past years, tax receipts collections have varied substantially from the levels forecasted, and entitlement based programmatic spending has also varied significantly from initial projections. Most recently higher levels of State Medicaid spending have caused an imbalance under the Global Cap indexed rate of growth. The current Financial Plan projections assume the sufficiency of the FY 2020 Medicaid Savings Plan to limit FY 2020 spending in both Medicaid and State Operating Funds at the levels that adhere with growth caps. In addition, certain projections contained in the Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in all prospective fiscal years, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, subject to available resources, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur and adhere to the limit of the State's 2 percent growth benchmark.

The Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; tech industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the

Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and success with which the State controls expenditures; unanticipated growth in Medicaid program costs; and ability of the State and its public authorities to issue securities successfully in public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial changes. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor.

To manage potential Financial Plan risks, the Executive Budget proposes the continuation of a process for the uniform reduction of local assistance disbursements of up to 1 percent of State Operating Funds disbursements (approximately \$1.04 billion) if DOB identifies a General Fund imbalance of \$500 million or more within a fiscal year. Upon identification of an imbalance, the Budget Director would transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have 30 days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

The Financial Plan forecast assumes various transactions could fail to occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation; receipt of the excise tax on vapor products; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in the State's two largest local assistance programs, School Aid and Medicaid.

School Aid

The School Aid growth cap was previously calculated based on the annual growth in NYS PIGI. With the exception of the 2013 school year increase (based on a five-year average), the PIGI was based on a one-year growth index. However, in FYs 2014 through 2019 the authorized School Aid increases were above the indexed levels. To reduce volatility and align with the Medicaid cap, the statutory PIGI for School Aid has been amended to reflect average annual income growth over a ten-year period, beginning in FY 2021.

Medicaid Global Cap

A portion of DOH State Funds Medicaid spending growth is subject to the Global Cap -- the ten-year rolling average of the medical component of the Consumer Price Index (CPI). Thus, the Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Major changes to the State share of Medicaid spending include State costs for the takeover of Medicaid growth from local governments and reimbursement to providers for increased minimum wage costs. It should further be noted that General Fund spending remains sensitive to revenue performance in the State's HCRA fund that finances approximately one-quarter of DOH State-share costs of Medicaid.

Since the enactment of the Global Cap, subject to the management action described below, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels. However, DOH has, at times, taken management actions, including adjustments to the timing of Medicaid payments, consistent with contractual terms, to ensure compliance with the Global Cap.

At the close of FY 2019, DOH deferred, for three business days, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid from available funds in the General Fund in April 2019, consistent with contractual obligations. According to DOH, the deferral had no impact on provider services. However, absent the deferral and any other actions, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019 and the State would have used available General Fund resources to fund the payments in FY 2019. The General Fund ended FY 2019 with sufficient resources. According to DOH, the higher spending in FY 2019 reflected growth in managed care and long-term managed care enrollment and utilization costs above initial projections, as well as the timing of certain savings actions and offsets that were not processed by year-end.

The Global Cap Imbalance

Based on a review of price and utilization trends, results for FY 2019 and FY 2020 to date, and other factors, DOB recognized that a structural imbalance exists within the Global Cap. A structural imbalance in this case means that estimated expense growth in State-share Medicaid subject to the Global Cap, absent measures to control costs, is growing faster than allowed under the Global Cap spending growth index (currently 3 percent).⁴

DOB estimates that, absent the actions to control costs, State-share Medicaid spending subject to the Global Cap will exceed the indexed growth amount by \$4.0 billion in FY 2020 (including the FY 2019 deferral of \$1.7 billion) and \$3.1 billion in FY 2021. Factors that have and continue to place upward pressure on State-share Medicaid spending (which includes spending under and outside the Global Cap) include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and payments to financially distressed hospitals.

The State has instituted a plan that is expected to reduce Medicaid costs by \$599 million in FY 2020, growing to \$851 million in FY 2021. Stronger tax receipts and savings elsewhere in the General Fund close the remaining FY 2020 Medicaid gap (\$1.2 billion) and allow the State to reverse the FY 2020 payment deferral (\$552 million) planned in the Mid-Year Update.

In January 2020, the Governor convened the MRT II that is charged with identifying additional cost-containment measures that will provide approximately \$2.5 billion in gap-closing savings in FY 2021 and ensure Medicaid spending in future years adheres to the Global Cap indexed rate.

⁴ The annual growth in Medicaid spending subject to the Global Cap is limited to the ten-year rolling average of the medical component of the CPI.

If State Funds Medicaid spending is not reduced to levels that adhere to the Global Cap or other savings do not occur as expected, it could have a materially adverse impact on General Fund budget balance and the State's ability to limit annual State Operating Funds spending growth to 2 percent in FY 2020 and FY 2021.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to and recover from severe weather events and disasters. Many policies that drive this Federal aid are subject to possible changes by the Trump Administration and Congress. Current Federal aid projections and the assumptions on which they rely are subject to revision because of changes in Federal policy.

Similarly, the Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

The Executive Budget includes, for the fourth year, a process by which the State can address significant reductions in Federal aid during FY 2021 should the need arise. Specifically, the Executive Budget allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (a) reduce FFP in Medicaid funding to the State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid in funding to the State that affects the State Operating Funds Financial Plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.

Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018, 2019, and 2020 which were largely rejected in the final appropriations bills approved for each of those years.

The Bipartisan Budget Act of 2019 increased the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. The FFY 2021 budget process is expected to begin in February with the release of the President’s budget proposal.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2020, beginning May 23, 2020. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$7.2 billion when the ACA is fully phased in. This would be the largest reduction in Federal DSH payments among all states.

Essential Plan (EP)

In 2017, the Federal government attempted to end the Basic Health Program EP in the State, reverse the ACA’s Medicaid expansion, and shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid’s current open-ended policy. If enacted into law, these policies would have had a substantial adverse impact on the State.

Additionally, in 2018, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), the State’s official health plan marketplace. Recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology for 2018. However, the State has not received any guidance on whether it will receive Federal reimbursement in the EP for CSR payments in 2019 and beyond. This funding represents about 25 percent of the total Federal funding for the program and, absent any action by Congress, the Financial Plan remains at risk. Additionally, the Trump Administration has finalized changes to the Federal reimbursement formula which is expected to further decrease the amount of Federal funding for the EP. The Financial Plan reflects continued support for the EP program.

Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

Federal Public law 116-94 repealed the Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 4980I). The tax, which had never taken effect, was a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but its implementation had been delayed multiple times prior to its repeal.

MRT Medicaid Waiver

The CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York’s health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State’s Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives. Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. DOH continues to work with the CMS to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

Due to the demonstrated success of the DSRIP waiver, the State is seeking an extension for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care transformation in the State. The waiver extension seeks additional time and funding support for these successful initiatives to fully mature across the State to complete the transformation of the way Medicaid pays for services – from fee for service which rewards volume, to a value-based system where care is funded based on outcomes. While continuing its emphasis on DSRIP practices that have proven successful, the waiver extension also seeks support for new critical initiatives that are aligned with State and Federal priorities including: caring for populations with substance abuse disorder and serious behavioral health diagnoses, high-risk children, persons in need of long-term care services and addressing disparities in maternal mortality.

Federal Debt Limit

The Bipartisan Budget Act of 2019 temporarily suspended the Federal debt limit through July 31, 2021 and brought to a close the extraordinary measures that the U.S. Treasury had been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the updated Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State’s revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the Federal Government may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the TCJA (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in Tax Year 2018. The Federal tax law made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of certain individual New York State taxpayers, the new \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for Tax Year 2018, is substantial. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York's economic competitiveness.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. DOB and DTF estimate that the new SALT deduction limit raised Federal tax liability for New York taxpayers by roughly \$14 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in Tax Year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and Charitable Gifts Trust Fund, as described below, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS has issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from federally taxable income while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claimed the new SALT limit was enacted to target New York and similarly situated states, interfered with states' rights to make their own fiscal decisions, and disproportionately harmed taxpayers in these states. On September 30, 2019, the Southern District of New York found that the states failed to make a valid legal claim that the SALT limit unconstitutionally encroaches on states' sovereign authority to determine their own taxation and fiscal policies. The State along with Connecticut, Maryland, and New Jersey, filed a notice of appeal on November 26, 2019.

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations were made retroactive to August 27, 2018 (the date on which the U.S. Treasury and IRS first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. Among other things, the lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. If the Federal lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on PIT Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 Tax Years if the underpayments arise from reliance on the 2018 amendments to State Tax Law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 Tax Years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest for determinations on 2019 tax payments due in April 2020 or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of determinations of underpayment attributable to reliance on the 2018 amendments to State Tax Law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

Employer Compensation Expense Program

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year. For Tax Year 2019, 262 employers elected to participate in the ECEP and remitted \$1.5 million.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A new State PIT credit is available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.

Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who makes a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.⁵

In FY 2019, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). Charitable gifts are appropriated for the authorized purposes.

Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

⁵ Statute allows the SUNY Research Foundation, CUNY Research Foundation, and Health Research, Inc. to accept up to \$10 million each in charitable gifts on an annual basis. The State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in Tax Year 2019.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk, the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from Tax Year 2020 through 2023 is on average in the range of \$30 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all of the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, its localities, and the MTA may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Financial markets participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.⁶ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population and critical infrastructure concentration in coastal counties.

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes ("reasons for concern"). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate ("detectable") today, to high ("severe and widespread") at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

The State is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. At the end of the 2019 legislative session, the Governor and Legislature agreed to set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. The State is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

⁶ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

Current Labor Negotiations and Agreements (Current Contract Period)

On December 18, 2019, the State and the Police Benevolent Association of New York State (PBANYS) conferred authority to the public arbitration panel to issue a final and binding arbitration award covering the four-year period April 1, 2015 to March 31, 2019 (FY 2016 to FY 2019). The award provides a 2 percent general salary increase in each fiscal year and additional compensation which is partially offset by benefit design changes within the New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The cost of this award has been reflected in the multi-year spending projections for the affected agencies.

The State has multi-year labor agreements in place with most of the unionized workforce and continues to negotiate new agreements with the Public Employees Federation (PEF) and the Council 82 Security Supervisors Unit.

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year labor contract (2017 through 2022). The contract provides for 2 percent general salary increases annually and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The Graduate Student Employees Union (GSEU) has a four-year labor contract that provides for 2 percent general annual salary increases for 2020 through 2023.

The Professional Staff Congress at the City University of New York has a six-year labor contract (2018 through 2023). The contract provides for annual 2 percent general salary increases commencing October 1, 2018.

The Police Benevolent Association of the New York State Troopers (NYSTPBA), and the New York State Police Investigators Association (NYSPIA), have five-year collective bargaining agreements for FY 2019 through FY 2023. The agreements provide for 2 percent general salary increases in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year labor contract (FY 2017 through FY 2023). The contract provides for 2 percent general salary increases in each year of the agreement, and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

Other Matters Affecting the Financial Plan



Contract periods and related general salary increases for State employee union contracts are summarized below.

UNION LABOR CONTRACTS											
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CUNY	AY 2018 - AY 2023	2.5%	2%	2%	1.5%	2%	2%	2%	2%	2%	TBD
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2016 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD							

The Judiciary also has contracts in place with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2020 to FY 2021 for the remaining eight unions.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.⁷ All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which is reflected in FY 2020 contribution rates.

In August 2019, the actuary for NYSLRS issued the Annual Report to the Comptroller on Actuarial Assumptions, which provides a reduction in the State pension fund's assumed long-term rate of return on investments from 7 percent to 6.8 percent, anticipating a lower return on investment environment. The estimated average employer contribution rate for the ERS will remain stable at 14.6 percent of payroll due to offsetting gains from a change in the mortality improvement scale and new Tier 6 entrants. However, the estimated average employer contribution rate for the PFRS will increase by 0.9 percent, from 23.5 percent of payroll to 24.4 percent of payroll (the assumed rate reduction had more leverage in PFRS due to the maturity of the system).⁸

FY 2020 Projections

The FY 2020 ERS/PFRS pension estimate of \$2.2 billion relied upon the December 16, 2019 report of the State Comptroller which includes a variety of factors such as past investment performance and growth in lower cost Tier 6 entrants. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals and interest savings from paying the majority of the pension bill in May 2019.

⁷ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

⁸ Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g., FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly incurred in a given fiscal year. However, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including costs covered for local ERS) was \$26 million in FY 2019 based on actual credit purchased through December 31, 2018. DOB currently estimates ERS costs of \$25 million in FY 2020 and ongoing annual costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

FY 2021 and Outyear Projections

The FY 2021 ERS/PFRS pension estimate of \$2.2 billion relied upon the State Comptroller's December 16, 2019 report, which reflects a reduction in the assumed rate of return and other increases, partially offset by the use of a new mortality improvement scale and lower cost Tier 6 entrants. The estimate also reflects paying the pension bill in May 2020, which will result in interest savings. The State will continue to pay \$432 million towards the balance outstanding on prior-year deferrals. OSC does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2022 and beyond are developed by DOB. DOB's forecast includes growth in the salary base consistent with collective bargaining agreements and a stable rate of return.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer’s annual pension costs that may be amortized is determined by comparing the employer’s amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate⁹) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the FY 2010 legislation, and generally move toward their system’s average normal rate by up to one percentage point per year. When an employer’s normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer-specific graded rate based on the employer’s own tier and plan demographics.

Neither the State nor the Office of Court Administration (OCA) have amortized pension costs since FY 2016.

The amortization threshold is equal to the normal rate and is projected to remain so in the upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.5	(562.8)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,077.9	(778.5)	100.9	1,400.3	3.00	18.5	25.7	11.5	19.5
2014	2,633.6	(937.0)	192.1	1,888.7	3.67	20.5	28.9	12.5	20.5
2015	2,328.8	(713.1)	305.7	1,921.4	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.2)	390.0	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,789.0	0.0	432.2	2,221.2	2.33	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.2	2,220.9	2.84	14.9	24.3	14.9	24.3
2019	1,770.2	0.0	432.2	2,202.4	3.64	14.4	23.5	14.4	23.5
2020 Est.	1,774.3	0.0	432.2	2,206.5	2.55	14.2	23.5	14.2	23.5
2021 Est.	1,811.1	0.0	432.2	2,243.3	TBD	14.1	24.4	14.1	24.4
----- Projected by DOB ⁵ -----									
2022	2,206.3	0.0	399.9	2,606.2	TBD	15.0	25.0	15.0	25.0
2023	2,403.5	0.0	331.3	2,734.8	TBD	15.5	25.5	15.5	25.5
2024	2,494.7	0.0	240.1	2,734.8	TBD	16.5	26.5	16.5	26.5

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system’s total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

⁹ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for State’s Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions that are required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires the full liability be recognized. As a result, the March 31, 2018 ending net positive position of \$28.6 billion (previously reported using GASBS 45) in the State’s governmental activities was restated to an April 1, 2018 beginning net deficit position of \$3.3 billion upon the implementation of GASBS 75.

The State’s total OPEB liability equals the employer’s share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2019, the total ending OPEB liability for FY 2019 is \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability as of March 31, 2019 was measured as of March 31, 2018 and was determined using an actuarial valuation as of April 1, 2017, with update procedures used to roll forward the total OPEB liability to March 31, 2018. The total beginning OPEB liability for FY 2019 was \$66.5 billion (\$53.5 billion for the State and \$13.0 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate at March 31 (3.86 percent in FY 2018 and 3.89 percent in FY 2019). The total OPEB liability declined by \$3.1 billion during FY 2019, primarily attributable to the difference between expected and actual experience.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the Legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund"), a qualified trust under GASBS 75, that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Financial Plan.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State’s digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State’s Chief Information Security Office (CISO) within the State’s Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems,¹⁰ and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, State and local infrastructure, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity’s normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

¹⁰ Statewide cybersecurity policies can be found at: <https://its.ny.gov/eiso/policies/security>.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside NYC, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State or its public authorities cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refundings for debt service savings when interest rates are favorable.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to decline from \$3.7 billion in FY 2020 to a low point of \$28 million in FY 2024. This includes the estimated impact of funding increased capital commitment levels with State bonds. The cost of debt issued after April 1, 2000 and estimated new issuances is projected at \$5.5 billion in FY 2021, or roughly \$3.3 billion below the statutory debt service limit.

Other Matters Affecting the Financial Plan



DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2020	\$1,388,734	4.00%	55,549	51,818	3,731	3.73%	0.27%	4,961	56,779
FY 2021	\$1,438,257	4.00%	57,530	56,567	963	3.93%	0.07%	3,655	60,222
FY 2022	\$1,497,415	4.00%	59,897	59,693	204	3.99%	0.01%	3,055	62,748
FY 2023	\$1,560,119	4.00%	62,405	62,323	82	3.99%	0.01%	2,443	64,766
FY 2024	\$1,625,327	4.00%	65,013	64,985	28	4.00%	0.00%	1,954	66,939
FY 2025	\$1,693,141	4.00%	67,726	66,871	855	3.95%	0.05%	1,456	68,327

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service ¹
FY 2020	\$178,251	5.00%	8,913	5,068	3,845	2.84%	2.16%	1,145	6,213
FY 2021	\$176,370	5.00%	8,819	5,525	3,294	3.13%	1.87%	937	6,462
FY 2022	\$182,643	5.00%	9,132	6,000	3,132	3.29%	1.71%	1,010	7,010
FY 2023	\$186,680	5.00%	9,334	6,446	2,888	3.45%	1.55%	927	7,373
FY 2024	\$191,970	5.00%	9,598	6,789	2,809	3.54%	1.46%	745	7,534
FY 2025	\$193,589	5.00%	9,679	7,212	2,467	3.73%	1.27%	453	7,665

¹ Total State-supported debt service is adjusted for prepayments.

The projected debt capacity under the debt outstanding cap depends on expected growth in State personal income. The State uses personal income estimates published by the Federal government, specifically the BEA, to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The BEA increased its Calendar Year 2017 personal income estimate for New York by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. While, in this instance, the State benefitted from the significant increase in BEA's estimate of New York State personal income, this volatility could have compromised the State's ability to manage within its statutory debt cap had the personal income estimate been unexpectedly revised downward by a similar amount. Absent such swings in personal income estimates, the State traditionally has relied on adjustments to capital spending priorities and debt financing practices to preserve available debt capacity and stay within the statutory limits.

Such volatility in New York State personal income estimates has prompted DOB to reexamine the manner in which BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the "residency adjustment"). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased the measure of 2018 State personal income by \$77 billion at the time of the FY 2019 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections which correspondingly reduces the State's debt capacity under the Debt Reform Act.

Executive Budget – Debt Cap Changes

FY 2021 EXECUTIVE BUDGET CHANGE IN BOND-FINANCED CAPITAL (millions of dollars)							
	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected	Total FY 2020-25
Capital Adds (Bond-Financed)	0	903	1,168	904	987	1,057	5,019
New Hard Dollar	0	(400)	(400)	(400)	0	0	(1,200)
Capital Efficiency Plan	0	(200)	(200)	(200)	(200)	(200)	(1,000)
Capital Re-Estimates	(410)	(115)	(110)	(244)	(471)	(766)	(2,116)
Increase / (Decrease) in Bond-Financed Capital	(410)	188	458	60	316	91	703

In the Executive Budget, the State added new bond-financed capital commitments of \$7.5 billion that are expected to drive \$5.0 billion in new debt over the five-year capital plan period. To accommodate these adds, the State will be implementing a plan to reduce its reliance on debt and remain within its statutory debt limit. Specific elements of the plan include:

1. Adding \$1.2 billion in new hard dollar resources to fund capital projects, rather than using debt. The Executive Budget assumes \$400 million of hard dollar capital annually from FY 2021 through FY 2023. This will reduce debt issuances on a dollar-for-dollar basis and save approximately \$2.0 billion in debt service.
2. Implementing a Statewide Capital Efficiency Plan. Agencies will be implementing a capital efficiency plan that results in a 5 percent reduction in capital spending across the five-year capital plan. Agencies will need to prioritize their projects accordingly. The plan, similar to ones executed in FY 2018 and FY 2019, will reduce bond-financed capital spending by approximately \$1.0 billion, saving an estimated \$1.6 billion in debt service. DOB expects to issue guidance to state agencies in the spring.

The objective is not to eliminate projects, but to prioritize those that are essential and defer non-essential projects that will not affect an agency’s core mission. A project would be deemed essential if failure to complete it would:

- a. Present an immediate, demonstrable threat to public health and safety;
 - b. Directly violate a court order or Federal, State or local law; or
 - c. Result in substantial reduction in Federal aid.
3. Updating capital spending estimates to better reflect historical spending patterns and current-year results. This will reduce the State’s borrowing needs by \$2.1 billion across the plan.

Other Matters Affecting the Financial Plan



Changes in the State's available debt capacity, as illustrated below, reflect the changes discussed above. In addition, debt capacity is impacted by personal income forecast adjustments, debt amortizations, and bond sale results. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY					
<i>(millions of dollars)</i>					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	<u>Current</u>	<u>Proposed</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Mid-Year Update to the Financial Plan	3,528	957	655	756	473
Personal Income Forecast Adjustment	31	(56)	(45)	(32)	(35)
Capital Adds	0	(912)	(2,063)	(2,911)	(3,809)
New Hard Dollar	0	400	800	1,200	1,200
Capital Efficiency Plan	0	200	400	600	800
Capital Re-Estimates / Bond Sale Adjustments	172	374	457	469	1,399
FY 2021 Executive Budget Financial Plan	3,731	963	204	82	28

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2019, approximately \$165 million of bonds were outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$129 million for debt service costs with additional \$29 million expected in February 2020. DASNY estimates the State will pay debt service costs of approximately \$32 million in FY 2021, \$27 million in FY 2022, \$21 million in both FY 2023 and FY 2024, and \$13 million in FY 2025. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$6 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) New York University (NYU) Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.



Other Matters Affecting the Financial Plan

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

Extraordinary Monetary Settlements

Beginning in FY 2015, the State began receiving Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Effective April 1, 2019, DOB no longer classifies or distinctly identifies any settlement of less than \$25 million as an Extraordinary Monetary Settlement.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	896	12,751
Aetna Insurance Company	0	0	0	0	2	0	2
Agricultural Bank of China	0	0	215	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	15
AXA Equitable Life Insurance Company	20	0	0	0	0	0	20
Bank Leumi	130	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	42
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	685
BNP Paribas	2,243	1,348	0	350	0	0	3,941
Chubb	0	0	0	0	1	0	1
Cigna	0	0	0	2	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	1
Credit Agricole	0	459	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	880
Deutsche Bank	0	800	444	0	205	0	1,449
FedEx	0	0	0	0	26	0	26
Goldman Sachs	0	50	190	0	55	0	295
Google/YouTube	0	0	0	0	0	34	34
Habib Bank	0	0	0	225	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	7
Mashreqbank	0	0	0	0	40	0	40
Mega Bank	0	0	180	0	0	0	180
MetLife Parties	50	0	0	0	20	0	70
Morgan Stanley	0	150	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	33
Nationstar Mortgage	0	0	0	0	5	0	5
New Day	0	1	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	1
PHH Mortgage	0	0	28	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	100
Société Générale SA	0	0	0	0	498	0	498
Standard Chartered Bank	300	0	0	0	40	322	662
Unicredit	0	0	0	0	0	507	507
UBS	0	0	0	0	41	0	41
Volkswagen	0	0	32	33	0	0	65
Wells Fargo	0	0	0	0	65	0	65
Western Union	0	0	0	60	0	0	60
William Penn	0	0	0	0	6	0	6
Other Settlements	7	0	(7)	0	1	0	1

The table below summarizes the past and planned uses of Extraordinary Monetary Settlements received.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	Fys							Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	
Opening Settlement Balance in General Fund	0	4,194	2,640	2,017	976	271	34	0
Receipt of Extraordinary Monetary Settlements	11,855	896	0	0	0	0	0	12,751
Use/Transfer of Funds	7,661	2,450	623	1,041	705	237	34	12,751
Capital Purposes:	4,134	1,316	623	1,041	705	237	34	8,090
Dedicated Infrastructure Investment Fund	3,373	1,391	879	926	476	231	34	7,310
Environmental Protection Fund	120	0	0	0	0	0	0	120
Mass Transit	70	5	5	2	2	1	0	85
Healthcare	25	100	80	63	52	5	0	325
Clean Water Grants	0	0	25	50	175	0	0	250
Javits Center Expansion	546	320	134	0	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	(500)	(500)	0	0	0	0	(1,000)
Other Purposes:	3,122	6	0	0	0	0	0	3,128
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	6	0	0	0	0	0	186
OASAS Chemical Dependence Program	5	0	0	0	0	0	0	5
Reservation of Funds:	405	1,128	0	0	0	0	0	1,533
Rainy Day Reserves	250	238	0	0	0	0	0	488
Reserve for Economic Uncertainties	0	890	0	0	0	0	0	890
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,640	2,017	976	271	34	0	0

State Financial Plan Multi-Year Projections

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2020 through FY 2024, with an emphasis on FY 2021 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to Capital Projects Funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the Executive Budget, FY 2022, is the most relevant from a planning perspective.

Summary

State Operating Funds spending is proposed to increase by 1.9 percent in FY 2021, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

DOB expects that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets that limit the annual growth in State Operating Funds spending to no more than 2 percent. Based on current projections, DOB estimates that limiting annual spending growth to no more than 2 percent annually would produce surpluses in future years.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements, in addition to the State Operating Funds restatement of FY 2019 results by DOB described above. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The tables are followed by a summary of multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes (After Debt Service)	72,273	77,006	79,113	82,484	86,253
Miscellaneous Receipts	2,979	2,106	1,957	1,929	1,918
Other Transfers	3,080	2,186	1,780	1,614	1,441
Total Receipts	78,332	81,298	82,850	86,027	89,612
DISBURSEMENTS					
Local Assistance	53,573	54,775	57,667	60,965	64,022
School Aid	23,556	24,400	25,626	26,852	28,101
Medicaid	16,066	16,948	18,004	18,968	19,873
All Other	13,951	13,427	14,037	15,145	16,048
State Operations	11,738	12,586	12,524	12,786	13,051
Personal Service	9,065	9,559	9,513	9,675	9,814
Non-Personal Service	2,673	3,027	3,011	3,111	3,237
General State Charges	7,626	7,910	8,654	9,183	9,627
Transfers to Other Funds	6,074	6,650	6,985	7,111	6,415
Debt Service	517	570	524	537	584
Capital Projects	3,182	3,535	3,782	3,823	2,975
SUNY Operations	1,185	1,273	1,273	1,267	1,267
All Other	1,190	1,272	1,406	1,484	1,589
Total Disbursements	79,011	81,921	85,830	90,045	93,115
Use (Reservation) of Fund Balance:	679	623	1,041	705	237
Community Projects	35	0	0	0	0
Labor Agreements	206	0	0	0	0
Business Tax Refund	202	0	0	0	0
Rainy Day Reserves	(428)	0	0	0	0
Economic Uncertainties	(890)	0	0	0	0
Extraordinary Monetary Settlements ¹	1,554	623	1,041	705	237
BUDGET SURPLUS/(GAP) PROJECTIONS²	0	0	(1,939)	(3,313)	(3,266)

¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

² Before actions to adhere to the 2 percent spending growth benchmark.

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes	80,950	86,502	89,729	93,352	97,185
Miscellaneous Receipts/Federal Grants	21,835	18,746	18,954	18,397	17,910
Total Receipts	102,785	105,248	108,683	111,749	115,095
DISBURSEMENTS					
Local Assistance	70,324	70,754	73,874	76,719	79,536
School Aid (School Year Basis)	27,724	28,550	29,735	30,969	32,226
DOH Medicaid ¹	22,039	23,291	24,279	25,334	26,318
Transportation	3,552	4,075	4,159	4,319	4,579
STAR	2,176	2,000	1,912	1,796	1,693
Higher Education	2,927	2,841	2,926	2,970	3,000
Social Services	2,823	2,766	2,868	2,981	3,018
Mental Hygiene	3,413	2,137	2,384	2,639	2,894
All Other ²	5,670	5,094	5,611	5,711	5,808
State Operations	19,711	20,030	20,096	20,402	20,622
Personal Service	14,289	14,608	14,588	14,822	14,972
Non-Personal Service	5,422	5,422	5,508	5,580	5,650
General State Charges	8,681	9,015	9,772	10,332	10,788
Pension Contribution	2,448	2,495	2,855	2,990	2,996
Health Insurance	4,308	4,513	4,839	5,183	5,551
All Other	1,925	2,007	2,078	2,159	2,241
Debt Service	5,166	6,012	7,010	7,373	7,534
Capital Projects	0	0	0	0	0
Total Disbursements	103,882	105,811	110,752	114,826	118,480
Net Other Financing Sources/(Uses)	95	(507)	(929)	(967)	(116)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	1,002	1,070	1,059	731	235
General Fund	679	623	1,041	705	237
Special Revenue Funds	349	440	22	31	16
Debt Service Funds	(26)	7	(4)	(5)	(18)
GENERAL FUND BUDGET SURPLUS/(GAP)³	0	0	(1,939)	(3,313)	(3,266)

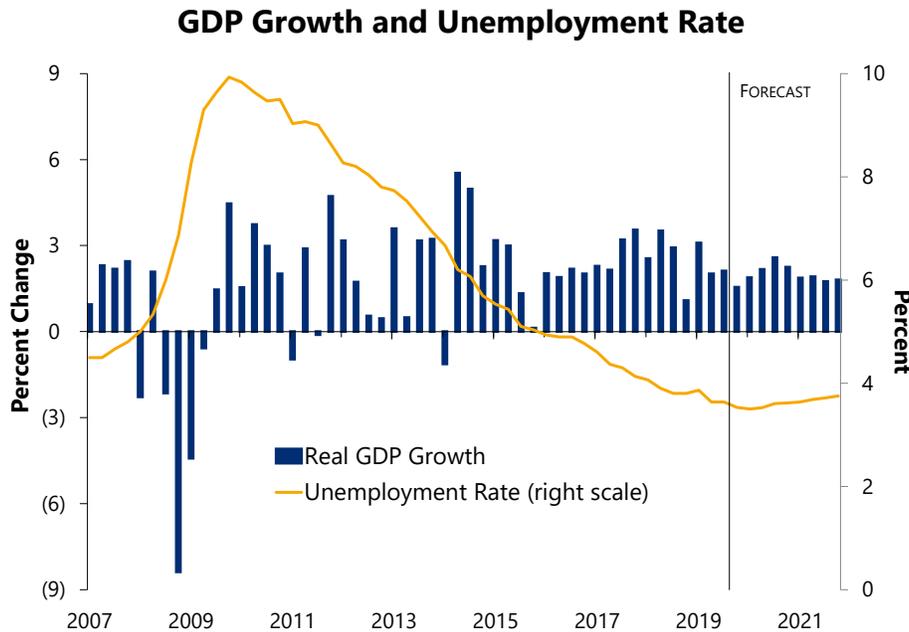
¹ Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. Beginning in FY 2021, the Financial Plan anticipates a \$150 million offset from local contributions. The value of these offsets is reported in "All Other" local assistance disbursements.

² All Other includes education, parks, environment, economic development, and public safety, as well as the MSA payment offset, local contribution offset in FY 2021, and a reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent spending growth benchmark.

Economic Outlook

December 2019 marked the 126th month of the current U.S. economic expansion, officially the longest U.S. expansion on record. In July the recovery surpassed in length the previous record-holder, which spanned the 120 months between March 1991 to March 2001, according to the National Bureau of Economic Research (NBER). Though record-breaking in duration, the current expansion, which started in June 2009 after a particularly deep recession (Great Recession, December 2007-June 2009), has been marked by slower real Gross Domestic Product (GDP) growth than previous postwar expansions. Job gains have also been slower than usual for a postwar economic expansion, even though the September, November, and December 2019 readings of the unemployment rates were the lowest since December 1969.



Source: Haver Analytics/BEA, BLS; DOB staff estimates.

The economy showed vulnerability on two separate occasions in 2019: during the first two months of the year, and in the last two months of the third quarter. In the former instance, worries about the prospects for continued expansion intensified starting in late 2018 due to slowing global growth, waning support from fiscal stimulus, heightened trade frictions with China, a partial Federal government shutdown, and fears that the Federal Reserve was raising the federal funds rate too aggressively. In addition, several economic indicators were signaling that a downturn might be on the horizon, including the December 2018 retail sales report, the first estimate of February payrolls, and a brief inversion of the yield curve. However, as the first quarter of 2019 continued, there was a resurgence of optimism triggered by the end of the government shutdown and signals that the Federal Reserve had likely put further rate hikes on hold. U.S. equity markets started bouncing back from their late-2018 tumble, and incoming data for March and April pointed to stronger economic growth.

Recession worries started to flare up again in the summer of 2019, but these dissipated considerably in the last quarter of 2019 due to easing of economic policy uncertainty and trade tensions, record-high equity market indices, the reversal of the yield curve inversion, three Federal Reserve rate cuts (July, September, and October), relatively strong economic data on the consumer and housing fronts, a very well-received November payrolls report, and the bottoming-out of the global manufacturing downturn.

DOB estimates real GDP to grow 2.3 percent in 2019 on an annual average basis, slower than the 2.9 percent pace set in 2018. Growth in 2019 has been led by consumer spending and government expenditures. GDP growth in 2019 has been constrained by declining net exports, a reduction in inventories after a surge in the first quarter, and weak residential and nonresidential fixed investment.

DOB expects real GDP growth to slow further to 2.0 percent in 2020 and remain at 2.0 percent through 2021. The deceleration in U.S. GDP growth is due to waning fiscal stimulus from TCJA, labor market capacity constraints, trade frictions, and relatively weak global growth. DOB expects real GDP growth to systematically cross below real potential GDP growth in the last half of 2021. Employment gains are expected to lose momentum over the next several years. Despite tight labor markets, muted wage pressures have kept consumer price inflation relatively subdued. The Consumer Price Index (CPI) is projected to rise 2.2 percent in 2020 and 2021 after growing 1.8 percent in 2019.

NYS private sector employment trends remain healthy. The most recent data indicate that private sector jobs grew 1.5 percent in 2018. After growth of 1.7 percent in the first quarter of 2019, the second quarter had a slightly weaker growth rate of 1.5 percent over the same quarter a year ago, for an overall 1.6 percent growth in the first half of 2019. However, preliminary data for the second half of 2019 indicate a slight slowdown, resulting in estimated growth of 1.4 percent for 2019. Slower total private employment growth of 1.2 percent is projected for 2020 as national and global economic growth taper off.

The trade tensions, geopolitical uncertainty, market volatility, and slowing of the global economy are among the factors putting downward pressure on capital markets. Initial public offerings (IPOs) and corporate debt underwriting did not perform very well in 2019. As a result, variable income in the finance and insurance sector is estimated to have declined 1.7 percent for the FY 2020 bonus season, resulting in a payout of \$44.1 billion, following a sizable decline in financial sector bonuses for FY 2019. However, DOB estimates State variable income will grow 1.1 percent in FY 2020 due to a 4.3 percent increase in bonuses paid in non-financial sectors. The bonus outlook for FY 2021 is expected to stabilize with a modest 3.3 percent growth in the finance and insurance sector, and 2.8 percent growth in all sectors. New York State wages are estimated to have risen 4.3 percent in 2019 and are projected to grow 3.6 percent in 2020.



State Financial Plan Multi-Year Projections

DOB projects total personal income growth of 3.6 percent for 2020, slightly above the 3.5 percent growth estimated for 2019. Nonwage income growth of 3.5 percent is projected for 2020, following 2.5 percent growth in 2019.

The projected weakness in bonuses in the finance and insurance sector highlights the risks posed to the State economy and to State tax revenues by this key sector. However, recent employment and wage trends highlight the State's success since the Great Recession in diversifying away from this volatile sector, as certain firms in other industry sectors, such as Google, Facebook and Amazon, either move into or expand in New York State.

Receipts

Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multiyear receipts estimates are prepared by DOB with the assistance of DTF and other agencies which collect State receipts and are predicated on economic analysis and forecasts.

Overall base growth (i.e., growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2020 are projected to total \$178.3 billion, 6 percent above FY 2019 results.

ALL FUNDS RECEIPTS (millions of dollars)											
	FY 2019 Results	FY 2020 Current	Change	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
Personal Income Tax	48,088	53,016	10.2%	56,810	7.2%	59,492	4.7%	62,059	4.3%	64,821	4.5%
Consumption/Use Taxes	17,357	18,148	4.6%	18,873	4.0%	19,448	3.0%	20,036	3.0%	20,746	3.5%
Business Taxes	7,912	8,986	13.6%	9,910	10.3%	9,759	-1.5%	10,121	3.7%	10,372	2.5%
Other Taxes	2,221	2,240	0.9%	2,339	4.4%	2,432	4.0%	2,532	4.1%	2,639	4.2%
Total State Taxes	75,578	82,390	9.0%	87,932	6.7%	91,131	3.6%	94,748	4.0%	98,578	4.0%
Miscellaneous Receipts	31,184	29,701	-4.8%	26,253	-11.6%	25,695	-2.1%	24,425	-4.9%	24,270	-0.6%
Federal Receipts	61,344	66,162	7.9%	62,187	-6.0%	65,818	5.8%	67,507	2.6%	69,123	2.4%
Total All Funds Receipts	168,106	178,253	6.0%	176,372	-1.1%	182,644	3.6%	186,680	2.2%	191,971	2.8%

State tax receipts are projected to increase 9 percent in FY 2020, driven by taxpayers responding to the Tax Cuts and Jobs Act of 2017 (TCJA) by shifting estimated PIT payments, typically made on a quarterly basis, into the extension and final payments period. Specifically, FY 2020 receipts are positively affected by an increase in extension and final payments at the expense of FY 2019 estimated payments.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)											
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024		
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	48,088	53,016	10.2%	56,810	7.2%	59,492	4.7%	62,059	4.3%	64,821	4.5%
Gross Collections	59,175	64,477	9.0%	67,552	4.8%	71,294	5.5%	74,629	4.7%	78,197	4.8%
Refunds (Incl. State/City Offset)	(11,087)	(11,461)	-3.4%	(10,742)	6.3%	(11,802)	-9.9%	(12,570)	-6.5%	(13,376)	-6.4%
GENERAL FUND¹	21,621	24,333	12.5%	26,405	8.5%	27,834	5.4%	29,234	5.0%	30,718	5.1%
Gross Collections	59,175	64,477	9.0%	67,552	4.8%	71,294	5.5%	74,629	4.7%	78,197	4.8%
Refunds (Incl. State/City Offset)	(11,087)	(11,461)	-3.4%	(10,742)	6.3%	(11,802)	-9.9%	(12,570)	-6.5%	(13,376)	-6.4%
STAR	(2,423)	(2,176)	10.2%	(2,000)	8.1%	(1,912)	4.4%	(1,796)	6.1%	(1,693)	5.7%
RBTf	(24,044)	(26,507)	-10.2%	(28,405)	-7.2%	(29,746)	-4.7%	(31,029)	-4.3%	(32,410)	-4.5%

¹Excludes Transfers.

All Funds PIT receipts for FY 2020 are estimated to increase, primarily reflecting exceptional growth in extension payments for Tax Year 2018, coupled with modest growth in withholding, partially offset by growth in total refunds.

The following table summarizes, by component, actual receipts for FY 2019 and forecast amounts through FY 2024.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS (millions of dollars)						
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Current	Proposed	Projected	Projected	Projected
Receipts						
Withholding	41,084	42,574	44,429	46,597	48,511	50,638
Estimated Payments	14,010	16,982	17,869	19,098	20,188	21,360
Current Year	10,481	10,956	11,679	12,430	13,073	13,726
Prior Year ¹	3,529	6,026	6,190	6,668	7,115	7,634
Final Returns	2,685	3,413	3,608	3,882	4,164	4,381
Current Year	344	299	316	331	346	367
Prior Year ¹	2,341	3,114	3,292	3,551	3,818	4,014
Delinquent	1,396	1,508	1,646	1,717	1,766	1,818
Gross Receipts	59,175	64,477	67,552	71,294	74,629	78,197
Refunds						
Prior Year ¹	6,034	5,959	6,342	7,100	7,554	8,053
Previous Years	589	608	638	669	700	732
Current Year ¹	2,249	2,250	1,750	1,750	1,750	1,750
Advanced Credit Payment	1,080	1,495	738	884	1,042	1,192
State/City Offset ¹	1,135	1,149	1,274	1,399	1,524	1,649
Total Refunds	11,087	11,461	10,742	11,802	12,570	13,376
Net Receipts	48,088	53,016	56,810	59,492	62,059	64,821

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

FY 2020 withholding is estimated to be higher than FY 2019 results, driven by a combination of moderate growth in non-bonus wages and weak growth in bonus wages. Extension payments related to Tax Year 2018 will increase significantly, primarily due to a behavioral response to the TCJA. Taxpayers, who otherwise would have made more substantial estimated payments in December 2018, opted to pay a greater percentage of their liabilities through extensions and final payments in April 2019. Estimated payments attributable to Tax Year 2019 are expected to increase, driven by modest growth in nonwage income. FY 2020 final return payments and delinquencies are also expected to increase.

The increase in total refunds reflects a steep increase in advanced credit payments attributable to Tax Year 2019, coupled with modest growth in both refunds related to tax years prior to 2018 and the State-City offset. These increases are partially offset by a decline in prior year refunds related to Tax Year 2018. The strong growth in advanced credit payments attributable to Tax Year 2019 reflects increases in the Property Tax Relief Credit and the Homeowners STAR Conversion Credit. The administrative January-March refund cap is expected to remain at the higher level in FY 2020, virtually unchanged from FY 2019 results. General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. The FY 2020 STAR transfer is expected to decline. PIT RBTF receipts are statutorily set to 50 percent of net PIT receipts, and FY 2020 RBTF receipts therefore reflect the increase in All Funds receipts noted above. FY 2020 General Fund PIT is expected to increase due to these changes.

All Funds FY 2021 receipts are projected to increase, reflecting growth in withholding, Tax Year 2020 current estimated payments, Tax Year 2019 extension payments, final returns, and delinquencies, coupled with a decline in total refunds. The FY 2021 STAR transfer is expected to decline, while FY 2021 RBTF is projected to increase based on the increase in FY 2021 All Funds receipts. General Fund PIT receipts for FY 2021 are expected to increase, driven by the aforementioned changes to All Funds receipts, the STAR transfer, and RBTF receipts.

All Funds PIT receipts for FY 2022 are projected to increase from FY 2021 projections. Gross PIT receipts are projected to increase as well, reflecting projected increases in withholding and total estimated payments, partially offset by a projected increase in total refunds.

General Fund PIT receipts for FY 2022 are expected to increase, reflecting an increase in All Funds PIT receipts coupled with a decrease in the STAR transfer, partially offset by an increase in RBTF receipts.

All Funds PIT receipts and General Fund PIT receipts are both expected to increase in FY 2023.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)											
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024		
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	
STATE/ALL FUNDS	17,357	18,148	4.6%	18,873	4.0%	19,448	3.0%	20,036	3.0%	20,746	3.5%
Sales Tax	15,128	16,032	6.0%	16,719	4.3%	17,285	3.4%	17,889	3.5%	18,578	3.9%
Cigarette and Tobacco Taxes	1,108	1,013	-8.6%	963	-4.9%	931	-3.3%	890	-4.4%	851	-4.4%
Vapor Excise Tax	0	10	n/a	14	40.0%	6	-57.1%	6	0.0%	6	0.0%
Motor Fuel Tax	528	523	-0.9%	524	0.2%	522	-0.4%	517	-1.0%	513	-0.8%
Highway Use Tax	145	141	-2.8%	143	1.4%	145	1.4%	146	0.7%	147	0.7%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%	278	1.1%
Opioid Excise Tax	0	50	n/a	100	100.0%	100	0.0%	100	0.0%	100	0.0%
Medical Cannabis Excise Tax	4	6	50.0%	6	0.0%	6	0.0%	6	0.0%	6	0.0%
Adult Use Cannabis Tax	0	0	0.0%	20	0.0%	63	215.0%	85	34.9%	141	65.9%
Taxicab Surcharge ¹	52	0	-100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Auto Rental Tax ¹	130	108	-16.9%	115	6.5%	118	2.6%	122	3.4%	126	3.3%
GENERAL FUND²	7,681	8,123	5.8%	8,496	4.6%	8,766	3.2%	9,042	3.1%	9,361	3.5%
Sales Tax	7,091	7,505	5.8%	7,828	4.3%	8,093	3.4%	8,376	3.5%	8,701	3.9%
Cigarette and Tobacco Taxes	328	303	-7.6%	299	-1.3%	301	0.7%	291	-3.3%	282	-3.1%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%	278	1.1%
Opioid Excise Tax	0	50	n/a	100	100.0%	100	0.0%	100	0.0%	100	0.0%

¹FY 2020 estimates and outyear projections no longer include MTA receipts as the Enacted Budget provided that they be remitted to the MTA without an appropriation.
²Excludes Transfers.

All Funds consumption/use tax receipts for FY 2020 are estimated to total \$18.1 billion, a \$792 million (4.6 percent) increase from FY 2019 results. Sales tax receipts are estimated to increase due to growth in taxable consumption (i.e., estimated sales tax base growth of 3.8 percent) and an estimated \$346 million in additional revenues from FY 2020 Enacted Budget legislation requiring marketplace providers to collect SUT on sales that they facilitate and eliminating the exemption on Energy Service Companies (ESCOs), coupled with DTF guidance associated with the U.S. Supreme Court Wayfair ruling. The partially phased-in excise taxes on the first sale of opioids within the state and on vapor products are estimated to generate \$50 million and \$10 million, respectively. Cigarette and tobacco tax collections are projected to decrease reflecting a continued decline in taxable cigarette consumption, in part due to bootlegging. Highway Use Tax collections are estimated to decrease reflecting a non-triennial renewal year. Motor Fuel Tax receipts are estimated to decrease due to a minor decline in gasoline consumption paired with an overall decline in diesel receipts (driven by the combination of moderate growth in diesel fuel consumption, an increase in estimated diesel refunds, and a decline in estimated audit collections). Due to the shifting of certain MTA receipts directly to the MTA without appropriation, taxicab surcharge receipts are projected to decrease by \$52 million (100 percent). Auto Rental Tax receipts are estimated to decrease mainly due to an accounting change that directs all MCTD supplemental receipts to the MTA. This decline is slightly offset by new revenue from extending the supplemental rate to car rentals outside the MCTD.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of these funds and the local assistance payments to NYC, or its assignee, are subsequently transferred to the General Fund.

General Fund consumption/use tax receipts for FY 2020 are estimated to increase largely due to the sales and use tax and cigarette and tobacco tax trends noted above.

All Funds consumption/use tax receipts for FY 2021 are projected to increase to \$18.9 billion, a \$725 million increase (4 percent) from FY 2020 estimates. Increases in sales tax receipts reflect projected base growth of 3.8 percent, and an additional \$148 million in projected revenue related to the full-year impact of the previously noted Enacted Budget legislation and guidance related to the U.S. Supreme Court Wayfair ruling. When fully phased-in, the excise tax on opioids is projected to generate an additional \$50 million. FY 2021 reflects the first full-year impact of the vapor products excise tax; however, due to legislation proposed in the Executive Budget to ban all flavored vapor products, other than tobacco flavored products, receipts are projected to moderately increase from FY 2020. FY 2021 also reflects \$20 million from license fees from an Executive Budget proposal to legalize adult use cannabis. These increases are partially offset by a continued decline in taxable cigarette consumption.

FY 2021 General Fund consumption/use tax receipts are projected to increase mainly due to the sales and use tax trend noted above.

FY 2022 and FY 2023 All Funds consumption/use tax receipts are projected to increase compared to the prior year, largely representing base growth in sales tax receipts and the first year of tax collections from adult-use cannabis, which are slightly offset by a continued decline in taxable cigarette consumption. Similarly, General Fund consumption/use tax receipts are projected to increase in both FY 2022 and FY 2023 primarily due to the All Funds sales and use tax trends noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)											
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	7,912	8,986	13.6%	9,910	10.3%	9,759	-1.5%	10,121	3.7%	10,372	2.5%
Corporate Franchise Tax	4,297	4,877	13.5%	5,640	15.6%	5,547	-1.6%	5,787	4.3%	5,936	2.6%
Corporation and Utilities Tax	672	686	2.1%	657	-4.2%	673	2.4%	680	1.0%	685	0.7%
Insurance Tax	1,837	2,244	22.2%	2,364	5.3%	2,433	2.9%	2,561	5.3%	2,665	4.1%
Bank Tax	(60)	1	101.7%	90	8900.0%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,166	1,178	1.0%	1,159	-1.6%	1,106	-4.6%	1,093	-1.2%	1,086	-0.6%
GENERAL FUND	5,501	6,400	16.3%	7,228	12.9%	7,110	-1.6%	7,420	4.4%	7,612	2.6%
Corporate Franchise Tax	3,410	3,906	14.5%	4,578	17.2%	4,460	-2.6%	4,651	4.3%	4,746	2.0%
Corporation and Utilities Tax	495	502	1.4%	483	-3.8%	498	3.1%	503	1.0%	508	1.0%
Insurance Tax	1,638	1,995	21.8%	2,092	4.9%	2,152	2.9%	2,266	5.3%	2,358	4.1%
Bank Tax	(42)	(3)	92.9%	75	2600.0%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%

FY 2020 All Funds business tax receipts are estimated to increase across all business taxes driven primarily by an increase in corporate franchise tax gross receipts. Corporation franchise tax receipts are estimated to increase in FY 2020, reflecting significant growth in gross receipts partially offset by a large increase in refunds. After several years of taxpayers satisfying their liability using overpayments from prior years (resulting in declines in gross receipts), FY 2020 gross receipts are estimated to exhibit the strong growth shown in FY 2019. Several refunds that were initially expected to be paid in FY 2019 were instead paid in FY 2020. The 95 percent Global Intangible Low-Taxed Income (GILTI) exemption enacted at the end of the 2019 legislative session is estimated to decrease gross receipts by \$32 million (\$27 million decrease to the General Fund, \$5 million to MTA funds.) Audit receipts are estimated to slightly increase over FY 2019 levels.

Corporation and utilities tax receipts for FY 2020 are estimated to slightly increase over the prior fiscal year largely driven by a small increase in gross and audit receipts partially offset by an increase in refunds. Gross receipts are estimated to modestly increase over the prior year as receipts from the telecommunications industry continue to decrease while receipts from the utilities industry exhibit mild growth over the prior year.

Insurance tax receipts for FY 2020 are estimated to increase significantly due to the conversion of a not-for-profit health insurer to a for-profit health insurer, and growing insurance tax premiums. FY 2019 Audit receipts were significantly lower than recent history but are expected to return to trend levels in FY 2020, while refunds are estimated to grow slightly over the previous fiscal year.

Receipts from the repealed bank tax (all from prior liability periods) in FY 2020 are estimated to increase primarily due to an estimated decrease in refunds. Petroleum Business Tax (PBT) receipts are estimated to increase from FY 2019 results primarily due to the impact of a five percent increase in the PBT rate index on January 1, 2019, paired with a two percent decline in the PBT rate index on January 1, 2020.

General Fund business tax receipts for FY 2020 are estimated to, reflecting the All Funds trends discussed above.

General Fund and All Funds business tax receipts for FY 2021 are projected to increase, reflecting growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. An increase in projected insurance and bank tax receipts is partially offset by a decline in projected corporation and utilities tax and PBT receipts.

General Fund and All Funds business tax receipts for FY 2022 are projected to decrease, primarily reflecting decreases in corporation franchise tax, bank tax and PBT receipts. This decrease is partially offset by modest growth in insurance and corporation and utilities tax receipts.

General Fund and All Funds business tax receipts for FY 2023 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices.

Other Taxes

OTHER TAXES (millions of dollars)											
	FY 2019 Results	FY 2020 Current	Change	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE/ALL FUNDS	2,221	2,240	0.9%	2,339	4.4%	2,432	4.0%	2,532	4.1%	2,639	4.2%
Estate Tax	1,068	1,094	2.4%	1,174	7.3%	1,229	4.7%	1,286	4.6%	1,347	4.7%
Real Estate Transfer Tax	1,135	1,127	-0.7%	1,144	1.5%	1,179	3.1%	1,221	3.6%	1,266	3.7%
Employer Compensation Expense Program	0	1	n/a	3	200.0%	6	100.0%	7	16.7%	8	14.3%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,086	1,112	2.4%	1,193	7.3%	1,250	4.8%	1,307	4.6%	1,369	4.7%
Estate Tax	1,068	1,094	2.4%	1,174	7.3%	1,229	4.7%	1,286	4.6%	1,347	4.7%
Employer Compensation Expense Program	0	0	n/a	1	0.0%	3	200.0%	3	0.0%	4	33.3%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2020 are estimated to increase from FY 2019 results, primarily due to an estimated increase in estate tax receipts resulting from relatively weak estimated growth in household net worth. Estate tax receipt increases are partially offset by a slight decrease in real estate transfer tax receipts mainly attributable to sluggish activity in the NYC real estate market, specifically the luxury residential market.

General Fund other tax receipts are estimated to increase, mainly due to the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to increase primarily due to an increase in estate tax receipts, reflecting projected growth in household net worth, and a small increase in real estate transfer tax receipts driven by projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to increase almost entirely due to the estate tax receipts trend noted above.

All Funds other tax receipts for FY 2022 and FY 2023 are projected to increase largely due to increases in both estate tax and real estate transfer tax receipts, reflecting projected growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts for FY 2022 and FY 2023 are projected to increase, resulting from the projected increases in estate tax receipts noted above.

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal State Compact receipts, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS (millions of dollars)											
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	31,184	29,701	-4.8%	26,253	-11.6%	25,695	-2.1%	24,425	-4.9%	24,270	-0.6%
General Fund	3,586	2,979	-16.9%	2,106	-29.3%	1,957	-7.1%	1,929	-1.4%	1,918	-0.6%
Special Revenue Funds	19,668	18,601	-5.4%	16,415	-11.8%	16,766	2.1%	16,241	-3.1%	15,768	-2.9%
Capital Projects Funds	7,497	7,717	2.9%	7,351	-4.7%	6,587	-10.4%	5,871	-10.9%	6,200	5.6%
Debt Service Funds	433	404	-6.7%	381	-5.7%	385	1.0%	384	-0.3%	384	0.0%

All Funds miscellaneous receipts are projected to total \$29.7 billion in FY 2020, a decrease of 4.8 percent from FY 2019 results. This decrease is primarily due to the loss of one-time Extraordinary Monetary Settlements in the General Fund, which totaled over \$1.1 billion in FY 2019, paired with declines in bond-financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds.

All Funds miscellaneous receipts are projected to decline annually after FY 2020, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)											
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	61,344	66,162	7.9%	62,187	-6.0%	65,818	5.8%	67,507	2.6%	69,123	2.4%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	58,920	63,859	8.4%	59,920	-6.2%	63,521	6.0%	65,213	2.7%	66,860	2.5%
Capital Projects Funds	2,350	2,229	-5.1%	2,194	-1.6%	2,225	1.4%	2,225	0.0%	2,197	-1.3%
Debt Service Funds	74	74	0.0%	73	-1.4%	72	-1.4%	69	-4.2%	66	-4.3%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Disbursements

In FY 2021, disbursements from the State's General Fund, including transfers, are expected to total \$81.9 billion, and disbursements from State Operating Funds are expected to total \$105.8 billion. School Aid, Medicaid, transportation, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$70.8 billion in FY 2021, which is approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2020 Current	Forecast			
		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH CARE					
Medicaid - Individuals Covered	6,195,058	6,192,680	6,200,222	6,207,765	6,215,308
Essential Plan - Individuals Covered	775,117	773,639	771,546	769,458	767,375
Child Health Plus - Individuals Covered	424,463	432,215	436,091	438,035	439,979
State Takeover of County/NYC Costs ¹	<u>\$4,115</u>	<u>\$4,467</u>	<u>\$4,818</u>	<u>\$5,179</u>	<u>\$5,551</u>
CY 2005 Local Medicaid Cap	\$3,015	\$3,184	\$3,353	\$3,531	\$3,720
FY 2013 Local Takeover Costs	\$1,100	\$1,283	\$1,465	\$1,648	\$1,831
EDUCATION					
School Aid (School Year Basis Funding)	\$27,724	\$28,550	\$29,735	\$30,969	\$32,226
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	557,950	557,525	557,220	557,100	557,100
Tuition Assistance Program (Recipients)	265,936	265,936	265,936	265,936	265,936
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	178,038	171,392	166,404	165,110	165,243
Safety Net Program (Families)	105,016	101,741	99,351	98,373	97,930
Safety Net Program (Singles)	191,424	196,052	201,179	206,590	212,376
MENTAL HYGIENE					
OMH Community Beds	47,040	48,321	49,038	50,069	50,569
OPWDD Community Beds	43,193	43,783	44,081	44,381	44,684
OASAS Community Beds	13,665	13,725	13,955	14,186	14,266
Total	103,898	105,829	107,074	108,636	109,519
PRISON POPULATION					
	44,500	44,000	44,000	44,000	44,000
¹ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then fully transferred to the State as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.					



State Financial Plan Multi-Year Projections

Education

School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 673 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to total \$28.5 billion in SY 2021, an annual increase of \$826 million (3.0 percent), including a \$704 million increase in Foundation Aid. Foundation Aid includes \$300 million for Community Schools, a \$50 million increase from the prior year, to facilitate the transformation of schools into community hubs.

The Financial Plan provides \$50 million for new competitive grant programs, including \$15 million to expand prekindergarten programs for three- and four-year-old students in high-need school districts; \$10 million to expand the Empire State After-School Program; and \$6 million to expand Early College High Schools. The State also continues to provide nearly \$850 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPA) program.

Since FY 2013, projections have assumed that the year-over-year growth in School Aid disbursements would not exceed the annual percent growth in NYS personal income. However, from FY 2014 to FY 2019, the State annually authorized School Aid increases above the PIGI. The FY 2020 Enacted Budget amended the School Aid growth cap to equal the ten-year average of the State PIGI, beginning in FY 2021. The use of a School Aid growth cap based on a ten-year average is expected to reduce volatility, limit the impact of the BEA’s frequent revisions to NYS personal income growth estimates, and better align Executive School Aid proposals with the State’s enacted School Aid increases. The Executive Budget recommends \$28.5 billion in funding for school year (SY) 2021, an overall School Aid increase of \$826 million (3.0 percent), which is within the statutorily imposed ten-year average PIGI (4.0 percent).

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2020	SY 2021	Change	SY 2022	Change	SY 2023	Change	SY 2024	Change
Total	27,724	28,550	826 3.0%	29,735	1,185 4.2%	30,969	1,234 4.2%	32,226	1,257 4.1%

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including revenues from VLTs. Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2020	FY 2021	FY 2022		FY 2023		FY 2024		
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	27,402	28,033	2.3%	29,245	4.3%	30,465	4.2%	31,714	4.1%
General Fund Local Assistance	23,446	24,290	3.6%	25,517	5.1%	26,742	4.8%	27,991	4.7%
Medicaid	110	110	0.0%	110	0.0%	110	0.0%	110	0.0%
Lottery Aid	2,709	2,487	-8.2%	2,487	0.0%	2,487	0.0%	2,487	0.0%
VLT Lottery Aid	975	978	0.3%	961	-1.7%	961	0.0%	961	0.0%
Commercial Gaming	162	168	3.7%	170	1.2%	165	-2.9%	165	0.0%

State fiscal year spending for School Aid is projected to total \$28 billion in FY 2021, a 2.3 percent increase over FY 2020. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as lottery, video lottery and commercial gaming revenues are expected to decrease or remain largely flat. If casino revenues do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,324	2,309	-0.6%	2,423	4.9%	2,509	3.5%	2,578	2.8%
Special Education	1,332	1,357	1.9%	1,428	5.2%	1,495	4.7%	1,564	4.6%
All Other Education	992	952	-4.0%	995	4.5%	1,014	1.9%	1,014	0.0%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2021 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected decrease in All Other Education spending in FY 2021 is primarily attributable to the discontinuation of one-time FY 2020 aid and grants. The projected increases in FYs 2022 – 2024 are largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in NYC, and payments to nonpublic schools.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens will receive a \$69,800 exemption in FY 2021.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Since the FY 2020 Enacted Budget, and moving forward, homeowners who receive a property tax exemption will not see an increase in their STAR benefit (details below).

The STAR program also includes a credit for income-eligible resident NYC taxpayers. The NYC PIT rate reduction was converted into a PIT tax credit starting with the 2017 Tax Year. As of FY 2019, NYC STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,176	2,000	-8.1%	1,912	-4.4%	1,796	-6.1%	1,693	-5.7%
Gross Program Costs	3,326	3,434	3.2%	3,511	2.2%	3,570	1.7%	3,636	1.8%
Personal Income Tax Credit	(1,150)	(1,434)	-24.7%	(1,599)	-11.5%	(1,774)	-10.9%	(1,943)	-9.5%
Basic Exemption	1,316	1,157	-12.1%	1,104	-4.6%	1,033	-6.4%	970	-6.1%
Gross Program Costs	1,716	1,747	1.8%	1,785	2.2%	1,815	1.7%	1,847	1.8%
Personal Income Tax Credit	(400)	(590)	-47.5%	(681)	-15.4%	(782)	-14.8%	(877)	-12.1%
Enhanced (Senior) Exemption	860	843	-2.0%	808	-4.2%	763	-5.6%	723	-5.2%
Gross Program Costs	930	990	6.5%	1,011	2.1%	1,022	1.1%	1,038	1.6%
Personal Income Tax Credit	(70)	(147)	-110.0%	(203)	-38.1%	(259)	-27.6%	(315)	-21.6%
New York City PIT	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	680	697	2.5%	715	2.6%	733	2.5%	751	2.5%
Personal Income Tax Credit	(680)	(697)	-2.5%	(715)	-2.6%	(733)	-2.5%	(751)	-2.5%

The Executive Budget transitions all homeowners with incomes above \$200,000 from the basic exemption benefit program to the advance credit program, which builds off the Enacted FY 2020 Budget that transitioned all homeowners with incomes above \$250,000 to the advance credit program. Furthermore, the zero percent STAR exemption benefit growth cap that was included in the Enacted FY 2020 budget remains in effect. Most of the spending decline projected in FYs 2021 through 2024 can be attributed to these actions. By shifting taxpayers to the credit program, the State can more efficiently administer the program while strengthening its ability to prevent abuse. The shift from the basic exemption to the credit program will not reduce the value of the benefit received by homeowners.

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and HESC.

HIGHER EDUCATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,927	2,841	-2.9%	2,926	3.0%	2,970	1.5%	3,000	1.0%
City University	1,537	1,559	1.4%	1,599	2.6%	1,631	2.0%	1,661	1.8%
Senior Colleges	1,287	1,316	2.3%	1,355	3.0%	1,388	2.4%	1,418	2.2%
Community College	250	243	-2.8%	244	0.4%	243	-0.4%	243	0.0%
Higher Education Services	907	823	-9.3%	866	5.2%	879	1.5%	879	0.0%
Tuition Assistance Program	733	663	-9.5%	669	0.9%	665	-0.6%	666	0.2%
Scholarships/Awards	162	148	-8.6%	185	25.0%	202	9.2%	201	-0.5%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
State University	483	459	-5.0%	461	0.4%	460	-0.2%	460	0.0%
Community College	479	455	-5.0%	457	0.4%	456	-0.2%	456	0.0%
Other/Cornell	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of nearly 400,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State provides more than \$1.0 billion annually for SUNY campus operations through a General Fund transfer and more than \$2.0 billion to fully support the fringe benefit costs of SUNY employees at state-operated campuses. The State is also projected to pay \$1.3 billion in FY 2021 for debt service on bond financed capital projects at SUNY and CUNY. In FY 2021, an estimated \$250 million in student financial aid support will be transferred from HESC to SUNY; this is the result of an accounting change, implemented in FY 2020, to reflect certain financial aid payments from HESC to SUNY as transfers instead of disbursements.

HESC is New York State’s student financial aid agency and a national leader in helping make college affordable for New York residents. HESC oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, TAP, the Aid for Part Time Study program, and 25 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 380,000 students. HESC also partners with OSC in administering the College Choice Tuition Savings program.

The Executive Budget includes funding to expand the Excelsior Scholarship by raising the income eligibility threshold from \$125,000 to \$150,000 of adjusted gross income. The increased threshold is expected to enable more than 230,000 New York residents to attend SUNY or CUNY tuition free. The Executive Budget also supports other programs to address the cost of attendance and increase accessibility. The Executive Budget funds the Open Educational Resources Initiative, which reduces or eliminates the costs of textbooks, as well as educational opportunity programs and training centers. Since FY 2012, funding for opportunity programs and training centers has increased 57 percent.

Higher education spending is projected to decrease by \$86 million, or 2.9 percent, from FY 2020 to FY 2021, and increase by \$84 million, or 3.0 percent, from FY 2021 to FY 2022. The spending decrease in FY 2021 largely reflects the full implementation of accounting changes for certain student financial aid payments from HESC to State-operated SUNY campuses, which are now reflected as transfers instead of disbursements, as well as projected enrollment declines at SUNY and CUNY community colleges. The subsequent increase in FY 2022 spending is attributable to increased support for CUNY fringe benefits and increased participation in the Excelsior Scholarship.

Health Care

DOH works with local health departments and social services departments, including those located in NYC, to coordinate and administer statewide health insurance programs and activities. Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to promote community-level collaborations and focus on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over five years. As of June 30, 2018, the DSRIP program has reduced preventable hospital admissions by 21 percent and preventable hospital readmissions by 17 percent, resulting in care improvements in other critical areas such as behavioral health. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities. The Financial Plan currently reflects nearly \$8 billion in Federal spending related to the DSRIP program through FY 2021. Pending Federal approval, the State may realize up to an additional \$8 billion in Federal funding through FY 2024. The extension seeks additional funds to aid financially distressed hospitals as they continue to make the transition to primary and ambulatory care, support workforce investments and support referral networks to address social determinants of health such as assistance with housing and food security which are critical to an individual's overall health.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed by a combination of State, Federal government, and local government resources. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

The number of State Medicaid recipients is expected to be approximately 6.2 million by the end of FY 2021. Year to year enrollment is relatively stable, however, enrollment in populations associated with higher service utilization and costs is increasing, contributing to growth in State Medicaid spending.

Factors that have and continue to place upward pressure on State-share Medicaid spending (which includes spending under and outside the Global Cap) include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and payments to financially distressed hospitals.

Financing of Medicaid Spending

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE OPERATING FUNDS	26,236	25,978	-1.0%	27,161	4.6%	28,412	4.6%	29,575	4.1%
Department of Health Medicaid	22,048	23,085	4.7%	24,077	4.3%	25,128	4.4%	26,120	3.9%
General Fund - DOH Medicaid Local	16,066	16,948	5.5%	18,004	6.2%	18,968	5.4%	19,873	4.8%
DOH Medicaid	13,217	12,224	-7.5%	12,848	5.1%	13,367	4.0%	13,904	4.0%
Non-DOH Medicaid ¹	611	2,195	259.2%	2,201	0.3%	2,201	0.0%	2,201	0.0%
Minimum Wage	1,453	1,767	21.6%	2,011	13.8%	2,273	13.0%	2,458	8.1%
Local Takeover Cost ²	1,100	1,283	16.6%	1,465	14.2%	1,648	12.5%	1,831	11.1%
MSA Payments (Share of Local Growth) ³	(315)	(371)	-17.8%	(371)	0.0%	(371)	0.0%	(371)	0.0%
Local Cap Contribution ⁴	0	(150)	0.0%	(150)	0.0%	(150)	0.0%	(150)	0.0%
General Fund - DOH Medicaid State Ops	244	237	-2.9%	243	2.5%	241	-0.8%	249	3.3%
General Fund - Essential Plan	80	78	-2.5%	76	-2.6%	74	-2.6%	74	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	80	78	-2.5%	76	-2.6%	74	-2.6%	74	0.0%
Other State Funds - DOH Medicaid Local	5,658	5,822	2.9%	5,754	-1.2%	5,845	1.6%	5,924	1.4%
HCRA Financing	3,834	3,994	4.2%	3,899	-2.4%	3,963	1.6%	4,014	1.3%
Indigent Care Support	892	892	0.0%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	930	934	0.4%	961	2.9%	988	2.8%	1,016	2.8%
Medical Indemnity Fund	2	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	4,188	2,893	-30.9%	3,084	6.6%	3,284	6.5%	3,455	5.2%
Use of MSA Payments (Share of Local Growth)³	315	371	17.8%	371	0.0%	371	0.0%	371	0.0%
Use of Local Cap Contribution⁴	0	150	0.0%	150	0.0%	150	0.0%	150	0.0%
LOCAL SHARE OF MEDICAID⁵	7,328	7,036	-4.0%	7,204	2.4%	7,212	0.1%	7,212	0.0%
FEDERAL SHARE OF MEDICAID	45,117	44,437	-1.5%	47,911	7.8%	49,449	3.2%	50,843	2.8%
DOH Medicaid	41,017	39,931	-2.6%	43,396	8.7%	44,925	3.5%	46,310	3.1%
Essential Plan	4,100	4,506	9.9%	4,515	0.2%	4,524	0.2%	4,533	0.2%
ALL FUNDING SOURCES	78,996	77,972	-1.3%	82,797	6.2%	85,594	3.4%	88,151	3.0%

¹ The DOH Medicaid budget funds a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.

² Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.

³ MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.

⁴ Beginning in FY 2021, the Financial Plan anticipates a \$150 million offset from local contributions.

⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

State share Medicaid spending also appears in the Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections as shown below.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Current	Proposed	Projected	Projected	Projected
Department of Health Medicaid	21,968	23,007	24,001	25,054	26,046
Local Assistance	22,039	23,291	24,279	25,334	26,318
State Operations	244	237	243	241	249
MSA Payments (Share of Local Growth) ²	(315)	(371)	(371)	(371)	(371)
Local Cap Contribution ³	0	(150)	(150)	(150)	(150)
Other State Agency Medicaid Spending	4,188	2,893	3,084	3,284	3,455
Mental Hygiene	4,015	2,710	2,899	3,099	3,270
Foster Care	61	71	75	75	75
Education	110	110	110	110	110
Corrections	2	2	0	0	0
Total State Share Medicaid (All Agencies)	26,156	25,900	27,085	28,338	29,501
Annual \$ Change		(256)	1,185	1,253	1,163
Annual % Change		-1.0%	4.6%	4.6%	4.1%
Essential Plan⁴	80	78	76	74	74
Local Assistance	0	0	0	0	0
State Operations	80	78	76	74	74

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

³ Beginning in FY 2021, the Financial Plan anticipates a \$150 million offset from local contributions.

⁴ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

Global Cap

A portion of DOH State Funds Medicaid spending growth is subject to the Global Cap -- the ten-year rolling average of the medical component of the Consumer Price Index (CPI). The Global Cap excludes non-indexed items including the takeover of local Medicaid growth, the multi-year takeover assumption of local Medicaid administration costs, increased FFP pursuant to the ACA (effective in January 2014), and the cost of minimum wage increases for health care providers. The Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation.

In January 2020, the Governor convened the MRT II that is charged with identifying cost-containment measures to provide approximately \$2.5 billion in gap-closing savings in FY 2021 and ensure Medicaid spending in future years adheres to the Global Cap indexed rate.

The Financial Plan assumes that the MRT II process will yield savings sufficient to limit Global Cap spending growth to the indexed rate of 3.0 percent in FY 2021 and 2.9 percent in all succeeding years.

MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Global Medicaid Cap¹	19,433	20,006	20,594	21,200	21,824
Annual \$ Change		573	588	606	624
Annual % Change		3.0%	2.9%	2.9%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI. Includes \$2.5 billion in savings expected from the MRT II process.

Minimum Wage

Medicaid spending includes the cost of increases in the minimum wage for employees in the health care sector. These costs are not subject to the Global Cap indexed spending limit. The State costs of the minimum wage increases in the health care sector are projected to grow from \$1.5 billion in FY 2020 to \$2.5 billion by FY 2024. Per statute, home health care workers in NYC and certain counties receive supplemental benefits in addition to their base wage. These benefits include paid leave, differentials, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels by \$4.09 for NYC and \$3.22 for Westchester, Nassau, and Suffolk counties. However, statute exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule.

Local Medicaid Cap

The local Medicaid Cap was designed to relieve pressure on county property taxes and the NYC budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out all growth in the local share of Medicaid costs over a three-year period. The takeover of local Medicaid costs by the State is projected to cost the State and save local districts a total of \$4.5 billion in FY 2021 including approximately \$2.3 billion for counties outside NYC and \$2.2 billion for NYC.



State Financial Plan Multi-Year Projections

LOCAL GOVERNMENT SAVINGS STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER) FY 2020 to FY 2024 (in dollars)					
County	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Albany	42,689,168	45,924,447	49,145,707	52,460,384	55,871,186
Allegany	6,772,552	7,282,837	7,790,910	8,313,717	8,851,686
Broome	45,031,526	47,571,195	50,099,859	52,701,854	55,379,307
Cattaraugus	15,132,371	16,107,474	17,078,352	18,077,385	19,105,391
Cayuga	15,561,190	16,470,059	17,374,989	18,306,163	19,264,340
Chautauqua	30,536,154	32,422,534	34,300,740	36,233,414	38,222,136
Chemung	16,488,992	17,606,113	18,718,393	19,862,930	21,040,658
Chenango	8,645,524	9,211,451	9,774,926	10,354,742	10,951,372
Clinton	13,123,058	14,054,886	14,982,677	15,937,373	16,919,755
Columbia	12,839,564	13,567,329	14,291,940	15,037,564	15,804,811
Cortland	8,805,834	9,380,674	9,953,023	10,541,971	11,147,998
Delaware	8,898,054	9,433,363	9,966,352	10,514,798	11,079,148
Dutchess	56,414,674	59,419,628	62,411,561	65,490,261	68,658,242
Erie	177,505,131	189,303,042	201,049,829	213,137,272	225,575,252
Essex	5,624,785	6,001,647	6,376,876	6,762,988	7,160,296
Franklin	8,587,732	9,155,077	9,719,964	10,301,233	10,899,359
Fulton	10,673,940	11,419,990	12,162,806	12,927,165	13,713,689
Genesee	9,025,263	9,592,429	10,157,138	10,738,223	11,336,160
Greene	9,557,304	10,145,907	10,731,959	11,335,007	11,955,543
Hamilton	687,021	727,545	767,892	809,410	852,132
Herkimer	12,250,594	13,037,477	13,820,950	14,627,145	15,456,719
Jefferson	18,285,842	19,451,308	20,611,724	21,805,792	23,034,488
Lewis	4,243,589	4,527,009	4,809,201	5,099,576	5,398,373
Livingston	9,545,038	10,117,564	10,687,610	11,274,187	11,877,774
Madison	10,611,590	11,274,217	11,933,972	12,612,860	13,311,436
Monroe	162,292,163	172,706,043	183,074,797	193,744,244	204,723,105
Montgomery	13,283,037	14,050,740	14,815,117	15,601,660	16,411,013
Nassau	236,493,602	250,812,829	265,070,006	279,740,641	294,836,725
Niagara	39,497,776	42,088,881	44,668,758	47,323,452	50,055,132
Oneida	50,086,271	53,309,028	56,517,821	59,819,668	63,217,269
Onondaga	100,968,739	107,166,225	113,336,855	119,686,433	126,220,149
Ontario	16,280,759	17,271,271	18,257,491	19,272,311	20,316,561
Orange	90,379,187	95,303,291	100,206,057	105,251,004	110,442,254
Orleans	8,078,898	8,577,544	9,074,029	9,584,912	10,110,610
Oswego	25,520,345	27,054,376	28,581,761	30,153,439	31,770,697
Otsego	8,536,571	9,117,002	9,694,918	10,289,593	10,901,514
Putnam	11,406,609	12,045,986	12,682,592	13,337,660	14,011,725
Rensselaer	24,542,662	26,323,971	28,097,561	29,922,585	31,800,535
Rockland	83,821,671	88,391,821	92,942,167	97,624,473	102,442,566
St. Lawrence	18,202,037	19,484,562	20,761,529	22,075,528	23,427,634
Saratoga	26,933,877	28,503,780	30,066,880	31,675,310	33,330,384
Schenectady	37,450,843	39,623,716	41,787,173	44,013,370	46,304,127
Schoharie	5,166,051	5,498,147	5,828,803	6,169,049	6,519,161
Schuyler	3,033,781	3,240,753	3,446,828	3,658,879	3,877,080
Seneca	5,619,596	5,972,765	6,324,404	6,686,240	7,058,570
Steuben	17,261,543	18,381,710	19,497,022	20,644,679	21,825,618
Suffolk	284,306,151	300,519,369	316,662,330	333,273,436	350,366,264
Sullivan	22,057,621	23,346,278	24,629,350	25,949,631	27,308,200
Tioga	6,304,446	6,744,480	7,182,606	7,633,439	8,097,345
Tompkins	11,104,669	11,806,747	12,505,782	13,225,089	13,965,256
Ulster	41,646,568	44,016,950	46,377,060	48,805,613	51,304,594
Warren	9,939,189	10,615,110	11,288,103	11,980,612	12,693,204
Washington	11,939,872	12,646,329	13,349,724	14,073,518	14,818,302
Wayne	18,840,889	19,842,160	20,839,092	21,864,935	22,920,527
Westchester	175,865,126	187,832,130	199,747,277	212,007,964	224,624,210
Wyoming	5,528,109	5,861,491	6,193,427	6,534,990	6,886,458
Yates	3,731,585	3,975,272	4,217,903	4,467,571	4,724,478
Rest of State	2,133,656,735	2,265,335,960	2,396,444,576	2,531,355,341	2,670,178,519
New York City	1,981,151,384	2,201,926,595	2,421,745,114	2,647,938,370	2,880,691,230
Statewide	4,114,808,119	4,467,262,556	4,818,189,690	5,179,293,711	5,550,869,749

Master Settlement Agreement and Local Contribution Offsets

In FY 2018, bonds secured by annual payments from tobacco manufacturers under the MSA were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects payments under the MSA of approximately \$315 million in FY 2020 and \$371 million thereafter. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and NYC. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but reduces reported State-supported Medicaid spending accounted for in State Operating Funds.

The Executive Budget anticipates \$150 million in annual contributions from local governments that pierce the property tax cap or exceed 3 percent growth in spending, which will offset the \$4.5 billion local share of Medicaid growth paid by the State.

The table below displays the total State spending adjusted for the MSA payments and Local Cap Contribution.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Current	Proposed	Projected	Projected	Projected
State Share Support	<u>26,551</u>	<u>26,499</u>	<u>27,682</u>	<u>28,933</u>	<u>30,096</u>
State Funds Medicaid Disbursements	26,236	25,978	27,161	28,412	29,575
MSA Payments (Local Growth)	315	371	371	371	371
Local Cap Contribution	0	150	150	150	150

Health Care Transformation Fund (HCTF)

Pursuant to Part FFF of Chapter 59 of the Laws of 2018, the Health Care Transformation Fund was created to account for receipts associated with health care asset sales and conversions. Moneys in the HCTF are to be made available for transfer to any other fund of the State, as directed by the Director of the Budget, to support health care delivery, including for capital investment, debt retirement or restructuring, housing and other social determinants of health, or transitional operating support to health care providers. Future proceeds related to asset sales and conversions may be directed to flow through the HCTF subject to regulatory approvals.

HEALTH CARE TRANSFORMATION FUND PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018 (millions of dollars)					
	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Opening Balance	525	314	0	0	0
Receipts	<u>481</u>	<u>131</u>	<u>131</u>	<u>68</u>	<u>0</u>
Fidelis Payment	400	50	50	0	0
Centene Payment	68	68	68	68	0
CVS Payment	13	13	13	0	0
Planned Uses	<u>(692)</u>	<u>(445)</u>	<u>(131)</u>	<u>(68)</u>	<u>0</u>
Housing Rental Subsidies	(441)	(296)	(118)	(68)	0
State-Only Medicaid Payments	(148)	(136)	0	0	0
Capital Projects	(103)	(13)	(13)	0	0
Closing Balance	314	0	0	0	0

Fidelis - Centene Asset Sale

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell a substantial share of its' assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law "N-PCL"), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York's health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General (OAG). The transaction included an agreement that the companies would contribute an estimated \$2 billion over five years.

Direct payments are expected to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF in July 2018. The State will receive the second round of conversion proceeds totaling \$468 million by January 2020. Future deposits into the HCTF include a total of \$131 million in FY's 2021 and 2022, as well as \$68 million in FY 2023, at which time the conversion will be complete. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

CVS – Aetna Acquisition

In November 2018, DFS approved an application by CVS Health Corp. and CVS Pharmacy Inc.'s to acquire Aetna Health Insurance Company, a New York domestic stock accident and health insurance company. The acquisition was subject to several conditions, including enhanced consumer and health insurance rate protections, privacy controls, cybersecurity compliance, and a \$40 million obligation to New York State over three years. The State is expected to receive three installments of roughly \$13 million annually beginning in FY 2020 and through FY 2022.

DOB expects to transfer HCTF funds from both transactions to the General Fund to offset State costs for health care transformation activities.

Essential Plan

The FY 2015 Enacted Budget authorized the State to participate in the EP, a health insurance program which receives Federal subsidies authorized through the ACA. The EP includes health insurance coverage for legally residing immigrants in New York not eligible for Medicaid, CHP or other employer sponsored coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 770,000 New Yorkers have enrolled since the EP launched in January 2016.

ESSENTIAL PLAN (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL ALL FUNDS SPENDING	4,180	4,584	9.7%	4,591	0.2%	4,598	0.2%	4,607	0.2%
State Operating Funds	80	78	-2.5%	76	-2.6%	74	-2.6%	74	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	80	78	-2.5%	76	-2.6%	74	-2.6%	74	0.0%
Federal Operating Funds	4,100	4,506	9.9%	4,515	0.2%	4,524	0.2%	4,533	0.2%

The increase in FY 2021 is attributable to increased Essential Plan reimbursement rates to providers, with growth tapering in the outyears. All funds average growth of 2.5 percent over the multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal support. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance share of the program to be fully federally financed. State savings associated with the EP local assistance program are managed within the total available resources of the Global Cap.

In FY 2018, the Trump Administration took executive action to withhold approximately 25 percent of Federal support for the EP, threatening low-cost health insurance coverage provided through NYSOH for income-eligible recipients. Recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. Recently, the Trump Administration has finalized changes to the Federal reimbursement formula which is expected to decrease the amount of Federal funding for the EP. Despite potential reductions to Federal support, the Executive Budget continues to reflect full support for the EP program.

Public Health/Aging Programs

Public Health includes many programs with the largest being Child Health Plus (CHP) which provides health insurance coverage for children of low-income families, up to the age of 19; General Public Health Work (GPHW) that reimburse local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) which provides prescription drug insurance to seniors; and the Early Intervention (EI) which pays for services provided to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as the EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,005	1,707	-14.9%	1,883	10.3%	1,905	1.2%	1,922	0.9%
Public Health	1,860	1,566	-15.8%	1,737	10.9%	1,753	0.9%	1,765	0.7%
Child Health Plus ¹	737	634	-14.0%	745	17.5%	762	2.3%	774	1.6%
General Public Health Work	179	121	-32.4%	86	-28.9%	86	0.0%	86	0.0%
EPIC	103	104	1.0%	103	-1.0%	103	0.0%	103	0.0%
Early Intervention	173	165	-4.6%	165	0.0%	165	0.0%	165	0.0%
HCRA Program	358	270	-24.6%	323	19.6%	323	0.0%	323	0.0%
All Other	310	272	-12.3%	315	15.8%	314	-0.3%	314	0.0%
Aging	145	141	-2.8%	146	3.5%	152	4.1%	157	3.3%

¹ Effective FY 2020, CHP includes the transfer of the Aliessa population previously funded under the Medicaid Global Cap. This change has no impact on service delivery.

In addition to ongoing program support, the Executive Budget leverages \$73 million in new Federal funding to support public health programs that improve the health of children. The Health Services Initiatives option, available under CHP, will be used to offset State costs in programs such as GPHW, Healthy Neighborhoods, Genetic Disease, Public Health Campaign STD, and SNAP. The EPIC program will be adjusted to reflect declining program utilization by \$14 million beginning in FY 2020. Additionally, the Executive Budget proposes to rebase the Excess Medical Malpractice program based on program utilization to date, generating savings of \$22 million.



State Financial Plan Multi-Year Projections

The Financial Plan includes SOFA support to address locally-identified capacity needs for services to maintain the elderly in their communities, support family and friends in their caregiving roles and delay future Medicaid costs by intervening earlier with less intensive services.

The Public Health budget reflects continued support of the CHP program and enrollment growth within, as well as the full impact of phased down Federal support currently provided under the ACA which will drive higher State costs across the multi-year Financial Plan.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance. Extensions and modifications to HCRA have provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA is authorized through FY 2020.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Current	Proposed	Projected	Projected	Projected
OPENING BALANCE	0	0	0	0	0
TOTAL RECEIPTS	6,236	6,180	6,228	6,290	6,354
Surcharges	3,819	3,823	3,896	3,972	4,049
Covered Lives Assessment	1,117	1,110	1,110	1,110	1,110
Cigarette Tax Revenue	710	664	630	599	569
Hospital Assessments	485	471	487	502	518
Excise Tax on Vapor Products	10	14	6	6	6
NYC Cigarette Tax Transfer	32	32	32	32	32
EPIC Receipts/ICR Audit Fees	63	66	67	69	70
TOTAL DISBURSEMENTS AND TRANSFERS	6,236	6,180	6,228	6,290	6,354
Medicaid Assistance Account	3,834	3,994	3,899	3,963	4,014
Medicaid Costs	3,637	3,797	3,702	3,766	3,817
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	892	892	892	892	892
HCRA Program Account	366	278	330	330	330
Child Health Plus ¹	747	649	760	777	789
Elderly Pharmaceutical Insurance Coverage	114	116	114	114	114
Qualified Health Plan Administration	48	49	48	47	47
SHIN-NY/APCD	40	40	40	40	40
All Other	195	162	145	127	128
ANNUAL OPERATING SURPLUS/(DEFICIT)	0	0	0	0	0
CLOSING BALANCE	0	0	0	0	0

¹ The fluctuation in Child Health Plus expenditures from FY 2020 to FY 2021 reflects the impact of transitioning certain funding from the Medicaid Assistance account to Child Health Plus. This transition has no impact on service delivery.



State Financial Plan Multi-Year Projections

Total HCRA receipts are expected to grow modestly over the multi-year period beginning FY 2021. Projected decreases in assessments in FY 2021 reflects the impact of updated FY 2020 assumptions based on actual collections growth to date. Projected declines in cigarette tax revenue is attributable to a declining taxable consumption base.

Effective December 1, 2019, a 20 percent excise tax on the sale of vapor products went into effect in New York. The Executive Budget proposes legislation to ban the sale of flavored vapor products. Since these flavored products make up a significant portion of the market, a ban would reduce the consumption of these products and commensurately drive down tax revenues. The Financial Plan maintains an estimated \$10 million in FY 2020 receipts due to a partial-year impact of the tax. FY 2021 receipts are projected to increase to \$14 million due to the impact of a full-year impact of tax revenue, offset in part by a partial-year impact of the legislation to ban flavored vapor products, before falling to \$6 million in the outyears as the flavor ban becomes fully effective.

Projected HCRA disbursements are commensurate with the multi-year revenue forecast. The Financial Plan reflects continued HCRA support for several programs and initiatives, and continued funding for roughly \$4 billion of annual Medicaid spending. HCRA supports the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, estimated at \$40 million annually, which improves the informational and data capabilities associated with claiming records; \$892 million for Hospital Indigent Care, which assists providers in paying for uncompensated services provided to individuals with no health insurance; and the continuation of the EPIC program, which supplements income-eligible seniors for their out of pocket Medicare Part D costs. Over the multi-year Financial Plan period, the most substantial area of spending growth is within the CHP program, largely attributable to the expiration of enhanced Federal resources provided through the ACA. Growth in FY 2022 and beyond reflects the updated CHP base and planned growth in program utilization.

HCRA is expected to remain in balance over the projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to maintain a balanced fund. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would have otherwise been paid from the General Fund.

Mental Hygiene

Mental Hygiene services are delivered by the Office for People with Developmental Disabilities (OPWDD), the Office of Mental Health (OMH), the Office of Addiction Services and Supports (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are provided for adults with mental illness; children with emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities and indirectly through community-based providers. Costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Revenues in excess of debt service commitments are used to support State Operating costs associated with Mental Hygiene service delivery.

MENTAL HYGIENE (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,413	2,137	-37.4%	2,384	11.6%	2,639	10.7%	2,894	9.7%
People with Developmental Disabilities	2,313	2,514	8.7%	2,668	6.1%	2,836	6.3%	2,997	5.7%
Residential Services	1,374	1,463	6.5%	1,553	6.2%	1,652	6.4%	1,745	5.6%
Day Programs	686	731	6.6%	776	6.2%	825	6.3%	872	5.7%
Clinic	16	17	6.3%	19	11.8%	20	5.3%	21	5.0%
All Other Services (Net of Offsets)	237	303	27.8%	320	5.6%	339	5.9%	359	5.9%
Mental Health	1,342	1,450	8.0%	1,530	5.5%	1,597	4.4%	1,673	4.8%
Adult Local Services	1,103	1,201	8.9%	1,268	5.6%	1,325	4.5%	1,391	5.0%
Children Local Services	239	249	4.2%	262	5.2%	272	3.8%	282	3.7%
Addiction Services and Supports	368	367	-0.3%	386	5.2%	406	5.2%	424	4.4%
Residential	110	108	-1.8%	108	0.0%	116	7.4%	134	15.5%
Other Treatment	165	166	0.6%	181	9.0%	190	5.0%	190	0.0%
Prevention	56	57	1.8%	59	3.5%	62	5.1%	62	0.0%
Recovery	37	36	-2.7%	38	5.6%	38	0.0%	38	0.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Total Spending Funded by DOH Medicaid Global Cap ¹	(611)	(2,195)	-259.2%	(2,201)	-0.3%	(2,201)	0.0%	(2,201)	0.0%
People with Developmental Disabilities	(611)	(1,975)	-223.2%	(1,981)	-0.3%	(1,981)	0.0%	(1,981)	0.0%
Mental Health	0	(220)	0.0%	(220)	0.0%	(220)	0.0%	(220)	0.0%
TOTAL MENTAL HYGIENE SPENDING ¹	4,024	4,332	7.7%	4,585	5.8%	4,840	5.6%	5,095	5.3%

¹ Reflects a portion of mental hygiene spending reported under the Medicaid Global Cap that has no impact on mental hygiene service delivery or operations.



State Financial Plan Multi-Year Projections

Local assistance funding for the mental hygiene agencies is expected to increase by \$308 million in FY 2021 and grow by an average rate of 6.1 percent over the Financial Plan period. The main factors driving growth are new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage and salary increases for direct care and clinical workers; and enhancements in community-based employment and residential opportunities for individuals with disabilities.

Roughly \$29 million will be used to support the incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage. Other increases include investments to leverage up to \$120 million (gross) in additional OPWDD funding, which will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. Additional OMH funding is included to support existing residential programs and the expansion of suicide prevention efforts for veterans, law enforcement, correction officers and first responders.

Spending also reflects a 4 percent total increase through FY 2021 for direct care workers and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both increases are aimed at assisting not-for-profits in the recruitment and retention of employees. When fully annualized, these investments will increase State share support for workers by \$107 million (\$188 million on an All Funds basis).

The Financial Plan reflects continued funding for OASAS prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

A portion of mental hygiene spending is reported under the Medicaid Global Cap and has no impact on mental hygiene service delivery or operations. The Global Cap is expected to fund a total of \$2.2 billion in FY 2021, an increase of \$1.6 billion from FY 2020. The large increase is due to the FY 2020 Medicaid gap closing plan.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs are Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,310	1,290	-1.5%	1,346	4.3%	1,459	8.4%	1,496	2.5%
SSI	656	657	0.2%	667	1.5%	667	0.0%	667	0.0%
Public Assistance Benefits	525	541	3.0%	541	0.0%	541	0.0%	541	0.0%
Public Assistance Initiatives	11	9	-18.2%	9	0.0%	9	0.0%	9	0.0%
Homeless Housing and Services	113	79	-30.1%	126	59.5%	239	89.7%	277	15.9%
All Other	5	4	-20.0%	3	-25.0%	3	0.0%	2	-33.3%

DOB’s caseload models project a total of 469,185 public assistance recipients in FY 2021. Approximately 171,392 families are expected to receive benefits through the Family Assistance program in FY 2020, a decrease of 3.7 percent from FY 2019. The Safety Net caseload for families is projected at 101,741 in FY 2021, a decrease of 3.1 percent from FY 2020. The caseload for single adults and childless couples supported through the Safety Net program is projected at 196,052 in FY 2020, an increase of 2.4 percent from FY 2020.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. The Executive Budget shifts the cost of Consolidated Homeless Programs to off-budget resources. The Executive Budget Financial Plan also proposes restructuring financing for the Family Assistance and Emergency Assistance for Needy Families programs, moving 5 percent of costs previously financed by Federal TANF resources to the City of New York. Spending increases in the outyears reflect a transition from State settlement funds to the General Fund for ESSHI supportive housing constructed for vulnerable homeless populations under the Governor’s Affordable Housing and Homelessness Plan. The increase incorporates costs for all agencies participating in ESSHI, and will be allocated to those agencies in a future update.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,513	1,476	-2.4%	1,522	3.1%	1,522	0.0%	1,522	0.0%
Child Welfare Service	491	476	-3.1%	476	0.0%	476	0.0%	476	0.0%
Foster Care Block Grant	384	384	0.0%	393	2.3%	393	0.0%	393	0.0%
Child Care	170	192	12.9%	209	8.9%	209	0.0%	209	0.0%
Adoption	148	147	-0.7%	148	0.7%	148	0.0%	148	0.0%
Youth Programs	105	92	-12.4%	92	0.0%	92	0.0%	92	0.0%
Medicaid	61	71	16.4%	76	7.0%	76	0.0%	76	0.0%
Adult Protective/Domestic Violence	48	51	6.3%	54	5.9%	54	0.0%	54	0.0%
Committees on Special Education	24	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
All Other	82	63	-23.2%	74	17.5%	74	0.0%	74	0.0%

FY 2021 spending is expected to decline after FY 2020 due to factors including the use of TANF resources to offset State child care costs, restructuring of the financing approach for residential school placements of children with special needs outside of NYC, and requiring the increased use of a Federal grant for child welfare services. In addition, the Executive Budget proposes reducing funding for child care union contracts, and eliminating funding for the Public/Private Partnership program.

Transportation

The Department of Transportation directly maintains and improves approximately 44,500 State highway lane miles and nearly 7,900 bridges. The Department also partially funds locally-operated transit systems, including the MTA; local government highway and bridge construction; and rail, airport, and canal programs.

In FY 2021, the State expects to provide nearly \$6.9 billion in operating aid to mass transit systems, including over \$2.8 billion from the direct remittance of certain aid to the MTA without an appropriation (not included in the table below). This direct aid is funded mainly from various dedicated taxes and fees. The MTA, the nation’s largest transit and commuter rail system, receives the majority of the mass transit aid, totaling almost \$6.2 billion in FY 2021.

The PMT was established in May 2009 to provide operating support to MTA through a tax imposed on employers within the MCTD. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides annual support to the MTA, subject to appropriation, to partially offset this revenue loss (\$244 million). In total, the MTA will receive \$1.9 billion from on-budget and off-budget PMT resources in FY 2021.

TRANSPORTATION (millions of dollars)										
	FY 2020		FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change	
STATE OPERATING FUNDS SUPPORT	3,552	4,075	14.7%	4,159	2.1%	4,319	3.8%	4,579	6.0%	
Mass Transit Operating Aid:	<u>2,512</u>	<u>2,890</u>	<u>15.0%</u>	<u>2,894</u>	<u>0.1%</u>	<u>2,978</u>	<u>2.9%</u>	<u>3,083</u>	<u>3.5%</u>	
Metro Mass Transit Aid	2,356	2,725	15.7%	2,729	0.1%	2,813	3.1%	2,918	3.7%	
Public Transit Aid	112	121	8.0%	121	0.0%	121	0.0%	121	0.0%	
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%	
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%	
Mobility Tax	245	275	12.2%	369	34.2%	448	21.4%	552	23.2%	
MTA Aid Trust	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%	
NY Central Business District Trust	0	150	0.0%	152	1.3%	153	0.7%	155	1.3%	
Dedicated Mass Transit	697	694	-0.4%	678	-2.3%	674	-0.6%	723	7.3%	
AMTAP	66	66	0.0%	66	0.0%	66	0.0%	66	0.0%	
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The FY 2020 Enacted Budget directed a substantial amount of new funding to the MTA as part of a comprehensive reform plan that is expected to generate a total of \$25 billion in financing for the MTA’s 2020-2024 Capital Plan. As part of this plan, sales tax receipts from requiring online marketplace providers to collect sales tax on all sales facilitated through their platforms, in addition to the implementation and enforcement of regulations associated with the Wayfair regulations, are projected to provide the MTA with \$150 million in dedicated revenues in FY 2021 which will be deposited into the MTA capital lockbox.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)															
	FY 2020		Change	FY 2021		Change	FY 2022		Change	FY 2023		Change	FY 2024		Change
	Current	Proposed		Projected	Projected		Projected	Projected		Projected	Projected				
TOTAL STATE OPERATING FUNDS	664	671	1.1%	704	4.9%	704	0.0%	704	0.0%	704	0.0%	704	0.0%		
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%	429	0.0%	429	0.0%		
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%	218	0.0%	218	0.0%		
Towns and Villages	9	9	0.0%	9	0.0%	9	0.0%	9	0.0%	9	0.0%	9	0.0%		
Restructuring/Efficiency	8	15	87.5%	48	220.0%	48	0.0%	48	0.0%	48	0.0%	48	0.0%		

The Executive Budget continues to support towns and villages at the same funding level as FY 2020. State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), NPS, and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches as well as salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches. GSC's also include certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of DOT and DMV (adjusted for the reclassification discussed above) are included in Capital Projects Funds and are not reflected in State Operating Funds. Agency PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2020 Current	Forecast			
		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Negotiated Base Salary Increases ¹					
NYSTPBA /NYSPIA/NYSCOPBA/GSEU	2%	2%	2%	2%	TBD
UUP	2%	2%	2%	TBD	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	TBD	TBD	TBD
Council 82/PEF/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ²	119,962	118,955	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ³	15.1%	15.2%	15.9%	16.5%	18.6%
After Amortization ⁴	18.8%	18.7%	19.1%	19.1%	20.4%
PFRS Contribution Rate					
Before Amortization ³	24.0%	24.8%	25.6%	26.1%	28.3%
After Amortization ⁴	26.8%	27.7%	28.3%	28.4%	29.9%
Employee/Retiree Health Insurance Growth Rates	2.7%	5.1%	6.9%	7.1%	7.1%
PS/Fringe as % of Receipts (All Funds Basis)	13.2%	13.7%	13.6%	13.8%	13.8%

¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

² Reflects workforce that is subject to direct Executive control.

³ Before amortization contribution rate reflects the State's normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

⁴ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$19.7 billion in FY 2020 to \$20.6 billion in FY 2024. Most Executive agencies are expected to hold spending at FY 2020 levels, with some exceptions as described below. The increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, salary increases per labor agreements, growth in SUNY operating costs, including labor costs pursuant to the settled UUP contract, and an additional administrative payroll in FY 2021.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL¹	10,661	10,704	10,740	10,848	10,907
Mental Hygiene	2,844	2,864	2,912	2,958	3,004
Corrections and Community Supervision	2,860	2,704	2,709	2,745	2,745
State Police	790	811	808	828	828
Department of Health	757	755	755	751	758
Information Technology Services	539	547	553	565	565
Tax and Finance	305	356	345	344	344
Children and Family Services	262	380	379	379	384
Transportation	342	336	341	341	341
Environmental Conservation	216	228	222	216	216
All Other	1,746	1,723	1,716	1,721	1,722
UNIVERSITY SYSTEMS	6,332	6,487	6,575	6,773	6,934
State University	6,235	6,484	6,572	6,770	6,931
City University	97	3	3	3	3
INDEPENDENT AGENCIES	341	356	348	348	348
Law	183	192	187	187	187
Audit & Control (OSC)	158	164	161	161	161
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	17,334	17,547	17,663	17,969	18,189
Judiciary	2,134	2,234	2,177	2,177	2,177
Legislature	243	249	256	256	256
Statewide Total	19,711	20,030	20,096	20,402	20,622
Personal Service	14,289	14,608	14,588	14,822	14,972
Non-Personal Service	5,422	5,422	5,508	5,580	5,650

¹ FY 2020 estimates include \$185 million in retroactive salary payments for NYSCOPBA, PBA and NYSPIA labor agreements, FY 2021 estimates include \$8 million in retroactive salary payments for PBANYS.

FY 2021 spending for agency operations includes 2 percent general salary increases associated with collective bargaining agreements with various unions. The cost of annual salary increases is expected to be absorbed by most agencies with management plan savings and efficiencies, consistent with the administration's policy to maintain flat spending on Executive agency operations. Limited exceptions include:

- **Corrections and Community Supervision.** Lower spending reflects savings from the planned reduction in excess prison capacity due to declines in prison population and retroactive salary payments made in FY 2020, partially offset by increasing costs associated with solitary confinement reforms.
- **Children and Family Services.** Increased spending is mainly driven by the annualized cost of raising the age of criminal responsibility from 16 to 18 and a reduction in the savings from a modification to the youth facility billing process implemented in FY 2020.
- **Tax and Finance.** Year-to-year spending growth in FY 2021 is driven by a drop in anticipated spending in FY 2020 related to the timing of annual cost escalations and attrition savings.
- **Mental Hygiene.** Increased spending includes the cost of continued delivery of services in State-operated program settings.
- **State University.** Higher operating costs at SUNY hospitals and campuses and an additional payroll in FY 2021 contribute to spending growth.
- **City University.** The reduction in CUNY spending reflects the reclassification of certain fees and associated spending, from a special revenue fund to an agency trust fund to align with the current accounting of CUNY tuition revenues.
- **Judiciary.** The Judiciary's request for increased operating support to fund salary increases, an additional administrative payroll, and staff increases in court operations and security drive higher spending in FY 2021.

Workforce

In FY 2021, \$14.6 billion, or 13.8 percent, of the State Operating Funds budget is dedicated to supporting roughly 96,500 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY (46,834) and Independent Agencies (18,434); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of Executive agency spending related to the workforce occurs in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2021 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,934	96,558
Mental Hygiene	2,378	32,427
Corrections and Community Supervision	2,208	25,611
State Police	750	5,666
Department of Health	290	4,052
Information Technology Services	303	3,421
Tax and Finance	282	4,085
Children and Family Services	266	2,297
Environmental Conservation	188	2,282
Transportation	163	2,591
Financial Services	160	1,391
All Other	946	12,735
UNIVERSITY SYSTEMS	4,406	46,834
State University	4,403	46,834
City University ²	3	0
INDEPENDENT AGENCIES	2,268	18,434
Law	138	1,533
Audit & Control (OSC)	131	1,524
Judiciary	1,806	15,374
Legislature ³	193	3
Statewide Total	14,608	161,826

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded through an agency trust fund that supports 13,730 FTEs, which are excluded from this table. The \$3 million in costs represents personal service expenses reflected in the CUNY Tuition Reimbursement account.

³ Legislative employees who are nonannual salaried are excluded from this table.

General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments.

GSC spending is projected to increase at an average annual rate of 5.6 percent over the multi-year Financial Plan period (\$517 million) and 3.8 percent in FY 2021 (\$334 million).

Growth in the health insurance program of \$205 million (4.8 percent) is reflective of medical inflation and current enrollment levels. Workers' compensation costs are increasing by \$68 million (13.2 percent) primarily because reserve funds are no longer available to offset growth in the average weekly wage used for benefit calculations and medical costs. Increases in other programs such as Social Security, employee benefits and dental insurance are attributable to collectively negotiated salary increases and benefit enhancements.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	8,681	9,015	3.8%	9,772	8.4%	10,332	5.7%	10,788	4.4%
Fringe Benefits	8,266	8,572	3.7%	9,304	8.5%	9,864	6.0%	10,320	4.6%
Health Insurance	4,308	4,513	4.8%	4,839	7.2%	5,183	7.1%	5,551	7.1%
Pensions	2,448	2,495	1.9%	2,855	14.4%	2,990	4.7%	2,996	0.2%
Social Security	1,097	1,134	3.4%	1,138	0.4%	1,156	1.6%	1,175	1.6%
Workers' Compensation	515	583	13.2%	638	9.4%	697	9.2%	762	9.3%
Employee Benefits	103	108	4.9%	117	8.3%	121	3.4%	121	0.0%
Dental Insurance	58	63	8.6%	65	3.2%	66	1.5%	66	0.0%
Unemployment Insurance	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(275)	(336)	-22.2%	(360)	-7.1%	(361)	-0.3%	(363)	-0.6%
Fixed Costs	415	443	6.7%	468	5.6%	468	0.0%	468	0.0%
Public Land Taxes/PILOTS	277	296	6.9%	302	2.0%	302	0.0%	302	0.0%
Litigation	138	147	6.5%	166	12.9%	166	0.0%	166	0.0%

Overall pension costs are projected to remain relatively stable based on anticipated investment returns and ongoing savings from Tier 5 and Tier 6 pension reforms. The preliminary FY 2021 pension bill includes a reduction by OSC to the expected rate of return on pension assets from 7.0 to 6.8 percent, which was estimated to increase the State's contribution by roughly \$300 million. However, the higher cost is partially offset by the implementation of a new "mortality improvement" scale, other actuarial adjustments and continuing to pay the bill early to reduce interest costs.

Non-Judiciary NYSHIP costs have increased by approximately 13 percent over the past three fiscal years -- from \$3.43 billion in FY 2017 to \$3.87 billion in FY 2019. The Executive Budget includes three proposals to help restrain this growth.

The first proposal would eliminate the taxpayer subsidy for high-income state retirees who pay Medicare Part B IRMAA. This regressive subsidy provides retirees earning over \$87,000 per year greater State taxpayer subsidies than lower income retirees. The reimbursement of these costs, which were originally intended by the Federal government as a means for wealthier retirees to pay a fairer share of Medicare costs, would no longer be provided. Eliminating this subsidy is estimated to save \$15.7 million in FY 2022 (\$3.7 million in FY 2021 due to the lag in reimbursement).

The second proposal would cap State reimbursement of the Medicare Part B standard premium. In Calendar Year (CY) 2020, New York taxpayers are reimbursing the standard premium for new and existing retirees at the amount of \$144.60 per month, consistent with CY 2020 Federal program costs. Any future increases in reimbursement above this level would be subject to the annual budget process. The cost of this reimbursement is increasing from \$215 million in CY 2019 to \$233 million in CY 2020. This proposal will save \$2.2 million in FY 2021, and \$11.8 million in FY 2022 if the subsequent budget does not authorize a funding increase.

The third proposal would create a sliding scale for retiree health insurance coverage. Currently, taxpayers support lifetime health coverage for State retirees with more than ten years of service. This proposal would create a sliding scale of subsidies that begin at ten years of service, and gradually increase until they are no different than current levels once an individual reaches 30 years of service. This would be effective for new employees who begin State service on or after October 1, 2020. Since this proposal affects future employees, savings will not occur until 2030, but a 5 percent reduction in the State's OPEB liability is anticipated over time.

The Executive Budget also proposes to establish interest rates paid on court judgements by public and private entities at a variable market-based interest rate equal to the average one-year constant maturity treasury yield. This is the same rate utilized by the Federal Court System. The current fixed rate of as much as 9 percent annually was established in 1982 when interest rates were at 12 percent. Payment of a prevailing market rate will help ensure that neither side in a lawsuit will be disadvantaged by an interest rate that is above or below what otherwise could be earned while cases are being adjudicated. This proposal will provide mandate relief for local governments and lower State taxpayer costs by \$6 million.

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Current	Proposed	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	6,074	6,650	6,985	7,111	6,415
Debt Service	517	570	524	537	584
SUNY University Operations	1,185	1,273	1,273	1,267	1,267
Capital Projects	3,182	3,535	3,782	3,823	2,975
Extraordinary Monetary Settlements:	1,316	623	1,041	705	237
Dedicated Infrastructure Investment Fund	1,391	879	926	476	231
Javits Center Expansion	320	134	0	0	0
Bond Proceeds Receipts for Javits Expansion	(500)	(500)	0	0	0
Clean Water Grants	0	25	50	175	0
Mass Transit Capital	5	5	2	2	1
Health Care	100	80	63	52	5
Dedicated Highway and Bridge Trust Fund	390	425	285	400	412
Environmental Protection Fund	28	28	28	96	96
All Other Capital	1,448	2,459	2,428	2,622	2,230
ALL OTHER TRANSFERS	1,190	1,272	1,406	1,484	1,589
Department of Transportation (MTA Payroll Tax)	244	275	369	448	552
SUNY - Medicaid Reimbursement	243	243	243	243	243
NY Central Business District Trust	113	150	152	153	155
Judiciary Funds	115	115	115	115	115
Dedicated Mass Transportation Trust Fund	65	65	65	65	65
Banking Services	49	49	49	49	49
Business Services Center	28	30	30	30	30
Indigent Legal Services	28	28	75	75	75
General Service	10	10	3	0	0
Mass Transportation Operating Assistance	21	21	21	21	21
Correctional Industries	21	21	21	21	21
Public Transportation Systems	16	16	16	16	16
Health Income Fund	16	16	16	16	16
Centralized Technology Services	11	11	11	11	11
Spinal Cord Injury Fund	9	9	9	9	9
Medical Cannabis Fund	5	7	7	7	7
All Other	196	206	204	205	204



State Financial Plan Multi-Year Projections

General Fund transfers to other funds are expected to total \$6.6 billion in FY 2021, a \$576 million increase from FY 2020. The change is mainly due to capital projects transfers that are expected to increase by \$353 million in FY 2021. The capital increase reflects the use of new hard dollar resources to fund capital projects, rather than debt.

The DHBTF receives revenue from motor vehicle fees, PBT, the motor fuel tax, HUT, the auto rental tax, utilities taxes, and miscellaneous transportation related fees. Receipts deposited into the DHBTF are used to pay debt service on transportation bonds, finance capital projects on a PAYGO basis, and pay certain operating expenses of DOT and DMV. The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2020 Current	FY 2021 Proposed	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
General Fund	517	570	10.3%	524	-8.1%	537	2.5%	584	8.8%
Other State Support	4,649	5,442	17.1%	6,486	19.2%	6,836	5.4%	6,950	1.7%
State Operating/All Funds Total	5,166	6,012	16.4%	7,010	16.6%	7,373	5.2%	7,534	2.2%

Total State Operating/All Funds debt service is projected to be \$5.2 billion in FY 2020, of which \$517 million is paid from the General Fund via transfers, and \$4.6 billion is paid from other State funds supported by dedicated tax receipts. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State’s revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment of \$1.5 billion in FY 2019 for debt service costs due in FY 2020, as well as a planned prepayment of \$450 million in FY 2020 for debt service costs due in FY 2021. See the section on “Other Matters Affecting the Financial Plan – Debt Reform Act Limit” herein for the status of State compliance with the limits on debt service established in the State Debt Reform Act.



**FY 2020 Operating Results
Through December 2019**

This section provides a summary of preliminary operating results for April 2019 through December 2019 compared to: (1) results for the prior fiscal year (April 2018 through December 2018), (2) the projections set forth in the FY 2020 Enacted Budget Financial Plan ("initial estimates") and (3) the most recent projections set forth in the FY 2020 Mid-Year Financial Plan Update ("revised estimates").

Summary of General Fund Operating Results

GENERAL FUND OPERATING RESULTS							
April 2019 through December 2019 (millions of dollars)							
	Initial	Revised Plan	Results	Variance Above/ (Below)			
				Initial		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	7,206	7,206	7,206	0	0.0%	0	0.0%
Total Receipts	56,237	56,587	57,763	1,526	2.7%	1,176	2.1%
Taxes:	52,902	52,991	54,225	1,323	2.5%	1,234	2.3%
Personal Income Tax ¹	35,855	36,119	37,170	1,315	3.7%	1,051	2.9%
Consumption / Use Taxes ¹	11,310	11,149	11,083	(227)	-2.0%	(66)	-0.6%
Business Taxes	4,137	4,173	4,410	273	6.6%	237	5.7%
Other Taxes ¹	1,600	1,550	1,562	(38)	-2.4%	12	0.8%
Receipts and Grants	2,233	2,340	2,517	284	12.7%	177	7.6%
Transfers From Other Funds	1,102	1,256	1,021	(81)	-7.4%	(235)	-18.7%
Total Spending	56,226	56,957	56,789	563	1.0%	(168)	-0.3%
Local Assistance	36,017	37,157	36,540	523	1.5%	(617)	-1.7%
Agency Operations (including GSCs)	15,076	14,981	14,770	(306)	-2.0%	(211)	-1.4%
Transfers to Other Funds	5,133	4,819	5,479	346	6.7%	660	13.7%
Debt Service Transfer	347	348	341	(6)	-1.7%	(7)	-2.0%
Capital Projects Transfer	2,907	2,518	3,196	289	9.9%	678	26.9%
SUNY Operations Transfer	1,124	1,100	1,114	(10)	-0.9%	14	1.3%
All Other Transfers	755	853	828	73	9.7%	(25)	-2.9%
Change in Operations	11	(370)	974	963	8754.5%	1,344	363.2%
CLOSING BALANCE	7,217	6,836	8,180	963	13.3%	1,344	19.7%

¹ Includes transfers from other funds after debt service.

General Fund – Results Compared to Initial Estimates

Through the first three quarters of FY 2020, General Fund receipts, including transfers from other funds, totaled \$57.8 billion, \$1.5 billion (2.7 percent) above the initial estimate of which PIT receipts were \$1.3 billion higher. The FY 2020 estimate for tax receipts has been increased by \$1.0 billion in this Financial Plan Update, based on collections to date and a review of economic data.

Since the initial estimates were prepared, the state has received \$66 million in new monetary settlements, which have been reserved for economic uncertainties.

General Fund disbursements, including transfers to other funds, totaled \$56.8 billion, \$563 million (1.0 percent) above the initial estimate. In the local assistance category, Medicaid expenditures exceeded the initial estimate by \$1.9 billion. In the Mid-Year update, the annual estimate for Medicaid was increased by \$1.8 billion, reflecting updated cost and utilization estimates and revisions to the timing of certain health care payments, before additional savings.

With the exception of Medicaid, General Fund local aid payments have uniformly fallen below initial estimates, repeating a pattern observed in prior years. Much of the variance to date is due to normal variation in the timing of payments. DOB has lowered annual estimates for several programs and activities, including higher education, social services and lower education.

Agency operations, including fringe benefits, were \$306 million below the initial estimate through December 2019. Personal Service spending was roughly \$90 million higher than initially projected due almost entirely to labor agreements reached after the Enacted Budget and the related payment of retroactive salary increases. NPS was just over \$300 million below initial estimates across many agencies due in part to normal variation in the timing of payments. Within GSC's, lower costs for Workers' Compensation, judgments against the State, and taxes on public lands contributed approximately \$80 million of favorable variance compared to the initial budget.

Transfers to other funds were \$346 million above initial estimates, primarily due to financing of capital projects. Transfers to support activities funded by Extraordinary Monetary Settlements were accelerated based on anticipated spending needs and the timing of bond proceeds used to reimburse prior advances.

The General Fund ended December 2019 with a balance of \$8.2 billion, \$963 million above the initial estimate. DOB expects a year-end balance of \$6.5 billion, consisting of \$2.5 billion in statutory reserves (inclusive of the planned year-end deposit of \$428 million to Rainy Day Reserves, fiscal conditions permitting), \$890 million reserved for economic uncertainties, \$500 million for debt management, and \$2.6 billion in the Extraordinary Monetary Settlements balance.

The table below summarizes variances from the initial and revised estimates, excluding Extraordinary Monetary Settlements.

FY 2020 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2020 ENACTED AND REVISED BUDGETS (millions of dollars)					
	Initial	Revised Plan	Results	Variance Above/(Below)	
				Initial	Revised
Opening Fund Balance (Excl. Extr. Monetary Settlements)	3,012	3,012	3,012	0	0
Total Receipts	55,408	55,692	56,868	1,460	1,176
Taxes ¹	52,902	52,991	54,225	1,323	1,234
Non-Tax Receipts/Transfers ²	2,506	2,701	2,643	137	(58)
Total Disbursements	55,432	56,044	55,734	302	(310)
Local Assistance	36,017	37,157	36,540	523	(617)
Agency Operations	15,076	14,981	14,770	(306)	(211)
Transfers to Other Funds ³	4,339	3,906	4,424	85	518
Net Change in Operations	(24)	(352)	1,134	1,158	1,486
General Fund Use of Extr. Monetary Settlements	-	-	-	-	-
Closing Fund Balance (Excl. Extr. Monetary Settlements)	2,988	2,660	4,146	1,158	1,486
Extraordinary Monetary Settlements					
Opening Balance	4,194	4,194	4,194	0	0
Settlements Received/Expected ⁴	829	895	895	66	0
Transfers/Uses	(794)	(913)	(1,055)	(261)	(142)
Closing Balance	4,229	4,176	4,034	(195)	(142)
Closing Fund Balance (Incl. Extr. Monetary Settlements)	7,217	6,836	8,180	963	1,344

¹ Includes transfers from other funds after debt service.
² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.
³ Transfers to Other Funds exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).
⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

FY 2020 Operating Results Through December 2019



All Governmental Funds -- Results Compared to Prior Year

Compared to prior year All Funds results, receipts were \$8.1 billion higher, due to increased tax collections, and Federal Grants, while disbursements increased by \$2.8 billion. Medicaid and School Aid spending represents over three-quarters of the total growth, due to deferral of a \$1.7 billion payment from March 2019 to the current year and the authorized School Aid increase. Agency operations and fringe benefits spending growth also reflects the payment of retroactive salary increases and higher SUNY spending.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
April through December (millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2019	FY 2020	\$	%
OPENING BALANCE	12,749	9,975	(2,774)	-21.8%
ALL FUNDS RECEIPTS:	121,250	129,394	8,144	6.7%
Total Taxes	53,044	59,115	6,071	11.4%
Personal Income Tax	32,530	37,317	4,787	14.7%
All Other Taxes	20,514	21,798	1,284	6.3%
Miscellaneous Receipts	21,561	20,544	(1,017)	-4.7%
Federal Grants	46,645	49,735	3,090	6.6%
Bond & Note Proceeds	0	0	0	0.0%
ALL FUNDS DISBURSEMENTS:	121,557	124,359	2,802	2.3%
STATE OPERATING FUNDS	69,317	71,654	2,337	3.4%
Local Assistance	46,553	48,513	1,960	4.2%
School Aid	16,030	16,644	614	3.8%
DOH Medicaid (incl. admin and EP)	17,418	19,338	1,920	11.0%
All Other	13,105	12,531	(574)	-4.4%
State Operations	21,012	21,629	617	2.9%
Agency Operations	14,342	14,756	414	2.9%
Executive Agencies	7,572	7,816	244	3.2%
University Systems	4,692	4,890	198	4.2%
Elected Officials	2,078	2,050	(28)	-1.3%
Fringe Benefits/Fixed Costs	6,670	6,873	203	3.0%
Pension Contribution	2,362	2,386	24	1.0%
Health Insurance	3,135	3,172	37	1.2%
Other Fringe Benefits/Fixed Costs	1,173	1,315	142	12.1%
Debt Service	1,752	1,512	(240)	-13.7%
CAPITAL PROJECTS (State and Federal Funds)	8,833	9,132	299	3.4%
FEDERAL OPERATING AID	43,407	43,573	166	0.4%
NET OTHER FINANCING SOURCES	(19)	(110)	(91)	-478.9%
CHANGE IN OPERATIONS	(326)	4,925	5,251	1610.7%
CLOSING BALANCE	12,423	14,900	2,477	19.9%

Receipts

PIT collections were \$4.8 billion (14.7 percent) higher than last year due to an increase in April 2019 extensions and final returns related to taxpayer behavior in response to the cap on SALT deductions and moderate growth in withholding, partially offset by a scheduled increase in Tax Year 2019 Property Tax Relief Credits and continued phase-in of the middle class tax cut program.

Business tax collections growth (\$838 million) is due to higher corporate franchise tax (CFT) and insurance gross receipts partially offset by higher refunds. Growth in consumption/use tax collections (\$501 million) reflects growth of the sales tax base. It also reflects additional revenues from the requirement that marketplace providers collect SUT on sales that they facilitate, the elimination of the Energy Service Companies (ESCOs) exemption, and DTF guidance associated with the U.S. Supreme Court Wayfair ruling. These increases were partially offset by the direct remittance of various supplemental fees and taxes to the MTA beginning in FY 2020.

Miscellaneous receipts declined by \$1.0 billion (4.7 percent) due to receipt of a \$1 billion payment from Fidelis Care pursuant to the sale of substantially all its assets to Centene Corporation, in July 2018 and a drop in Extraordinary Monetary Settlement receipts (\$232 million).

Federal grants were \$3.1 billion higher in FY 2020 than in FY 2019 largely due to the deferral of the final FY 2019 Medicaid cycle¹¹ as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

State Operating Funds spending totaled \$71.7 billion in April – December of FY 2020, an increase of \$2.3 billion (3.4 percent) over the same period in FY 2019.

Year-over-year spending on local assistance increased by \$2.0 billion mainly due to higher spending for Medicaid (\$1.9 billion) and School Aid (\$614 million). Higher School Aid spending includes the authorized 3.8 percent State aid increase. Medicaid spending growth includes the impact of the \$1.7 billion payment deferred from March 2019 to the current year and escalating program utilization and costs for certain populations, such as Managed Long-Term Care. Despite a stable enrollment base, health care costs continue to exceed the indexed growth rate. The cost overruns are expected to be fully offset in the current year. See “Overview of the Financial Plan — Current Year Update” and “Overview of the Financial Plan — Plan to Address the Medicaid Structural Gap.”

¹¹ A two-business day period exists between the charge to the State’s Federal Fund accounts to reflect a payment and the draw down from the Federal Treasury to reimburse the spending. Thus, the Federal share of the deferred payment from March 2019 was charged to Federal Funds spending on March 28 and reimbursed via the receipt of Federal funds on April 1 (\$1.8 billion) in accordance with Federal cash management guidelines.

The growth in Medicaid and School Aid was partly offset by spending declines in the following areas:

- Transportation (\$300 million lower) attributable to one-time payments made to the MTA in FY 2019 for the MTA Subway Action Plan (\$194 million) and a final payment of payroll mobility tax collections attributable to FY 2018 (\$135 million).
- Public Health (\$191 million lower) variances are mainly due to the timing of GPHW (\$113 million) and EI (\$94 million) payments.
- Higher Education (\$89 million lower) largely due to the timing of payments and lower utilization of student financial aid.

Executive agency operational spending growth includes costs associated with the payment of retroactive salary increases in the current year. Higher University System costs reflect spending for SUNY hospitals and personal service costs at SUNY colleges. Fringe benefits spending increased due to growing employee health insurance, pension, and social security payments.

Higher Capital Projects spending (State and Federal) is mainly due to the timing of payments for projects at DOT (\$122 million) and DOH (\$105 million).

Federal operating spending growth reflects Medicaid utilization and cost increases, higher Federal School Breakfast and Lunch Meal reimbursements; and the timing of Child Care payments that occurred sooner than expected. These increases are partly offset by lower spending on Medicaid Administration, and a timing variance related to school district claiming of Individuals with Disabilities in Education (IDEA) grants.

All Governmental Funds Results -- Results Compared to Plan

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN							
April 2019 through December 2019							
(millions of dollars)							
	Initial	Revised Plan	Results	Variance Above/ (Below)			
				Initial		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	9,975	9,975	9,975	0	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	127,970	127,809	129,394	1,424	1.1%	1,585	1.2%
Total Taxes	57,643	57,806	59,115	1,472	2.6%	1,309	2.3%
Personal Income Tax	36,015	36,312	37,317	1,302	3.6%	1,005	2.8%
Consumption / Use Tax	13,966	13,824	13,768	(198)	-1.4%	(56)	-0.4%
Business Taxes	5,941	5,994	6,344	403	6.8%	350	5.8%
Other Taxes	1,721	1,676	1,686	(35)	-2.0%	10	0.6%
Miscellaneous Receipts	21,394	21,526	20,544	(850)	-4.0%	(982)	-4.6%
Federal Grants	48,933	48,477	49,735	802	1.6%	1,258	2.6%
ALL FUNDS DISBURSEMENTS:	126,047	126,201	124,359	(1,688)	-1.3%	(1,842)	-1.5%
STATE OPERATING FUNDS	71,033	72,552	71,654	621	0.9%	(898)	-1.2%
Local Assistance	47,591	49,156	48,513	922	1.9%	(643)	-1.3%
School Aid	17,094	16,855	16,644	(450)	-2.6%	(211)	-1.3%
DOH Medicaid ¹	17,093	19,267	19,338	2,245	13.1%	71	0.4%
Transportation	3,334	3,351	3,282	(52)	-1.6%	(69)	-2.1%
STAR	69	83	41	(28)	-40.6%	(42)	-50.6%
Social Services	2,069	2,016	1,852	(217)	-10.5%	(164)	-8.1%
Higher Education	2,115	2,051	1,934	(181)	-8.6%	(117)	-5.7%
Mental Hygiene	1,457	1,474	1,442	(15)	-1.0%	(32)	-2.2%
All Other	4,360	4,059	3,980	(380)	-8.7%	(79)	-1.9%
State Operations	21,924	21,873	21,629	(295)	-1.3%	(244)	-1.1%
Agency Operations	14,902	14,937	14,756	(146)	-1.0%	(181)	-1.2%
Personal Service:	10,736	10,885	10,771	35	0.3%	(114)	-1.0%
Executive Agencies	5,820	5,979	5,935	115	2.0%	(44)	-0.7%
University Systems	3,267	3,248	3,175	(92)	-2.8%	(73)	-2.2%
Elected Officials	1,649	1,658	1,661	12	0.7%	3	0.2%
Non-Personal Service:	4,166	4,052	3,985	(181)	-4.3%	(67)	-1.7%
Executive Agencies	2,113	1,971	1,881	(232)	-11.0%	(90)	-4.6%
University Systems	1,620	1,645	1,715	95	5.9%	70	4.3%
Elected Officials	433	436	389	(44)	-10.2%	(47)	-10.8%
Fringe Benefits/Fixed Costs	7,022	6,936	6,873	(149)	-2.1%	(63)	-0.9%
Pension Contribution	2,369	2,386	2,386	17	0.7%	0	0.0%
Health Insurance	3,152	3,176	3,172	20	0.6%	-4	-0.1%
Other Fringe Benefits/Fixed Costs	1,501	1,374	1,315	(186)	-12.4%	(59)	-4.3%
Debt Service	1,518	1,523	1,512	(6)	-0.4%	(11)	-0.7%
CAPITAL PROJECTS (State and Federal Funds)	10,449	9,882	9,132	(1,317)	-12.6%	(750)	-7.6%
FEDERAL OPERATING AID	44,565	43,767	43,573	(992)	-2.2%	(194)	-0.4%
NET OTHER FINANCING SOURCES	(62)	(118)	(110)	(48)	-77.4%	8	6.8%
CHANGE IN OPERATIONS	1,861	1,490	4,925	3,064	164.6%	3,435	230.5%
CLOSING BALANCE	11,836	11,465	14,900	3,064	25.9%	3,435	30.0%

1. Includes the Essential Plan

Receipts

Through December 2019, PIT collections were higher than expected due to a combination of lower refund claims for Tax Year 2018, state/city offset, and Tax Year 2019 property tax relief credit payments. Higher business taxes reflect stronger than anticipated corporate franchise tax collections and lower refunds, partially offset by lower audits. Lower sales tax collections are mainly due to weaker than anticipated growth in the sales tax base and larger refunds.

Lower miscellaneous receipts were mainly due to the timing of bond proceed reimbursements for several projects that were driven by the closing date of the DASNY PIT revenue bonds sale which occurred in December but was not finalized until January 3, 2020.

Federal grants reflect Federal operating aid disbursements, as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

Total State Operating Funds spending exceeded initial projections (\$621 million) and fell below revised projections (\$898 million). The following discussion of the variances is focused on the comparison to the initial budget estimates.

Local assistance spending was higher than estimated (\$922 million) with the largest variances attributable to the following changes:

- Medicaid (\$2.2 billion higher) spending is largely due to escalating program utilization and costs for certain populations, such as Managed Long-Term Care. Despite a stable enrollment base, health care costs continue to exceed the indexed growth rate. In the Mid-Year update, the annual estimate for Medicaid was increased by \$1.8 billion, reflecting updated cost and utilization estimates and revisions to the timing of certain health care payments, before additional savings. The cost overruns are expected to be fully offset in the current year. See “Overview of the Financial Plan — Current Year Update” and “Overview of the Financial Plan — Plan to Address the Medicaid Structural Gap.”
- School Aid (\$450 million lower) spending was below projections for General Aid (\$209 million), Excess Cost Aid (\$179 million), Teacher Retirement System payments (\$57 million), and Employment Preparation Education grants (\$57 million). Favorable results were partially offset by higher spending on Universal Prekindergarten (\$50 million).
- Social Services (\$217 million lower) payments for Child Welfare Services (\$161 million) and Foster Care Block Grants (\$54 million) occurred slower than expected.
- Higher Education (\$181 million lower) spending was below projections, largely due to the timing of payments and lower utilization of student financial aid.
- Public Health (\$150 million lower) variances are mainly due to the timing of GPHW (\$113 million) and EI (\$94 million) payments.

State operations spending continues to fall below estimated levels mainly for NPS across multiple agencies, most notably Transportation and Medicaid Administration, partially offset by the payment of retroactive salary increases. Spending by Elected Officials includes lower than anticipated NPS spending by the Judiciary.

Lower spending on fringe benefits and indirect costs occurred for Worker's Compensation (\$82 million), Judgments Against the State (\$43 million) and Taxes on Public Lands (\$17 million).

Capital Projects spending was lower than initial projections (\$1.3 billion) in the following areas:

- Economic Development (\$429 million lower) spending was due to delayed approval of spending for the High-Tech Innovation Program and slower spending across multiple capital projects throughout the State.
- Transportation (\$349 million lower) spending reflects variances from the expected timing of transactions that are now planned to occur once at the end of the fiscal year rather than throughout the year.
- Education (\$307 million lower) variances primarily include slower spending for the Smart Schools Bond Act program (\$184 million), SUNY projects (\$66 million), and the timing of payments for safety and security grants for at-risk facilities and nonpublic and special education provider Smart Schools grants (\$52 million).

Federal operating aid spending was \$992 million (2.2 percent) lower than initial projections mainly in the areas of health care and social services.

Compared to revised estimates, spending variances were generally consistent with the initial estimates described above, except for Medicaid, capital projects and Federal operating aid which varied to a lesser degree compared to the initial plan, due to timing adjustments reflected in the Mid-Year Update.

Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of changes in the Executive Budget on New York's municipalities. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

Local Fiscal Year (LFY) 2021 Fiscal Summary

The Budget will result in a positive \$613 million for local fiscal years ending in 2021 - the first full-annual local fiscal year affected by the Budget. The fiscal summary of the impact on local governments for local fiscal year 2021 includes:

- **New York City.** The City of New York will realize a positive net impact of \$315 million in City Fiscal Year 2021 due to Executive Budget actions. The impact is primarily due to an increase in School Aid, both through \$224.4 million in aid allocated through formulas and, additionally, a portion of the \$250 million in currently unallocated additional Foundation Aid and competitive grants. Other actions in the Executive Budget increase the City's share of programs that are jointly funded by the City and State. For example, New York City's share of the cost for Family Assistance and Emergency Assistance for Families will increase from 10 percent to 15 percent, resulting in a negative \$51.4 million impact in LFY 2021.

As part of ongoing efforts to protect taxpayers across all levels of government, the Budget continues the State commitment to the takeover of local Medicaid growth, but requires compliance with the property tax cap or a demonstration of fiscal hardship. To achieve necessary savings and efficiencies, Statewide changes to the State takeover of Medicaid growth will also be applied to New York City. If the City's property tax levy stays within the allowable limit, and assuming growth in local Medicaid expenditures stays within three percent, the State will continue to absorb all of the City's local Medicaid growth.

- **Counties.** The Executive Budget is estimated to result in a negative year-to-year impact of \$22.9 million for counties outside New York City. The two most significant proposals related to this impact are a proposal to require counties to pay the full costs of certain forensic examinations (-\$10.9 million in LFY 2021) and requiring counties to direct a larger share of a Federal grant toward child welfare services (-\$10.8 million in LFY 2021).

Counties will also be asked to certify tax cap compliance or show fiscal hardship for purposes of determining continued eligibility for the full State takeover of all local Medicaid spending growth. Under this program, taxpayers are ensured that State efforts to reduce costs for counties result in lower local tax bills and not increased local spending, while also renewing the partnership between the State and counties in controlling Medicaid spending growth. Given counties' historical tax cap compliance, it is likely that the State will continue to absorb all county Medicaid growth.

- **Other Cities, Towns and Villages.** The Executive Budget impact on other cities, towns, and villages is \$7.1 million in LFY 2021. The most notable local impact for such local governments is the elimination of \$6.9 million in VLT Aid for municipalities outside the City of Yonkers.
- **School Districts.** In Local Fiscal Year 2021, the Executive Budget provides school districts outside New York City a \$351.3 million School Aid increase in aid allocated through formulas, and additionally, a portion of the \$250 million in currently unallocated additional Foundation Aid and competitive grants. The year-to-year increase is partially offset for school districts outside of New York City by the elimination of the 18.4 percent State share for Committees on Special Education (CSE) placements, which results in a \$26.4 million negative impact in the 2021 school year.

State Fiscal Year 2021 Major Local Government Program Funding Totals

The Executive Budget provides local governments with over \$44 billion in State support through major local aid programs and savings initiatives. This includes over \$18.8 billion for school districts, over \$18.4 billion for New York City, over \$5.1 billion for counties, and almost \$1 billion for other cities, towns, and villages.

Financial Plan Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from Capital Projects Funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserves - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two mental hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

DOT/ DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

MTA Fees and Taxes

The Executive Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments, estimated at roughly \$300 million annually.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget included legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.6 billion, respectively.

In FY 2020, MTA resources attributable to the PMT and supplemental taxes and fees detailed above are estimated at \$1.9 billion.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

STAR spending in FY 2021 and FY 2022 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits initially had no impact on the value of the STAR benefits received by taxpayers. However, starting with the FY 2020 Enacted Budget, Exemption homeowners' STAR benefit growth was capped at zero. The conversion to a PIT credit decreases the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$1.4 billion in FY 2021 and \$1.6 billion in FY 2022).

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in NYC, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments.

Note 6 – State Funding of SUNY Operating Support

Effective with the AY 2013 that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 – General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2020. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 10 – Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 11 – Temporary Loans Summary

The total outstanding loan balance as of March 31, 2019 was \$5.4 billion, comprising of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2018 was \$3.1 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received. The increased Federal Funds loan is attributable to the timing of the release of the final cycle payment to Medicaid Managed Care Organizations in FY 2019.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual
	2018	2019	Change
Total Loans Outstanding	3,091	5,405	2,314
State Special Revenue Funds	313	350	37
Federal Funds	1,190	3,417	2,227
Capital Funds	1,286	1,300	14
Proprietary Funds	302	338	36

Note 12 — Adherence to 2 Percent Spending Benchmark

DOB expects that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets that limit the annual growth in State Operating Funds spending to no more than 2 percent. Based on current projections, DOB estimates that limiting annual spending growth to no more than 2 percent annually would produce surpluses in future years.

Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries American Life Insurance Company (ALICO) and Delaware American Life Insurance Company (DelAm) (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- ALICO, DelAm, and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi’s (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi’s improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA’s violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML’s fraudulent conduct in connection with its electronic trading practices.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU’s wrongful actions in misleading DFS concerning BTMU’s U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU’s unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.

- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna's violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.

- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx's alleged shipment of illegal cigarettes.

- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, “Lockton”) paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.

- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank”) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter “Aetna”) paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna’s business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company’s pension risk transfer group annuity operations.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley’s creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. (“MUFG Bank”) paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and DFS, the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS’s authority to issue an order requiring MUFG Bank to continue to submit to DFS’s supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a federally-licensed branch.

- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.

- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant Office of Foreign Assets Control (OFAC) compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.

- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

Note 14 – List of Settlement Uses

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2021:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the Governor Mario M. Cuomo Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** In FY 2015, \$1.5 billion was awarded through URI to the three Upstate regions. An additional \$200 million (\$170 million from monetary settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** The Capital Plan provides \$680 million in grants to health care providers to facilitate mergers, consolidations, acquisitions, or other corporate restructuring activities. The Capital Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million).
- **Broadband Initiative (\$500 million).** The \$500 million investment in the *New NY* Broadband Fund Program is intended to expand the availability and capacity of broadband across the State, and support development of other telecommunication infrastructure.
- **Buffalo Billion Phase II (\$400 million).** The Capital Plan reflects a continued investment of \$400 million from monetary settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Capital Plan reflects the commitment of \$320 million from monetary settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **MTA Capital Plan (\$315 million).** The Capital Plan includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx. Also, an additional \$65 million was provided to the MTA's 2015-2019 Capital Program, which was paid to the MTA in FY 2018.

- **Municipal Restructuring and Downtown Revitalization (\$270 million).** The Capital Plan includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process to be administered by DOS. This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens. The second Downtown Revitalization Initiative (\$100 million) funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Clean Water Infrastructure (\$250 million).** The Capital Plan continues the commitment of \$500 million for water quality capital projects, including \$250 million funded from monetary settlements. The investment continues supporting drinking water infrastructure, wastewater infrastructure, and source water protection.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** The Capital Plan provides funding for the preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters. Additionally, funding has been used for counter-terrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges.
- **Transportation Capital Plan (\$200 million).** The Capital Plan provides funding for transportation infrastructure projects across the State.
- **Transformative Economic Development Projects (\$150 million).** The Capital Plan includes funds to promote economic development in Nassau and Suffolk counties.
- **EPF (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** The Capital Plan provides \$115 million in funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Economic Development (\$85 million).** The Capital Plan includes \$85 million in funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** The Capital Plan contains \$50 million in funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

- **ESPRI (\$25 million).** The ESPRI is intended to bring together State and local governments, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **Non-MTA Transit (\$20 million).** These settlement funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Since 2015, the receipt of \$12.8 billion in monetary settlements has increased the State's cash on hand and improved its liquidity position. A large portion of the monetary settlements (\$8.1 billion) has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to temporarily use these cash resources to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the budget assumes the use of a portion of monetary settlements for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
2. Meet initial capital funding requirements for the Javits Center expansion project. As shown in the following table, the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds in FYs 2020 and 2021.

ALLOCATION OF MONETARY SETTLEMENTS TO CAPITAL PROJECTS FUNDS (millions of dollars)										
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
Settlements Allocated to Capital Projects Funds	4,550	1,960	1,205	125	250	0	0	0	0	0
Transfers to Capital Projects Funds	(857)	(817)	(1,027)	(887)	(1,496)	(989)	(1,040)	(705)	(237)	(35)
Remaining Settlement Funds	3,693	4,836	3,714	3,588	2,460	1,651	977	272	35	0
Transfer to DIIF for Javits Center	0	0	(164)	(382)	(320)	(134)	0	0	0	0
Bond Proceed Receipts for Javits Center	0	0	0	0	500	500	0	0	0	0
Management of Debt Issuances	0	(1,300)	800	500	0	0	0	0	0	0
Adjusted Remaining Settlement Funds	3,693	3,536	4,350	3,706	2,640	2,017	977	272	35	0

Note 15 – FY 2019 State Operating Funds Restatement

The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using the restated Mid-Year estimates. The Financial Plan tables are not adjusted and use the cash-basis results as reported by the State Comptroller in the FY 2019 report on the cash-basis of accounting.

The Mid-Year disbursement estimates, restated for the deferrals, are shown in the table below.

STATE OPERATING FUNDS SPENDING FY 2019 AND FY 2020 MID-YEAR REPORTED AND RESTATED (millions of dollars)		
	FY 2019 Results	FY 2020 Estimated
FY 2020 Mid-Year Update ¹	100,137	102,153
Adjustment for Medicaid Deferrals ²	1,692	0
DOB Restatement of Mid-Year Update	101,829	102,153

¹ FY 2019: Cash-basis results reported by State Comptroller. FY 2020: DOB Mid-Year estimate reported in November 2019.
² The Executive Budget Financial Plan no longer includes new deferrals.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ASAP	Accelerated Study in Associate Program
AXA	AXA Equitable Life Insurance Company
AY	Academic Year
BANs	Bond Anticipation Notes
BEA	Bureau of Economic Analysis
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CBO	Congressional Budget Office
CES	Current Employment Statistics
CFT	Corporate Franchise Tax
CHP	Child Health Plus
CIGNA	Cigna Health and Life Insurance Company
CISO	Chief Information Security Office
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital

Glossary of Acronyms



DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EAF	Emergency Assistance to Needy Families
ECB	European Central Bank
ECEP	Employer Compensation Expense Program
EI	Early Intervention
EISEP	Expanded In-Home Services for the Elderly Program
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSA	Every Student Succeeds Act
ESSHI	Empire State Supportive Housing Initiative
FA	Family Assistance
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 through September 30)
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GASBS	Governmental Accounting Standards Board Statement
GDP	Gross Domestic Product
GILTI	Global Intangible Low-Taxed Income
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HEAP	Home Energy Assistance Program
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IDEA	Individuals with Disabilities in Education Act
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IPO	Initial Public Offering
IRMAA	Income-Related Monthly Adjustment Amount
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LFY	Local Fiscal Year
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MRT	Medicaid Redesign Team

MRT II	Medicaid Redesign Team II
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NAIRU	Non-Accelerating-Inflation Rate of Unemployment
NBER	National Bureau of Economic Research
NIPA	National Income and Product Accounts
NMS	New Medical Site
NPS	Non-Personal Service
N-PCL	Not-for Profit Corporation Law
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Addiction Services and Supports
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFAC	Office of Foreign Assets Control
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBM	Pharmacy Benefit Manager
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QCEW	Quarterly Census of Employment and Wages
QHP	Qualified Health Plan (NYSOH)
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch

Glossary of Acronyms



SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SNA	Safety Net Assistance
SOF	State Operating Funds
SOFA	State Office for the Aging
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUFPAK	Statewide Universal Full-Day Prekindergarten
SUNY	State University of New York
SY	School Year (July 1 Through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal

Financial Plan Tables



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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	26,405	27,834	29,234	30,718
Consumption/Use Taxes	8,496	8,766	9,042	9,361
Business Taxes	7,228	7,110	7,420	7,612
Other Taxes	1,193	1,250	1,307	1,369
Miscellaneous Receipts	2,106	1,957	1,929	1,918
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	26,395	26,425	27,460	28,802
ECEP in Excess of Revenue Bond Debt Service	2	4	4	4
Sales Tax in Excess of LGAC Bond Debt Service	3,662	3,909	4,088	4,291
Sales Tax in Excess of Revenue Bond Debt Service	2,651	2,801	2,866	2,985
Real Estate Taxes in Excess of CW/CA Debt Service	974	1,014	1,063	1,111
All Other	2,186	1,780	1,614	1,441
Total Receipts	<u>81,298</u>	<u>82,850</u>	<u>86,027</u>	<u>89,612</u>
Disbursements:				
Local Assistance	54,775	57,667	60,965	64,022
State Operations:				
Personal Service	9,559	9,513	9,675	9,814
Non-Personal Service	3,027	3,011	3,111	3,237
General State Charges	7,910	8,654	9,183	9,627
Transfers to Other Funds:				
Debt Service	570	524	537	584
Capital Projects	3,535	3,782	3,823	2,975
SUNY Operations	1,273	1,273	1,267	1,267
Other Purposes	1,272	1,406	1,484	1,589
Total Disbursements	<u>81,921</u>	<u>85,830</u>	<u>90,045</u>	<u>93,115</u>
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	623	1,041	705	237
Total Use (Reservation) of Fund Balance	<u>623</u>	<u>1,041</u>	<u>705</u>	<u>237</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>0</u>	<u>(1,939)</u>	<u>(3,313)</u>	<u>(3,266)</u>

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	FY 2019 Results	FY 2020 Current	Annual \$ Change	Annual % Change
Opening Fund Balance	9,445	7,206	(2,239)	-23.7%
Receipts:				
Taxes:				
Personal Income Tax	21,621	24,333	2,712	12.5%
Consumption/Use Taxes	7,681	8,123	442	5.8%
Business Taxes	5,501	6,400	899	16.3%
Other Taxes	1,086	1,112	26	2.4%
Miscellaneous Receipts	3,586	2,979	(607)	-16.9%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	21,346	24,917	3,571	16.7%
ECEP in Excess of Revenue Bond Debt Service	0	1	1	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,113	3,441	328	10.5%
Sales Tax in Excess of Revenue Bond Debt Service	2,653	2,994	341	12.9%
Real Estate Taxes in Excess of CW/CA Debt Service	956	952	(4)	-0.4%
All Other	3,001	3,080	79	2.6%
Total Receipts	70,544	78,332	7,788	11.0%
Disbursements:				
Local Assistance	49,745	53,573	3,828	7.7%
State Operations:				
Personal Service	8,719	9,065	346	4.0%
Non-Personal Service	2,622	2,673	51	1.9%
General State Charges	7,139	7,626	487	6.8%
Transfers to Other Funds:				
Debt Service	786	517	(269)	-34.2%
Capital Projects	1,888	3,182	1,294	68.5%
State Share of Mental Hygiene Medicaid	(29)	0	29	100.0%
SUNY Operations	1,020	1,185	165	16.2%
Other Purposes	893	1,190	297	33.3%
Total Disbursements	72,783	79,011	6,228	8.6%
Excess (Deficiency) of Receipts Over Disbursements	(2,239)	(679)	1,560	69.7%
Closing Fund Balance	7,206	6,527	(679)	-9.4%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	790	1,218	428	
Contingency Reserve	21	21	0	
Community Projects	35	0	(35)	
Reserved For				
Labor Agreements	206	0	(206)	
Business Tax Refund	202	0	(202)	
Debt Management	500	500	0	
Economic Uncertainties	0	890	890	
Extraordinary Monetary Settlements	4,194	2,640	(1,554)	

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	7,206	6,527	(679)	-9.4%
Receipts:				
Taxes:				
Personal Income Tax	24,333	26,405	2,072	8.5%
Consumption/Use Taxes	8,123	8,496	373	4.6%
Business Taxes	6,400	7,228	828	12.9%
Other Taxes	1,112	1,193	81	7.3%
Miscellaneous Receipts	2,979	2,106	(873)	-29.3%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	24,917	26,395	1,478	5.9%
ECEP in Excess of Revenue Bond Debt Service	1	2	1	100.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,441	3,662	221	6.4%
Sales Tax in Excess of Revenue Bond Debt Service	2,994	2,651	(343)	-11.5%
Real Estate Taxes in Excess of CW/CA Debt Service	952	974	22	2.3%
All Other	3,080	2,186	(894)	-29.0%
Total Receipts	78,332	81,298	2,966	3.8%
Disbursements:				
Local Assistance	53,573	54,775	1,202	2.2%
State Operations:				
Personal Service	9,065	9,559	494	5.4%
Non-Personal Service	2,673	3,027	354	13.2%
General State Charges	7,626	7,910	284	3.7%
Transfers to Other Funds:				
Debt Service	517	570	53	10.3%
Capital Projects	3,182	3,535	353	11.1%
SUNY Operations	1,185	1,273	88	7.4%
Other Purposes	1,190	1,272	82	6.9%
Total Disbursements	79,011	81,921	2,910	3.7%
Excess (Deficiency) of Receipts Over Disbursements	(679)	(623)	56	8.2%
Closing Fund Balance	6,527	5,904	(623)	-9.5%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	1,218	1,218	0	
Contingency Reserve	21	21	0	
Community Projects	0	0	0	
Reserved For				
Labor Agreements	0	0	0	
Business Tax Refund	0	0	0	
Debt Management	500	500	0	
Economic Uncertainties	890	890	0	
Extraordinary Monetary Settlements	2,640	2,017	(623)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Initial	Change	FY 2020 Executive
Receipts:			
Taxes:			
Personal Income Tax	23,899	434	24,333
Consumption/Use Taxes	8,209	(86)	8,123
Business Taxes	6,104	296	6,400
Other Taxes	1,113	(1)	1,112
Miscellaneous Receipts	2,857	122	2,979
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,635	282	24,917
ECEP in Excess of Revenue Bond Debt Service	1	0	1
Sales Tax in Excess of LGAC Bond Debt Service	3,481	(40)	3,441
Sales Tax in Excess of Revenue Bond Debt Service	2,945	49	2,994
Real Estate Taxes in Excess of CW/CA Debt Service	973	(21)	952
All Other	2,900	180	3,080
Total Receipts	77,117	1,215	78,332
Disbursements:			
Local Assistance	52,100	1,473	53,573
State Operations:			
Personal Service	9,031	34	9,065
Non-Personal Service	2,880	(207)	2,673
General State Charges	7,716	(90)	7,626
Transfers to Other Funds:			
Debt Service	550	(33)	517
Capital Projects	3,191	(9)	3,182
SUNY Operations	1,185	0	1,185
Other Purposes	1,204	(14)	1,190
Total Disbursements	77,857	1,154	79,011
Use (Reservation) of Fund Balance:			
Community Projects	35	0	35
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(829)	(61)	(890)
Extraordinary Monetary Settlements	1,554	0	1,554
Total Use (Reservation) of Fund Balance	740	(61)	679
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	0	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Mid-Year	Change	FY 2020 Executive
Receipts:			
Taxes:			
Personal Income Tax	23,899	434	24,333
Consumption/Use Taxes	8,209	(86)	8,123
Business Taxes	6,077	323	6,400
Other Taxes	1,113	(1)	1,112
Miscellaneous Receipts	2,904	75	2,979
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,653	264	24,917
ECEP in Excess of Revenue Bond Debt Service	1	0	1
Sales Tax in Excess of LGAC Bond Debt Service	3,481	(40)	3,441
Sales Tax in Excess of Revenue Bond Debt Service	2,945	49	2,994
Real Estate Taxes in Excess of CW/CA Debt Service	973	(21)	952
All Other	3,028	52	3,080
Total Receipts	77,283	1,049	78,332
Disbursements:			
Local Assistance	54,028	(455)	53,573
State Operations:			
Personal Service	9,075	(10)	9,065
Non-Personal Service	2,838	(165)	2,673
General State Charges	7,667	(41)	7,626
Transfers to Other Funds:			
Debt Service	546	(29)	517
Capital Projects	3,019	163	3,182
SUNY Operations	1,185	0	1,185
Other Purposes	1,186	4	1,190
Total Disbursements	79,544	(533)	79,011
Use (Reservation) of Fund Balance:			
Community Projects	35	0	35
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(890)	0	(890)
Extraordinary Monetary Settlements	1,354	200	1,554
Total Use (Reservation) of Fund Balance	479	200	679
FY 2020 Savings Plan	(1,782)	1,782	0
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	0	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Mid-Year</u>	<u>Change</u>	<u>FY 2021 Executive</u>
Receipts:			
Taxes:			
Personal Income Tax	25,615	790	26,405
Consumption/Use Taxes	8,558	(62)	8,496
Business Taxes	6,472	756	7,228
Other Taxes	1,175	18	1,193
Miscellaneous Receipts	2,041	65	2,106
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,260	1,135	26,395
ECEP in Excess of Revenue Bond Debt Service	4	(2)	2
Sales Tax in Excess of LGAC Bond Debt Service	3,694	(32)	3,662
Sales Tax in Excess of Revenue Bond Debt Service	2,751	(100)	2,651
Real Estate Taxes in Excess of CW/CA Debt Service	1,013	(39)	974
All Other	2,091	95	2,186
Total Receipts	<u>78,674</u>	<u>2,624</u>	<u>81,298</u>
Disbursements:			
Local Assistance	59,058	(4,283)	54,775
State Operations:			
Personal Service	9,568	(9)	9,559
Non-Personal Service	3,053	(26)	3,027
General State Charges	8,234	(324)	7,910
Transfers to Other Funds:			
Debt Service	689	(119)	570
Capital Projects	3,317	218	3,535
SUNY Operations	1,259	14	1,273
Other Purposes	1,282	(10)	1,272
Total Disbursements	<u>86,460</u>	<u>(4,539)</u>	<u>81,921</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	823	(200)	623
Total Use (Reservation) of Fund Balance	<u>823</u>	<u>(200)</u>	<u>623</u>
FY 2020 Savings Plan	(890)	890	0
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(6,073)</u>	<u>6,073</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2022 Mid-Year</u>	<u>Change</u>	<u>FY 2022 Executive</u>
Receipts:			
Taxes:			
Personal Income Tax	27,039	795	27,834
Consumption/Use Taxes	8,817	(51)	8,766
Business Taxes	6,550	560	7,110
Other Taxes	1,242	8	1,250
Miscellaneous Receipts	1,899	58	1,957
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,795	630	26,425
ECEP in Excess of Revenue Bond Debt Service	11	(7)	4
Sales Tax in Excess of LGAC Bond Debt Service	3,942	(33)	3,909
Sales Tax in Excess of Revenue Bond Debt Service	2,848	(47)	2,801
Real Estate Taxes in Excess of CW/CA Debt Service	1,054	(40)	1,014
All Other	1,745	35	1,780
Total Receipts	<u>80,942</u>	<u>1,908</u>	<u>82,850</u>
Disbursements:			
Local Assistance	62,167	(4,500)	57,667
State Operations:			
Personal Service	9,561	(48)	9,513
Non-Personal Service	3,090	(79)	3,011
General State Charges	8,904	(250)	8,654
Transfers to Other Funds:			
Debt Service	588	(64)	524
Capital Projects	3,373	409	3,782
SUNY Operations	1,255	18	1,273
Other Purposes	1,464	(58)	1,406
Total Disbursements	<u>90,402</u>	<u>(4,572)</u>	<u>85,830</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	1,041	0	1,041
Total Use (Reservation) of Fund Balance	<u>1,041</u>	<u>0</u>	<u>1,041</u>
FY 2020 Savings Plan	(890)	890	0
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(7,529)</u>	<u>5,590</u>	<u>(1,939)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2023 Mid-Year</u>	<u>Change</u>	<u>FY 2023 Executive</u>
Receipts:			
Taxes:			
Personal Income Tax	28,731	503	29,234
Consumption/Use Taxes	9,095	(53)	9,042
Business Taxes	6,871	549	7,420
Other Taxes	1,306	1	1,307
Miscellaneous Receipts	1,874	55	1,929
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	27,226	234	27,460
ECEP in Excess of Revenue Bond Debt Service	12	(8)	4
Sales Tax in Excess of LGAC Bond Debt Service	4,122	(34)	4,088
Sales Tax in Excess of Revenue Bond Debt Service	2,877	(11)	2,866
Real Estate Taxes in Excess of CW/CA Debt Service	1,105	(42)	1,063
All Other	1,609	5	1,614
Total Receipts	<u>84,828</u>	<u>1,199</u>	<u>86,027</u>
Disbursements:			
Local Assistance	65,681	(4,716)	60,965
State Operations:			
Personal Service	9,772	(97)	9,675
Non-Personal Service	3,188	(77)	3,111
General State Charges	9,470	(287)	9,183
Transfers to Other Funds:			
Debt Service	556	(19)	537
Capital Projects	3,435	388	3,823
SUNY Operations	1,255	12	1,267
Other Purposes	1,615	(131)	1,484
Total Disbursements	<u>94,972</u>	<u>(4,927)</u>	<u>90,045</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	705	0	705
Total Use (Reservation) of Fund Balance	<u>705</u>	<u>0</u>	<u>705</u>
FY 2020 Savings Plan	(890)	890	0
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(8,549)</u>	<u>5,236</u>	<u>(3,313)</u>

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	Proposed	Projected	Projected	Projected
Taxes:				
Withholdings	44,429	46,597	48,511	50,638
Estimated Payments	17,869	19,098	20,188	21,360
Final Payments	3,608	3,882	4,164	4,381
Other Payments	1,646	1,717	1,766	1,818
Gross Collections	67,552	71,294	74,629	78,197
State/City Offset	(1,274)	(1,399)	(1,524)	(1,649)
Refunds	(9,468)	(10,403)	(11,046)	(11,727)
Reported Tax Collections	56,810	59,492	62,059	64,821
STAR (Dedicated Deposits)	(2,000)	(1,912)	(1,796)	(1,693)
RBTF (Dedicated Transfers)	(28,405)	(29,746)	(31,029)	(32,410)
Personal Income Tax	26,405	27,834	29,234	30,718
Sales and Use Tax	15,656	16,186	16,752	17,402
Cigarette and Tobacco Taxes	299	301	291	282
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	269	272	275	278
Opioid Excise Tax	100	100	100	100
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	16,324	16,859	17,418	18,062
LGAC/STBF (Dedicated Transfers)	(7,828)	(8,093)	(8,376)	(8,701)
Consumption/Use Taxes	8,496	8,766	9,042	9,361
Corporation Franchise Tax	4,578	4,460	4,651	4,746
Corporation and Utilities Tax	483	498	503	508
Insurance Taxes	2,092	2,152	2,266	2,358
Bank Tax	75	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	7,228	7,110	7,420	7,612
Estate Tax	1,174	1,229	1,286	1,347
Real Estate Transfer Tax	1,144	1,179	1,221	1,266
Employer Compensation Expense Program	3	6	7	8
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
Gross Other Taxes	2,339	2,432	2,532	2,639
Real Estate Transfer Tax (Dedicated)	(1,144)	(1,179)	(1,221)	(1,266)
RBTF (Dedicated Transfers)	(2)	(3)	(4)	(4)
Other Taxes	1,193	1,250	1,307	1,369
Payroll Tax	0	0	0	0
Total Taxes	43,322	44,960	47,003	49,060
Licenses, Fees, Etc.	677	677	678	678
Abandoned Property	450	450	450	450
Motor Vehicle Fees	331	246	238	238
ABC License Fee	67	72	68	68
Reimbursements	124	70	70	66
Investment Income	79	44	27	20
Extraordinary Settlements	0	0	0	0
Other Transactions	378	398	398	398
Miscellaneous Receipts	2,106	1,957	1,929	1,918
Federal Receipts	0	0	0	0
Total	45,428	46,917	48,932	50,978

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2019 Results	FY 2020 Current	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	41,084	42,574	1,490	3.6%
Estimated Payments	14,010	16,982	2,972	21.2%
Final Payments	2,685	3,413	728	27.1%
Other Payments	1,396	1,508	112	8.0%
Gross Collections	59,175	64,477	5,302	9.0%
State/City Offset	(1,135)	(1,149)	(14)	-1.2%
Refunds	(9,952)	(10,312)	(360)	-3.6%
Reported Tax Collections	48,088	53,016	4,928	10.2%
STAR (Dedicated Deposits)	(2,423)	(2,176)	247	10.2%
RBTF (Dedicated Transfers)	(24,044)	(26,507)	(2,463)	-10.2%
Personal Income Tax	21,621	24,333	2,712	12.5%
Sales and Use Tax	14,165	15,010	845	6.0%
Cigarette and Tobacco Taxes	328	303	(25)	-7.6%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	262	265	3	1.1%
Opioid Excise Tax	0	50	50	0.0%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	14,755	15,628	873	5.9%
LGAC/STBF (Dedicated Transfers)	(7,074)	(7,505)	(431)	-6.1%
Consumption/Use Taxes	7,681	8,123	442	5.8%
Corporation Franchise Tax	3,410	3,906	496	14.5%
Corporation and Utilities Tax	495	502	7	1.4%
Insurance Taxes	1,638	1,995	357	21.8%
Bank Tax	(42)	(3)	39	92.9%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	5,501	6,400	899	16.3%
Estate Tax	1,068	1,094	26	2.4%
Real Estate Transfer Tax	1,135	1,127	(8)	-0.7%
Employer Compensation Expense Program	0	1	1	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,221	2,240	19	0.9%
Real Estate Transfer Tax (Dedicated)	(1,135)	(1,127)	8	0.7%
RBTF (Dedicated Transfers)	0	(1)	(1)	0.0%
Other Taxes	1,086	1,112	26	2.4%
Payroll Tax	0	0	0	0.0%
Total Taxes	35,889	39,968	4,079	11.4%
Licenses, Fees, Etc.	744	695	(49)	-6.6%
Abandoned Property	494	450	(44)	-8.9%
Motor Vehicle Fees	317	321	4	1.3%
ABC License Fee	74	73	(1)	-1.4%
Reimbursements	161	112	(49)	-30.4%
Investment Income	134	152	18	13.4%
Extraordinary Settlements	1,106	787	(319)	-28.8%
Other Transactions	556	389	(167)	-30.0%
Miscellaneous Receipts	3,586	2,979	(607)	-16.9%
Federal Receipts	0	0	0	0.0%
Total	39,475	42,947	3,472	8.8%

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	42,574	44,429	1,855	4.4%
Estimated Payments	16,982	17,869	887	5.2%
Final Payments	3,413	3,608	195	5.7%
Other Payments	1,508	1,646	138	9.2%
Gross Collections	64,477	67,552	3,075	4.8%
State/City Offset	(1,149)	(1,274)	(125)	-10.9%
Refunds	(10,312)	(9,468)	844	8.2%
Reported Tax Collections	53,016	56,810	3,794	7.2%
STAR (Dedicated Deposits)	(2,176)	(2,000)	176	8.1%
RBTF (Dedicated Transfers)	(26,507)	(28,405)	(1,898)	-7.2%
Personal Income Tax	24,333	26,405	2,072	8.5%
Sales and Use Tax	15,010	15,656	646	4.3%
Cigarette and Tobacco Taxes	303	299	(4)	-1.3%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	265	269	4	1.5%
Opioid Excise Tax	50	100	50	100.0%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	15,628	16,324	696	4.5%
LGAC/STBF (Dedicated Transfers)	(7,505)	(7,828)	(323)	-4.3%
Consumption/Use Taxes	8,123	8,496	373	4.6%
Corporation Franchise Tax	3,906	4,578	672	17.2%
Corporation and Utilities Tax	502	483	(19)	-3.8%
Insurance Taxes	1,995	2,092	97	4.9%
Bank Tax	(3)	75	78	2600.0%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	6,400	7,228	828	12.9%
Estate Tax	1,094	1,174	80	7.3%
Real Estate Transfer Tax	1,127	1,144	17	1.5%
Employer Compensation Expense Program	1	3	2	200.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,240	2,339	99	4.4%
Real Estate Transfer Tax (Dedicated)	(1,127)	(1,144)	(17)	-1.5%
RBTF (Dedicated Transfers)	(1)	(2)	(1)	-100.0%
Other Taxes	1,112	1,193	81	7.3%
Payroll Tax	0	0	0	0.0%
Total Taxes	39,968	43,322	3,354	8.4%
Licenses, Fees, Etc.	695	677	(18)	-2.6%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	321	331	10	3.1%
ABC License Fee	73	67	(6)	-8.2%
Reimbursements	112	124	12	10.7%
Investment Income	152	79	(73)	-48.0%
Extraordinary Settlements	787	0	(787)	-100.0%
Other Transactions	389	378	(11)	-2.8%
Miscellaneous Receipts	2,979	2,106	(873)	-29.3%
Federal Receipts	0	0	0	0.0%
Total	42,947	45,428	2,481	5.8%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,009</u>	<u>153</u>	<u>13,607</u>
Receipts:				
Taxes	35,889	6,121	32,134	74,144
Miscellaneous Receipts	3,586	19,466	433	23,485
Federal Receipts	0	(1)	74	73
Total Receipts	<u>39,475</u>	<u>25,586</u>	<u>32,641</u>	<u>97,702</u>
Disbursements:				
Local Assistance	49,745	16,432	0	66,177
State Operations:				
Personal Service	8,719	4,968	0	13,687
Non-Personal Service	2,622	2,710	38	5,370
General State Charges	7,139	1,065	0	8,204
Debt Service	0	0	6,699	6,699
Capital Projects	0	0	0	0
Total Disbursements	<u>68,225</u>	<u>25,175</u>	<u>6,737</u>	<u>100,137</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	31,069	1,906	3,537	36,512
Transfers to Other Funds	(4,558)	(1,235)	(29,529)	(35,322)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>26,511</u>	<u>671</u>	<u>(25,992)</u>	<u>1,190</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,239)</u>	<u>1,082</u>	<u>(88)</u>	<u>(1,245)</u>
Closing Fund Balance	<u><u>7,206</u></u>	<u><u>5,091</u></u>	<u><u>65</u></u>	<u><u>12,362</u></u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>65</u>	<u>12,362</u>
Receipts:				
Taxes	39,968	5,961	35,021	80,950
Miscellaneous Receipts	2,979	18,377	404	21,760
Federal Receipts	0	1	74	75
Total Receipts	<u>42,947</u>	<u>24,339</u>	<u>35,499</u>	<u>102,785</u>
Disbursements:				
Local Assistance	53,573	16,751	0	70,324
State Operations:				
Personal Service	9,065	5,224	0	14,289
Non-Personal Service	2,673	2,711	38	5,422
General State Charges	7,626	1,055	0	8,681
Debt Service	0	0	5,166	5,166
Capital Projects	0	0	0	0
Total Disbursements	<u>72,937</u>	<u>25,741</u>	<u>5,204</u>	<u>103,882</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	35,385	2,375	3,639	41,399
Transfers to Other Funds	(6,074)	(1,322)	(33,908)	(41,304)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,311</u>	<u>1,053</u>	<u>(30,269)</u>	<u>95</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(679)</u>	<u>(349)</u>	<u>26</u>	<u>(1,002)</u>
Closing Fund Balance	<u>6,527</u>	<u>4,742</u>	<u>91</u>	<u>11,360</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	6,527	4,742	91	11,360
Receipts:				
Taxes	43,322	5,920	37,260	86,502
Miscellaneous Receipts	2,106	16,185	381	18,672
Federal Receipts	0	1	73	74
Total Receipts	45,428	22,106	37,714	105,248
Disbursements:				
Local Assistance	54,775	15,979	0	70,754
State Operations:				
Personal Service	9,559	5,049	0	14,608
Non-Personal Service	3,027	2,351	44	5,422
General State Charges	7,910	1,105	0	9,015
Debt Service	0	0	6,012	6,012
Capital Projects	0	0	0	0
Total Disbursements	75,271	24,484	6,056	105,811
Other Financing Sources (Uses):				
Transfers from Other Funds	35,870	2,534	3,444	41,848
Transfers to Other Funds	(6,650)	(596)	(35,109)	(42,355)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	29,220	1,938	(31,665)	(507)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(623)	(440)	(7)	(1,070)
Closing Fund Balance	5,904	4,302	84	10,290

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	44,960	5,867	38,902	89,729
Miscellaneous Receipts	1,957	16,539	385	18,881
Federal Receipts	0	1	72	73
Total Receipts	46,917	22,407	39,359	108,683
Disbursements:				
Local Assistance	57,667	16,207	0	73,874
State Operations:				
Personal Service	9,513	5,075	0	14,588
Non-Personal Service	3,011	2,452	45	5,508
General State Charges	8,654	1,118	0	9,772
Debt Service	0	0	7,010	7,010
Capital Projects	0	0	0	0
Total Disbursements	78,845	24,852	7,055	110,752
Other Financing Sources (Uses):				
Transfers from Other Funds	35,933	2,665	3,182	41,780
Transfers to Other Funds	(6,985)	(242)	(35,482)	(42,709)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	28,948	2,423	(32,300)	(929)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,041	0	0	1,041
Total Use (Reservation) of Fund Balance	1,041	0	0	1,041
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(1,939)	(22)	4	(1,957)

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	47,003	5,838	40,511	93,352
Miscellaneous Receipts	1,929	16,014	384	18,327
Federal Receipts	0	1	69	70
Total Receipts	48,932	21,853	40,964	111,749
Disbursements:				
Local Assistance	60,965	15,754	0	76,719
State Operations:				
Personal Service	9,675	5,147	0	14,822
Non-Personal Service	3,111	2,424	45	5,580
General State Charges	9,183	1,149	0	10,332
Debt Service	0	0	7,373	7,373
Capital Projects	0	0	0	0
Total Disbursements	82,934	24,474	7,418	114,826
Other Financing Sources (Uses):				
Transfers from Other Funds	37,095	2,740	3,171	43,006
Transfers to Other Funds	(7,111)	(150)	(36,712)	(43,973)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	29,984	2,590	(33,541)	(967)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	705	0	0	705
Total Use (Reservation) of Fund Balance	705	0	0	705
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(3,313)	(31)	5	(3,339)

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2024
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	49,060	5,863	42,262	97,185
Miscellaneous Receipts	1,918	15,541	384	17,843
Federal Receipts	0	1	66	67
Total Receipts	50,978	21,405	42,712	115,095
Disbursements:				
Local Assistance	64,022	15,514	0	79,536
State Operations:				
Personal Service	9,814	5,158	0	14,972
Non-Personal Service	3,237	2,368	45	5,650
General State Charges	9,627	1,161	0	10,788
Debt Service	0	0	7,534	7,534
Capital Projects	0	0	0	0
Total Disbursements	86,700	24,201	7,579	118,480
Other Financing Sources (Uses):				
Transfers from Other Funds	38,634	2,853	3,211	44,698
Transfers to Other Funds	(6,415)	(73)	(38,326)	(44,814)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	32,219	2,780	(35,115)	(116)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	237	0	0	237
Total Use (Reservation) of Fund Balance	237	0	0	237
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(3,266)	(16)	18	(3,264)

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)**

	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>12,362</u>	<u>11,360</u>	<u>(1,002)</u>	<u>-8.1%</u>
Receipts:				
Taxes	80,950	86,502	5,552	6.9%
Miscellaneous Receipts	21,760	18,672	(3,088)	-14.2%
Federal Receipts	75	74	(1)	-1.3%
Total Receipts	<u>102,785</u>	<u>105,248</u>	<u>2,463</u>	<u>2.4%</u>
Disbursements:				
Local Assistance	70,324	70,754	430	0.6%
State Operations:				
Personal Service	14,289	14,608	319	2.2%
Non-Personal Service	5,422	5,422	0	0.0%
General State Charges	8,681	9,015	334	3.8%
Debt Service	5,166	6,012	846	16.4%
Capital Projects	0	0	0	0.0%
Total Disbursements	<u>103,882</u>	<u>105,811</u>	<u>1,929</u>	<u>1.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	41,399	41,848	449	1.1%
Transfers to Other Funds	(41,304)	(42,355)	(1,051)	-2.5%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	<u>95</u>	<u>(507)</u>	<u>(602)</u>	<u>-633.7%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,002)</u>	<u>(1,070)</u>	<u>(68)</u>	<u>-6.8%</u>
Closing Fund Balance	<u>11,360</u>	<u>10,290</u>	<u>(1,070)</u>	<u>-9.4%</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	9,445	4,302	(1,151)	153	12,749
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,668	7,497	433	31,184
Federal Receipts	0	58,920	2,350	74	61,344
Total Receipts	39,475	84,709	11,281	32,641	168,106
Disbursements:					
Local Assistance	49,745	72,453	5,234	0	127,432
State Operations:					
Personal Service	8,719	5,605	0	0	14,324
Non-Personal Service	2,622	4,104	0	38	6,764
General State Charges	7,139	1,485	0	0	8,624
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	7,032	0	7,032
Total Disbursements	68,225	83,647	12,266	6,737	170,875
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,219	3,537	38,731
Transfers to Other Funds	(4,558)	(3,428)	(1,354)	(29,529)	(38,869)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	26,511	(1,522)	998	(25,992)	(5)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	(460)	13	(88)	(2,774)
Closing Fund Balance	7,206	3,842	(1,138)	65	9,975

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,206	3,842	(1,138)	65	9,975
Receipts:					
Taxes	39,968	5,961	1,440	35,021	82,390
Miscellaneous Receipts	2,979	18,601	7,717	404	29,701
Federal Receipts	0	63,859	2,229	74	66,162
Total Receipts	42,947	88,421	11,386	35,499	178,253
Disbursements:					
Local Assistance	53,573	72,947	4,921	0	131,441
State Operations:					
Personal Service	9,065	5,860	0	0	14,925
Non-Personal Service	2,673	4,152	0	38	6,863
General State Charges	7,626	1,381	0	0	9,007
Debt Service	0	0	0	5,166	5,166
Capital Projects	0	0	8,507	0	8,507
Total Disbursements	72,937	84,340	13,428	5,204	175,909
Other Financing Sources (Uses):					
Transfers from Other Funds	35,385	2,387	3,524	3,639	44,935
Transfers to Other Funds	(6,074)	(3,546)	(1,552)	(33,908)	(45,080)
Bond and Note Proceeds	0	0	389	0	389
Net Other Financing Sources (Uses)	29,311	(1,159)	2,361	(30,269)	244
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(679)	2,922	319	26	2,588
Closing Fund Balance	6,527	6,764	(819)	91	12,563

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	6,527	6,764	(819)	91	12,563
Receipts:					
Taxes	43,322	5,920	1,430	37,260	87,932
Miscellaneous Receipts	2,106	16,415	7,351	381	26,253
Federal Receipts	0	59,920	2,194	73	62,187
Total Receipts	45,428	82,255	10,975	37,714	176,372
Disbursements:					
Local Assistance	54,775	71,334	5,441	0	131,550
State Operations:					
Personal Service	9,559	5,710	0	0	15,269
Non-Personal Service	3,027	3,739	0	44	6,810
General State Charges	7,910	1,453	0	0	9,363
Debt Service	0	0	0	6,012	6,012
Capital Projects	0	0	9,025	0	9,025
Total Disbursements	75,271	82,236	14,466	6,056	178,029
Other Financing Sources (Uses):					
Transfers from Other Funds	35,870	2,546	3,906	3,444	45,766
Transfers to Other Funds	(6,650)	(2,650)	(1,522)	(35,109)	(45,931)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	29,220	(104)	3,234	(31,665)	685
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(623)	(85)	(257)	(7)	(972)
Closing Fund Balance	5,904	6,679	(1,076)	84	11,591

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	44,960	5,867	1,402	38,902	91,131
Miscellaneous Receipts	1,957	16,766	6,587	385	25,695
Federal Receipts	0	63,521	2,225	72	65,818
Total Receipts	46,917	86,154	10,214	39,359	182,644
Disbursements:					
Local Assistance	57,667	74,957	4,855	0	137,479
State Operations:					
Personal Service	9,513	5,739	0	0	15,252
Non-Personal Service	3,011	3,856	0	45	6,912
General State Charges	8,654	1,489	0	0	10,143
Debt Service	0	0	0	7,010	7,010
Capital Projects	0	0	8,623	0	8,623
Total Disbursements	78,845	86,041	13,478	7,055	185,419
Other Financing Sources (Uses):					
Transfers from Other Funds	35,933	2,677	4,161	3,182	45,953
Transfers to Other Funds	(6,985)	(2,224)	(1,424)	(35,482)	(46,115)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	28,948	453	3,225	(32,300)	326
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,041	0	0	0	1,041
Total Use (Reservation) of Fund Balance	1,041	0	0	0	1,041
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(1,939)	566	(39)	4	(1,408)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	47,003	5,838	1,396	40,511	94,748
Miscellaneous Receipts	1,929	16,241	5,871	384	24,425
Federal Receipts	0	65,213	2,225	69	67,507
Total Receipts	48,932	87,292	9,492	40,964	186,680
Disbursements:					
Local Assistance	60,965	76,058	4,535	0	141,558
State Operations:					
Personal Service	9,675	5,814	0	0	15,489
Non-Personal Service	3,111	3,829	0	45	6,985
General State Charges	9,183	1,520	0	0	10,703
Debt Service	0	0	0	7,373	7,373
Capital Projects	0	0	8,085	0	8,085
Total Disbursements	82,934	87,221	12,620	7,418	190,193
Other Financing Sources (Uses):					
Transfers from Other Funds	37,095	2,752	4,212	3,171	47,230
Transfers to Other Funds	(7,111)	(2,022)	(1,545)	(36,712)	(47,390)
Bond and Note Proceeds	0	0	462	0	462
Net Other Financing Sources (Uses)	29,984	730	3,129	(33,541)	302
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	705	0	0	0	705
Total Use (Reservation) of Fund Balance	705	0	0	0	705
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(3,313)	801	1	5	(2,506)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	49,060	5,863	1,393	42,262	98,578
Miscellaneous Receipts	1,918	15,768	6,200	384	24,270
Federal Receipts	0	66,860	2,197	66	69,123
Total Receipts	50,978	88,491	9,790	42,712	191,971
Disbursements:					
Local Assistance	64,022	77,216	4,239	0	145,477
State Operations:					
Personal Service	9,814	5,827	0	0	15,641
Non-Personal Service	3,237	3,780	0	45	7,062
General State Charges	9,627	1,533	0	0	11,160
Debt Service	0	0	0	7,534	7,534
Capital Projects	0	0	7,721	0	7,721
Total Disbursements	86,700	88,356	11,960	7,579	194,595
Other Financing Sources (Uses):					
Transfers from Other Funds	38,634	2,865	3,352	3,211	48,062
Transfers to Other Funds	(6,415)	(1,926)	(1,554)	(38,326)	(48,221)
Bond and Note Proceeds	0	0	362	0	362
Net Other Financing Sources (Uses)	32,219	939	2,160	(35,115)	203
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	237	0	0	0	237
Total Use (Reservation) of Fund Balance	237	0	0	0	237
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(3,266)	1,074	(10)	18	(2,184)

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	9,975	12,563	2,588	25.9%
Receipts:				
Taxes	82,390	87,932	5,542	6.7%
Miscellaneous Receipts	29,701	26,253	(3,448)	-11.6%
Federal Receipts	66,162	62,187	(3,975)	-6.0%
Total Receipts	178,253	176,372	(1,881)	-1.1%
Disbursements:				
Local Assistance	131,441	131,550	109	0.1%
State Operations:				
Personal Service	14,925	15,269	344	2.3%
Non-Personal Service	6,863	6,810	(53)	-0.8%
General State Charges	9,007	9,363	356	4.0%
Debt Service	5,166	6,012	846	16.4%
Capital Projects	8,507	9,025	518	6.1%
Total Disbursements	175,909	178,029	2,120	1.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	44,935	45,766	831	1.8%
Transfers to Other Funds	(45,080)	(45,931)	(851)	-1.9%
Bond and Note Proceeds	389	850	461	118.5%
Net Other Financing Sources (Uses)	244	685	441	180.7%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,588	(972)	(3,560)	-137.6%
Closing Fund Balance	12,563	11,591	(972)	-7.7%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,574	0	0	0	42,574
Estimated Payments	16,982	0	0	0	16,982
Final Payments	3,413	0	0	0	3,413
Other Payments	1,508	0	0	0	1,508
Gross Collections	64,477	0	0	0	64,477
State/City Offset	(1,149)	0	0	0	(1,149)
Refunds	(10,312)	0	0	0	(10,312)
Reported Tax Collections	53,016	0	0	0	53,016
STAR (Dedicated Deposits)	(2,176)	2,176	0	0	0
RBTF (Dedicated Transfers)	(26,507)	0	0	26,507	0
Personal Income Tax	24,333	2,176	0	26,507	53,016
Sales and Use Tax	15,010	1,022	0	0	16,032
Cigarette and Tobacco Taxes	303	710	0	0	1,013
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	109	414	0	523
Alcoholic Beverage Taxes	265	0	0	0	265
Opioid Excise Tax	50	0	0	0	50
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	20	88	0	108
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,628	1,877	643	0	18,148
LGAC/STBF (Dedicated Transfers)	(7,505)	0	0	7,505	0
Consumption/Use Taxes	8,123	1,877	643	7,505	18,148
Corporation Franchise Tax	3,906	971	0	0	4,877
Corporation and Utilities Tax	502	168	16	0	686
Insurance Taxes	1,995	249	0	0	2,244
Bank Tax	(3)	4	0	0	1
Petroleum Business Tax	0	516	662	0	1,178
Business Taxes	6,400	1,908	678	0	8,986
Estate Tax	1,094	0	0	0	1,094
Real Estate Transfer Tax	1,127	0	0	0	1,127
Employer Compensation Expense Program	1	0	0	0	1
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,240	0	0	0	2,240
Real Estate Transfer Tax (Dedicated)	(1,127)	0	119	1,008	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,112	0	119	1,009	2,240
Payroll Tax	0	0	0	0	0
Total Taxes	39,968	5,961	1,440	35,021	82,390
Licenses, Fees, Etc.	695	0	0	0	695
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	321	219	796	0	1,336
ABC License Fee	73	0	0	0	73
Reimbursements	112	0	0	0	112
Investment Income	152	0	0	0	152
Extraordinary Settlements	787	0	0	0	787
Other Transactions	389	18,382	6,921	404	26,096
Miscellaneous Receipts	2,979	18,601	7,717	404	29,701
Federal Receipts	0	63,859	2,229	74	66,162
Total	42,947	88,421	11,386	35,499	178,253

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,429	0	0	0	44,429
Estimated Payments	17,869	0	0	0	17,869
Final Payments	3,608	0	0	0	3,608
Other Payments	1,646	0	0	0	1,646
Gross Collections	67,552	0	0	0	67,552
State/City Offset	(1,274)	0	0	0	(1,274)
Refunds	(9,468)	0	0	0	(9,468)
Reported Tax Collections	56,810	0	0	0	56,810
STAR (Dedicated Deposits)	(2,000)	2,000	0	0	0
RBTF (Dedicated Transfers)	(28,405)	0	0	28,405	0
Personal Income Tax	26,405	2,000	0	28,405	56,810
Sales and Use Tax	15,656	1,063	0	0	16,719
Cigarette and Tobacco Taxes	299	664	0	0	963
Vapor Excise Tax	0	14	0	0	14
Motor Fuel Tax	0	110	414	0	524
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	20	0	0	20
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	27	88	0	115
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,324	1,904	645	0	18,873
LGAC/STBF (Dedicated Transfers)	(7,828)	0	0	7,828	0
Consumption/Use Taxes	8,496	1,904	645	7,828	18,873
Corporation Franchise Tax	4,578	1,062	0	0	5,640
Corporation and Utilities Tax	483	159	15	0	657
Insurance Taxes	2,092	272	0	0	2,364
Bank Tax	75	15	0	0	90
Petroleum Business Tax	0	508	651	0	1,159
Business Taxes	7,228	2,016	666	0	9,910
Estate Tax	1,174	0	0	0	1,174
Real Estate Transfer Tax	1,144	0	0	0	1,144
Employer Compensation Expense Program	3	0	0	0	3
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,339	0	0	0	2,339
Real Estate Transfer Tax (Dedicated)	(1,144)	0	119	1,025	0
RBTF (Dedicated Transfers)	(2)	0	0	2	0
Other Taxes	1,193	0	119	1,027	2,339
Payroll Tax	0	0	0	0	0
Total Taxes	43,322	5,920	1,430	37,260	87,932
Licenses, Fees, Etc.	677	0	0	0	677
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	331	220	806	0	1,357
ABC License Fee	67	0	0	0	67
Reimbursements	124	0	0	0	124
Investment Income	79	0	0	0	79
Extraordinary Settlements	0	0	0	0	0
Other Transactions	378	16,195	6,545	381	23,499
Miscellaneous Receipts	2,106	16,415	7,351	381	26,253
Federal Receipts	0	59,920	2,194	73	62,187
Total	45,428	82,255	10,975	37,714	176,372

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,597	0	0	0	46,597
Estimated Payments	19,098	0	0	0	19,098
Final Payments	3,882	0	0	0	3,882
Other Payments	1,717	0	0	0	1,717
Gross Collections	71,294	0	0	0	71,294
State/City Offset	(1,399)	0	0	0	(1,399)
Refunds	(10,403)	0	0	0	(10,403)
Reported Tax Collections	59,492	0	0	0	59,492
STAR (Dedicated Deposits)	(1,912)	1,912	0	0	0
RBTF (Dedicated Transfers)	(29,746)	0	0	29,746	0
Personal Income Tax	27,834	1,912	0	29,746	59,492
Sales and Use Tax	16,186	1,099	0	0	17,285
Cigarette and Tobacco Taxes	301	630	0	0	931
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	110	412	0	522
Alcoholic Beverage Taxes	272	0	0	0	272
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	63	0	0	63
Highway Use Tax	0	1	144	0	145
Auto Rental Tax	0	27	91	0	118
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,859	1,942	647	0	19,448
LGAC/STBF (Dedicated Transfers)	(8,093)	0	0	8,093	0
Consumption/Use Taxes	8,766	1,942	647	8,093	19,448
Corporation Franchise Tax	4,460	1,087	0	0	5,547
Corporation and Utilities Tax	498	160	15	0	673
Insurance Taxes	2,152	281	0	0	2,433
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	485	621	0	1,106
Business Taxes	7,110	2,013	636	0	9,759
Estate Tax	1,229	0	0	0	1,229
Real Estate Transfer Tax	1,179	0	0	0	1,179
Employer Compensation Expense Program	6	0	0	0	6
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,432	0	0	0	2,432
Real Estate Transfer Tax (Dedicated)	(1,179)	0	119	1,060	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,250	0	119	1,063	2,432
Payroll Tax	0	0	0	0	0
Total Taxes	44,960	5,867	1,402	38,902	91,131
Licenses, Fees, Etc.	677	0	0	0	677
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	246	219	795	0	1,260
ABC License Fee	72	0	0	0	72
Reimbursements	70	0	0	0	70
Investment Income	44	0	0	0	44
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,547	5,792	385	23,122
Miscellaneous Receipts	1,957	16,766	6,587	385	25,695
Federal Receipts	0	63,521	2,225	72	65,818
Total	46,917	86,154	10,214	39,359	182,644

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	48,511	0	0	0	48,511
Estimated Payments	20,188	0	0	0	20,188
Final Payments	4,164	0	0	0	4,164
Other Payments	1,766	0	0	0	1,766
Gross Collections	74,629	0	0	0	74,629
State/City Offset	(1,524)	0	0	0	(1,524)
Refunds	(11,046)	0	0	0	(11,046)
Reported Tax Collections	62,059	0	0	0	62,059
STAR (Dedicated Deposits)	(1,796)	1,796	0	0	0
RBTF (Dedicated Transfers)	(31,029)	0	0	31,029	0
Personal Income Tax	29,234	1,796	0	31,029	62,059
Sales and Use Tax	16,752	1,137	0	0	17,889
Cigarette and Tobacco Taxes	291	599	0	0	890
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	275	0	0	0	275
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	85	0	0	85
Highway Use Tax	0	0	146	0	146
Auto Rental Tax	0	28	94	0	122
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	17,418	1,970	648	0	20,036
LGAC/STBF (Dedicated Transfers)	(8,376)	0	0	8,376	0
Consumption/Use Taxes	9,042	1,970	648	8,376	20,036
Corporation Franchise Tax	4,651	1,136	0	0	5,787
Corporation and Utilities Tax	503	162	15	0	680
Insurance Taxes	2,266	295	0	0	2,561
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	479	614	0	1,093
Business Taxes	7,420	2,072	629	0	10,121
Estate Tax	1,286	0	0	0	1,286
Real Estate Transfer Tax	1,221	0	0	0	1,221
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,532	0	0	0	2,532
Real Estate Transfer Tax (Dedicated)	(1,221)	0	119	1,102	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,307	0	119	1,106	2,532
Payroll Tax	0	0	0	0	0
Total Taxes	47,003	5,838	1,396	40,511	94,748
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	220	798	0	1,256
ABC License Fee	68	0	0	0	68
Reimbursements	70	0	0	0	70
Investment Income	27	0	0	0	27
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,021	5,073	384	21,876
Miscellaneous Receipts	1,929	16,241	5,871	384	24,425
Federal Receipts	0	65,213	2,225	69	67,507
Total	48,932	87,292	9,492	40,964	186,680

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	50,638	0	0	0	50,638
Estimated Payments	21,360	0	0	0	21,360
Final Payments	4,381	0	0	0	4,381
Other Payments	1,818	0	0	0	1,818
Gross Collections	78,197	0	0	0	78,197
State/City Offset	(1,649)	0	0	0	(1,649)
Refunds	(11,727)	0	0	0	(11,727)
Reported Tax Collections	64,821	0	0	0	64,821
STAR (Dedicated Deposits)	(1,693)	1,693	0	0	0
RBTF (Dedicated Transfers)	(32,410)	0	0	32,410	0
Personal Income Tax	30,718	1,693	0	32,410	64,821
Sales and Use Tax	17,402	1,176	0	0	18,578
Cigarette and Tobacco Taxes	282	569	0	0	851
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	108	405	0	513
Alcoholic Beverage Taxes	278	0	0	0	278
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	141	0	0	141
Highway Use Tax	0	0	147	0	147
Auto Rental Tax	0	29	97	0	126
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	18,062	2,035	649	0	20,746
LGAC/STBF (Dedicated Transfers)	(8,701)	0	0	8,701	0
Consumption/Use Taxes	9,361	2,035	649	8,701	20,746
Corporation Franchise Tax	4,746	1,190	0	0	5,936
Corporation and Utilities Tax	508	162	15	0	685
Insurance Taxes	2,358	307	0	0	2,665
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	476	610	0	1,086
Business Taxes	7,612	2,135	625	0	10,372
Estate Tax	1,347	0	0	0	1,347
Real Estate Transfer Tax	1,266	0	0	0	1,266
Employer Compensation Expense Program	8	0	0	0	8
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,639	0	0	0	2,639
Real Estate Transfer Tax (Dedicated)	(1,266)	0	119	1,147	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,369	0	119	1,151	2,639
Payroll Tax	0	0	0	0	0
Total Taxes	49,060	5,863	1,393	42,262	98,578
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	219	795	0	1,252
ABC License Fee	68	0	0	0	68
Reimbursements	66	0	0	0	66
Investment Income	20	0	0	0	20
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	15,549	5,405	384	21,736
Miscellaneous Receipts	1,918	15,768	6,200	384	24,270
Federal Receipts	0	66,860	2,197	66	69,123
Total	50,978	88,491	9,790	42,712	191,971

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	42,574	44,429	1,855	4.4%
Estimated Payments	16,982	17,869	887	5.2%
Final Payments	3,413	3,608	195	5.7%
Other Payments	1,508	1,646	138	9.2%
Gross Collections	64,477	67,552	3,075	4.8%
State/City Offset	(1,149)	(1,274)	(125)	-10.9%
Refunds	(10,312)	(9,468)	844	8.2%
Reported Tax Collections	53,016	56,810	3,794	7.2%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	53,016	56,810	3,794	7.2%
Sales and Use Tax	16,032	16,719	687	4.3%
Cigarette and Tobacco Taxes	1,013	963	(50)	-4.9%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	523	524	1	0.2%
Alcoholic Beverage Taxes	265	269	4	1.5%
Opioid Excise Tax	50	100	50	100.0%
Medical Cannabis Excise Tax	6	6	0	0.0%
Adult Use Cannabis Tax	0	20	20	0.0%
Highway Use Tax	141	143	2	1.4%
Auto Rental Tax	108	115	7	6.5%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	18,148	18,873	725	4.0%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	18,148	18,873	725	4.0%
Corporation Franchise Tax	4,877	5,640	763	15.6%
Corporation and Utilities Tax	686	657	(29)	-4.2%
Insurance Taxes	2,244	2,364	120	5.3%
Bank Tax	1	90	89	8900.0%
Petroleum Business Tax	1,178	1,159	(19)	-1.6%
Business Taxes	8,986	9,910	924	10.3%
Estate Tax	1,094	1,174	80	7.3%
Real Estate Transfer Tax	1,127	1,144	17	1.5%
Employer Compensation Expense Program	1	3	2	200.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,240	2,339	99	4.4%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,240	2,339	99	4.4%
Payroll Tax	0	0	0	0.0%
Total Taxes	82,390	87,932	5,542	6.7%
Licenses, Fees, Etc.	695	677	(18)	-2.6%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	1,336	1,357	21	1.6%
ABC License Fee	73	67	(6)	-8.2%
Reimbursements	112	124	12	10.7%
Investment Income	152	79	(73)	-48.0%
Extraordinary Settlements	787	0	(787)	-100.0%
Other Transactions	26,096	23,499	(2,597)	-10.0%
Miscellaneous Receipts	29,701	26,253	(3,448)	-11.6%
Federal Receipts	66,162	62,187	(3,975)	-6.0%
Total	178,253	176,372	(1,881)	-1.1%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,009	293	4,302
Receipts:			
Taxes	6,121	0	6,121
Miscellaneous Receipts	19,466	202	19,668
Federal Receipts	(1)	58,921	58,920
Total Receipts	25,586	59,123	84,709
Disbursements:			
Local Assistance	16,432	56,021	72,453
State Operations:			
Personal Service	4,968	637	5,605
Non-Personal Service	2,710	1,394	4,104
General State Charges	1,065	420	1,485
Capital Projects	0	0	0
Total Disbursements	25,175	58,472	83,647
Other Financing Sources (Uses):			
Transfers from Other Funds	1,906	0	1,906
Transfers to Other Funds	(1,235)	(2,193)	(3,428)
Net Other Financing Sources (Uses)	671	(2,193)	(1,522)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,082	(1,542)	(460)
Closing Fund Balance	5,091	(1,249)	3,842

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,091	(1,249)	3,842
Receipts:			
Taxes	5,961	0	5,961
Miscellaneous Receipts	18,377	224	18,601
Federal Receipts	1	63,858	63,859
Total Receipts	24,339	64,082	88,421
Disbursements:			
Local Assistance	16,751	56,196	72,947
State Operations:			
Personal Service	5,224	636	5,860
Non-Personal Service	2,711	1,441	4,152
General State Charges	1,055	326	1,381
Capital Projects	0	0	0
Total Disbursements	25,741	58,599	84,340
Other Financing Sources (Uses):			
Transfers from Other Funds	2,375	12	2,387
Transfers to Other Funds	(1,322)	(2,224)	(3,546)
Net Other Financing Sources (Uses)	1,053	(2,212)	(1,159)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(349)	3,271	2,922
Closing Fund Balance	4,742	2,022	6,764

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,742	2,022	6,764
Receipts:			
Taxes	5,920	0	5,920
Miscellaneous Receipts	16,185	230	16,415
Federal Receipts	1	59,919	59,920
Total Receipts	22,106	60,149	82,255
Disbursements:			
Local Assistance	15,979	55,355	71,334
State Operations:			
Personal Service	5,049	661	5,710
Non-Personal Service	2,351	1,388	3,739
General State Charges	1,105	348	1,453
Capital Projects	0	0	0
Total Disbursements	24,484	57,752	82,236
Other Financing Sources (Uses):			
Transfers from Other Funds	2,534	12	2,546
Transfers to Other Funds	(596)	(2,054)	(2,650)
Net Other Financing Sources (Uses)	1,938	(2,042)	(104)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(440)	355	(85)
Closing Fund Balance	4,302	2,377	6,679

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,302	2,377	6,679
Receipts:			
Taxes	5,867	0	5,867
Miscellaneous Receipts	16,539	227	16,766
Federal Receipts	1	63,520	63,521
Total Receipts	22,407	63,747	86,154
Disbursements:			
Local Assistance	16,207	58,750	74,957
State Operations:			
Personal Service	5,075	664	5,739
Non-Personal Service	2,452	1,404	3,856
General State Charges	1,118	371	1,489
Capital Projects	0	0	0
Total Disbursements	24,852	61,189	86,041
Other Financing Sources (Uses):			
Transfers from Other Funds	2,665	12	2,677
Transfers to Other Funds	(242)	(1,982)	(2,224)
Net Other Financing Sources (Uses)	2,423	(1,970)	453
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(22)	588	566
Closing Fund Balance	4,280	2,965	7,245

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,280	2,965	7,245
Receipts:			
Taxes	5,838	0	5,838
Miscellaneous Receipts	16,014	227	16,241
Federal Receipts	1	65,212	65,213
Total Receipts	21,853	65,439	87,292
Disbursements:			
Local Assistance	15,754	60,304	76,058
State Operations:			
Personal Service	5,147	667	5,814
Non-Personal Service	2,424	1,405	3,829
General State Charges	1,149	371	1,520
Capital Projects	0	0	0
Total Disbursements	24,474	62,747	87,221
Other Financing Sources (Uses):			
Transfers from Other Funds	2,740	12	2,752
Transfers to Other Funds	(150)	(1,872)	(2,022)
Net Other Financing Sources (Uses)	2,590	(1,860)	730
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(31)	832	801
Closing Fund Balance	4,249	3,797	8,046

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,249	3,797	8,046
Receipts:			
Taxes	5,863	0	5,863
Miscellaneous Receipts	15,541	227	15,768
Federal Receipts	1	66,859	66,860
Total Receipts	21,405	67,086	88,491
Disbursements:			
Local Assistance	15,514	61,702	77,216
State Operations:			
Personal Service	5,158	669	5,827
Non-Personal Service	2,368	1,412	3,780
General State Charges	1,161	372	1,533
Capital Projects	0	0	0
Total Disbursements	24,201	64,155	88,356
Other Financing Sources (Uses):			
Transfers from Other Funds	2,853	12	2,865
Transfers to Other Funds	(73)	(1,853)	(1,926)
Net Other Financing Sources (Uses)	2,780	(1,841)	939
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(16)	1,090	1,074
Closing Fund Balance	4,233	4,887	9,120

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	3,842	6,764	2,922	76.1%
Receipts:				
Taxes	5,961	5,920	(41)	-0.7%
Miscellaneous Receipts	18,601	16,415	(2,186)	-11.8%
Federal Receipts	63,859	59,920	(3,939)	-6.2%
Total Receipts	88,421	82,255	(6,166)	-7.0%
Disbursements:				
Local Assistance	72,947	71,334	(1,613)	-2.2%
State Operations:				
Personal Service	5,860	5,710	(150)	-2.6%
Non-Personal Service	4,152	3,739	(413)	-9.9%
General State Charges	1,381	1,453	72	5.2%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	84,340	82,236	(2,104)	-2.5%
Other Financing Sources (Uses):				
Transfers from Other Funds	2,387	2,546	159	6.7%
Transfers to Other Funds	(3,546)	(2,650)	896	25.3%
Net Other Financing Sources (Uses)	(1,159)	(104)	1,055	91.0%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,922	(85)	(3,007)	-102.9%
Closing Fund Balance	6,764	6,679	(85)	-1.3%

CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)

	FY 2021 <u>Proposed</u>	FY 2022 <u>Projected</u>	FY 2023 <u>Projected</u>	FY 2024 <u>Projected</u>
Personal Income Tax	2,000	1,912	1,796	1,693
Consumption/Use Taxes	1,904	1,942	1,970	2,035
Sales and Use Tax	1,063	1,099	1,137	1,176
Cigarette and Tobacco Taxes	664	630	599	569
Vapor Excise Tax	14	6	6	6
Motor Fuel Tax	110	110	109	108
Highway Use Tax	0	1	0	0
Medical Cannabis Excise Tax	6	6	6	6
Adult Use Cannabis Tax	20	63	85	141
Auto Rental Tax	27	27	28	29
Taxicab Surcharge	0	0	0	0
Business Taxes	2,016	2,013	2,072	2,135
Corporation Franchise Tax	1,062	1,087	1,136	1,190
Corporation and Utilities Tax	159	160	162	162
Insurance Taxes	272	281	295	307
Bank Tax	15	0	0	0
Petroleum Business Tax	508	485	479	476
Payroll Tax	0	0	0	0
Total Taxes	5,920	5,867	5,838	5,863
Miscellaneous Receipts	16,415	16,766	16,241	15,768
HCRA	5,502	5,593	5,685	5,779
State University Income	4,960	5,140	5,372	5,560
Lottery	3,545	3,498	3,498	3,498
Medicaid	936	962	989	1,016
Industry Assessments	710	704	704	704
Motor Vehicle Fees	220	219	220	219
All Other	542	650	(227)	(1,008)
Federal Receipts	59,920	63,521	65,213	66,860
Total	82,255	86,154	87,292	88,491

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2020	FY 2021	Annual	Annual
	Current	Proposed	\$ Change	% Change
Personal Income Tax	2,176	2,000	(176)	-8.1%
Consumption/Use Taxes	1,877	1,904	27	1.4%
Sales and Use Tax	1,022	1,063	41	4.0%
Cigarette and Tobacco Taxes	710	664	(46)	-6.5%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	109	110	1	0.9%
Highway Use Tax	0	0	0	0.0%
Medical Cannabis Excise Tax	6	6	0	0.0%
Adult Use Cannabis Tax	0	20	20	0.0%
Auto Rental Tax	20	27	7	35.0%
Taxicab Surcharge	0	0	0	0.0%
Business Taxes	1,908	2,016	108	5.7%
Corporation Franchise Tax	971	1,062	91	9.4%
Corporation and Utilities Tax	168	159	(9)	-5.4%
Insurance Taxes	249	272	23	9.2%
Bank Tax	4	15	11	275.0%
Petroleum Business Tax	516	508	(8)	-1.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	5,961	5,920	(41)	-0.7%
Miscellaneous Receipts	18,601	16,415	(2,186)	-11.8%
HCRA	5,517	5,502	(15)	-0.3%
State University Income	4,723	4,960	237	5.0%
Lottery	3,421	3,545	124	3.6%
Medicaid	931	936	5	0.5%
Industry Assessments	690	710	20	2.9%
Motor Vehicle Fees	219	220	1	0.5%
All Other	3,100	542	(2,558)	-82.5%
Federal Receipts	63,859	59,920	(3,939)	-6.2%
Total	88,421	82,255	(6,166)	-7.0%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(568)	(583)	(1,151)
Receipts:			
Taxes	1,434	0	1,434
Miscellaneous Receipts	7,496	1	7,497
Federal Receipts	5	2,345	2,350
Total Receipts	8,935	2,346	11,281
Disbursements:			
Local Assistance	4,516	718	5,234
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,806	1,226	7,032
Total Disbursements	10,322	1,944	12,266
Other Financing Sources (Uses):			
Transfers from Other Funds	2,543	(324)	2,219
Transfers to Other Funds	(1,354)	0	(1,354)
Bond and Note Proceeds	133	0	133
Net Other Financing Sources (Uses)	1,322	(324)	998
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(65)	78	13
Closing Fund Balance	(633)	(505)	(1,138)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(633)	(505)	(1,138)
Receipts:			
Taxes	1,440	0	1,440
Miscellaneous Receipts	7,717	0	7,717
Federal Receipts	5	2,224	2,229
Total Receipts	9,162	2,224	11,386
Disbursements:			
Local Assistance	4,215	706	4,921
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,412	1,095	8,507
Total Disbursements	11,627	1,801	13,428
Other Financing Sources (Uses):			
Transfers from Other Funds	3,919	(395)	3,524
Transfers to Other Funds	(1,541)	(11)	(1,552)
Bond and Note Proceeds	389	0	389
Net Other Financing Sources (Uses)	2,767	(406)	2,361
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	302	17	319
Closing Fund Balance	(331)	(488)	(819)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(331)	(488)	(819)
Receipts:			
Taxes	1,430	0	1,430
Miscellaneous Receipts	7,351	0	7,351
Federal Receipts	5	2,189	2,194
Total Receipts	8,786	2,189	10,975
Disbursements:			
Local Assistance	4,735	706	5,441
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,964	1,061	9,025
Total Disbursements	12,699	1,767	14,466
Other Financing Sources (Uses):			
Transfers from Other Funds	4,308	(402)	3,906
Transfers to Other Funds	(1,511)	(11)	(1,522)
Bond and Note Proceeds	850	0	850
Net Other Financing Sources (Uses)	3,647	(413)	3,234
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(266)	9	(257)
Closing Fund Balance	(597)	(479)	(1,076)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(597)	(479)	(1,076)
Receipts:			
Taxes	1,402	0	1,402
Miscellaneous Receipts	6,587	0	6,587
Federal Receipts	5	2,220	2,225
Total Receipts	7,994	2,220	10,214
Disbursements:			
Local Assistance	4,149	706	4,855
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,499	1,124	8,623
Total Disbursements	11,648	1,830	13,478
Other Financing Sources (Uses):			
Transfers from Other Funds	4,537	(376)	4,161
Transfers to Other Funds	(1,412)	(12)	(1,424)
Bond and Note Proceeds	488	0	488
Net Other Financing Sources (Uses)	3,613	(388)	3,225
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(41)	2	(39)
Closing Fund Balance	(638)	(477)	(1,115)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(638)	(477)	(1,115)
Receipts:			
Taxes	1,396	0	1,396
Miscellaneous Receipts	5,871	0	5,871
Federal Receipts	5	2,220	2,225
Total Receipts	7,272	2,220	9,492
Disbursements:			
Local Assistance	3,829	706	4,535
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,962	1,123	8,085
Total Disbursements	10,791	1,829	12,620
Other Financing Sources (Uses):			
Transfers from Other Funds	4,572	(360)	4,212
Transfers to Other Funds	(1,534)	(11)	(1,545)
Bond and Note Proceeds	462	0	462
Net Other Financing Sources (Uses)	3,500	(371)	3,129
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(19)	20	1
Closing Fund Balance	(657)	(457)	(1,114)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(657)	(457)	(1,114)
Receipts:			
Taxes	1,393	0	1,393
Miscellaneous Receipts	6,200	0	6,200
Federal Receipts	5	2,192	2,197
Total Receipts	7,598	2,192	9,790
Disbursements:			
Local Assistance	3,533	706	4,239
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,633	1,088	7,721
Total Disbursements	10,166	1,794	11,960
Other Financing Sources (Uses):			
Transfers from Other Funds	3,716	(364)	3,352
Transfers to Other Funds	(1,543)	(11)	(1,554)
Bond and Note Proceeds	362	0	362
Net Other Financing Sources (Uses)	2,535	(375)	2,160
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(33)	23	(10)
Closing Fund Balance	(690)	(434)	(1,124)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(1,138)</u>	<u>(819)</u>	<u>319</u>	<u>28.0%</u>
Receipts:				
Taxes	1,440	1,430	(10)	-0.7%
Miscellaneous Receipts	7,717	7,351	(366)	-4.7%
Federal Receipts	2,229	2,194	(35)	-1.6%
Total Receipts	<u>11,386</u>	<u>10,975</u>	<u>(411)</u>	<u>-3.6%</u>
Disbursements:				
Local Assistance	4,921	5,441	520	10.6%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	8,507	9,025	518	6.1%
Total Disbursements	<u>13,428</u>	<u>14,466</u>	<u>1,038</u>	<u>7.7%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	3,524	3,906	382	10.8%
Transfers to Other Funds	(1,552)	(1,522)	30	1.9%
Bond and Note Proceeds	389	850	461	118.5%
Net Other Financing Sources (Uses)	<u>2,361</u>	<u>3,234</u>	<u>873</u>	<u>37.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>319</u>	<u>(257)</u>	<u>(576)</u>	<u>-180.6%</u>
Closing Fund Balance	<u>(819)</u>	<u>(1,076)</u>	<u>(257)</u>	<u>-31.4%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Consumption/Use Taxes	645	647	648	649
Motor Fuel Tax	414	412	408	405
Highway Use Tax	143	144	146	147
Auto Rental Tax	88	91	94	97
Business Taxes	666	636	629	625
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	651	621	614	610
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,430	1,402	1,396	1,393
Miscellaneous Receipts	7,351	6,587	5,871	6,200
Authority Bond Proceeds	6,654	5,926	5,189	5,122
State Park Fees	125	125	125	125
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	806	795	798	795
All Other	(311)	(336)	(318)	81
Federal Receipts	2,194	2,225	2,225	2,197
Total	10,975	10,214	9,492	9,790

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Consumption/Use Taxes	643	645	2	0.3%
Motor Fuel Tax	414	414	0	0.0%
Highway Use Tax	141	143	2	1.4%
Auto Rental Tax	88	88	0	0.0%
Business Taxes	678	666	(12)	-1.8%
Corporation and Utilities Tax	16	15	(1)	-6.3%
Petroleum Business Tax	662	651	(11)	-1.7%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,440	1,430	(10)	-0.7%
Miscellaneous Receipts	7,717	7,351	(366)	-4.7%
Authority Bond Proceeds	6,096	6,654	558	9.2%
State Park Fees	159	125	(34)	-21.4%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	796	806	10	1.3%
All Other	589	(311)	(900)	-152.8%
Federal Receipts	2,229	2,194	(35)	-1.6%
Total	11,386	10,975	(411)	-3.6%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>	<u>FY 2023</u>	<u>FY 2024</u>
	<u>Current</u>	<u>Proposed</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	4	4	0	0	0
Empire State Development Corporation	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>4</u>	<u>4</u>	<u>0</u>	<u>0</u>	<u>0</u>
TRANSPORTATION					
Transportation, Department of	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	116	122	136	147	156
People with Developmental Disabilities, Office for	35	35	35	35	35
Addiction Services and Supports, Office of	<u>11</u>	<u>11</u>	<u>8</u>	<u>10</u>	<u>10</u>
Functional Total	<u>162</u>	<u>168</u>	<u>179</u>	<u>192</u>	<u>201</u>
EDUCATION					
Education School Aid	15	15	15	17	0
Functional Total	<u>15</u>	<u>15</u>	<u>15</u>	<u>17</u>	<u>0</u>
HIGHER EDUCATION					
City University of New York	376	376	396	416	436
State University of New York	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>376</u>	<u>376</u>	<u>396</u>	<u>416</u>	<u>436</u>
ALL OTHER					
Judiciary	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>558</u>	<u>563</u>	<u>590</u>	<u>625</u>	<u>637</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Income Tax	28,405	29,746	31,029	32,410
Consumption/Use Taxes	7,828	8,093	8,376	8,701
Sales and Use Tax	7,828	8,093	8,376	8,701
Other Taxes	1,027	1,063	1,106	1,151
Real Estate Transfer Tax	1,025	1,060	1,102	1,147
Employer Compensation Expense Program	2	3	4	4
Total Taxes	37,260	38,902	40,511	42,262
Miscellaneous Receipts	381	385	384	384
Mental Hygiene Patient Receipts	233	238	238	238
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	3	2	2
Federal Receipts	73	72	69	66
Total	37,714	39,359	40,964	42,712

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Current	FY 2021 Proposed	Annual \$ Change	Annual % Change
Personal Income Tax	26,507	28,405	1,898	7.2%
Consumption/Use Taxes	7,505	7,828	323	4.3%
Sales and Use Tax	7,505	7,828	323	4.3%
Other Taxes	1,009	1,027	18	1.8%
Real Estate Transfer Tax	1,008	1,025	17	1.7%
Employer Compensation Expense Program	1	2	1	100.0%
Total Taxes	35,021	37,260	2,239	6.4%
Miscellaneous Receipts	404	381	(23)	-5.7%
Mental Hygiene Patient Receipts	256	233	(23)	-9.0%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	144	144	0	0.0%
All Other	4	4	0	0.0%
Federal Receipts	74	73	(1)	-1.4%
Total	35,499	37,714	2,215	6.2%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	9,445	4,009	(568)	153	13,039
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,466	7,496	433	30,981
Federal Receipts	0	(1)	5	74	78
Total Receipts	39,475	25,586	8,935	32,641	106,637
Disbursements:					
Local Assistance	49,745	16,432	4,516	0	70,693
State Operations:					
Personal Service	8,719	4,968	0	0	13,687
Non-Personal Service	2,622	2,710	0	38	5,370
General State Charges	7,139	1,065	0	0	8,204
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	5,806	0	5,806
Total Disbursements	68,225	25,175	10,322	6,737	110,459
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,543	3,537	39,055
Transfers to Other Funds	(4,558)	(1,235)	(1,354)	(29,529)	(36,676)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	26,511	671	1,322	(25,992)	2,512
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	1,082	(65)	(88)	(1,310)
Closing Fund Balance	7,206	5,091	(633)	65	11,729

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,206	5,091	(633)	65	11,729
Receipts:					
Taxes	39,968	5,961	1,440	35,021	82,390
Miscellaneous Receipts	2,979	18,377	7,717	404	29,477
Federal Receipts	0	1	5	74	80
Total Receipts	42,947	24,339	9,162	35,499	111,947
Disbursements:					
Local Assistance	53,573	16,751	4,215	0	74,539
State Operations:					
Personal Service	9,065	5,224	0	0	14,289
Non-Personal Service	2,673	2,711	0	38	5,422
General State Charges	7,626	1,055	0	0	8,681
Debt Service	0	0	0	5,166	5,166
Capital Projects	0	0	7,412	0	7,412
Total Disbursements	72,937	25,741	11,627	5,204	115,509
Other Financing Sources (Uses):					
Transfers from Other Funds	35,385	2,375	3,919	3,639	45,318
Transfers to Other Funds	(6,074)	(1,322)	(1,541)	(33,908)	(42,845)
Bond and Note Proceeds	0	0	389	0	389
Net Other Financing Sources (Uses)	29,311	1,053	2,767	(30,269)	2,862
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(679)	(349)	302	26	(700)
Closing Fund Balance	6,527	4,742	(331)	91	11,029

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	6,527	4,742	(331)	91	11,029
Receipts:					
Taxes	43,322	5,920	1,430	37,260	87,932
Miscellaneous Receipts	2,106	16,185	7,351	381	26,023
Federal Receipts	0	1	5	73	79
Total Receipts	45,428	22,106	8,786	37,714	114,034
Disbursements:					
Local Assistance	54,775	15,979	4,735	0	75,489
State Operations:					
Personal Service	9,559	5,049	0	0	14,608
Non-Personal Service	3,027	2,351	0	44	5,422
General State Charges	7,910	1,105	0	0	9,015
Debt Service	0	0	0	6,012	6,012
Capital Projects	0	0	7,964	0	7,964
Total Disbursements	75,271	24,484	12,699	6,056	118,510
Other Financing Sources (Uses):					
Transfers from Other Funds	35,870	2,534	4,308	3,444	46,156
Transfers to Other Funds	(6,650)	(596)	(1,511)	(35,109)	(43,866)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	29,220	1,938	3,647	(31,665)	3,140
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(623)	(440)	(266)	(7)	(1,336)
Closing Fund Balance	5,904	4,302	(597)	84	9,693

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	44,960	5,867	1,402	38,902	91,131
Miscellaneous Receipts	1,957	16,539	6,587	385	25,468
Federal Receipts	0	1	5	72	78
Total Receipts	46,917	22,407	7,994	39,359	116,677
Disbursements:					
Local Assistance	57,667	16,207	4,149	0	78,023
State Operations:					
Personal Service	9,513	5,075	0	0	14,588
Non-Personal Service	3,011	2,452	0	45	5,508
General State Charges	8,654	1,118	0	0	9,772
Debt Service	0	0	0	7,010	7,010
Capital Projects	0	0	7,499	0	7,499
Total Disbursements	78,845	24,852	11,648	7,055	122,400
Other Financing Sources (Uses):					
Transfers from Other Funds	35,933	2,665	4,537	3,182	46,317
Transfers to Other Funds	(6,985)	(242)	(1,412)	(35,482)	(44,121)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	28,948	2,423	3,613	(32,300)	2,684
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,041				
Total Use (Reservation) of Fund Balance	1,041				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(1,939)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	47,003	5,838	1,396	40,511	94,748
Miscellaneous Receipts	1,929	16,014	5,871	384	24,198
Federal Receipts	0	1	5	69	75
Total Receipts	48,932	21,853	7,272	40,964	119,021
Disbursements:					
Local Assistance	60,965	15,754	3,829	0	80,548
State Operations:					
Personal Service	9,675	5,147	0	0	14,822
Non-Personal Service	3,111	2,424	0	45	5,580
General State Charges	9,183	1,149	0	0	10,332
Debt Service	0	0	0	7,373	7,373
Capital Projects	0	0	6,962	0	6,962
Total Disbursements	82,934	24,474	10,791	7,418	125,617
Other Financing Sources (Uses):					
Transfers from Other Funds	37,095	2,740	4,572	3,171	47,578
Transfers to Other Funds	(7,111)	(150)	(1,534)	(36,712)	(45,507)
Bond and Note Proceeds	0	0	462	0	462
Net Other Financing Sources (Uses)	29,984	2,590	3,500	(33,541)	2,533
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	705				
Total Use (Reservation) of Fund Balance	705				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(3,313)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2024
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	49,060	5,863	1,393	42,262	98,578
Miscellaneous Receipts	1,918	15,541	6,200	384	24,043
Federal Receipts	0	1	5	66	72
Total Receipts	50,978	21,405	7,598	42,712	122,693
Disbursements:					
Local Assistance	64,022	15,514	3,533	0	83,069
State Operations:					
Personal Service	9,814	5,158	0	0	14,972
Non-Personal Service	3,237	2,368	0	45	5,650
General State Charges	9,627	1,161	0	0	10,788
Debt Service	0	0	0	7,534	7,534
Capital Projects	0	0	6,633	0	6,633
Total Disbursements	86,700	24,201	10,166	7,579	128,646
Other Financing Sources (Uses):					
Transfers from Other Funds	38,634	2,853	3,716	3,211	48,414
Transfers to Other Funds	(6,415)	(73)	(1,543)	(38,326)	(46,357)
Bond and Note Proceeds	0	0	362	0	362
Net Other Financing Sources (Uses)	32,219	2,780	2,535	(35,115)	2,419
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	237				
Total Use (Reservation) of Fund Balance	237				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(3,266)				

**CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)**

	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,729	11,029	(700)	-6.0%
Receipts:				
Taxes	82,390	87,932	5,542	6.7%
Miscellaneous Receipts	29,477	26,023	(3,454)	-11.7%
Federal Receipts	80	79	(1)	-1.3%
Total Receipts	111,947	114,034	2,087	1.9%
Disbursements:				
Local Assistance	74,539	75,489	950	1.3%
State Operations:				
Personal Service	14,289	14,608	319	2.2%
Non-Personal Service	5,422	5,422	0	0.0%
General State Charges	8,681	9,015	334	3.8%
Debt Service	5,166	6,012	846	16.4%
Capital Projects	7,412	7,964	552	7.4%
Total Disbursements	115,509	118,510	3,001	2.6%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,318	46,156	838	1.8%
Transfers to Other Funds	(42,845)	(43,866)	(1,021)	-2.4%
Bond and Note Proceeds	389	850	461	118.5%
Net Other Financing Sources (Uses)	2,862	3,140	278	9.7%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(700)	(1,336)	(636)	-90.9%
Closing Fund Balance	11,029	9,693	(1,336)	-12.1%

**CASHFLOW
GENERAL FUND
FY 2019
(dollars in millions)**

	2018		2019							Total			
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results		January Results	February Results	March Results
OPENING BALANCE	9,445	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	9,445
RECEIPTS:													
Personal Income Tax	2,928	1,092	2,476	1,450	1,487	2,398	1,287	1,087	1,986	1,970	1,693	1,767	21,621
Consumption/Use Taxes	544	580	799	595	595	765	588	602	769	631	519	691	7,681
Business Taxes	346	(120)	1,020	168	84	992	96	(14)	1,183	194	(108)	1,660	5,501
Other Taxes	52	82	71	99	74	123	100	127	128	118	57	55	1,086
Total Taxes	3,870	1,634	4,366	2,315	2,240	4,278	2,071	1,802	4,066	2,913	2,161	4,173	35,889
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	74
Investment Income	17	10	11	7	9	11	4	10	10	9	16	22	134
Licenses, Fees, etc.	51	43	58	62	67	60	60	101	63	41	76	86	744
Motor Vehicle Fees	30	25	13	2	35	3	23	3	72	27	21	56	317
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	161
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	0	1,106
Other Transactions	24	100	(17)	52	26	92	52	49	81	17	12	68	556
Total Miscellaneous Receipts	220	205	383	141	165	183	157	1,072	278	85	295	402	3,586
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,928	1,070	2,487	1,438	1,056	2,648	1,287	926	2,053	2,761	805	1,887	21,346
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	249	218	405	275	250	355	269	274	361	287	(154)	324	3,113
Sales Tax Bond Fund	187	187	291	204	195	320	162	177	262	189	155	324	2,653
Real Estate Taxes in Excess of CW/CA Debt Service	74	92	88	82	105	78	71	75	92	75	74	50	956
All Other	101	81	86	68	172	109	74	42	151	282	187	1,648	3,001
Total Transfers from Other Funds	3,539	1,648	3,357	2,067	1,778	3,510	1,863	1,494	2,919	3,594	1,067	4,233	31,069
TOTAL RECEIPTS	7,629	3,487	8,106	4,523	4,183	7,971	4,091	4,368	7,263	6,592	3,523	8,808	70,544
DISBURSEMENTS:													
School Aid	1,113	3,526	1,566	371	648	1,617	883	1,516	1,973	599	726	8,542	23,080
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	2,980
All Other Education	42	46	51	300	463	32	78	22	486	40	106	468	2,134
Medicaid - DOH	1,523	1,244	1,381	1,133	1,977	1,208	1,244	1,624	1,244	1,054	1,106	(1,047)	14,340
Public Health	39	191	38	49	78	22	43	46	25	24	36	72	663
Mental Hygiene	150	36	323	149	43	330	151	49	286	114	172	345	2,148
Children and Families	12	35	41	102	109	216	150	62	220	44	76	588	1,655
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	62	110	1,139
Transportation	46	69	61	47	32	0	0	24	11	0	13	0	303
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	763
All Other	18	43	84	35	69	33	37	20	21	38	69	73	540
Total Local Assistance	3,035	6,019	4,258	3,448	3,580	3,901	2,711	3,466	4,772	2,031	2,416	10,068	49,745
Personal Service	668	846	684	725	838	660	827	664	686	808	657	656	8,719
Non-Personal Service	164	282	221	182	228	210	219	188	165	232	281	250	2,622
Total State Operations	832	1,128	905	907	1,066	870	1,046	852	851	1,040	938	906	11,341
General State Charges	2,707	368	470	319	245	435	542	401	375	452	414	411	7,139
Debt Service	192	38	20	223	(100)	(63)	201	(7)	5	287	(29)	19	786
Capital Projects	54	468	818	333	622	454	(587)	517	(12)	(65)	(442)	(272)	1,888
State Share Medicaid	(29)	0	0	0	0	0	0	0	0	0	0	0	(29)
SUNY Operations	218	218	218	182	2	0	0	182	0	0	0	0	1,020
Other Purposes	127	56	194	7	71	37	63	91	54	29	13	151	893
Total Transfers to Other Funds	562	780	1,250	745	595	428	(323)	783	47	251	(458)	(102)	4,558
TOTAL DISBURSEMENTS	7,136	8,295	6,923	5,419	5,486	5,634	3,976	5,502	6,045	3,774	3,310	11,283	72,783
Excess/(Deficiency) of Receipts over Disbursements	493	(4,808)	1,183	(896)	(1,303)	2,337	115	(1,134)	1,218	2,818	213	(2,475)	(2,239)
CLOSING BALANCE	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	7,206	7,206

**CASHFLOW
GENERAL FUND
FY 2020**
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Results	Projected	Projected	Projected	Projected							
OPENING BALANCE	7,206	11,969	1,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,171	9,251	9,251	7,206
RECEIPTS:															
Personal Income Tax	4,608	1,242	2,605	2,605	1,665	1,454	2,417	1,289	1,231	2,106	2,254	1,708	1,754	1,754	24,333
Consumption/Use Taxes	585	598	796	796	637	630	813	627	635	790	724	556	732	732	8,123
Business Taxes	81	(181)	1,443	1,443	160	(20)	1,284	73	57	1,351	1,099	49	1,832	1,832	6,400
Other Taxes	5817	58	4,609	4,609	2,588	2,108	4,570	2,153	2,012	4,392	3,182	2,408	4,412	4,412	39,968
Total Taxes	1	0	0	0	0	5	30	35	215	0	0	0	0	0	450
Abandoned Property	6	6	5	5	6	7	7	7	7	0	6	5	6	6	73
ABC License Fee	22	17	20	20	13	14	13	14	13	11	6	6	6	6	152
Investment Income	69	42	50	50	49	49	67	85	41	61	60	60	60	62	695
Licenses, Fees, etc.	33	35	12	12	35	24	24	34	10	41	20	24	29	29	321
Motor Vehicle Fees	7	29	33	33	(69)	70	40	10	20	41	11	11	11	(91)	112
Reimbursements	585	142	0	0	33	0	0	28	0	0	0	0	0	(1)	787
Extraordinary Settlements	19	8	38	38	20	(1)	98	33	25	68	9	14	58	58	389
Other Transactions	742	279	158	158	87	168	279	246	331	227	112	120	230	230	2,979
Total Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	4,607	1,126	2,606	2,606	1,658	1,476	2,683	1,289	960	2,147	2,779	1,023	2,563	2,563	24,917
PIT in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
ECEP in Excess of Revenue Bond Debt Service	261	105	542	542	290	277	367	303	292	370	311	(26)	349	349	3,441
Tax in Excess of LGAC	191	198	294	294	212	213	367	205	202	284	232	184	412	412	2,994
Sales Tax Bond Fund	70	86	85	85	114	74	94	55	83	70	79	77	65	65	952
Real Estate Taxes in Excess of CW/CA Debt Service	49	111	118	118	249	119	71	83	68	155	355	312	1,390	1,390	3,080
All Other	5,178	1,626	3,645	3,645	2,523	2,159	3,582	1,935	1,605	3,026	3,756	1,570	4,780	4,780	35,385
Total Transfers from Other Funds	11,737	3,622	8,412	8,412	5,198	4,435	8,431	4,334	3,948	7,645	7,050	4,098	9,422	9,422	78,332
TOTAL RECEIPTS	775	3,896	1,722	1,722	366	599	1,497	864	1,661	1,969	663	751	879	879	23,555
School Aid	38	24	337	337	1,112	28	141	85	25	145	37	328	627	627	2,927
Higher Education	97	57	130	130	183	44	706	181	53	136	45	152	526	526	2,310
All Other Education	3,302	1,717	1,426	1,426	1,270	1,088	1,282	1,623	1,941	1,248	1,422	336	(588)	(588)	16,067
Medicaid - DOH	24	23	100	100	41	30	34	32	43	31	109	25	138	138	630
Public Health	124	63	267	267	181	75	199	176	56	299	128	1,114	725	725	3,407
Mental Hygiene	19	21	30	30	29	93	79	364	186	68	179	224	218	218	1,510
Children and Families	67	65	150	150	106	95	105	65	245	65	110	115	122	122	1,310
Temporary & Disability Assistance	0	24	14	14	0	24	0	0	24	11	0	13	0	0	110
Transportation	0	11	388	388	0	7	39	10	0	193	4	4	68	68	724
Unrestricted Aid	24	(67)	144	144	32	65	34	31	75	38	63	94	490	490	1,023
All Other	4,470	5,834	4,708	4,708	3,320	2,148	4,116	3,431	4,309	4,203	2,760	3,156	11,118	11,118	53,573
Total Local Assistance	689	1,072	679	679	697	853	676	805	685	684	844	698	683	683	9,065
Personal Service	159	249	219	219	201	211	189	257	147	179	272	265	325	325	2,673
Non-Personal Service	848	1,321	898	898	898	1,064	865	1,062	832	863	1,116	963	1,008	1,008	11,738
Total State Operations	728	2,349	365	365	385	423	477	573	410	409	502	472	533	533	7,626
General State Charges	135	11	(7)	(7)	132	(17)	(28)	130	(20)	5	214	(24)	(14)	(14)	517
Debt Service	499	406	610	610	252	473	703	(712)	492	472	400	400	(813)	(813)	3,182
Capital Projects	219	218	327	327	181	3	59	0	97	10	9	9	50	50	1,185
SUNY Operations	75	230	140	140	9	69	75	85	72	74	55	42	264	264	1,190
Other Purposes	928	865	1,070	1,070	574	528	809	(497)	641	561	681	427	(513)	(513)	6,074
Total Transfers to Other Funds	6,974	10,369	7,041	7,041	5,177	4,163	6,267	4,569	6,192	6,036	5,059	5,018	12,146	12,146	79,011
TOTAL DISBURSEMENTS	4,763	(6,747)	1,371	1,371	21	272	2,164	(235)	(2,244)	1,609	1,991	(920)	(920)	(920)	(679)
Excess/(Deficiency) of Receipts over Disbursements	11,969	5,222	6,593	6,593	6,614	6,886	9,050	8,815	8,815	8,180	10,171	9,251	9,251	9,251	6,527
CLOSING BALANCE															

**CASHFLOW
STATE OPERATING FUNDS
FY 2020**
(dollars in millions)

	2019												2020				Intra-Fund Transfer Eliminations	Total
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected						
OPENING BALANCE	12,362	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	18,227	18,056			12,362			
RECEIPTS:																		
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,757	3,416	3,526			53,016			
Consumption/Use Taxes	1,320	1,295	1,709	1,381	1,366	1,756	1,362	1,368	1,718	1,492	1,200	1,538			17,505			
Business Taxes	740	(139)	1,436	245	43	1,559	147	132	1,658	1,75	103	2,202			8,308			
Other Taxes	164	144	152	245	123	141	237	177	219	179	177	163			2,121			
Total Taxes	11,447	3,781	8,507	5,202	4,440	8,289	4,324	4,145	7,884	10,603	4,896	7,429			80,950			
Abandoned Property	1	0	0	0	5	30	35	215	0	0	0	164			450			
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6			73			
HCRA	541	448	435	511	441	473	467	396	456	481	477	391			5,517			
Investment Income	22	17	20	13	14	13	14	13	11	6	6	3			152			
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	60	60	62			695			
Lottery	298	349	266	316	247	254	315	251	251	324	268	282			3,421			
Medicaid	92	76	82	73	80	77	75	80	74	75	75	72			931			
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	40	44	50			540			
Reimbursements	7	29	33	(69)	441	40	10	20	41	11	11	11			112			
State University Income	336	258	262	340	441	692	415	260	255	452	665	347			4,723			
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)			787			
Other Transactions	332	303	525	387	235	484	375	278	511	389	248	291			4,359			
Total Miscellaneous Receipts	2,342	1,726	1,706	1,709	1,632	2,169	1,879	1,590	1,728	1,844	1,859	1,576			21,760			
Federal Receipts	0	0	(1)	1	54	0	1	0	0	0	3	17			75			
TOTAL RECEIPTS	13,789	5,510	10,212	6,912	6,126	10,458	6,204	5,735	9,612	12,447	6,758	9,022			102,785			
DISBURSEMENTS:																		
School Aid	775	3,896	2,071	366	599	4,005	1,010	1,807	2,114	809	897	9,052			27,401			
Higher Education	38	24	337	1,112	28	141	85	25	145	37	328	627			2,927			
All Other Education	97	58	131	183	46	710	182	53	136	46	153	528			2,323			
STAR	0	0	0	0	0	0	0	3	38	2,124	0	11			2,176			
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	1,960	742	(316)			21,724			
Public Health	84	56	204	84	195	88	88	84	131	199	74	574			1,861			
Mental Hygiene	124	63	268	181	75	200	176	56	300	128	1,114	726			3,411			
Children and Families	20	22	30	29	94	78	364	187	68	179	224	219			1,514			
Temporary & Disability Assistance	67	65	150	106	95	105	65	245	65	110	115	122			1,310			
Transportation	68	427	279	274	389	327	280	499	739	69	93	108			3,552			
Unrestricted Aid	0	11	388	0	7	39	10	193	0	4	4	68			724			
All Other	70	(30)	163	68	100	77	63	122	84	104	114	466			1,401			
Total Local Assistance	4,645	7,192	5,813	4,256	3,403	7,660	4,417	5,431	5,695	5,769	3,858	12,185			70,324			
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,261	1,101	1,155			14,289			
Non-Personal Service	404	500	418	438	473	406	516	430	400	546	486	405			5,422			
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,807	1,587	1,560			19,711			
General State Charges	792	2,436	421	464	518	549	639	540	514	586	563	659			6,681			
Debt Service	72	122	230	45	74	434	75	75	412	45	720	2,889			5,166			
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0			0			
TOTAL DISBURSEMENTS	7,004	11,902	7,955	6,350	5,673	10,113	6,984	7,560	8,113	8,207	6,728	17,293			103,882			
OTHER FINANCING SOURCES (USES):																		
Transfers from other funds	5,705	2,418	4,298	3,020	2,505	4,022	2,373	1,885	3,514	4,336	1,862	5,944			41,399			
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,126)	(2,729)	(4,468)	(1,457)	(2,235)	(3,585)	(4,372)	(2,063)	(4,369)			(41,304)			
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0			0			
NET OTHER FINANCING SOURCES/(USES)	(429)	(83)	(450)	(106)	(224)	(446)	(916)	(350)	(71)	(36)	(201)	1,575			95			
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	456	229	(101)	136	(2,175)	1,428	4,204	(171)	(6,696)			(1,002)			
CLOSING BALANCE	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	18,227	18,056	11,360			11,360			

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,975	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	18,905	18,129		9,975
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,757	3,416	3,526	0	53,016
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,412	1,784	1,540	1,244	1,597	0	18,148
Business Taxes	807	(84)	1,496	298	103	1,619	207	185	1,712	229	155	2,259	0	8,986
Other Taxes	164	144	164	257	135	152	249	189	231	191	189	175	0	2,240
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,717	5,004	7,557	0	82,390
Abandoned Property	1	0	0	0	5	30	35	215	0	0	0	164	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCRA	541	448	435	511	441	473	467	396	456	481	477	391	0	5,517
Investment Income	22	17	20	13	14	13	14	13	11	6	6	3	0	152
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	60	60	62	0	695
Lottery	298	349	266	316	247	254	315	251	251	324	268	282	0	3,421
Medical	92	76	82	73	77	73	80	77	74	75	75	72	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	40	44	50	0	540
Reimbursements	7	29	33	(69)	41	70	20	70	41	11	11	11	0	112
State University Income	336	258	262	340	441	692	415	260	255	452	665	347	0	4,723
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	650	447	791	647	436	1,078	2,091	426	929	1,114	369	3,322	0	12,300
Total Miscellaneous Receipts	2,660	1,870	1,972	1,969	1,833	2,763	3,594	1,738	2,146	2,569	1,980	4,607	0	29,701
Federal Receipts	6,242	4,959	5,803	3,275	6,083	5,663	5,710	5,394	6,606	5,493	5,393	5,541	0	66,162
TOTAL RECEIPTS	20,463	10,714	16,419	10,557	12,476	16,865	13,744	11,386	16,768	18,779	12,377	17,705	0	178,253
DISBURSEMENTS:														
School Aid	1,065	4,398	2,300	617	762	4,123	1,161	2,015	2,423	1,065	1,096	9,468	0	30,493
Higher Education	38	24	337	1,112	28	141	85	25	145	37	328	627	0	2,927
All Other Education	154	150	174	229	127	821	252	90	178	136	220	722	0	3,253
STAR	0	0	0	0	0	0	0	3	38	2,124	0	11	0	2,176
Medical - DOH	6,760	6,758	5,309	5,172	5,919	5,371	5,984	6,284	5,264	6,090	4,934	2,530	0	66,375
Public Health	252	219	282	291	340	422	282	193	464	392	278	986	0	4,697
Mental Hygiene	138	71	282	198	97	216	191	75	321	152	1,143	804	0	3,688
Children and Families	68	56	68	63	194	342	547	356	72	242	216	208	0	2,432
Temporary & Disability Assistance	154	134	377	378	156	338	927	575	161	394	584	638	0	4,816
Transportation	306	479	358	338	439	860	370	541	1,071	142	161	142	0	5,373
Unrestricted Aid	0	11	388	0	7	39	10	0	193	4	4	68	0	724
All Other	286	336	566	243	226	301	389	486	428	491	318	417	0	4,487
Total Local Assistance	9,221	12,636	10,737	8,641	8,295	12,974	10,198	10,643	10,758	11,269	9,282	16,787	0	131,441
Personal Service	1,140	1,718	1,128	1,191	1,253	1,115	1,440	1,131	1,145	1,312	1,151	1,201	0	14,925
Non-Personal Service	474	576	515	520	578	592	649	513	525	675	508	508	0	6,863
Total State Operations	1,614	2,294	1,643	1,711	1,831	1,707	2,089	1,644	1,670	2,050	1,826	1,709	0	21,788
General State Charges	814	2,460	454	500	542	574	665	566	540	611	590	691	0	9,007
Debt Service	72	122	230	45	74	434	48	75	412	45	720	2,889	0	5,166
Capital Projects	434	529	536	600	750	582	711	705	577	786	728	1,569	0	8,507
TOTAL DISBURSEMENTS	12,155	18,041	13,600	11,497	11,492	16,271	13,711	13,633	13,957	14,761	13,146	23,645	0	175,909
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,215	2,831	4,931	3,280	2,991	4,774	1,665	2,379	4,023	4,741	2,280	5,308	(483)	44,935
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,293)	(3,010)	(4,798)	(1,668)	(2,381)	(4,025)	(4,754)	(2,287)	(5,323)	483	(45,080)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	389	0	389
NET OTHER FINANCING SOURCES/(USES)	(15)	(16)	(16)	(13)	(19)	(24)	(3)	(2)	(2)	(13)	(7)	374	0	244
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,343)	2,803	(953)	965	570	30	(2,249)	2,809	4,005	(776)	(5,566)	0	2,588
CLOSING BALANCE	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	18,905	18,129	12,563	0	12,563

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,842	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	6,687	6,735		3,842
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,124	0	10	0	2,176
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	147	119	106	0	1,877
Business Taxes	204	42	293	85	63	275	74	75	307	66	54	370	0	1,908
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,337	173	486	0	5,961
HGRA	541	448	435	511	441	473	467	396	456	481	477	391	0	5,517
State University Income	336	258	262	340	262	252	415	260	255	452	665	347	0	4,723
Lottery	298	349	266	316	247	254	315	251	251	324	268	282	0	3,421
Medicaid	92	76	82	73	80	77	75	80	74	75	75	72	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	20	20	21	0	219
Other Transactions	284	310	461	292	215	382	325	247	405	351	189	329	0	3,790
Total Miscellaneous Receipts	1,571	1,462	1,522	1,547	1,443	1,886	1,615	1,253	1,463	1,703	1,694	1,442	0	18,601
Federal Receipts	6,204	4,816	5,671	3,118	5,874	5,484	5,368	5,210	6,447	5,291	5,198	5,178	0	63,859
TOTAL RECEIPTS	8,176	6,466	7,656	4,914	7,535	7,826	7,214	6,690	8,442	9,331	7,065	7,106	0	88,421
DISBURSEMENTS:														
School Aid	282	499	569	244	155	2,610	288	341	433	362	305	475	0	6,563
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	93	43	44	79	89	66	35	38	87	64	196	0	890
STAR	0	0	0	0	0	0	0	3	38	2,124	0	11	0	2,176
Medicaid - DOH	3,458	5,041	3,883	3,902	4,831	4,089	4,361	4,343	4,016	4,668	4,598	3,118	0	50,308
Public Health	200	156	460	181	294	371	181	126	393	221	173	759	0	3,515
Mental Hygiene	10	7	12	11	17	13	9	9	17	11	12	17	0	148
Children and Families	49	35	38	34	101	263	183	170	4	63	(8)	(10)	0	922
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	268	469	502	0	3,444
Transportation	72	408	268	277	369	329	282	478	732	73	84	113	0	3,485
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	172	90	9	111	81	112	183	238	165	271	108	(44)	0	1,496
Total Local Assistance	4,386	6,398	5,493	5,076	5,991	8,093	6,415	6,073	5,932	8,148	5,805	5,137	0	72,947
Personal Service	451	646	449	494	400	439	635	446	461	468	453	518	0	5,860
Non-Personal Service	314	325	294	310	360	402	391	364	340	465	402	181	0	4,152
Total State Operations	765	971	743	804	760	841	1,026	810	805	933	855	699	0	10,012
General State Charges	86	111	89	115	119	97	92	156	131	109	118	158	0	1,381
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,237	7,480	6,325	5,995	6,870	9,031	7,533	7,039	6,868	9,190	6,778	5,994	0	84,340
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	309	585	567	214	160	169	120	186	111	73	53	323	(483)	2,387
Transfers to Other Funds	(88)	(304)	(181)	(239)	(244)	(184)	(175)	(104)	(423)	(389)	(292)	(1,406)	483	(3,546)
NET OTHER FINANCING SOURCES/(USES)	221	281	386	(25)	(84)	(15)	(55)	82	(312)	(316)	(239)	(1,083)	0	(1,159)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,106)	581	(1,220)	(374)	(267)	1,262	(175)	48	29	0	2,922
CLOSING BALANCE	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	6,687	6,735	6,764	0	6,764

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,091	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,125	5,498		5,091
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,124	0	10	0	2,176
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	147	119	106	0	1,877
Business Taxes	204	42	293	85	74	275	74	75	307	66	54	370	0	1,908
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,337	173	486	0	5,961
HGRA	541	448	435	511	441	473	467	396	456	481	477	391	0	5,517
State University Income	336	258	262	340	441	692	415	260	255	452	665	347	0	4,733
Lottery	298	349	266	316	247	254	315	231	251	324	266	282	0	3,421
Medicaid	92	76	82	73	80	77	75	80	74	75	75	72	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	20	20	21	0	219
Other Transactions	270	259	449	277	193	370	312	228	391	340	192	285	0	3,566
Total Miscellaneous Receipts	1,557	1,411	1,510	1,532	1,421	1,874	1,602	1,234	1,449	1,692	1,697	1,398	0	18,377
Federal Receipts	0	0	(1)	0	19	0	0	0	0	0	0	(17)	0	1
TOTAL RECEIPTS	1,958	1,599	1,972	1,781	1,658	2,330	1,833	1,461	1,981	4,029	1,870	1,867	0	24,339
DISBURSEMENTS:														
School Aid	0	0	349	0	0	2,508	146	146	145	146	146	260	0	3,846
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	1	1	0	2	4	1	0	0	1	1	2	0	13
STAR	0	0	0	0	0	0	0	3	38	2,124	0	11	0	2,176
Medicaid - DOH	883	0	366	583	687	608	471	409	434	538	406	272	0	5,657
Public Health	60	33	104	43	165	54	56	41	100	90	49	436	0	1,231
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	1	0	4
Children and Families	1	1	1	0	1	(1)	0	1	0	0	0	0	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	68	403	265	274	365	327	280	475	728	69	80	108	0	3,442
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	46	37	19	36	35	43	32	47	46	41	20	(24)	0	378
Total Local Assistance	175	1,358	1,105	936	1,255	3,544	986	1,122	1,492	3,009	702	1,067	0	16,751
Personal Service	402	580	394	450	352	388	559	399	408	417	403	472	0	5,224
Non-Personal Service	244	249	197	228	255	216	258	281	219	273	213	78	0	2,711
Total State Operations	646	829	591	678	607	604	817	680	627	690	616	550	0	7,935
General State Charges	64	87	56	79	95	72	66	130	105	84	91	126	0	1,055
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	885	2,274	1,752	1,693	1,957	4,220	1,869	1,932	2,224	3,783	1,409	1,743	0	25,741
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	308	585	567	215	160	169	120	186	111	73	53	311	(483)	2,375
Transfers to Other Funds	(38)	(6)	(30)	(121)	(33)	(107)	(10)	(4)	(72)	(52)	(141)	(1,191)	483	(1,322)
NET OTHER FINANCING SOURCES/(USES)	270	579	537	94	127	62	110	182	39	21	(88)	(880)	0	1,053
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	182	(172)	(1,828)	74	(289)	(204)	267	373	(756)	0	(349)
CLOSING BALANCE	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,125	5,498	4,742	0	4,742

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Total
RECEIPTS:													
OPENING BALANCE	(1,249)	568	(69)	891	(397)	356	964	516	538	2,004	1,562	1,237	(1,249)
Miscellaneous Receipts	14	51	12	15	22	12	13	19	14	11	(3)	44	224
Federal Receipts	6,204	4,816	5,672	3,118	5,855	5,484	5,368	5,210	6,447	5,291	5,198	5,195	63,858
TOTAL RECEIPTS	6,218	4,867	5,684	3,133	5,877	5,496	5,381	5,229	6,461	5,302	5,195	5,239	64,082
DISBURSEMENTS:													
School Aid	282	499	220	244	155	102	142	195	288	216	159	215	2,717
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	92	42	44	77	85	65	35	38	86	63	194	877
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,458	4,158	3,517	3,319	4,144	3,481	3,890	3,934	3,582	4,130	4,192	2,846	44,651
Public Health	140	123	356	138	129	317	125	85	293	131	124	323	2,284
Mental Hygiene	10	7	11	11	20	12	9	9	16	11	12	16	144
Children and Families	48	34	38	34	100	264	183	169	4	63	(8)	(11)	918
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	268	469	502	3,444
Transportation	4	5	3	3	4	2	2	3	4	4	4	5	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	126	53	(10)	75	46	69	151	191	119	230	88	(20)	1,118
Total Local Assistance	4,211	5,040	4,388	4,140	4,736	4,549	5,429	4,951	4,440	5,139	5,103	4,070	56,196
Personal Service	49	66	55	44	48	51	76	47	53	51	50	46	636
Non-Personal Service	70	76	97	82	105	186	133	83	125	192	189	103	1,441
Total State Operations	119	142	152	126	153	237	209	130	178	243	239	149	2,077
General State Charges	22	24	33	36	24	25	26	26	26	25	27	32	326
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,352	5,206	4,573	4,302	4,913	4,811	5,664	5,107	4,644	5,407	5,369	4,251	58,599
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	1	0	0	(1)	0	0	0	0	0	0	0	12	12
Transfers to Other Funds	(50)	(298)	(151)	(118)	(211)	(77)	(165)	(100)	(351)	(337)	(151)	(215)	(2,224)
NET OTHER FINANCING SOURCES/(USES)	(49)	(298)	(151)	(119)	(211)	(77)	(165)	(100)	(351)	(337)	(151)	(203)	(2,212)
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,288)	753	608	(448)	22	1,466	(442)	(325)	785	3,271
CLOSING BALANCE	568	(69)	891	(397)	356	964	516	538	2,004	1,562	1,237	2,022	2,022

**CASHFLOW
DEBT SERVICE FUNDS
FY 2020**
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	65	315	683	362	615	744	307	604	962	985	2,931	3,307	65
RECEIPTS:													
Personal Income Tax	4,608	1,242	2,605	1,666	1,454	2,416	1,289	1,234	2,144	4,379	1,708	1,762	26,507
Consumption/Use Taxes	538	551	743	580	581	762	578	584	742	621	525	700	7,505
Other Taxes	83	86	87	119	79	85	73	88	74	84	82	69	1,009
Total Taxes	5,229	1,879	3,435	2,365	2,114	3,263	1,940	1,906	2,960	5,084	2,315	2,531	35,021
Miscellaneous Receipts	43	36	38	90	43	16	31	25	52	40	42	(52)	404
Federal Receipts	0	0	0	1	35	0	1	0	0	0	3	34	74
TOTAL RECEIPTS	5,272	1,915	3,473	2,456	2,192	3,279	1,972	1,931	3,012	5,124	2,360	2,513	35,499
DISBURSEMENTS:													
Slate Operations	1	2	2	9	7	1	1	2	2	1	8	2	38
Debt Service	72	122	230	45	74	434	48	75	412	45	720	2,889	5,166
TOTAL DISBURSEMENTS	73	124	232	54	81	435	49	77	414	46	728	2,891	5,204
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	219	207	86	282	186	271	318	94	377	507	239	853	3,639
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,431)	(2,168)	(3,552)	(1,944)	(1,590)	(2,952)	(3,639)	(4,495)	(3,691)	(33,908)
NET OTHER FINANCING SOURCES/(USES)	(4,949)	(1,423)	(3,562)	(2,149)	(1,982)	(3,281)	(1,626)	(1,496)	(2,575)	(3,132)	(1,256)	(2,838)	(30,269)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	253	129	(437)	297	358	23	1,946	376	(3,216)	26
CLOSING BALANCE	315	683	362	615	744	307	604	962	985	2,931	3,307	91	91

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,138)	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(884)	(1,164)	(1,138)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	48	44	59	643
Business Taxes	60	55	60	53	60	60	60	53	54	54	52	57	678
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	114	108	128	1,440
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	714	124	2,987	7,717
Federal Receipts	38	143	132	156	174	179	341	184	159	202	192	329	2,229
TOTAL RECEIPTS	456	337	523	512	473	911	2,159	422	695	1,030	424	3,444	11,386
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	4	4	0	53
Public Health	28	40	18	69	16	17	69	24	40	62	80	89	552
Mental Hygiene	4	1	3	6	2	4	6	10	5	13	17	62	133
School Aid	8	3	9	7	8	16	9	13	21	40	40	201	375
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	234	47	76	61	46	531	88	39	328	69	64	195	1,778
All Other Local	90	313	413	100	80	155	175	173	225	157	116	(29)	1,968
Total Local Assistance	365	404	536	245	156	765	352	261	623	361	321	532	4,921
Economic Development	3	3	22	3	28	1	2	35	1	131	140	493	862
Parks & the Environment	34	42	46	49	89	54	59	71	44	86	92	133	799
Transportation	229	279	281	309	333	323	380	362	301	323	307	274	3,701
Health & Social Welfare	4	5	6	5	6	9	5	5	7	11	13	69	145
Mental Hygiene	24	32	34	26	25	24	25	32	27	30	23	25	327
Public Protection	39	38	41	56	52	46	35	57	64	49	44	29	550
Education	57	78	47	85	145	72	134	80	59	130	89	130	1,106
All Other	44	52	59	67	72	53	71	63	74	26	20	416	1,017
Total Capital Projects	434	529	536	600	750	582	711	705	577	786	728	1,569	8,507
TOTAL DISBURSEMENTS	799	933	1,072	845	906	1,347	1,063	966	1,200	1,147	1,049	2,101	13,428
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(708)	494	509	405	418	(648)	3,524
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(45)	(73)	(739)	(1,552)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	389	389
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(754)	448	420	360	345	(998)	2,361
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(121)	(17)	63	342	(96)	(85)	243	(280)	345	319
CLOSING BALANCE	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(884)	(1,164)	(819)	(819)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(633)	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(421)	(668)	(633)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	48	44	59	643
Business Taxes	60	55	60	53	60	60	60	53	54	54	52	57	678
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	114	108	128	1,440
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	714	124	2,987	7,717
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	418	194	391	356	299	734	1,818	238	536	828	232	3,118	9,162
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	4	4	0	53
Public Health	28	40	18	69	16	16	31	24	40	62	77	61	482
Mental Hygiene	4	1	3	6	2	4	6	10	5	13	17	62	133
School Aid	8	3	9	7	8	16	9	13	21	40	40	201	375
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	194	10	28	22	7	499	38	7	269	30	30	163	1,297
All Other Local	90	313	403	100	80	145	175	165	225	157	118	(158)	1,813
Total Local Assistance	325	367	478	206	117	722	264	221	564	322	286	343	4,215
Economic Development	3	3	22	3	28	1	2	35	1	131	140	493	862
Parks & the Environment	33	40	45	48	88	52	58	70	43	82	88	131	778
Transportation	169	199	186	209	217	211	264	245	209	250	248	255	2,662
Health & Social Welfare	3	4	5	5	6	9	4	5	7	11	13	64	136
Mental Hygiene	24	32	34	26	25	24	25	32	27	30	23	25	327
Public Protection	36	37	38	53	50	44	32	53	61	47	42	31	524
Education	57	78	47	85	145	72	134	80	59	130	89	130	1,106
All Other	44	52	59	67	72	53	71	63	74	26	20	416	1,017
Total Capital Projects	369	445	436	496	631	466	590	583	481	707	663	1,545	7,412
TOTAL DISBURSEMENTS	694	812	914	702	748	1,188	854	804	1,045	1,029	949	1,888	11,627
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(532)	494	509	405	543	(554)	3,919
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(45)	(73)	(728)	(1,541)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	389	389
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(578)	448	420	360	470	(893)	2,767
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(134)	(33)	45	386	(118)	(89)	159	(247)	337	302
CLOSING BALANCE	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(421)	(668)	(331)	(331)

CAPITAL PROJECTS FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(505)	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(463)	(496)	(505)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	38	143	132	156	174	177	341	184	159	202	192	326	2,224
TOTAL RECEIPTS	38	143	132	156	174	177	341	184	159	202	192	326	2,224
DISBURSEMENTS:													
Public Health	0	0	0	0	0	1	38	0	0	0	3	28	70
Transportation	40	37	48	39	39	32	50	32	59	39	34	32	481
All Other Local	0	0	10	0	0	10	0	8	0	0	(2)	129	155
Total Local Assistance	40	37	58	39	39	43	88	40	59	39	35	189	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	1	1	2	1	1	1	4	4	2	21
Transportation	60	80	95	100	116	112	116	117	92	73	59	19	1,039
Health & Social Welfare	1	1	1	0	0	0	1	0	0	0	0	5	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	3	1	3	3	2	2	3	4	3	2	2	(2)	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Capital Projects	65	84	100	104	119	116	121	122	96	79	65	24	1,095
TOTAL DISBURSEMENTS	105	121	158	143	158	159	209	162	155	118	100	213	1,801
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	(176)	0	0	0	(125)	(94)	(395)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	(11)	(11)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	(176)	0	0	0	(125)	(105)	(406)
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	(26)	13	16	18	(44)	22	4	84	(33)	8	17
CLOSING BALANCE	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(463)	(496)	(488)	(488)

**CASHFLOW
STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,729	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	17,806	17,388		11,729
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,757	3,416	3,526	0	53,016
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,414	1,784	1,940	1,244	1,597	0	18,148
Business Taxes	807	(84)	1,496	298	103	1,619	207	185	1,712	229	155	2,259	0	8,986
Other Taxes	164	144	164	257	135	152	249	189	231	191	189	2,240	0	2,240
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,717	5,004	7,557	0	82,390
Abandoned Property	1	0	0	0	5	30	35	215	0	0	0	164	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCPA	541	448	435	511	441	473	467	396	456	481	477	391	0	5,517
Investment Income	22	17	20	13	14	13	14	13	11	6	6	3	0	152
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	60	60	62	0	695
Lottery	298	349	266	316	247	254	315	251	251	324	268	282	0	3,421
Medicaid	92	76	82	73	80	77	75	74	74	75	75	72	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	40	44	50	0	540
Reimbursements	7	29	33	(69)	70	40	10	20	41	11	11	(91)	0	112
State University Income	336	258	262	340	441	692	415	260	255	452	665	347	0	4,723
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	636	396	779	632	414	1,066	2,078	407	915	1,103	372	3,278	0	12,076
Total Miscellaneous Receipts	2,646	1,819	1,960	1,954	1,811	2,751	3,581	1,719	2,132	2,558	1,983	4,563	0	29,477
Federal Receipts	0	0	(1)	1	54	2	1	0	0	0	3	20	0	80
TOTAL RECEIPTS	14,207	5,704	10,603	7,268	6,425	11,192	8,022	5,973	10,148	13,275	6,990	12,140	0	111,947
DISBURSEMENTS:														
School Aid	783	3,899	2,080	373	607	4,021	1,019	1,820	2,135	849	937	9,253	0	27,776
Higher Education	38	24	337	1,112	28	141	85	25	145	37	328	627	0	2,927
All Other Education	98	58	132	185	50	736	187	55	140	50	157	528	0	2,376
STAR	0	0	0	0	0	0	0	3	38	2,124	0	11	0	2,176
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	1,960	742	(316)	0	21,724
Public Health	112	96	222	153	211	104	119	108	171	261	151	635	0	2,343
Mental Hygiene	128	64	271	187	77	204	182	66	305	141	1,131	788	0	3,544
Children and Families	20	22	30	29	94	90	364	187	68	179	224	219	0	1,514
Temporary & Disability Assistance	67	65	166	106	95	121	65	245	65	126	115	136	0	1,372
Transportation	262	437	307	296	396	826	318	506	1,008	99	123	271	0	4,849
Unrestricted Aid	0	11	388	0	7	39	10	0	193	4	4	68	0	724
All Other	160	283	566	168	180	222	238	287	309	261	232	308	0	3,214
Total Local Assistance	4,970	7,559	6,291	4,462	3,520	8,382	4,681	5,652	6,259	6,091	4,144	12,528	0	74,539
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,261	1,101	1,155	0	14,289
Non-Personal Service	404	500	418	438	473	406	516	430	400	546	486	405	0	5,422
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,807	1,587	1,560	0	19,711
General State Charges	792	2,436	421	464	518	549	639	540	514	586	563	659	0	8,681
Debt Service	72	122	230	45	74	434	48	75	412	45	720	2,889	0	5,166
Capital Projects	369	445	436	496	631	466	590	583	481	707	663	1,545	0	7,412
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,052	6,421	11,301	7,838	8,364	9,158	9,236	7,677	19,181	0	115,509
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,214	2,831	4,931	3,281	2,991	4,774	1,841	2,379	4,023	4,741	2,405	5,390	(483)	45,318
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,175)	(2,799)	(4,721)	(1,503)	(2,281)	(3,674)	(4,417)	(2,136)	(5,097)	483	(42,845)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	389	0	389
NET OTHER FINANCING SOURCES(USES)	34	282	135	106	192	53	338	98	349	324	269	682	0	2,862
Excess/(Deficiency) of Receipts over Disbursements/	6,543	(6,728)	1,869	322	196	(56)	522	(2,293)	1,339	4,363	(418)	(6,359)	0	(700)
CLOSING BALANCE	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	17,806	17,388	11,029	0	11,029

**CASHFLOW
GENERAL FUND
FY 2021
(dollars in millions)**

	2020 April Projected	2020 May Projected	2020 June Projected	2020 July Projected	2020 August Projected	2020 September Projected	2020 October Projected	2020 November Projected	2020 December Projected	2021 January Projected	2021 February Projected	2021 March Projected	2021 Total
OPENING BALANCE	6,527	11,039	3,792	3,825	3,886	3,313	5,774	6,010	4,482	7,014	9,904	10,510	6,527
RECEIPTS:													
Personal Income Tax	4,804	1,121	2,849	1,694	1,496	2,822	1,358	1,308	2,405	2,515	1,944	2,089	26,405
Consumption/Use Taxes	645	633	830	685	646	826	675	654	817	731	583	771	8,496
Business Taxes	383	77	1,280	114	119	1,313	123	62	1,425	131	63	2,138	7,228
Other Taxes	99	99	100	99	101	100	99	100	99	99	99	99	1,193
Total Taxes	5,931	1,930	5,059	2,592	2,362	5,061	2,255	2,124	4,746	3,476	2,689	5,097	43,322
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	450
ABC License Fee	6	6	6	6	6	6	6	5	6	6	6	6	67
Investment Income	9	5	5	10	5	5	10	5	5	5	10	5	79
Licenses, Fees, etc.	50	40	55	60	65	35	55	75	60	40	65	77	677
Motor Vehicle Fees	35	36	12	36	25	25	35	27	22	21	25	32	331
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	124
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Transactions	8	7	46	30	10	95	26	9	64	8	21	54	378
Total Miscellaneous Receipts	114	100	143	148	117	196	173	352	176	86	163	338	2,106
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	4,804	1,072	2,849	1,377	1,015	3,076	1,358	1,138	2,440	2,699	1,888	2,679	26,395
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	2
Tax in Excess of LGAC	289	123	559	302	290	387	301	302	384	324	35	366	3,662
Sales Tax Bond Fund	175	179	276	188	187	326	175	176	258	198	148	365	2,651
Real Estate Taxes in Excess of CW/CA Debt Service	79	88	91	92	98	88	77	84	79	83	61	54	974
All Other	104	99	104	99	100	183	104	125	101	141	158	868	2,186
Total Transfers from Other Funds	5,451	1,561	3,879	2,058	1,690	4,060	2,015	1,825	3,262	3,445	2,290	4,334	35,870
TOTAL RECEIPTS	11,496	3,591	9,081	4,798	4,169	9,317	4,443	4,301	8,184	7,007	5,142	9,769	81,298
DISBURSEMENTS:													
School Aid	804	4,038	1,786	369	623	1,554	949	1,729	2,038	631	779	9,100	24,400
Higher Education	14	23	1,132	196	89	162	87	30	206	32	315	556	2,842
All Other Education	109	92	498	140	116	246	49	52	392	34	165	403	2,296
Medicaid - DOH	2,798	2,202	1,623	1,238	1,613	1,338	1,009	1,705	565	1,037	1,018	802	16,948
Public Health	48	152	37	53	31	28	27	27	22	31	62	5	523
Mental Hygiene	107	83	345	139	56	326	111	39	364	115	140	305	2,130
Children and Families	36	71	207	71	73	218	77	74	208	82	135	220	1,472
Temporary & Disability Assistance	102	102	102	102	161	102	102	102	102	102	102	109	1,290
Transportation	0	24	14	0	24	0	0	24	5	0	19	0	110
Unrestricted Aid	2	13	381	2	4	44	13	6	192	3	2	68	730
All Other	12	25	404	78	25	329	23	20	331	30	51	706	2,034
Total Local Assistance	4,032	6,825	6,529	2,388	2,815	4,347	2,447	3,808	4,425	2,097	2,788	12,274	54,775
Personal Service	915	705	792	767	696	1,015	705	697	869	684	690	1,024	9,559
Non-Personal Service	193	220	299	199	209	351	233	211	293	218	204	397	3,027
Total State Operations	1,108	925	1,091	966	905	1,366	938	908	1,162	902	894	1,421	12,586
General State Charges	822	2,301	417	505	396	569	544	462	412	467	456	559	7,910
Debt Service	258	0	(2)	85	(3)	(5)	50	0	(2)	219	(21)	(9)	570
Capital Projects	435	409	511	492	522	499	123	452	(451)	382	365	(204)	3,535
SUNY Operations	225	228	364	261	15	14	9	80	18	9	12	38	1,273
Other Purposes	104	150	138	40	92	66	96	119	88	41	42	296	1,272
Total Transfers to Other Funds	1,022	787	1,011	878	626	574	278	651	(347)	651	398	121	6,650
TOTAL DISBURSEMENTS	6,984	10,838	9,048	4,737	4,742	6,856	4,207	5,829	5,652	4,117	4,536	14,375	81,921
Excess/(Deficiency) of Receipts over Disbursements	4,512	(7,247)	33	61	(573)	2,461	236	(1,528)	2,532	2,890	606	(4,606)	(623)
CLOSING BALANCE	11,039	3,792	3,886	3,886	3,313	5,774	6,010	4,482	7,014	9,904	10,510	5,904	5,904

CASHFLOW
STATE OPERATING FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,360	16,939	9,936	10,499	11,513	11,230	11,429	11,731	10,276	12,238	17,514	18,207	0	11,360
RECEIPTS:														
Personal Income Tax	9,608	2,242	5,698	3,388	2,992	5,644	2,718	2,636	4,880	8,918	3,888	4,198	0	56,810
Consumption/Use Taxes	1,418	1,372	1,799	1,448	1,402	1,783	1,432	1,409	1,763	1,527	1,252	1,623	0	18,228
Business Taxes	520	143	1,589	190	192	1,628	199	127	1,756	208	127	2,565	0	9,244
Other Taxes	191	187	191	191	202	191	177	184	180	182	181	163	0	2,220
Total Taxes	11,737	3,941	9,277	5,217	4,788	9,246	4,526	4,356	8,579	10,835	5,448	8,549	0	86,502
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	6	6	6	6	6	6	6	5	6	6	6	2	0	67
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	9	5	5	10	5	5	5	5	5	5	10	5	0	79
Licenses, Fees, etc.	351	40	55	60	35	35	55	75	60	60	65	77	0	677
Lottery	78	282	272	326	258	324	279	259	333	270	282	309	0	3,545
Medicaid	54	78	78	78	78	78	78	78	78	78	78	78	0	936
Motor Vehicle Fees	312	55	27	50	43	43	52	48	36	43	47	53	0	551
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	0	124
State University Income	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Extraordinary Settlements	283	248	(24)	287	265	39	272	245	(11)	247	311	(391)	0	1,781
Other Transactions	1,699	1,493	1,179	1,639	1,620	1,728	1,695	1,768	1,196	1,659	2,027	969	0	18,672
Total Miscellaneous Receipts	0	0	0	(1)	2	35	0	0	0	0	2	36	0	74
Federal Receipts	13,436	5,437	10,456	6,855	6,410	11,009	6,221	6,124	9,775	12,494	7,477	9,554	0	105,248
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	804	4,038	2,136	369	623	3,838	1,096	1,876	2,185	778	926	9,364	0	28,033
Higher Education	14	23	1,132	196	89	162	87	30	206	32	315	556	0	2,842
All Other Education	109	92	499	141	119	248	51	52	393	34	165	406	0	2,309
STAR	0	0	0	0	0	0	1	10	35	1,944	0	10	0	2,000
Medicaid - DOH	3,251	2,654	1,997	1,798	2,017	1,898	1,491	2,109	1,125	1,519	1,619	1,291	0	22,769
Public Health	117	197	119	108	209	111	117	85	136	111	116	140	0	1,566
Mental Hygiene	107	83	346	139	327	327	111	39	365	115	140	308	0	2,136
Children and Families	37	71	207	71	73	218	77	74	208	82	135	223	0	1,476
Temporary & Disability Assistance	102	102	102	102	161	102	102	102	102	102	102	109	0	1,290
Transportation	68	537	293	307	482	305	320	612	893	68	122	68	0	4,075
Unrestricted Aid	2	13	381	2	4	44	13	6	192	3	2	68	0	730
All Other	72	77	144	142	71	69	88	65	70	89	95	546	0	1,528
Total Local Assistance	4,683	7,887	7,356	3,375	3,904	7,322	3,594	5,060	5,910	4,877	3,737	13,089	0	70,754
Personal Service	1,355	1,253	1,115	1,153	1,096	1,338	1,261	1,185	1,273	1,095	1,108	1,376	0	14,608
Non-Personal Service	398	460	481	368	527	461	458	439	424	449	437	520	0	5,422
Total State Operations	1,753	1,713	1,596	1,521	1,623	1,799	1,719	1,624	1,697	1,544	1,545	1,896	0	20,030
General State Charges	919	2,385	489	575	465	667	635	580	566	541	540	653	0	9,015
Debt Service	124	149	87	11	346	923	14	33	230	11	881	3,203	0	6,012
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS														
7,479	12,134	9,528	5,482	6,338	10,711	5,922	7,297	8,403	6,973	18,841	6,703	18,841	0	105,811
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,136	2,062	4,541	2,617	1,986	4,504	2,337	2,194	3,526	3,882	2,649	5,901	(487)	41,848
Transfers to other funds	(6,514)	(2,368)	(4,906)	(2,976)	(2,341)	(4,603)	(2,334)	(2,476)	(2,936)	(4,127)	(2,730)	(4,531)	487	(42,355)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(378)	(306)	(365)	(359)	(355)	(99)	3	(282)	590	(245)	(81)	1,370	0	(507)
Excess/(Deficiency) of Receipts over Disbursements	5,579	(7,003)	563	1,014	(283)	199	302	(1,455)	1,962	5,276	693	(7,917)	0	(1,070)
CLOSING BALANCE	16,939	9,936	10,499	11,513	11,230	11,429	11,731	10,276	12,238	17,514	18,207	10,290	0	10,290

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,563	17,668	10,186	11,252	12,312	11,402	13,426	12,961	11,121	14,046	19,211	19,217		12,563
RECEIPTS:														
Personal Income Tax	9,608	2,242	5,698	3,388	2,992	5,644	2,718	2,636	4,880	8,918	3,888	4,198	0	56,810
Consumption/Use Taxes	1,465	1,417	1,866	1,497	1,453	1,857	1,481	1,455	1,832	1,574	1,296	1,680	0	18,873
Business Taxes	574	195	1,648	246	253	1,688	253	182	1,814	260	181	2,623	0	9,910
Other Taxes	191	187	203	203	214	203	189	196	192	194	193	174	0	2,339
Total Taxes	11,838	4,041	9,415	5,334	4,905	9,392	4,641	4,469	8,718	10,946	5,558	8,675	0	87,952
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	6	6	6	6	6	6	6	5	6	6	6	2	0	67
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	9	5	5	10	5	5	10	5	5	5	10	79	0	79
Licenses, Fees, etc.	50	40	55	60	65	35	55	75	60	40	65	77	0	677
Lottery	351	282	272	326	258	324	279	259	333	270	282	309	0	3,545
Medical	78	78	78	78	78	78	78	78	78	78	78	78	0	936
Motor Vehicle Fees	54	55	27	50	43	43	52	48	36	43	47	53	0	551
Reimbursements	6	6	19	6	6	6	6	6	6	6	6	6	0	124
State University Income	312	326	307	306	454	683	437	380	210	518	747	280	0	4,960
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Transactions	404	387	186	511	410	175	1,666	522	1,526	855	427	2,293	0	9,362
Total Miscellaneous Receipts	1,810	1,632	1,389	1,863	1,765	1,864	3,089	2,045	2,733	2,267	2,143	3,653	0	26,253
Federal Receipts	5,662	4,892	6,084	4,954	4,457	7,539	3,567	4,823	7,046	4,846	4,707	3,610	0	62,187
TOTAL RECEIPTS	19,310	10,565	16,888	12,151	11,127	18,795	11,297	11,337	18,497	18,059	12,408	15,938	0	176,372
DISBURSEMENTS:														
School Aid	1,134	4,568	2,346	599	773	3,968	1,286	2,066	2,425	1,088	1,156	9,790	0	31,199
Higher Education	14	23	1,132	196	89	162	87	30	206	32	315	556	0	2,842
All Other Education	167	151	559	198	208	355	159	110	451	92	223	567	0	3,240
STAR	0	0	0	0	0	0	1	10	35	1,944	0	10	0	2,000
Medical - DOH	7,336	6,216	5,941	5,220	5,666	5,147	4,961	5,860	5,388	5,367	5,456	4,287	0	66,795
Public Health	272	350	395	293	382	401	305	262	471	308	308	589	0	4,348
Mental Hygiene	131	103	361	158	80	350	135	63	384	138	171	338	0	2,412
Children and Families	95	149	285	149	151	296	150	132	286	160	213	303	0	2,394
Temporary & Disability Assistance	436	299	507	315	551	591	280	353	590	312	289	560	0	4,859
Transportation	123	593	390	364	540	493	378	670	1,150	125	178	585	0	5,589
Unrestricted Aid	2	13	381	2	4	44	13	6	192	3	2	68	0	730
All Other	833	414	335	427	289	379	402	323	316	302	279	843	0	5,142
Total Local Assistance	10,543	12,879	12,632	7,921	8,549	12,146	8,162	9,905	11,844	9,883	8,590	18,496	0	131,550
Personal Service	1,421	1,306	1,167	1,202	1,146	1,405	1,313	1,238	1,322	1,142	1,161	1,446	0	15,269
Non-Personal Service	503	589	601	490	577	598	512	566	512	570	527	632	0	6,810
Total State Operations	1,924	1,895	1,768	1,692	1,791	1,982	1,911	1,804	1,834	1,712	1,688	2,078	0	22,079
General State Charges	952	2,413	517	602	492	700	662	607	593	566	568	691	0	9,363
Debt Service	124	149	87	11	346	923	14	33	230	11	881	3,203	0	6,012
Capital Projects	645	666	802	860	853	1,013	1,002	821	1,064	715	668	(84)	0	9,025
TOTAL DISBURSEMENTS	14,188	18,002	15,806	11,086	12,031	16,764	11,751	13,170	15,565	12,887	12,395	24,384	0	178,029
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,592	2,482	5,060	3,133	2,518	5,016	2,481	2,657	3,089	4,279	3,041	5,905	(487)	45,766
Transfers to other funds	(6,609)	(2,527)	(5,076)	(3,138)	(2,524)	(5,023)	(2,492)	(2,664)	(3,096)	(4,286)	(3,048)	(5,935)	487	(45,931)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES/(USES)	(17)	(45)	(16)	(5)	(6)	(7)	(11)	(7)	(7)	(7)	(7)	820	0	685
Excess/(Deficiency) of Receipts over Disbursements	5,105	(7,482)	1,066	1,060	(910)	2,024	(465)	(1,840)	2,925	5,165	6	(7,626)	0	(972)
CLOSING BALANCE	17,668	10,186	11,252	12,312	11,402	13,426	12,961	11,121	14,046	19,211	19,217	11,591	0	11,591

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	6,764	7,738	7,615	8,881	9,510	9,103	10,275	8,895	8,379	9,010	8,883	8,846		6,764
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	10	35	1,944	0	10	0	2,000
Consumption/Use Taxes	195	153	190	159	154	182	154	150	177	147	120	123	0	1,904
Business Taxes	137	66	309	76	73	315	76	65	331	77	64	427	0	2,016
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	332	219	499	235	227	497	231	225	543	2,168	184	560	0	5,920
HGRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
State University Income	312	326	307	306	454	683	437	380	210	518	747	280	0	4,960
Lottery	351	282	272	326	326	324	279	259	333	270	282	309	0	3,545
Medicaid	78	78	78	78	78	78	78	78	78	78	78	78	0	936
Motor Vehicle Fees	19	19	15	14	18	18	17	21	14	22	22	21	0	220
Other Transactions	275	250	(102)	238	241	(67)	210	211	(104)	227	278	(405)	0	1,252
Total Miscellaneous Receipts	1,575	1,402	1,004	1,472	1,489	1,521	1,486	1,391	991	1,561	1,852	671	0	16,415
Federal Receipts	5,500	4,725	5,913	4,791	4,293	7,329	3,367	4,653	6,824	4,666	4,525	3,334	0	59,920
TOTAL RECEIPTS	7,407	6,346	7,416	6,498	6,009	9,347	5,084	6,269	8,358	8,395	6,561	4,565	0	82,255
DISBURSEMENTS:														
School Aid	300	500	520	200	120	2,374	307	307	347	427	347	650	0	6,399
Higher Education	0	0	0	0	60	109	109	57	58	57	57	158	0	895
All Other Education	57	57	58	58	0	0	1	10	35	1,944	0	10	0	2,000
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	4,538	4,014	4,318	3,982	4,053	3,809	3,952	4,155	4,773	4,330	4,438	3,485	0	49,847
Public Health	194	181	341	188	311	332	209	178	350	203	170	492	0	3,149
Mental Hygiene	14	11	6	9	15	14	15	12	9	10	15	13	0	143
Children and Families	59	78	78	78	78	78	78	78	78	78	78	83	0	922
Temporary & Disability Assistance	334	197	374	213	206	418	178	251	457	210	187	419	0	3,444
Transportation	72	517	283	311	462	309	324	592	892	72	107	67	0	4,008
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	173	51	(241)	182	122	(241)	172	155	(153)	169	148	(104)	0	527
Total Local Assistance	5,741	5,606	5,737	5,221	5,427	7,296	5,345	5,795	6,846	7,500	5,547	5,273	0	71,334
Personal Service	506	601	375	435	450	390	608	541	453	458	471	422	0	5,710
Non-Personal Service	310	363	301	291	426	224	365	353	218	352	319	217	0	3,739
Total State Operations	816	964	676	726	876	614	973	894	671	810	790	639	0	9,449
General State Charges	130	112	100	97	96	131	118	145	181	99	112	132	0	1,453
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	6,687	6,682	6,513	6,044	6,399	8,041	6,436	6,834	7,698	8,409	6,449	6,044	0	82,236
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	370	378	535	355	152	125	152	243	142	98	96	387	(487)	2,546
Transfers to Other Funds	(116)	(165)	(172)	(180)	(169)	(259)	(180)	(194)	(171)	(211)	(245)	(1,075)	487	(2,650)
NET OTHER FINANCING SOURCES/(USES)	254	213	363	175	(17)	(134)	(28)	49	(29)	(113)	(149)	(688)	0	(104)
Excess/(Deficiency) of Receipts over Disbursements	974	(123)	1,266	629	(407)	1,172	(1,380)	(516)	631	(127)	(37)	(2,167)	0	(85)
CLOSING BALANCE	7,738	7,615	8,881	9,510	9,103	10,275	8,895	8,379	9,010	8,883	8,846	6,679	0	6,679

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,742	5,581	5,598	6,207	6,615	6,580	5,164	5,017	4,768	4,246	4,494	4,830		4,742
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	10	35	1,944	0	10	0	2,000
Consumption/Use Taxes	195	153	190	159	154	182	154	150	177	147	120	123	0	1,904
Business Taxes	137	66	309	76	315	76	76	65	331	77	64	427	0	2,016
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	332	219	499	235	227	497	231	225	543	2,168	184	560	0	5,920
HGRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
State University Income	312	326	307	306	454	683	437	380	210	518	747	280	0	4,960
Lottery	351	282	272	326	258	324	279	259	333	270	282	309	0	3,545
Medicaid	78	78	78	78	78	78	78	78	78	78	78	78	0	936
Motor Vehicle Fees	19	19	15	14	18	18	17	21	14	22	22	21	0	220
Other Transactions	262	213	(114)	225	223	(78)	206	204	(107)	207	256	(475)	0	1,022
Total Miscellaneous Receipts	1,562	1,365	992	1,459	1,471	1,510	1,482	1,384	988	1,541	1,830	601	0	16,185
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
TOTAL RECEIPTS	1,894	1,584	1,491	1,694	1,698	2,007	1,713	1,609	1,531	3,709	2,014	1,162	0	22,106
DISBURSEMENTS:														
School Aid	0	0	350	0	0	2,284	147	147	147	147	147	264	0	3,633
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	3	2	2	0	0	0	0	3	0	13
STAR	0	0	0	0	0	0	1	10	35	1,944	0	10	0	2,000
Medicaid - DOH	453	452	374	560	404	560	482	404	560	482	601	489	0	5,821
Public Health	69	45	82	55	178	83	90	58	114	80	54	135	0	1,043
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	3	0	6
Children and Families	1	0	0	0	0	0	0	0	0	0	0	0	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	68	513	279	307	458	305	320	588	888	68	103	68	0	3,965
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	60	52	(260)	64	46	(260)	65	45	(261)	59	44	(160)	0	(506)
Total Local Assistance	651	1,062	827	987	1,089	2,975	1,107	1,252	1,485	2,780	949	815	0	15,979
Personal Service	440	548	323	386	400	323	556	488	404	411	418	352	0	5,049
Non-Personal Service	205	234	181	169	308	108	225	226	130	231	229	105	0	2,351
Total State Operations	645	782	504	555	708	431	781	714	534	642	647	457	0	7,400
General State Charges	97	84	72	70	69	98	91	118	154	74	84	94	0	1,105
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,393	1,928	1,403	1,612	1,866	3,504	1,979	2,084	2,173	3,496	1,680	1,366	0	24,484
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	370	378	535	355	152	125	152	243	142	98	96	375	(487)	2,534
Transfers to Other Funds	(32)	(17)	(14)	(29)	(33)	(44)	(33)	(17)	(22)	(63)	(94)	(699)	487	(596)
NET OTHER FINANCING SOURCES/(USES)	338	361	521	326	119	81	119	226	120	35	2	(324)	0	1,938
Excess/(Deficiency) of Receipts over Disbursements	839	17	609	408	(35)	(1,416)	(147)	(249)	(522)	248	336	(528)	0	(440)
CLOSING BALANCE	5,581	5,598	6,207	6,615	6,580	5,164	5,017	4,768	4,246	4,494	4,830	4,302	0	4,302

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,022	2,157	2,017	2,674	2,895	2,523	5,111	3,878	3,611	4,764	4,389	4,016	2,022
RECEIPTS:													
Miscellaneous Receipts	13	37	12	13	18	11	4	7	3	20	22	70	230
Federal Receipts	5,500	4,725	5,913	4,791	4,293	7,329	3,367	4,653	6,824	4,666	4,525	3,333	59,919
TOTAL RECEIPTS	5,513	4,762	5,925	4,804	4,311	7,340	3,371	4,660	6,827	4,686	4,547	3,403	60,149
DISBURSEMENTS:													
School Aid	300	500	170	200	120	90	160	160	200	280	200	386	2,766
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	57	57	57	57	57	107	107	57	57	57	57	155	882
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	4,085	3,562	3,944	3,422	3,649	3,249	3,470	3,751	4,213	3,848	3,837	2,996	44,026
Public Health	125	136	259	133	133	249	119	120	236	123	116	357	2,106
Mental Hygiene	14	11	5	9	15	13	15	12	8	10	15	10	137
Children and Families	58	78	78	78	78	78	78	78	78	78	78	80	918
Temporary & Disability Assistance	334	197	374	213	206	418	178	251	457	210	187	419	3,444
Transportation	4	4	4	4	4	4	4	4	4	4	4	(1)	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	113	(1)	19	118	76	113	107	110	108	110	104	56	1,033
Total Local Assistance	5,090	4,544	4,910	4,234	4,338	4,321	4,238	4,543	5,361	4,720	4,598	4,458	55,355
Personal Service	66	53	52	49	50	67	52	53	49	47	53	70	661
Non-Personal Service	105	129	120	122	118	116	140	127	88	121	90	112	1,388
Total State Operations	171	182	172	171	168	183	192	180	137	168	143	182	2,049
General State Charges	33	28	28	27	27	33	27	27	27	25	28	38	348
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,294	4,754	5,110	4,432	4,533	4,537	4,457	4,750	5,525	4,913	4,769	4,678	57,752
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	12	12
Transfers to Other Funds	(84)	(148)	(158)	(151)	(150)	(215)	(147)	(177)	(149)	(148)	(151)	(376)	(2,054)
NET OTHER FINANCING SOURCES/(USES)	(84)	(148)	(158)	(151)	(150)	(215)	(147)	(177)	(149)	(148)	(151)	(364)	(2,042)
Excess/(Deficiency) of Receipts over Disbursements	135	(140)	657	221	(372)	2,588	(1,233)	(267)	1,153	(375)	(373)	(1,639)	355
CLOSING BALANCE	2,157	2,017	2,674	2,895	2,523	5,111	3,878	3,611	4,764	4,389	4,016	2,377	2,377

**CASHFLOW
DEBT SERVICE FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	91	319	546	467	1,012	1,337	491	704	1,026	978	3,116	2,867	91
RECEIPTS:													
Personal Income Tax	4,804	1,121	2,849	1,694	1,496	2,822	1,359	1,318	2,440	4,459	1,944	2,099	28,405
Consumption/Use Taxes	578	586	779	604	602	775	603	605	769	649	549	729	7,828
Other Taxes	92	88	91	92	101	91	78	84	81	83	82	64	1,027
Total Taxes	5,474	1,795	3,719	2,390	2,199	3,688	2,040	2,007	3,290	5,191	2,575	2,892	37,260
Miscellaneous Receipts	23	28	44	32	32	22	40	32	32	32	34	30	381
Federal Receipts	0	0	0	(1)	2	35	0	0	0	0	2	35	73
TOTAL RECEIPTS	5,497	1,823	3,763	2,421	2,233	3,745	2,080	2,039	3,322	5,223	2,611	2,957	37,714
DISBURSEMENTS:													
Slate Operations	0	6	1	0	10	2	0	2	1	0	4	18	44
Debt Service	124	149	87	11	346	923	14	33	230	11	881	3,203	6,012
TOTAL DISBURSEMENTS	124	155	88	11	356	925	14	35	231	11	885	3,221	6,056
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	315	123	127	204	144	319	170	126	122	339	263	1,192	3,444
Transfers to Other Funds	(5,460)	(1,564)	(3,881)	(2,069)	(1,696)	(3,985)	(2,023)	(1,808)	(3,261)	(3,413)	(2,238)	(3,711)	(35,109)
NET OTHER FINANCING SOURCES/(USES)	(5,145)	(1,441)	(3,754)	(1,865)	(1,552)	(3,666)	(1,853)	(1,682)	(3,139)	(3,074)	(1,975)	(2,519)	(31,665)
Excess/(Deficiency) of Receipts over Disbursements	228	227	(79)	545	325	(846)	213	322	(48)	2,138	(249)	(2,783)	(7)
CLOSING BALANCE	319	546	467	1,012	1,337	491	704	1,026	978	3,116	2,867	84	84

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(819)	(1,428)	(1,767)	(1,921)	(2,096)	(2,351)	(3,114)	(2,648)	(2,766)	(2,956)	(2,692)	(3,006)	(819)
RECEIPTS:													
Consumption/Use Taxes	47	45	67	49	51	74	49	46	69	47	44	57	645
Business Taxes	54	52	59	56	54	60	54	55	52	52	54	58	666
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	101	97	138	117	117	146	115	113	139	111	110	126	1,430
Miscellaneous Receipts	98	102	198	211	127	125	1,390	270	1,534	588	94	2,614	7,351
Federal Receipts	162	167	171	164	162	175	200	170	222	180	180	241	2,194
TOTAL RECEIPTS	361	366	507	492	406	446	1,705	553	1,895	879	384	2,981	10,975
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	3	0	32	0	1	1	1	1	1	6	49
Public Health	30	17	17	52	40	41	69	57	99	86	76	92	676
Mental Hygiene	10	9	10	10	9	10	9	12	11	13	16	20	139
School Aid	30	30	40	30	30	40	30	30	40	30	30	40	400
Temporary & Disability Assistance	0	0	31	0	0	31	0	31	0	0	0	32	125
Transportation	51	52	93	53	54	184	54	54	253	53	52	518	1,471
All Other Local	648	338	172	167	142	197	207	148	138	103	80	241	2,581
Total Local Assistance	770	448	366	312	307	503	370	302	573	286	255	949	5,441
Economic Development	81	55	60	132	60	111	191	134	65	59	46	100	1,094
Parks & the Environment	52	58	63	60	63	58	49	56	216	54	52	84	865
Transportation	275	300	350	357	371	477	390	394	466	337	334	391	4,442
Health & Social Welfare	7	9	7	4	5	7	18	6	10	10	10	50	143
Mental Hygiene	18	21	31	23	22	18	26	21	26	29	27	38	300
Public Protection	42	42	47	43	42	47	45	45	50	43	43	47	536
Education	84	81	83	99	169	124	163	81	91	80	70	93	1,218
All Other	86	100	161	142	121	171	120	84	140	103	86	(887)	427
Total Capital Projects	645	666	802	860	853	1,013	1,002	821	1,064	715	668	(84)	9,025
TOTAL DISBURSEMENTS	1,415	1,114	1,168	1,172	1,160	1,516	1,372	1,123	1,637	1,001	923	865	14,466
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	456	420	519	516	532	512	144	463	(437)	397	392	(8)	3,906
Transfers to Other Funds	(11)	(11)	(12)	(11)	(33)	(205)	(11)	(11)	(11)	(11)	(167)	(1,028)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	445	409	507	505	499	307	133	452	(448)	386	225	(186)	3,234
Excess/(Deficiency) of Receipts over Disbursements	(609)	(339)	(154)	(175)	(255)	(763)	466	(118)	(190)	264	(314)	1,930	(257)
CLOSING BALANCE	(1,428)	(1,767)	(1,921)	(2,096)	(2,351)	(3,114)	(2,648)	(2,766)	(2,956)	(2,692)	(3,006)	(1,076)	(1,076)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(331)	(981)	(1,348)	(1,514)	(1,694)	(1,936)	(2,530)	(2,090)	(2,205)	(2,424)	(2,247)	(2,506)	(331)
RECEIPTS:													
Consumption/Use Taxes	47	45	67	49	51	74	49	46	69	47	44	57	645
Business Taxes	54	52	59	56	54	60	54	55	58	52	54	58	666
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	101	97	138	117	117	146	115	113	139	111	110	126	1,430
Miscellaneous Receipts	98	102	198	211	127	125	1,390	270	1,534	588	94	2,614	7,351
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	5	5
TOTAL RECEIPTS	199	199	336	328	244	271	1,505	383	1,673	699	204	2,745	8,786
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	3	0	32	0	1	1	1	1	1	6	49
Public Health	30	17	17	52	40	40	69	57	57	86	73	68	606
Mental Hygiene	10	9	10	10	9	10	9	12	11	13	16	20	139
School Aid	30	30	40	40	30	30	30	30	30	40	30	40	400
Temporary & Disability Assistance	0	0	31	0	0	31	0	0	31	0	0	32	125
Transportation	11	12	53	13	14	144	14	14	213	13	12	477	990
All Other Local	635	325	159	154	129	184	194	135	125	90	68	228	2,426
Total Local Assistance	717	395	313	259	254	449	317	249	478	233	200	871	4,735
Economic Development	81	55	60	132	60	111	191	134	65	59	46	100	1,094
Parks & the Environment	50	56	61	58	61	56	48	55	215	53	51	80	844
Transportation	215	220	250	257	256	362	275	279	376	302	299	360	3,451
Health & Social Welfare	6	8	6	4	5	7	17	6	7	10	10	48	134
Mental Hygiene	18	21	31	23	22	18	26	21	26	29	27	38	300
Public Protection	38	39	44	40	39	44	42	42	47	40	40	49	504
Education	84	81	83	99	169	124	163	81	91	80	70	93	1,218
All Other	85	99	160	141	120	170	119	83	139	102	85	(884)	419
Total Capital Projects	577	579	695	754	732	892	881	701	966	675	628	(116)	7,964
TOTAL DISBURSEMENTS	1,294	974	1,008	1,013	986	1,341	1,198	950	1,444	908	828	755	12,699
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	456	419	519	516	532	682	144	463	(437)	397	532	85	4,308
Transfers to Other Funds	(11)	(11)	(13)	(11)	(32)	(206)	(11)	(11)	(11)	(11)	(167)	(1,016)	(1,511)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	445	408	506	505	500	476	133	452	(448)	386	365	(81)	3,647
Excess/(Deficiency) of Receipts over Disbursements	(650)	(367)	(166)	(180)	(242)	(594)	(2,090)	(115)	(219)	177	(259)	1,909	(266)
CLOSING BALANCE	(981)	(1,348)	(1,514)	(1,694)	(1,936)	(2,530)	(2,090)	(2,205)	(2,424)	(2,247)	(2,506)	(2,506)	(597)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2021**
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(488)	(447)	(419)	(407)	(402)	(415)	(584)	(558)	(561)	(532)	(445)	(500)	(488)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	162	167	171	164	162	175	200	170	222	180	180	236	2,189
TOTAL RECEIPTS	162	167	171	164	162	175	200	170	222	180	180	236	2,189
DISBURSEMENTS:													
Public Health	0	0	0	0	0	1	0	0	42	0	3	24	70
Transportation	40	40	40	40	40	40	40	40	40	40	40	41	481
All Other Local	13	13	13	13	13	13	13	13	13	13	12	13	155
Total Local Assistance	53	53	53	53	53	54	53	53	95	53	55	78	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	2	2	2	2	2	1	1	1	1	1	4	21
Transportation	60	80	100	100	115	115	115	115	90	35	35	31	991
Health & Social Welfare	1	1	1	0	0	0	1	0	3	0	0	2	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	4	3	3	3	3	3	3	3	3	3	3	(2)	32
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	1	1	1	1	1	1	1	1	1	1	1	(3)	8
Total Capital Projects	68	87	107	106	121	121	121	120	98	40	40	32	1,061
TOTAL DISBURSEMENTS	121	140	160	159	174	175	174	173	193	93	95	110	1,767
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	1	0	0	0	(170)	0	0	0	0	(140)	(93)	(402)
Transfers to Other Funds	0	0	1	0	(1)	1	0	0	0	0	0	(12)	(11)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	1	1	0	(1)	(169)	0	0	0	0	(140)	(105)	(413)
Excess/(Deficiency) of Receipts over Disbursements	41	28	12	5	(13)	(169)	26	(3)	29	87	(55)	21	9
CLOSING BALANCE	(447)	(419)	(407)	(402)	(415)	(584)	(558)	(561)	(532)	(445)	(500)	(479)	(479)

**CASHFLOW
STATE FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,029	15,958	8,588	8,985	9,819	9,294	8,899	9,641	8,071	9,814	15,267	15,701		11,029
RECEIPTS:														
Personal Income Tax	9,608	2,242	5,698	3,388	2,992	5,644	2,718	2,636	4,880	8,918	3,888	4,198	0	56,810
Consumption/Use Taxes	1,465	1,417	1,866	1,497	1,453	1,857	1,481	1,455	1,852	1,574	1,296	1,680	0	18,873
Business Taxes	574	195	1,648	246	246	1,688	253	182	1,814	260	181	2,623	0	9,910
Other Taxes	191	187	203	196	214	203	189	196	192	194	193	174	0	2,339
Total Taxes	11,838	4,041	9,415	5,334	4,905	9,392	4,641	4,469	8,718	10,946	5,558	8,675	0	87,932
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	6	0	450
ABC License Fee	6	6	6	6	6	6	6	5	6	6	6	2	0	67
HCFRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	9	5	5	10	5	5	10	5	5	5	10	5	0	79
Licenses, Fees, etc.	50	40	55	60	65	35	55	75	60	40	65	77	0	677
Lottery	351	282	272	272	258	324	333	259	330	270	282	309	0	3,545
Medicaid	78	78	78	78	78	78	78	78	78	78	78	78	0	936
Motor Vehicle Fees	54	55	27	50	43	43	52	48	36	43	47	53	0	551
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	0	124
State University Income	312	326	307	306	454	683	437	380	210	518	747	280	0	4,960
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Transactions	391	350	174	498	392	174	1,662	515	1,523	835	405	2,223	0	9,132
Total Miscellaneous Receipts	1,797	1,595	1,377	1,850	1,747	1,853	3,085	2,038	2,730	2,247	2,121	3,583	0	26,023
Federal Receipts	0	0	0	(1)	2	35	0	0	0	0	2	41	0	79
TOTAL RECEIPTS	13,635	5,636	10,792	7,183	6,654	11,280	7,726	6,507	11,448	13,193	7,681	12,299	0	114,034
DISBURSEMENTS:														
School Aid	834	4,068	2,176	399	653	3,878	1,126	1,906	2,225	808	956	9,404	0	28,433
Higher Education	14	23	1,132	196	89	162	87	30	206	32	315	556	0	2,842
All Other Education	110	94	502	141	151	248	52	53	394	35	166	412	0	2,358
STAR	0	0	0	0	0	0	1	10	35	35	10	1,944	0	2,000
Medicaid - DOH	3,251	2,654	1,997	1,798	2,017	1,898	1,491	2,109	1,125	1,519	1,619	1,291	0	22,769
Public Health	147	214	136	160	249	151	186	142	193	197	189	208	0	2,172
Mental Hygiene	117	92	356	149	65	337	120	51	376	128	156	328	0	2,275
Children and Families	37	71	207	71	73	218	77	74	208	82	135	223	0	1,476
Temporary & Disability Assistance	102	102	133	102	161	133	102	102	133	102	102	141	0	1,415
Transportation	79	549	346	320	496	349	334	626	1,106	81	134	545	0	5,065
Unrestricted Aid	2	13	381	2	4	44	13	6	192	3	2	68	0	730
All Other	707	402	303	296	200	253	282	200	195	179	163	774	0	3,954
Total Local Assistance	5,400	8,282	7,669	3,634	4,158	7,771	3,871	5,309	6,388	5,110	3,937	13,960	0	75,489
Personal Service	1,355	1,253	1,115	1,153	1,096	1,338	1,261	1,185	1,273	1,095	1,108	1,376	0	14,608
Non-Personal Service	398	460	481	368	527	461	458	439	424	449	437	520	0	5,422
Total State Operations	1,753	1,713	1,596	1,521	1,623	1,799	1,719	1,624	1,697	1,544	1,545	1,896	0	20,030
General State Charges	919	2,385	489	575	465	667	635	580	566	541	540	653	0	9,015
Debt Service	124	149	87	11	346	923	14	33	230	11	881	3,203	0	6,012
Capital Projects	577	579	695	754	732	892	881	701	966	675	628	(116)	0	7,964
TOTAL DISBURSEMENTS	8,773	13,108	10,536	6,495	7,324	12,052	7,120	8,247	9,847	7,881	7,531	19,596	0	118,510
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,592	2,481	5,060	3,133	2,518	5,186	2,481	2,657	3,089	4,279	3,181	5,986	(487)	46,156
Transfers to other funds	(6,525)	(2,379)	(4,919)	(2,987)	(2,373)	(4,809)	(2,345)	(2,487)	(2,947)	(4,138)	(2,897)	(5,547)	487	(43,866)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES (USES)	67	102	141	146	145	377	136	170	142	141	284	1,289	0	3,140
Excess/(Deficiency) of Receipts over Disbursements/	4,929	(7,370)	397	834	(625)	(395)	742	(1,570)	1,743	5,453	434	(6,008)	0	(1,336)
CLOSING BALANCE	15,958	8,588	8,985	9,819	9,294	8,899	9,641	8,071	9,814	15,267	15,701	9,693	0	9,693

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021 THROUGH FY 2024
(millions of dollars)

	FY 2021	FY 2022	FY 2023	FY 2024
	Proposed	Projected	Projected	Projected
Opening Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	678	636	605	575
Miscellaneous receipts	5,502	5,592	5,685	5,779
Total Receipts	<u>6,180</u>	<u>6,228</u>	<u>6,290</u>	<u>6,354</u>
Disbursements and Transfers:				
Medical Assistance Account	3,994	3,899	3,963	4,014
Hospital Indigent Care	892	892	892	892
HCRA Program Account	278	330	330	330
Child Health Plus	649	760	777	789
Elderly Pharmaceutical Insurance Coverage	116	114	114	114
Qualified Health Plan Administration	49	48	47	47
All Other	202	185	167	168
Total Disbursements and Transfers	<u>6,180</u>	<u>6,228</u>	<u>6,290</u>	<u>6,354</u>
Change in Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 and FY 2021
(millions of dollars)

	FY 2020	FY 2021	Annual
	Current	Proposed	Change
Opening Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:			
Taxes	720	678	(42)
Miscellaneous receipts	5,516	5,502	(14)
Total Receipts	<u>6,236</u>	<u>6,180</u>	<u>(56)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,834	3,994	160
Hospital Indigent Care	892	892	0
HCRA Program Account	366	278	(88)
Child Health Plus	747	649	(98)
Elderly Pharmaceutical Insurance Coverage	114	116	2
Qualified Health Plan Administration	48	49	1
All Other	235	202	(33)
Total Disbursements and Transfers	<u>6,236</u>	<u>6,180</u>	<u>(56)</u>
Change in Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)

	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Total
Opening Fund Balance	0	543	283	300	420	137	80	152	223	212	264	278	0
Receipts:													
Taxes	63	59	57	75	64	59	66	58	69	55	40	55	720
Miscellaneous receipts	541	448	436	511	441	473	466	395	456	485	480	384	5,516
Total Receipts	604	507	493	586	505	532	532	453	525	540	520	439	6,236
Disbursements and Transfers:													
Medical Assistance Account	0	600	300	330	551	330	330	330	330	330	347	56	3,834
Hospital Indigent Care	0	123	66	89	59	199	0	0	104	58	59	70	892
HCRA Program Account	33	4	53	15	131	2	24	32	5	45	7	15	366
Child Health Plus	22	21	41	19	23	41	26	3	79	32	32	408	747
Elderly Pharmaceutical Insurance Coverage	5	9	10	10	10	10	10	7	13	12	8	10	114
Qualified Health Plan Administration	0	1	4	1	2	2	4	2	3	10	10	9	48
All Other	1	9	2	2	12	5	1	8	2	1	43	149	235
Total	61	767	476	466	788	589	460	382	536	488	506	717	6,236
Change in Fund Balance	543	(260)	17	120	(283)	(57)	72	71	(11)	52	14	(278)	0
Closing Fund Balance	543	283	300	420	137	80	152	223	212	264	278	0	0

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(dollars in millions)

	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Projected	Total											
Opening Fund Balance	0	137	218	254	351	262	300	310	334	318	319	114	0
Receipts:													
Taxes	54	59	62	66	60	55	60	55	54	55	40	58	678
Miscellaneous receipts	543	450	438	510	440	486	466	442	460	447	445	375	5,502
Total Receipts	597	509	500	576	500	541	526	497	514	502	485	433	6,180
Disbursements and Transfers:													
Medical Assistance Account	300	300	300	330	330	330	330	330	330	330	327	257	3,994
Hospital Indigent Care	74	74	74	74	74	74	74	74	74	74	74	78	892
HCRA Program Account	29	2	2	11	132	2	38	8	2	25	2	25	278
Child Health Plus	33	33	72	33	33	72	43	43	100	43	43	101	649
Elderly Pharmaceutical Insurance Coverage	5	10	10	9	11	10	10	7	13	12	9	10	116
Qualified Health Plan Administration	3	3	3	3	3	3	3	3	3	8	8	6	49
All Other	16	6	3	19	6	12	18	8	8	9	27	70	202
Total	460	428	464	479	589	503	516	473	530	501	690	547	6,180
Change in Fund Balance	137	81	36	97	(89)	38	10	24	(16)	1	(205)	(114)	0
Closing Fund Balance	137	218	254	351	262	300	310	334	318	319	114	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS
(millions of dollars)**

	FY 2020 Current			FY 2021 Proposed			FY 2022 Projected			FY 2023 Projected			FY 2024 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(303)	25	14	(292)	25	15	(280)	22	16	(270)	19	17	(263)	16	18
Receipts:															
Unemployment Taxes	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	613	91	1	646	99	1	598	99	1	605	100	1	607	100	1
Federal Receipts	0	50	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	613	2,591	1	646	2,599	1	598	2,599	1	605	2,600	1	607	2,600	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	146	15	0	146	17	0	144	17	0	145	17	0	145	17	0
Non-Personal Service	498	72	0	543	81	0	496	81	0	501	82	0	503	82	0
Unemployment Benefits	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	74	3	0	77	6	0	78	6	0	78	6	0	78	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	718	2,590	0	766	2,604	0	718	2,604	0	724	2,605	0	726	2,605	0
Other Financing Sources (Uses):															
Transfers from Other Funds	137	0	0	144	3	0	138	3	0	134	3	0	134	3	0
Transfers to Other Funds	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0	(8)	(1)	0
	116	(1)	0	132	2	0	130	2	0	126	2	0	126	2	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	11	0	1	12	(3)	1	10	(3)	1	7	(3)	1	7	(3)	1
Closing Fund Balance	(292)	25	15	(280)	22	16	(270)	19	17	(263)	16	18	(256)	13	19

Workforce Impact Summary

General Fund FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	2,429	2,316	(443)	380	0	0	(63)	2,253
Corrections and Community Supervision, Department of	28,769	26,854	(1,984)	737	0	0	(1,247)	25,607
Education Department, State	328	279	(28)	28	0	0	0	279
Environmental Conservation, Department of	1,048	1,113	(84)	131	0	0	47	1,160
General Services, Office of	404	434	(25)	29	0	0	4	438
Health, Department of	1,568	1,876	(229)	264	0	0	35	1,911
Information Technology Services, Office of	3,541	3,421	(140)	140	0	0	0	3,421
Labor, Department of	0	1	0	0	0	0	0	1
Mental Health, Office of	13,293	13,195	(2,037)	1,932	0	0	(105)	13,090
Motor Vehicles, Department of	164	167	(59)	59	0	0	0	167
Parks, Recreation and Historic Preservation, Office of	1,303	1,238	(93)	93	0	0	0	1,238
People with Developmental Disabilities, Office for	18,640	18,187	(2,384)	2,384	0	0	0	18,187
State Police, Division of	5,367	5,330	(260)	260	0	0	0	5,330
Taxation and Finance, Department of	3,750	3,386	(81)	81	0	0	0	3,386
Temporary and Disability Assistance, Office of	1,011	1,014	(118)	118	0	0	0	1,014
Transportation, Department of	2,692	2,545	(114)	114	0	0	0	2,545
Subtotal - Major Agencies	84,307	81,356	(8,079)	6,750	0	0	(1,329)	80,027
Minor Agencies	4,242	4,318	(539)	577	0	0	38	4,356
Subtotal - Subject to Direct Executive Control	88,549	85,674	(8,618)	7,327	0	0	(1,291)	84,383
University Systems								
State University of New York	3	3	0	0	0	0	0	3
Subtotal - University Systems	3	3	0	0	0	0	0	3
Independently Elected Agencies								
Audit and Control, Department of	1,326	1,336	(67)	67	0	0	0	1,336
Law, Department of	1,083	1,065	(50)	50	0	0	0	1,065
Subtotal - Independently Elected Agencies	2,409	2,401	(117)	117	0	0	0	2,401
Grand Total	90,961	88,078	(8,735)	7,444	0	0	(1,291)	86,787

Workforce Impact Summary

General Fund FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Addiction Services and Supports, Office of	714	722	(92)	92	0	0	0	722
Adirondack Park Agency	49	54	(1)	1	0	0	0	54
Aging, Office for the	12	18	(3)	3	0	0	0	18
Agriculture and Markets, Department of	387	386	(22)	27	0	0	5	391
Alcoholic Beverage Control, Division of	108	120	(18)	18	0	0	0	120
Arts, Council on the	28	30	(3)	3	0	0	0	30
Budget, Division of the	238	245	(50)	50	0	0	0	245
Civil Service, Department of	207	185	(28)	28	0	0	0	185
Correction, Commission of	30	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	380	398	(31)	31	0	0	0	398
Economic Development, Department of	138	152	(35)	35	0	0	0	152
Elections, State Board of	69	77	(5)	5	0	0	0	77
Employee Relations, Office of	66	66	(6)	6	0	0	0	66
Executive Chamber	112	136	(42)	42	0	0	0	136
Gaming Commission, New York State	65	56	(4)	4	0	0	0	56
Housing and Community Renewal, Division of	35	54	(5)	5	0	0	0	54
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	147	124	(13)	13	0	0	0	124
Inspector General, Office of the	81	92	(5)	5	0	0	0	92
Judicial Conduct, Commission on	38	43	(2)	2	0	0	0	43
Justice Center for the Protection of People with Special Needs	413	415	(65)	64	0	0	(1)	414
Labor Management Committees	68	77	(10)	10	0	0	0	77
Lieutenant Governor, Office of the	5	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(22)	56	0	0	34	248
Military and Naval Affairs, Division of	96	104	(14)	14	0	0	0	104
Prevention of Domestic Violence, Office for	17	21	(2)	2	0	0	0	21
Public Employment Relations Board	30	33	(2)	2	0	0	0	33
Public Ethics, Joint Commission on	51	52	(2)	2	0	0	0	52
State, Department of	212	138	(37)	37	0	0	0	138
Statewide Financial System	134	142	(10)	10	0	0	0	142
Tax Appeals, Division of	22	27	(1)	1	0	0	0	27
Veterans' Services, Division of	80	90	(5)	5	0	0	0	90
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	4,242	4,318	(539)	577	0	0	38	4,356

Workforce Impact Summary

State Operating Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	2,486	2,360	(450)	387	0	0	(63)	2,297
Corrections and Community Supervision, Department of	28,773	26,858	(1,985)	738	0	0	(1,247)	25,611
Education Department, State	1,279	1,263	(126)	126	0	0	0	1,263
Environmental Conservation, Department of	2,128	2,235	(168)	215	0	0	47	2,282
Financial Services, Department of	1,342	1,391	(145)	145	0	0	0	1,391
General Services, Office of	460	447	(29)	33	0	0	4	451
Health, Department of	3,515	4,067	(306)	307	0	(16)	(15)	4,052
Information Technology Services, Office of	3,541	3,421	(140)	140	0	0	0	3,421
Labor, Department of	327	508	(55)	55	0	0	0	508
Mental Health, Office of	13,293	13,195	(2,037)	1,932	0	0	(105)	13,090
Motor Vehicles, Department of	661	665	(191)	191	0	0	0	665
Parks, Recreation and Historic Preservation, Office of	1,553	1,524	(114)	114	0	0	0	1,524
People with Developmental Disabilities, Office for	18,640	18,187	(2,384)	2,384	0	0	0	18,187
State Police, Division of	5,704	5,666	(260)	260	0	0	0	5,666
Taxation and Finance, Department of	3,806	4,085	(86)	86	0	0	0	4,085
Temporary and Disability Assistance, Office of	1,011	1,014	(118)	118	0	0	0	1,014
Transportation, Department of	2,729	2,591	(116)	116	0	0	0	2,591
Workers' Compensation Board	1,044	1,109	(56)	56	0	0	0	1,109
Subtotal - Major Agencies	92,292	90,586	(8,766)	7,403	0	(16)	(1,379)	89,207
Minor Agencies	6,521	7,094	(766)	1,007	0	16	257	7,351
Subtotal - Subject to Direct Executive Control	98,813	97,680	(9,532)	8,410	0	0	(1,122)	96,558
University Systems								
City University of New York	377	381	0	0	(381)	0	(381)	0
State University of New York	46,447	46,834	0	0	0	0	0	46,834
Subtotal - University Systems	46,824	47,215	0	0	(381)	0	(381)	46,834
Independently Elected Agencies								
Audit and Control, Department of	1,494	1,524	(77)	77	0	0	0	1,524
Law, Department of	1,551	1,533	(73)	73	0	0	0	1,533
Subtotal - Independently Elected Agencies	3,045	3,057	(150)	150	0	0	0	3,057
Grand Total	148,682	147,952	(9,682)	8,560	(381)	0	(1,503)	146,449

Workforce Impact Summary

State Operating Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Addiction Services and Supports, Office of	714	722	(92)	92	0	0	0	722
Adirondack Park Agency	49	54	(1)	1	0	0	0	54
Aging, Office for the	12	18	(3)	3	0	0	0	18
Agriculture and Markets, Department of	422	429	(24)	43	0	0	19	448
Alcoholic Beverage Control, Division of	108	120	(18)	193	0	16	191	311
Arts, Council on the	28	30	(3)	3	0	0	0	30
Budget, Division of the	252	261	(55)	55	0	0	0	261
Civil Service, Department of	211	191	(30)	30	0	0	0	191
Correction, Commission of	30	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	385	403	(31)	31	0	0	0	403
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	139	153	(35)	35	0	0	0	153
Elections, State Board of	69	77	(5)	5	0	0	0	77
Employee Relations, Office of	66	66	(6)	6	0	0	0	66
Executive Chamber	112	136	(42)	42	0	0	0	136
Financial Control Board, New York State	10	13	0	0	0	0	0	13
Gaming Commission, New York State	397	430	(19)	19	0	0	0	430
Higher Education Services Corporation, New York State	156	176	(19)	19	0	0	0	176
Homeland Security and Emergency Services, Division of	390	509	(30)	30	0	0	0	509
Housing and Community Renewal, Division of	508	655	(58)	58	0	0	0	655
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	147	124	(13)	13	0	0	0	124
Indigent Legal Services, Office of	31	36	(3)	5	0	0	2	38
Inspector General, Office of the	81	92	(5)	5	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	38	43	(2)	2	0	0	0	43
Justice Center for the Protection of People with Special Needs	425	429	(68)	67	0	0	(1)	428
Labor Management Committees	68	77	(10)	10	0	0	0	77
Lieutenant Governor, Office of the	5	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(22)	56	0	0	34	248
Military and Naval Affairs, Division of	96	106	(14)	14	0	0	0	106
Prevention of Domestic Violence, Office for	17	21	(2)	2	0	0	0	21
Public Employment Relations Board	30	33	(2)	2	0	0	0	33
Public Ethics, Joint Commission on	51	52	(2)	2	0	0	0	52
Public Service Department	489	503	(42)	42	0	0	0	503
State, Department of	479	548	(80)	92	0	0	12	560
Statewide Financial System	134	142	(10)	10	0	0	0	142
Tax Appeals, Division of	22	27	(1)	1	0	0	0	27
Veterans' Services, Division of	80	90	(5)	5	0	0	0	90
Victim Services, Office of	47	54	(10)	10	0	0	0	54
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	6,521	7,094	(766)	1,007	0	16	257	7,351

Workforce Impact Summary

State Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	2,552	2,429	(461)	398	0	0	(63)	2,366
Corrections and Community Supervision, Department of	28,800	27,867	(1,987)	740	0	0	(1,247)	26,620
Education Department, State	1,279	1,263	(126)	126	0	0	0	1,263
Environmental Conservation, Department of	2,717	2,851	(214)	261	0	0	47	2,898
Financial Services, Department of	1,342	1,391	(145)	145	0	0	0	1,391
General Services, Office of	881	867	(69)	73	0	0	4	871
Health, Department of	3,639	4,194	(306)	307	0	(16)	(15)	4,179
Information Technology Services, Office of	3,566	3,451	(140)	140	0	0	0	3,451
Labor, Department of	327	508	(55)	55	0	0	0	508
Mental Health, Office of	13,846	13,747	(2,037)	1,932	0	0	(105)	13,642
Motor Vehicles, Department of	2,324	2,762	(547)	547	0	0	0	2,762
Parks, Recreation and Historic Preservation, Office of	1,988	2,008	(150)	172	0	0	22	2,030
People with Developmental Disabilities, Office for	19,024	18,572	(2,430)	2,430	0	0	0	18,572
State Police, Division of	5,784	5,741	(260)	260	0	0	0	5,741
Taxation and Finance, Department of	3,806	4,085	(86)	86	0	0	0	4,085
Temporary and Disability Assistance, Office of	1,017	1,022	(118)	118	0	0	0	1,022
Transportation, Department of	8,336	8,394	(377)	377	0	0	0	8,394
Workers' Compensation Board	1,044	1,109	(56)	56	0	0	0	1,109
Subtotal - Major Agencies	102,272	102,261	(9,564)	8,223	0	(16)	(1,357)	100,904
Minor Agencies	6,594	7,163	(771)	1,026	0	16	271	7,434
Subtotal - Subject to Direct Executive Control	108,866	109,424	(10,335)	9,249	0	0	(1,086)	108,338
University Systems								
City University of New York	377	381	0	0	(381)	0	(381)	0
State University Construction Fund	142	141	0	0	0	0	0	141
State University of New York	46,447	46,834	0	0	0	0	0	46,834
Subtotal - University Systems	46,966	47,356	0	0	(381)	0	(381)	46,975
Independently Elected Agencies								
Audit and Control, Department of	1,499	1,524	(77)	77	0	0	0	1,524
Law, Department of	1,555	1,540	(74)	74	0	0	0	1,540
Subtotal - Independently Elected Agencies	3,054	3,064	(151)	151	0	0	0	3,064
Grand Total	158,886	159,844	(10,486)	9,400	(381)	0	(1,467)	158,377

Workforce Impact Summary

State Funds
FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Addiction Services and Supports, Office of	728	737	(92)	92	0	0	0	737
Adirondack Park Agency	49	54	(1)	1	0	0	0	54
Aging, Office for the	12	18	(3)	3	0	0	0	18
Agriculture and Markets, Department of	435	429	(24)	57	0	0	33	462
Alcoholic Beverage Control, Division of	108	120	(18)	193	0	16	191	311
Arts, Council on the	28	30	(3)	3	0	0	0	30
Budget, Division of the	252	261	(55)	55	0	0	0	261
Civil Service, Department of	211	191	(30)	30	0	0	0	191
Correction, Commission of	30	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	385	403	(31)	31	0	0	0	403
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	139	153	(35)	35	0	0	0	153
Elections, State Board of	69	77	(5)	5	0	0	0	77
Employee Relations, Office of	66	66	(6)	6	0	0	0	66
Executive Chamber	112	136	(42)	42	0	0	0	136
Financial Control Board, New York State	10	13	0	0	0	0	0	13
Gaming Commission, New York State	397	430	(19)	19	0	0	0	430
Higher Education Services Corporation, New York State	156	176	(19)	19	0	0	0	176
Homeland Security and Emergency Services, Division of	390	509	(30)	30	0	0	0	509
Housing and Community Renewal, Division of	508	655	(58)	58	0	0	0	655
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	147	124	(13)	13	0	0	0	124
Indigent Legal Services, Office of	31	36	(3)	5	0	0	2	38
Inspector General, Office of the	81	92	(5)	5	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	38	43	(2)	2	0	0	0	43
Justice Center for the Protection of People with Special Needs	425	429	(68)	67	0	0	(1)	428
Labor Management Committees	68	77	(10)	10	0	0	0	77
Lieutenant Governor, Office of the	5	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(22)	56	0	0	34	248
Military and Naval Affairs, Division of	142	160	(19)	19	0	0	0	160
Prevention of Domestic Violence, Office for	17	21	(2)	2	0	0	0	21
Public Employment Relations Board	30	33	(2)	2	0	0	0	33
Public Ethics, Joint Commission on	51	52	(2)	2	0	0	0	52
Public Service Department	489	503	(42)	42	0	0	0	503
State, Department of	479	548	(80)	92	0	0	12	560
Statewide Financial System	134	142	(10)	10	0	0	0	142
Tax Appeals, Division of	22	27	(1)	1	0	0	0	27
Veterans' Services, Division of	80	90	(5)	5	0	0	0	90
Victim Services, Office of	47	54	(10)	10	0	0	0	54
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	6,594	7,163	(771)	1,026	0	16	271	7,434

Workforce Impact Summary

All Funds
FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	3,008	2,919	(541)	478	0	0	(63)	2,856
Corrections and Community Supervision, Department of	29,117	28,803	(2,027)	780	0	0	(1,247)	27,556
Education Department, State	2,606	2,692	(269)	269	0	0	0	2,692
Environmental Conservation, Department of	2,996	3,115	(234)	281	0	0	47	3,162
Financial Services, Department of	1,342	1,391	(145)	145	0	0	0	1,391
General Services, Office of	1,803	1,971	(145)	149	0	0	4	1,975
Health, Department of	4,715	5,615	(467)	505	0	(16)	22	5,637
Information Technology Services, Office of	3,566	3,451	(140)	140	0	0	0	3,451
Labor, Department of	2,838	2,987	(324)	324	0	0	0	2,987
Mental Health, Office of	13,856	13,757	(2,037)	1,932	0	0	(105)	13,652
Motor Vehicles, Department of	2,363	2,815	(571)	571	0	0	0	2,815
Parks, Recreation and Historic Preservation, Office of	2,010	2,041	(152)	174	0	0	22	2,063
People with Developmental Disabilities, Office for	19,037	18,590	(2,430)	2,430	0	0	0	18,590
State Police, Division of	5,784	5,741	(260)	260	0	0	0	5,741
Taxation and Finance, Department of	3,806	4,085	(86)	86	0	0	0	4,085
Temporary and Disability Assistance, Office of	1,995	1,987	(224)	224	0	0	0	1,987
Transportation, Department of	8,442	8,520	(383)	383	0	0	0	8,520
Workers' Compensation Board	1,044	1,109	(56)	56	0	0	0	1,109
Subtotal - Major Agencies	110,328	111,589	(10,491)	9,187	0	(16)	(1,320)	110,269
Minor Agencies	7,639	8,373	(912)	1,209	0	16	313	8,686
Subtotal - Subject to Direct Executive Control	117,967	119,962	(11,403)	10,396	0	0	(1,007)	118,955
University Systems								
City University of New York	13,806	13,730	0	0	0	0	0	13,730
State University Construction Fund	142	141	0	0	0	0	0	141
State University of New York	46,448	46,836	0	0	0	0	0	46,836
Subtotal - University Systems	60,396	60,707	0	0	0	0	0	60,707
Independently Elected Agencies								
Audit and Control, Department of	2,610	2,663	(134)	134	0	0	0	2,663
Law, Department of	1,826	1,839	(89)	89	0	0	0	1,839
Subtotal - Independently Elected Agencies	4,436	4,502	(223)	223	0	0	0	4,502
Grand Total	182,799	185,171	(11,626)	10,619	0	0	(1,007)	184,164

Workforce Impact Summary

All Funds
FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Addiction Services and Supports, Office of	728	737	(92)	92	0	0	0	737
Adirondack Park Agency	49	54	(1)	1	0	0	0	54
Aging, Office for the	89	95	(16)	16	0	0	0	95
Agriculture and Markets, Department of	461	512	(26)	66	0	0	40	552
Alcoholic Beverage Control, Division of	108	120	(18)	193	0	16	191	311
Arts, Council on the	28	30	(3)	3	0	0	0	30
Budget, Division of the	252	261	(55)	55	0	0	0	261
Civil Service, Department of	346	362	(66)	66	0	0	0	362
Correction, Commission of	30	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	413	435	(32)	32	0	0	0	435
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	139	153	(35)	35	0	0	0	153
Elections, State Board of	74	85	(7)	7	0	0	0	85
Employee Relations, Office of	75	77	(6)	6	0	0	0	77
Executive Chamber	112	136	(42)	42	0	0	0	136
Financial Control Board, New York State	10	13	0	0	0	0	0	13
Gaming Commission, New York State	397	430	(19)	19	0	0	0	430
Higher Education Services Corporation, New York State	156	176	(19)	19	0	0	0	176
Homeland Security and Emergency Services, Division of	559	614	(35)	35	0	0	0	614
Housing and Community Renewal, Division of	584	776	(69)	69	0	0	0	776
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	159	164	(33)	33	0	0	0	164
Indigent Legal Services, Office of	31	36	(3)	5	0	0	2	38
Inspector General, Office of the	81	92	(5)	5	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	38	43	(2)	2	0	0	0	43
Justice Center for the Protection of People with Special Needs	425	429	(68)	67	0	0	(1)	428
Labor Management Committees	68	77	(10)	10	0	0	0	77
Lieutenant Governor, Office of the	5	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	405	426	(42)	111	0	0	69	495
Military and Naval Affairs, Division of	382	405	(44)	44	0	0	0	405
Prevention of Domestic Violence, Office for	23	28	(2)	2	0	0	0	28
Public Employment Relations Board	30	33	(2)	2	0	0	0	33
Public Ethics, Joint Commission on	51	52	(2)	2	0	0	0	52
Public Service Department	489	528	(42)	42	0	0	0	528
State, Department of	491	565	(80)	92	0	0	12	577
Statewide Financial System	134	142	(10)	10	0	0	0	142
Tax Appeals, Division of	22	27	(1)	1	0	0	0	27
Veterans' Services, Division of	87	98	(5)	5	0	0	0	98
Victim Services, Office of	88	102	(16)	16	0	0	0	102
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	7,639	8,373	(912)	1,209	0	16	313	8,686

Workforce Impact Summary

Special Revenue Funds - Other FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	57	44	(7)	7	0	0	0	44
Corrections and Community Supervision, Department of	4	4	(1)	1	0	0	0	4
Education Department, State	951	984	(98)	98	0	0	0	984
Environmental Conservation, Department of	1,080	1,122	(84)	84	0	0	0	1,122
Financial Services, Department of	1,342	1,391	(145)	145	0	0	0	1,391
General Services, Office of	56	13	(4)	4	0	0	0	13
Health, Department of	1,947	2,191	(77)	43	0	(16)	(50)	2,141
Labor, Department of	327	507	(55)	55	0	0	0	507
Motor Vehicles, Department of	497	498	(132)	132	0	0	0	498
Parks, Recreation and Historic Preservation, Office of	250	286	(21)	21	0	0	0	286
State Police, Division of	337	336	0	0	0	0	0	336
Taxation and Finance, Department of	56	699	(5)	5	0	0	0	699
Transportation, Department of	37	46	(2)	2	0	0	0	46
Workers' Compensation Board	1,044	1,109	(56)	56	0	0	0	1,109
Subtotal - Major Agencies	7,985	9,230	(687)	653	0	(16)	(50)	9,180
Minor Agencies	2,279	2,776	(227)	430	0	16	219	2,995
Subtotal - Subject to Direct Executive Control	10,264	12,006	(914)	1,083	0	0	169	12,175
University Systems								
City University of New York	377	381	0	0	(381)	0	(381)	0
State University of New York	46,444	46,831	0	0	0	0	0	46,831
Subtotal - University Systems	46,821	47,212	0	0	(381)	0	(381)	46,831
Independently Elected Agencies								
Audit and Control, Department of	168	188	(10)	10	0	0	0	188
Law, Department of	468	468	(23)	23	0	0	0	468
Subtotal - Independently Elected Agencies	636	656	(33)	33	0	0	0	656
Grand Total	57,721	59,874	(947)	1,116	(381)	0	(212)	59,662

Workforce Impact Summary

Special Revenue Funds - Other FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Agriculture and Markets, Department of	35	43	(2)	16	0	0	14	57
Alcoholic Beverage Control, Division of	0	0	0	175	0	16	191	191
Budget, Division of the	14	16	(5)	5	0	0	0	16
Civil Service, Department of	4	6	(2)	2	0	0	0	6
Criminal Justice Services, Division of	5	5	0	0	0	0	0	5
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	1	1	0	0	0	0	0	1
Financial Control Board, New York State	10	13	0	0	0	0	0	13
Gaming Commission, New York State	332	374	(15)	15	0	0	0	374
Higher Education Services Corporation, New York State	156	176	(19)	19	0	0	0	176
Homeland Security and Emergency Services, Division of	390	509	(30)	30	0	0	0	509
Housing and Community Renewal, Division of	473	601	(53)	53	0	0	0	601
Indigent Legal Services, Office of	31	36	(3)	5	0	0	2	38
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Justice Center for the Protection of People with Special Needs	12	14	(3)	3	0	0	0	14
Military and Naval Affairs, Division of	0	2	0	0	0	0	0	2
Public Service Department	489	503	(42)	42	0	0	0	503
State, Department of	267	410	(43)	55	0	0	12	422
Victim Services, Office of	47	54	(10)	10	0	0	0	54
Subtotal - Minor Agencies	2,279	2,776	(227)	430	0	16	219	2,995

Workforce Impact Summary

Special Revenue Funds - Federal FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	369	391	(64)	64	0	0	0	391
Corrections and Community Supervision, Department of	30	646	0	0	0	0	0	646
Education Department, State	1,185	1,285	(129)	129	0	0	0	1,285
Environmental Conservation, Department of	274	260	(20)	20	0	0	0	260
Health, Department of	1,037	1,370	(161)	198	0	0	37	1,407
Labor, Department of	2,492	2,463	(264)	264	0	0	0	2,463
Motor Vehicles, Department of	39	53	(24)	24	0	0	0	53
Parks, Recreation and Historic Preservation, Office of	22	33	(2)	2	0	0	0	33
People with Developmental Disabilities, Office for	13	18	0	0	0	0	0	18
Temporary and Disability Assistance, Office of	978	965	(106)	106	0	0	0	965
Transportation, Department of	106	126	(6)	6	0	0	0	126
Subtotal - Major Agencies	6,545	7,610	(776)	813	0	0	37	7,647
Minor Agencies								
Aging, Office for the	77	77	(13)	13	0	0	0	77
Agriculture and Markets, Department of	0	35	0	0	0	0	0	35
Criminal Justice Services, Division of	28	32	(1)	1	0	0	0	32
Elections, State Board of	5	8	(2)	2	0	0	0	8
Homeland Security and Emergency Services, Division of	169	105	(5)	5	0	0	0	105
Housing and Community Renewal, Division of	76	121	(11)	11	0	0	0	121
Human Rights, Division of	12	40	(20)	20	0	0	0	40
Medicaid Inspector General, Office of the	202	212	(20)	55	0	0	35	247
Military and Naval Affairs, Division of	177	179	(18)	18	0	0	0	179
Public Service Department	0	25	0	0	0	0	0	25
State, Department of	12	17	0	0	0	0	0	17
Veterans' Services, Division of	7	8	0	0	0	0	0	8
Victim Services, Office of	41	48	(6)	6	0	0	0	48
Subtotal - Minor Agencies	806	907	(96)	131	0	0	35	942
Subtotal - Subject to Direct Executive Control	7,351	8,517	(872)	944	0	0	72	8,589
University Systems								
State University of New York	1	2	0	0	0	0	0	2
Subtotal - University Systems	1	2	0	0	0	0	0	2
Independently Elected Agencies								
Audit and Control, Department of	5	0	0	0	0	0	0	0
Law, Department of	220	249	(13)	13	0	0	0	249
Subtotal - Independently Elected Agencies	225	249	(13)	13	0	0	0	249
Grand Total	7,577	8,768	(885)	957	0	0	72	8,840

Workforce Impact Summary

Capital Projects Funds - Other FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	66	69	(11)	11	0	0	0	69
Corrections and Community Supervision, Department of	27	1,009	(2)	2	0	0	0	1,009
Environmental Conservation, Department of	589	616	(46)	46	0	0	0	616
General Services, Office of	421	420	(40)	40	0	0	0	420
Health, Department of	124	127	0	0	0	0	0	127
Information Technology Services, Office of	25	30	0	0	0	0	0	30
Mental Health, Office of	553	552	0	0	0	0	0	552
Motor Vehicles, Department of	1,663	2,097	(356)	356	0	0	0	2,097
Parks, Recreation and Historic Preservation, Office of	435	484	(36)	58	0	0	22	506
People with Developmental Disabilities, Office for	384	385	(46)	46	0	0	0	385
State Police, Division of	80	75	0	0	0	0	0	75
Temporary and Disability Assistance, Office of	6	8	0	0	0	0	0	8
Transportation, Department of	5,607	5,803	(261)	261	0	0	0	5,803
Subtotal - Major Agencies	9,980	11,675	(798)	820	0	0	22	11,697
Minor Agencies								
Addiction Services and Supports, Office of	14	15	0	0	0	0	0	15
Agriculture and Markets, Department of	13	0	0	14	0	0	14	14
Military and Naval Affairs, Division of	46	54	(5)	5	0	0	0	54
Subtotal - Minor Agencies	73	69	(5)	19	0	0	14	83
Subtotal - Subject to Direct Executive Control	10,053	11,744	(803)	839	0	0	36	11,780
University Systems								
State University Construction Fund	142	141	0	0	0	0	0	141
Subtotal - University Systems	142	141	0	0	0	0	0	141
Independently Elected Agencies								
Audit and Control, Department of	5	0	0	0	0	0	0	0
Law, Department of	4	7	(1)	1	0	0	0	7
Subtotal - Independently Elected Agencies	9	7	(1)	1	0	0	0	7
Grand Total	10,204	11,892	(804)	840	0	0	36	11,928

Workforce Impact Summary

Capital Projects Funds - Federal FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Environmental Conservation, Department of	5	4	0	0	0	0	0	4
Health, Department of	39	51	0	0	0	0	0	51
Subtotal - Major Agencies	44	55	0	0	0	0	0	55
Minor Agencies								
Military and Naval Affairs, Division of	63	64	(7)	7	0	0	0	64
Subtotal - Minor Agencies	63	64	(7)	7	0	0	0	64
Subtotal - Subject to Direct Executive Control	107	119	(7)	7	0	0	0	119
Grand Total	107	119	(7)	7	0	0	0	119

Workforce Impact Summary

Enterprise Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Corrections and Community Supervision, Department of	6	10	(8)	8	0	0	0	10
General Services, Office of	9	62	(10)	10	0	0	0	62
Subtotal - Major Agencies	15	72	(18)	18	0	0	0	72
Minor Agencies								
Agriculture and Markets, Department of	25	45	(2)	9	0	0	7	52
Military and Naval Affairs, Division of	0	2	0	0	0	0	0	2
Subtotal - Minor Agencies	25	47	(2)	9	0	0	7	54
Subtotal - Subject to Direct Executive Control	40	119	(20)	27	0	0	7	126
Grand Total	40	119	(20)	27	0	0	7	126

Workforce Impact Summary

Internal Service Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Major Agencies								
Children and Family Services, Office of	87	99	(16)	16	0	0	0	99
Corrections and Community Supervision, Department of	281	280	(32)	32	0	0	0	280
Education Department, State	142	144	(14)	14	0	0	0	144
General Services, Office of	913	1,042	(66)	66	0	0	0	1,042
Labor, Department of	19	16	(5)	5	0	0	0	16
Mental Health, Office of	10	10	0	0	0	0	0	10
Subtotal - Major Agencies	1,452	1,591	(133)	133	0	0	0	1,591
Minor Agencies								
Civil Service, Department of	135	171	(36)	36	0	0	0	171
Employee Relations, Office of	9	11	0	0	0	0	0	11
Prevention of Domestic Violence, Office for	6	7	0	0	0	0	0	7
Subtotal - Minor Agencies	150	189	(36)	36	0	0	0	189
Subtotal - Subject to Direct Executive Control	1,602	1,780	(169)	169	0	0	0	1,780
Independently Elected Agencies								
Audit and Control, Department of	171	161	(8)	8	0	0	0	161
Law, Department of	51	50	(2)	2	0	0	0	50
Subtotal - Independently Elected Agencies	222	211	(10)	10	0	0	0	211
Grand Total	1,824	1,991	(179)	179	0	0	0	1,991

Workforce Impact Summary

Agency Trust Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
University Systems								
City University of New York	13,429	13,349	0	0	381	0	381	13,730
Subtotal - University Systems	13,429	13,349	0	0	381	0	381	13,730
Grand Total	13,429	13,349	0	0	381	0	381	13,730

Workforce Impact Summary

Pension Trust Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Independently Elected Agencies								
Audit and Control, Department of	935	978	(49)	49	0	0	0	978
Subtotal - Independently Elected Agencies	935	978	(49)	49	0	0	0	978
Grand Total	935	978	(49)	49	0	0	0	978

Workforce Impact Summary

Private Purpose Trust Funds FY 2019 Through FY 2021

	FY 2019 Actuals (03/31/19)	Starting Estimate (03/31/20)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/21)
Minor Agencies								
Agriculture and Markets, Department of	1	3	0	0	0	0	0	3
Subtotal - Minor Agencies	1	3	0	0	0	0	0	3
Subtotal - Subject to Direct Executive Control	1	3	0	0	0	0	0	3
Grand Total	1	3	0	0	0	0	0	3

Impact of SFY 2021 Executive Budget on Local Governments, LFY Ending in 2020 Includes SFY 2020 Major Local Aid Programs for Local Governments (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
School Aid - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
School Aid - Total SFY 2020 Major Local Aid Programs	27,504.7	11,393.5	16,111.2	0.0	0.0	0.0
Other Education						
Other Education - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Other Education - Total SFY 2020 Major Local Aid Programs	219.1	TBD	TBD	0.0	0.0	0.0
Special Education						
Special Education - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2020 Major Local Aid Programs	1,331.7	649.0	252.6	430.1	0.0	0.0
STAR - Total SFY 2020 Major Local Aid Programs	2,176.0	165.0	2,011.0	0.0	0.0	0.0
Medicaid						
Medicaid - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Medicaid - Total SFY 2020 Major Local Aid Programs	4,114.7	1,981.1	0.0	2,133.6	0.0	0.0
Human Services						
- Eliminate the 18.424% State Share for CSE Costs and Shift to ROS School Districts	(6.5)	0.0	(6.5)	0.0	0.0	0.0
- Increase the TANF FFFS Child Welfare Threshold to Offset Child Welfare Costs	(11.7)	(3.6)	0.0	(8.1)	0.0	0.0
- Adjust NYC Family Assistance and Emergency Family Assurances for Families Shares	(12.8)	(12.8)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2021 Executive Budget Impact on LFY 2020	(31.0)	(16.4)	(6.5)	(8.1)	0.0	0.0
Human Services - Total SFY 2020 Major Local Aid Programs	4,395.5	2,859.6	0.0	1,535.9	0.0	0.0
Health						
- Increase Essential Plan Rates	13.3	13.3	0.0	0.0	0.0	0.0
- Increase Early Intervention Insurer Participation	1.1	0.4	0.0	0.7	0.0	0.0
Health - Total SFY 2021 Executive Budget Impact on LFY 2020	14.4	13.7	0.0	0.7	0.0	0.0
Health - Total SFY 2020 Major Local Aid Programs	490.9	264.0	0.0	226.9	0.0	0.0
Mental Hygiene						
- Reduce by 10 Percent OASAS Program Administration Funding	(0.2)	0.0	0.0	(0.2)	0.0	0.0
- Eliminate OASAS Program Admin to DOE	(0.4)	(0.4)	0.0	0.0	0.0	0.0
- Reduce by 10 Percent OMH Program Administration Funding	(1.0)	(0.3)	0.0	(0.7)	0.0	0.0
- Require Full Payment of Forensic 730 Costs	(5.3)	0.0	0.0	(5.3)	0.0	0.0
Mental Hygiene - Total SFY 2021 Executive Budget Impact on LFY 2020	(6.9)	(0.7)	0.0	(6.2)	0.0	0.0
Mental Hygiene - Total SFY 2020 Major Local Aid Programs	71.5	31.0	4.0	36.5	0.0	0.0
Transportation						
Transportation - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Transportation - Total SFY 2020 Major Local Aid Programs	906.5	249.2	0.0	371.1	56.2	230.0
Municipal Aid						
- Increase Madison County Gaming Facility Aid	1.5	0.0	0.0	1.5	0.0	0.0
- Provide Aid to the City of Jamestown	1.0	0.0	0.0	0.0	1.0	0.0
- Eliminate VLT Aid Outside Yonkers	(8.4)	0.0	0.0	(2.4)	(2.3)	(3.7)
Municipal Aid - Total SFY 2021 Executive Budget Impact on LFY 2020	(5.9)	0.0	0.0	(0.9)	(1.3)	(3.7)
Municipal Aid - Total SFY 2020 Major Local Aid Programs	762.9	0.0	0.0	2.4	669.4	72.1
Public Protection						
Public Protection - Total SFY 2020 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Public Protection - Total SFY 2020 Major Local Aid Programs	278.8	80.2	0.8	182.3	10.0	5.5
Environment						
Environment - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Environment - Total SFY 2020 Major Local Aid Programs	360.0	TBD	0.0	TBD	TBD	TBD
Economic Development						
- Transfer Welcome Center Lease and Utility Costs to the City of Geneva	(0.1)	0.0	0.0	0.0	(0.1)	0.0
Economic Development - Total SFY 2021 Executive Budget Impact on LFY 2020	(0.1)	0.0	0.0	0.0	(0.1)	0.0
Economic Development - Total SFY 2020 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
All Other - Total SFY 2021 Executive Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
All Other - Total SFY 2020 Major Local Aid Programs	459.0	221.0	154.0	84.0	0.0	0.0
Revenue Actions						
- Make Warrantless State Tax Debt Collection Methods Permanent	2.0	2.0	0.0	0.0	0.0	0.0
Revenue Actions - Total SFY 2021 Executive Budget Impact on LFY 2020	2.0	2.0	0.0	0.0	0.0	0.0
Total SFY 2021 Executive Budget Impact on LFY 2020	(27.5)	(1.4)	(6.5)	(14.5)	(1.4)	(3.7)
- Local Medicaid Savings	TBD	TBD	0.0	TBD	0.0	0.0
Grand Total - Total SFY 2021 Executive Budget Impact on LFY 2020	(27.5)	(1.4)	(6.5)	(14.5)	(1.4)	(3.7)
Grand Total - Total SFY 2020 Major Local Aid Programs	43,071.2	17,893.6	18,533.6	5,002.8	735.6	307.6

Impact of SFY 2021 Executive Budget on Local Governments, LFY Ending in 2021 Includes SFY 2021 Major Local Aid Programs for Local Governments (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
- Increase School Aid	575.7	224.4	351.3	0.0	0.0	0.0
- New Unallocated School Reserve/Fiscal Stabilization and New Competitive School Grants *	250.0	96.9	153.1	0.0	0.0	0.0
School Aid - Total SFY 2021 Executive Budget Impact on LFY 2021	825.7	321.3	504.4	0.0	0.0	0.0
School Aid - Total SFY 2021 Major Local Aid Programs	28,330.4	11,714.8	16,615.6	0.0	0.0	0.0
Other Education						
Other Education - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Other Education - Total SFY 2021 Major Local Aid Programs	219.1	TBD	TBD	0.0	0.0	0.0
Special Education						
Special Education - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2021 Major Local Aid Programs	1,357.2	661.4	257.3	438.6	0.0	0.0
STAR - Total SFY 2021 Major Local Aid Programs	2,000.0	152.0	1,848.0	0.0	0.0	0.0
Medicaid						
Medicaid - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Medicaid - Total SFY 2021 Major Local Aid Programs	4,467.3	2,202.0	0.0	2,265.4	0.0	0.0
Human Services						
- Increase the TANF FFFS Child Welfare Threshold to Offset Child Welfare Costs	(25.0)	(14.2)	0.0	(10.8)	0.0	0.0
- Eliminate the 18.424% State Share for CSE Costs and Shift to ROS School Districts	(26.4)	0.0	(26.4)	0.0	0.0	0.0
- Adjust NYC Family Assistance and Emergency Family Assurances for Families Shares	(51.4)	(51.4)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2021 Executive Budget Impact on LFY 2021	(102.8)	(65.6)	(26.4)	(10.8)	0.0	0.0
Human Services - Total SFY 2021 Major Local Aid Programs	4,381.3	2,853.4	0.0	1,527.9	0.0	0.0
Health						
- Increase Essential Plan Rates	53.0	53.0	0.0	0.0	0.0	0.0
- Increase Early Intervention Insurer Participation	2.3	1.4	0.0	0.9	0.0	0.0
Health - Total SFY 2021 Executive Budget Impact on LFY 2021	55.3	54.4	0.0	0.9	0.0	0.0
Health - Total SFY 2021 Major Local Aid Programs	464.7	249.2	0.0	215.5	0.0	0.0
Mental Hygiene						
- Reduce by 10 Percent OASAS Program Administration Funding	(0.3)	(0.1)	0.0	(0.2)	0.0	0.0
- Eliminate OASAS Program Admin to DOE	(1.7)	(1.7)	0.0	0.0	0.0	0.0
- Reduce by 10 Percent OMH Program Administration Funding	(2.3)	(1.3)	0.0	(1.0)	0.0	0.0
- Require Full Payment of Forensic 730 Costs	(10.9)	0.0	0.0	(10.9)	0.0	0.0
Mental Hygiene - Total SFY 2021 Executive Budget Impact on LFY 2021	(15.2)	(3.1)	0.0	(12.1)	0.0	0.0
Mental Hygiene - Total SFY 2021 Major Local Aid Programs	71.5	31.0	4.0	36.5	0.0	0.0
Transportation						
Transportation - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Transportation - Total SFY 2021 Major Local Aid Programs	860.5	248.5	0.0	362.2	50.2	199.6
Municipal Aid						
- Increase Madison County Gaming Facility Aid	1.5	0.0	0.0	1.5	0.0	0.0
- Eliminate VLT Aid Outside Yonkers	(9.3)	0.0	0.0	(2.4)	(2.8)	(4.1)
Municipal Aid - Total SFY 2021 Executive Budget Impact on LFY 2021	(7.8)	0.0	0.0	(0.9)	(2.8)	(4.1)
Municipal Aid - Total SFY 2021 Major Local Aid Programs	769.6	0.0	0.0	0.0	666.6	68.0
Public Protection						
Public Protection - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Public Protection - Total SFY 2021 Major Local Aid Programs	325.8	94.3	0.8	215.2	10.0	5.5
Environment						
Environment - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Environment - Total SFY 2021 Major Local Aid Programs	405.0	TBD	0.0	TBD	TBD	TBD
Economic Development						
- Transfer Welcome Center Lease and Utility Costs to the City of Geneva	(0.2)	0.0	0.0	0.0	(0.2)	0.0
Economic Development - Total SFY 2021 Executive Budget Impact on LFY 2021	(0.2)	0.0	0.0	0.0	(0.2)	0.0
Economic Development - Total SFY 2021 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
All Other - Total SFY 2021 Executive Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
All Other - Total SFY 2021 Major Local Aid Programs	478.0	222.0	165.0	91.0	0.0	0.0
Revenue Actions						
- Make Warrantless State Tax Debt Collection Methods Permanent	8.0	8.0	0.0	0.0	0.0	0.0
Revenue Actions - Total SFY 2021 Executive Budget Impact on LFY 2021	8.0	8.0	0.0	0.0	0.0	0.0
Total SFY 2021 Executive Budget Impact on LFY 2021	763.0	315.0	478.0	(22.9)	(3.0)	(4.1)
- Local Medicaid Savings	(150.0)	TBD	0.0	TBD	0.0	0.0
Grand Total - Total SFY 2021 Executive Budget Impact on LFY 2021	613.0	315.0	478.0	(22.9)	(3.0)	(4.1)
Grand Total - Total SFY 2021 Major Local Aid Programs	44,130.4	18,428.6	18,890.7	5,152.3	726.8	273.1

*New York City vs. Rest of State breakout is preliminary and based on current distributions within the Executive School Aid Run.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	65,377	66,848	55,468	57,248	57,048	57,048
Local Assistance	33,027	31,932	20,821	24,247	24,247	24,247
State Operations	32,350	34,916	34,647	33,001	32,801	32,801
Personal Service	27,105	28,413	29,316	28,539	28,539	28,539
Non-Personal Service	5,245	6,503	5,331	4,462	4,262	4,262
<i>Alcoholic Beverage Control, Division of</i>	11,546	11,275	11,560	11,244	11,244	11,244
State Operations	11,546	11,275	11,560	11,244	11,244	11,244
Personal Service	8,166	8,316	8,901	8,585	8,585	8,585
Non-Personal Service	3,380	2,959	2,659	2,659	2,659	2,659
<i>Economic Development, Department of</i>	71,702	67,475	61,315	61,165	61,165	61,165
Local Assistance	55,760	52,134	45,974	45,824	45,824	45,824
State Operations	15,942	15,341	15,341	15,341	15,341	15,341
Personal Service	13,090	12,826	12,826	12,826	12,826	12,826
Non-Personal Service	2,852	2,515	2,515	2,515	2,515	2,515
<i>Empire State Development Corporation</i>	85,177	75,724	61,054	61,054	61,054	61,054
Local Assistance	85,177	75,724	61,054	61,054	61,054	61,054
<i>Olympic Regional Development Authority</i>	11,143	8,526	11,526	11,526	11,526	11,526
Local Assistance	2,360	0	0	0	0	0
State Operations	8,783	8,526	11,526	11,526	11,526	11,526
Personal Service	5,595	5,338	5,338	5,338	5,338	5,338
Non-Personal Service	3,188	3,188	6,188	6,188	6,188	6,188
Functional Total	244,945	229,848	200,923	202,237	202,037	202,037
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,728	5,018	4,685	4,685	4,685
State Operations	4,297	4,728	5,018	4,685	4,685	4,685
Personal Service	3,950	4,401	4,528	4,370	4,370	4,370
Non-Personal Service	347	327	490	315	315	315
<i>Environmental Conservation, Department of</i>	105,456	112,008	130,929	129,018	130,060	137,027
Local Assistance	2,431	1,874	1,478	1,247	978	978
State Operations	103,025	110,134	129,451	127,771	129,082	136,049
Personal Service	90,087	88,366	103,969	102,169	103,480	110,447
Non-Personal Service	12,938	21,768	25,482	25,602	25,602	25,602
<i>Parks, Recreation and Historic Preservation, Office of</i>	108,081	106,901	114,938	112,629	109,744	109,744
Local Assistance	2,188	440	100	100	100	100
State Operations	105,893	106,461	114,838	112,529	109,644	109,644
Personal Service	99,679	98,961	107,964	105,655	102,770	102,770
Non-Personal Service	6,214	7,500	6,874	6,874	6,874	6,874
Functional Total	217,834	223,637	250,885	246,332	244,489	251,456
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	10,677	12,347	12,586	12,830	12,830	12,830
Local Assistance	375	0	0	0	0	0
State Operations	10,302	12,347	12,586	12,830	12,830	12,830
Personal Service	8,318	8,694	8,868	9,046	9,046	9,046
Non-Personal Service	1,984	3,653	3,718	3,784	3,784	3,784
<i>Transportation, Department of</i>	595,676	441,900	436,997	441,889	441,889	441,889
Local Assistance	303,461	109,851	109,851	109,851	109,851	109,851
State Operations	292,215	332,049	327,146	332,038	332,038	332,038
Personal Service	153,653	159,060	159,055	159,048	159,048	159,048
Non-Personal Service	138,562	172,989	168,091	172,990	172,990	172,990
Functional Total	606,353	454,247	449,583	454,719	454,719	454,719
HEALTH						
<i>Aging, Office for the</i>	131,371	146,478	143,311	148,323	153,505	158,817
Local Assistance	130,141	144,516	141,306	146,361	151,543	156,855
State Operations	1,230	1,962	2,005	1,962	1,962	1,962

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	1,125	1,856	1,899	1,856	1,856	1,856
Non-Personal Service	105	106	106	106	106	106
Health, Department of	15,474,913	17,156,159	17,917,661	18,983,525	19,943,521	20,856,570
Medical Assistance	13,837,091	15,540,492	16,481,017	17,552,039	18,529,013	19,448,182
Local Assistance	13,837,091	15,540,492	16,481,017	17,552,039	18,529,013	19,448,182
Essential Plan	76,580	79,726	78,089	75,531	74,003	74,022
State Operations	76,580	79,726	78,089	75,531	74,003	74,022
Personal Service	3,233	4,580	4,692	4,621	4,634	4,557
Non-Personal Service	73,347	75,146	73,397	70,910	69,369	69,465
Medicaid Administration	764,404	769,792	703,777	695,929	680,479	674,340
Local Assistance	503,108	526,198	466,502	452,297	438,613	425,431
State Operations	261,296	243,594	237,275	243,632	241,866	248,909
Personal Service	37,949	37,554	38,093	40,185	42,464	44,986
Non-Personal Service	223,347	206,040	199,182	203,447	199,402	203,923
Public Health	796,838	766,149	654,778	660,026	660,026	660,026
Local Assistance	662,604	629,616	523,015	531,368	531,368	531,368
State Operations	134,234	136,533	131,763	128,658	128,658	128,658
Personal Service	93,657	101,244	107,069	103,964	103,964	103,964
Non-Personal Service	40,577	35,289	24,694	24,694	24,694	24,694
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
State Operations	18,116	18,072	18,679	18,072	18,072	18,072
Personal Service	15,204	15,624	16,231	15,624	15,624	15,624
Non-Personal Service	2,912	2,448	2,448	2,448	2,448	2,448
Functional Total	15,624,400	17,320,709	18,079,651	19,149,920	20,115,098	21,033,459
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,753,211	1,832,543	1,878,086	1,877,870	1,882,521
OCFS	1,827,888	1,692,413	1,761,685	1,803,064	1,802,848	1,807,499
Local Assistance	1,583,160	1,448,826	1,400,957	1,443,665	1,443,449	1,443,449
State Operations	244,728	243,587	360,728	359,399	359,399	364,050
Personal Service	172,601	168,664	262,335	259,291	259,291	261,926
Non-Personal Service	72,127	74,923	98,393	100,108	100,108	102,124
OCFS - Other	72,114	60,798	70,858	75,022	75,022	75,022
Local Assistance	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	13,881	35,557	7,888	51,678	51,678	51,678
Local Assistance	9,692	31,007	2,983	46,937	46,937	46,937
State Operations	4,189	4,550	4,905	4,741	4,741	4,741
Personal Service	3,049	4,199	4,492	4,328	4,328	4,328
Non-Personal Service	1,140	351	413	413	413	413
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
State Operations	9,993	9,921	10,590	10,230	10,230	10,230
Personal Service	8,934	9,461	10,121	9,752	9,752	9,752
Non-Personal Service	1,059	460	469	478	478	478
Labor, Department of	15,715	27,710	2,599	5,351	5,351	5,351
Local Assistance	15,373	27,422	2,250	5,000	5,000	5,000
State Operations	342	288	349	351	351	351
Personal Service	87	88	94	91	91	91
Non-Personal Service	255	200	255	260	260	260
National and Community Service	560	772	781	781	781	784
Local Assistance	270	432	432	432	432	432
State Operations	290	340	349	349	349	352
Personal Service	288	331	340	340	340	343
Non-Personal Service	2	9	9	9	9	9
Temporary and Disability Assistance, Office of	1,262,856	1,445,462	1,421,352	1,480,253	1,593,053	1,629,853
Welfare Assistance	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
All Other	224,918	253,226	214,040	262,941	375,741	412,541
Local Assistance	101,093	118,196	82,815	128,996	241,796	278,596
State Operations	123,825	135,030	131,225	133,945	133,945	133,945

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	68,003	73,244	76,580	78,130	78,130	78,130
Non-Personal Service	55,822	61,786	54,645	55,815	55,815	55,815
Functional Total	3,203,007	3,272,633	3,275,753	3,426,379	3,538,963	3,580,417
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	445,955	447,071	466,166	486,769	505,751
OASAS	352,360	379,413	378,196	396,616	416,849	435,164
Local Assistance	324,201	343,605	341,331	360,122	380,048	397,858
State Operations	28,159	35,808	36,865	36,494	36,801	37,306
Personal Service	22,378	27,213	27,997	27,347	27,628	27,903
Non-Personal Service	5,781	8,595	8,868	9,147	9,173	9,403
OASAS - Other	73,843	66,542	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,518	45,217	47,550	48,225	48,595	49,262
Personal Service	37,825	33,888	35,334	35,589	35,914	36,243
Non-Personal Service	14,693	11,329	12,216	12,636	12,681	13,019
Justice Center	40,349	40,099	41,476	40,750	41,291	41,861
Local Assistance	170	170	170	170	170	170
State Operations	40,179	39,929	41,306	40,580	41,121	41,691
Personal Service	31,149	31,761	33,164	32,221	32,534	32,868
Non-Personal Service	9,030	8,168	8,142	8,359	8,587	8,823
Mental Health, Office of	2,626,444	2,709,629	2,614,462	2,727,926	2,823,229	2,925,805
OMH	1,330,551	1,407,734	1,498,032	1,582,358	1,650,396	1,726,827
Local Assistance	1,002,556	1,043,485	1,139,171	1,214,799	1,274,018	1,344,691
State Operations	327,995	364,249	358,861	367,559	376,378	382,136
Personal Service	268,080	325,161	320,949	328,543	335,882	339,740
Non-Personal Service	59,915	39,088	37,912	39,016	40,496	42,396
OMH - Other	1,295,893	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Local Assistance	278,961	297,238	89,517	93,994	102,295	107,322
State Operations	1,016,932	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
Personal Service	818,694	788,042	805,896	809,930	817,156	826,543
Non-Personal Service	198,238	216,615	221,017	241,644	253,382	265,113
People with Developmental Disabilities, Office for	1,851,002	3,041,820	1,877,814	2,040,921	2,225,541	2,403,852
OPWDD	389,699	395,641	352,843	358,324	369,186	403,408
Local Assistance	389,437	395,641	352,843	358,324	369,186	403,408
State Operations	262	0	0	0	0	0
Personal Service	262	0	0	0	0	0
OPWDD - Other	1,461,303	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Local Assistance	131,150	1,305,652	185,638	329,078	485,915	612,795
State Operations	1,330,153	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,342	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service	187,811	181,620	186,010	190,672	195,584	200,664
Functional Total	4,943,998	6,237,503	4,980,823	5,275,763	5,576,830	5,877,269
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
State Operations	2,541	2,651	2,773	2,735	2,735	2,735
Personal Service	2,276	2,414	2,531	2,488	2,488	2,488
Non-Personal Service	265	237	242	247	247	247
Corrections and Community Supervision, Department of	2,601,761	2,864,113	2,708,264	2,710,528	2,747,272	2,747,272
DOCCS	2,601,761	2,862,113	2,706,264	2,710,528	2,747,272	2,747,272
Local Assistance	3,435	5,016	4,836	4,836	4,836	4,836
State Operations	2,598,326	2,857,097	2,701,428	2,705,692	2,742,436	2,742,436
Personal Service	2,111,029	2,357,940	2,207,737	2,218,040	2,251,459	2,251,459
Non-Personal Service	487,297	499,157	493,691	487,652	490,977	490,977
DOCCS - Other	0	2,000	2,000	0	0	0
Local Assistance	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	194,937	185,361	163,020	162,750	163,438	164,138

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	160,967	151,086	127,781	127,781	127,781	127,781
State Operations	33,970	34,275	35,239	34,969	35,657	36,357
Personal Service	28,029	27,627	28,591	28,150	28,664	29,227
Non-Personal Service	5,941	6,648	6,648	6,819	6,993	7,130
Homeland Security and Emergency Services, Division of	5,188	6,572	5,572	5,290	5,396	5,505
Local Assistance	4,188	5,572	4,572	4,290	4,376	4,464
State Operations	1,000	1,000	1,000	1,000	1,020	1,041
Personal Service	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
State Operations	5,423	6,038	6,266	6,444	6,550	6,550
Personal Service	3,959	4,677	4,878	4,813	4,903	4,903
Non-Personal Service	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
State Operations	0	30	30	30	30	30
Non-Personal Service	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
State Operations	7	38	38	38	38	38
Non-Personal Service	7	38	38	38	38	38
Military and Naval Affairs, Division of	21,330	21,659	21,957	22,593	23,046	23,509
Local Assistance	885	820	820	886	904	923
State Operations	20,445	20,839	21,137	21,707	22,142	22,586
Personal Service	14,335	14,241	14,539	14,615	14,908	15,207
Non-Personal Service	6,110	6,598	6,598	7,092	7,234	7,379
State Police, Division of	640,201	708,658	728,698	731,328	749,606	749,606
State Operations	640,201	708,658	728,698	731,328	749,606	749,606
Personal Service	611,673	680,138	699,888	695,508	713,074	713,074
Non-Personal Service	28,528	28,520	28,810	35,820	36,532	36,532
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
State Operations	30,520	30,506	30,949	31,117	31,732	31,732
Personal Service	11,294	11,882	12,325	12,121	12,356	12,356
Non-Personal Service	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	20	0	0	0	0	0
Local Assistance	20	0	0	0	0	0
Functional Total	3,501,928	3,825,626	3,667,567	3,672,853	3,729,843	3,731,115
HIGHER EDUCATION						
City University of New York	1,508,353	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Local Assistance	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
State Operations	346	0	0	0	0	0
Non-Personal Service	346	0	0	0	0	0
Higher Education Services Corporation, New York State	984,334	907,415	823,959	866,598	879,003	879,241
Local Assistance	984,334	906,915	823,459	866,098	878,503	878,741
State Operations	0	500	500	500	500	500
Personal Service	0	500	500	500	500	500
State University of New York	488,672	483,508	460,244	461,968	460,968	460,968
Local Assistance	487,744	482,766	459,460	461,184	460,184	460,184
State Operations	928	742	784	784	784	784
Personal Service	0	0	42	42	42	42
Non-Personal Service	928	742	742	742	742	742
Functional Total	2,981,359	2,927,765	2,842,927	2,927,540	2,971,338	3,001,333
EDUCATION						
Arts, Council on the	43,514	45,255	45,251	45,155	45,155	45,155
Local Assistance	39,248	40,935	40,835	40,835	40,835	40,835
State Operations	4,266	4,320	4,416	4,320	4,320	4,320
Personal Service	2,636	2,498	2,594	2,498	2,498	2,498
Non-Personal Service	1,630	1,822	1,822	1,822	1,822	1,822
Education, Department of	25,270,542	25,925,004	26,755,284	28,093,857	29,406,133	30,723,924
School Aid	22,927,234	23,446,097	24,290,086	25,516,109	26,741,724	27,991,018

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	22,927,234	23,446,097	24,290,086	25,516,109	26,741,724	27,991,018
School Aid – Other	152,867	109,518	109,518	109,518	109,518	109,518
Local Assistance	152,867	109,518	109,518	109,518	109,518	109,518
Special Education Categorical Programs	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
All Other	899,833	1,037,752	998,459	1,040,164	1,059,440	1,059,357
Local Assistance	843,652	978,514	939,249	981,926	1,001,202	1,001,119
State Operations	56,181	59,238	59,210	58,238	58,238	58,238
Personal Service	30,847	29,334	30,306	29,334	29,334	29,334
Non-Personal Service	25,334	29,904	28,904	28,904	28,904	28,904
Functional Total	25,314,056	25,970,259	26,800,535	28,139,012	29,451,288	30,769,079
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,432	23,749	22,895	22,895	22,895
State Operations	22,431	23,432	23,749	22,895	22,895	22,895
Personal Service	20,534	22,216	23,070	22,216	22,216	22,216
Non-Personal Service	1,897	1,216	679	679	679	679
Civil Service, Department of	15,793	15,746	14,216	13,842	13,850	13,850
Local Assistance	567	2,000	0	0	0	0
State Operations	15,226	13,746	14,216	13,842	13,850	13,850
Personal Service	14,874	13,746	14,216	13,842	13,850	13,850
Non-Personal Service	352	0	0	0	0	0
Deferred Compensation Board	34	57	58	57	57	57
State Operations	34	57	58	57	57	57
Personal Service	34	32	33	32	32	32
Non-Personal Service	0	25	25	25	25	25
Elections, State Board of	8,348	10,147	10,583	10,054	9,428	9,428
Local Assistance	5	0	0	0	0	0
State Operations	8,343	10,147	10,583	10,054	9,428	9,428
Personal Service	5,773	6,288	6,571	6,478	6,316	6,316
Non-Personal Service	2,570	3,859	4,012	3,576	3,112	3,112
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
State Operations	2,097	6,444	6,542	6,444	6,445	6,445
Personal Service	2,040	6,248	6,346	6,248	6,248	6,248
Non-Personal Service	57	196	196	196	197	197
Gaming Commission, New York State	4,541	6,362	6,527	6,362	6,362	6,362
State Operations	4,541	6,362	6,527	6,362	6,362	6,362
Personal Service	3,177	4,282	4,447	4,282	4,282	4,282
Non-Personal Service	1,364	2,080	2,080	2,080	2,080	2,080
General Services, Office of	135,673	101,415	77,795	76,391	77,943	77,943
State Operations	135,673	101,415	77,795	76,391	77,943	77,943
Personal Service	57,198	26,338	32,097	29,706	29,706	29,706
Non-Personal Service	78,475	75,077	45,698	46,685	48,237	48,237
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
State Operations	544,541	538,637	546,877	553,433	564,591	564,591
Personal Service	299,018	298,870	302,746	308,861	315,099	315,099
Non-Personal Service	245,523	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
State Operations	6,844	7,487	7,826	8,061	8,210	8,210
Personal Service	5,909	6,648	6,970	6,857	6,994	6,994
Non-Personal Service	935	839	856	1,204	1,216	1,216
Labor Management Committees	35,520	36,000	31,225	31,014	31,005	31,005
State Operations	29,699	31,000	26,225	26,014	26,005	26,005
Personal Service	8,618	10,487	5,698	5,487	5,487	5,487
Non-Personal Service	21,081	20,513	20,527	20,527	20,518	20,518
General State Charges	5,821	5,000	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	3,128	3,252	3,190	3,190	3,190
Local Assistance	1,260	1,435	1,385	1,412	1,412	1,412
State Operations	1,556	1,693	1,867	1,778	1,778	1,778
Personal Service	1,394	1,525	1,660	1,567	1,567	1,567
Non-Personal Service	162	168	207	211	211	211

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Public Employment Relations Board	3,393	3,589	3,719	3,589	3,589	3,589
State Operations	3,393	3,589	3,719	3,589	3,589	3,589
Personal Service	3,175	3,338	3,468	3,338	3,338	3,338
Non-Personal Service	218	251	251	251	251	251
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State Operations	5,223	5,630	5,835	6,010	6,119	6,119
Personal Service	4,516	4,681	4,867	4,827	4,924	4,924
Non-Personal Service	707	949	968	1,183	1,195	1,195
State, Department of	23,622	38,952	12,269	11,907	11,907	11,907
Local Assistance	12,989	29,842	4,317	4,317	4,317	4,317
State Operations	10,633	9,110	7,952	7,590	7,590	7,590
Personal Service	10,301	8,854	7,716	7,354	7,354	7,354
Non-Personal Service	332	256	236	236	236	236
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
State Operations	2,820	3,040	3,150	3,040	3,040	3,040
Personal Service	2,513	2,870	2,980	2,870	2,870	2,870
Non-Personal Service	307	170	170	170	170	170
Taxation and Finance, Department of	304,503	230,349	279,042	270,349	270,349	270,349
Local Assistance	921	926	926	926	926	926
State Operations	303,582	229,423	278,116	269,423	269,423	269,423
Personal Service	262,755	217,987	235,376	227,987	227,987	227,987
Non-Personal Service	40,827	11,436	42,740	41,436	41,436	41,436
Veterans' Services, Division of	16,219	15,721	14,322	14,257	14,257	14,324
Local Assistance	10,499	9,485	7,840	7,840	7,840	7,840
State Operations	5,720	6,236	6,482	6,417	6,417	6,484
Personal Service	5,161	5,698	6,178	6,107	6,107	6,168
Non-Personal Service	559	538	304	310	310	316
Welfare Inspector General, Office of	629	701	731	753	768	768
State Operations	629	701	731	753	768	768
Personal Service	615	626	654	646	659	659
Non-Personal Service	14	75	77	107	109	109
Functional Total	1,135,047	1,046,837	1,047,718	1,041,648	1,054,005	1,054,072
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,441	175,709	173,287	173,287	173,287
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	134,753	137,417	143,685	141,263	141,263	141,263
Personal Service	108,084	112,181	114,603	112,181	112,181	112,181
Non-Personal Service	26,669	25,236	29,082	29,082	29,082	29,082
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
State Operations	12,673	13,578	14,032	13,578	13,578	13,578
Personal Service	9,135	11,113	11,567	11,113	11,113	11,113
Non-Personal Service	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	2,824,285	2,837,200	2,997,893	2,943,093	2,943,093	2,943,093
Local Assistance	3,020	51,000	66,500	66,500	66,500	66,500
State Operations	2,063,276	2,013,400	2,117,400	2,062,600	2,062,600	2,062,600
Personal Service	1,669,267	1,646,100	1,737,200	1,682,400	1,682,400	1,682,400
Non-Personal Service	394,009	367,300	380,200	380,200	380,200	380,200
General State Charges	757,989	772,800	813,993	813,993	813,993	813,993
Law, Department of	107,960	109,689	115,129	111,883	111,883	111,883
State Operations	107,960	109,689	115,129	111,883	111,883	111,883
Personal Service	97,853	97,907	103,347	100,101	100,101	100,101
Non-Personal Service	10,107	11,782	11,782	11,782	11,782	11,782
Legislature	223,009	241,265	248,106	254,999	255,052	255,052
State Operations	223,009	241,265	248,106	254,999	255,052	255,052
Personal Service	175,304	187,511	192,583	198,366	198,419	198,419
Non-Personal Service	47,705	53,754	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	530	614	634	614	614	614
State Operations	530	614	634	614	614	614
Personal Service	414	523	543	523	523	523
Non-Personal Service	116	91	91	91	91	91

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Functional Total	<u>3,335,235</u>	<u>3,371,787</u>	<u>3,551,503</u>	<u>3,497,454</u>	<u>3,497,507</u>	<u>3,497,507</u>
LOCAL GOVERNMENT ASSISTANCE						
<i>Aid and Incentives for Municipalities</i>	<u>721,979</u>	<u>663,681</u>	<u>671,166</u>	<u>704,192</u>	<u>704,192</u>	<u>704,192</u>
Local Assistance	721,732	663,679	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service	247	2	0	0	0	0
<i>County-Wide Shared Services Initiative</i>	<u>0</u>	<u>19,000</u>	<u>35,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>
Local Assistance	0	19,000	35,000	60,000	60,000	60,000
<i>Miscellaneous Financial Assistance</i>	<u>12,001</u>	<u>11,998</u>	<u>3,750</u>	<u>3,750</u>	<u>3,750</u>	<u>3,750</u>
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
<i>Municipalities with VLT Facilities</i>	<u>28,885</u>	<u>28,885</u>	<u>19,600</u>	<u>19,600</u>	<u>19,600</u>	<u>19,600</u>
Local Assistance	28,885	28,885	19,600	19,600	19,600	19,600
<i>Small Government Assistance</i>	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Local Assistance	217	218	218	218	218	218
Functional Total	<u>763,082</u>	<u>723,782</u>	<u>729,734</u>	<u>787,760</u>	<u>787,760</u>	<u>787,760</u>
ALL OTHER CATEGORIES						
<i>General State Charges</i>	<u>6,373,457</u>	<u>6,844,184</u>	<u>7,086,249</u>	<u>7,830,305</u>	<u>8,359,901</u>	<u>8,803,391</u>
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
<i>Miscellaneous</i>	<u>(19,359)</u>	<u>488,351</u>	<u>2,307,796</u>	<u>2,194,045</u>	<u>2,950,251</u>	<u>3,656,096</u>
Local Assistance	(67,147)	325,663	1,465,427	1,406,263	2,012,469	2,518,314
State Operations	46,414	158,268	837,949	783,362	933,362	1,133,362
Personal Service	68	40,056	390,056	390,056	465,056	565,056
Non-Personal Service	46,346	118,212	447,893	393,306	468,306	568,306
General State Charges	1,374	4,420	4,420	4,420	4,420	4,420
Functional Total	<u>6,354,098</u>	<u>7,332,535</u>	<u>9,394,045</u>	<u>10,024,350</u>	<u>11,310,152</u>	<u>12,459,487</u>
TOTAL GENERAL FUND SPENDING	<u>68,225,342</u>	<u>72,937,168</u>	<u>75,271,647</u>	<u>78,845,967</u>	<u>82,934,029</u>	<u>86,699,710</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,377	66,848	55,468	57,248	57,048	57,048
Alcoholic Beverage Control, Division of	11,546	11,275	11,560	11,244	11,244	11,244
Economic Development, Department of	71,702	67,475	61,315	61,165	61,165	61,165
Empire State Development Corporation	85,177	75,724	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	11,143	8,526	11,526	11,526	11,526	11,526
Functional Total	244,945	229,848	200,923	202,237	202,037	202,037
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	5,018	4,685	4,685	4,685
Environmental Conservation, Department of	105,456	112,008	130,929	129,018	130,060	137,027
Parks, Recreation and Historic Preservation, Office of	108,081	106,901	114,938	112,629	109,744	109,744
Functional Total	217,834	223,637	250,885	246,332	244,489	251,456
TRANSPORTATION						
Motor Vehicles, Department of	10,677	12,347	12,586	12,830	12,830	12,830
Transportation, Department of	595,676	441,900	436,997	441,889	441,889	441,889
Functional Total	606,353	454,247	449,583	454,719	454,719	454,719
HEALTH						
Aging, Office for the	131,371	146,478	143,311	148,323	153,505	158,817
Health, Department of	15,474,913	17,156,159	17,917,661	18,983,525	19,943,521	20,856,570
<i>Medical Assistance</i>	13,837,091	15,540,492	16,481,017	17,552,039	18,529,013	19,448,182
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	764,404	769,792	703,777	695,929	680,479	674,340
<i>Public Health</i>	796,838	766,149	654,778	660,026	660,026	660,026
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	15,624,400	17,320,709	18,079,651	19,149,920	20,115,098	21,033,459
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,753,211	1,832,543	1,878,086	1,877,870	1,882,521
<i>OCFS</i>	1,827,888	1,692,413	1,761,685	1,803,064	1,802,848	1,807,499
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	13,881	35,557	7,888	51,678	51,678	51,678
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	15,715	27,710	2,599	5,351	5,351	5,351
National and Community Service	560	772	781	781	781	784
Temporary and Disability Assistance, Office of	1,262,856	1,445,462	1,421,352	1,480,253	1,593,053	1,629,853
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	224,918	253,226	214,040	262,941	375,741	412,541
Functional Total	3,203,007	3,272,633	3,275,753	3,426,379	3,538,963	3,580,417
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	445,955	447,071	466,166	486,769	505,751
<i>OASAS</i>	352,360	379,413	378,196	396,616	416,849	435,164
<i>OASAS - Other</i>	73,843	66,542	68,875	69,550	69,920	70,587
Justice Center	40,349	40,099	41,476	40,750	41,291	41,861
Mental Health, Office of	2,626,444	2,709,629	2,614,462	2,727,926	2,823,229	2,925,805
<i>OMH</i>	1,330,551	1,407,734	1,498,032	1,582,358	1,650,396	1,726,827
<i>OMH - Other</i>	1,295,893	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
People with Developmental Disabilities, Office for	1,851,002	3,041,820	1,877,814	2,040,921	2,225,541	2,403,852
<i>OPWDD</i>	389,699	395,641	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	1,461,303	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Functional Total	4,943,998	6,237,503	4,980,823	5,275,763	5,576,830	5,877,269
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,601,761	2,864,113	2,708,264	2,710,528	2,747,272	2,747,272
<i>DOCCS</i>	2,601,761	2,862,113	2,706,264	2,710,528	2,747,272	2,747,272
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	194,937	185,361	163,020	162,750	163,438	164,138
Homeland Security and Emergency Services, Division of	5,188	6,572	5,572	5,290	5,396	5,505
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	21,330	21,659	21,957	22,593	23,046	23,509
State Police, Division of	640,201	708,658	728,698	731,328	749,606	749,606
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	20	0	0	0	0	0
Functional Total	3,501,928	3,825,626	3,667,567	3,672,853	3,729,843	3,731,115

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION						
City University of New York	1,508,353	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Higher Education Services Corporation, New York State	984,334	907,415	823,959	866,598	879,003	879,241
State University of New York	488,672	483,508	460,244	461,968	460,968	460,968
Functional Total	<u>2,981,359</u>	<u>2,927,765</u>	<u>2,842,927</u>	<u>2,927,540</u>	<u>2,971,338</u>	<u>3,001,333</u>
EDUCATION						
Arts, Council on the	43,514	45,255	45,251	45,155	45,155	45,155
Education, Department of	25,270,542	25,925,004	26,755,284	28,093,857	29,406,133	30,723,924
<i>School Aid</i>	22,927,234	23,446,097	24,290,086	25,516,109	26,741,724	27,991,018
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	899,833	1,037,752	998,459	1,040,164	1,059,440	1,059,357
Functional Total	<u>25,314,056</u>	<u>25,970,259</u>	<u>26,800,535</u>	<u>28,139,012</u>	<u>29,451,288</u>	<u>30,769,079</u>
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,432	23,749	22,895	22,895	22,895
Civil Service, Department of	15,793	15,746	14,216	13,842	13,850	13,850
Deferred Compensation Board	34	57	58	57	57	57
Elections, State Board of	8,348	10,147	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	4,541	6,362	6,527	6,362	6,362	6,362
General Services, Office of	135,673	101,415	77,795	76,391	77,943	77,943
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	36,000	31,225	31,014	31,005	31,005
Prevention of Domestic Violence, Office for	2,816	3,128	3,252	3,190	3,190	3,190
Public Employment Relations Board	3,393	3,589	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	23,622	38,952	12,269	11,907	11,907	11,907
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	304,503	230,349	279,042	270,349	270,349	270,349
Veterans' Services, Division of	16,219	15,721	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	701	731	753	768	768
Functional Total	<u>1,135,047</u>	<u>1,046,837</u>	<u>1,047,718</u>	<u>1,041,648</u>	<u>1,054,005</u>	<u>1,054,072</u>
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,441	175,709	173,287	173,287	173,287
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	2,824,285	2,837,200	2,997,893	2,943,093	2,943,093	2,943,093
Law, Department of	107,960	109,689	115,129	111,883	111,883	111,883
Legislature	223,009	241,265	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	<u>3,335,235</u>	<u>3,371,787</u>	<u>3,551,503</u>	<u>3,497,454</u>	<u>3,497,507</u>	<u>3,497,507</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	<u>763,082</u>	<u>723,782</u>	<u>729,734</u>	<u>787,760</u>	<u>787,760</u>	<u>787,760</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Miscellaneous	(19,359)	488,351	2,307,796	2,194,045	2,950,251	3,656,096
Functional Total	<u>6,354,098</u>	<u>7,332,535</u>	<u>9,394,045</u>	<u>10,024,350</u>	<u>11,310,152</u>	<u>12,459,487</u>
TOTAL GENERAL FUND SPENDING	<u>68,225,342</u>	<u>72,937,168</u>	<u>75,271,647</u>	<u>78,845,967</u>	<u>82,934,029</u>	<u>86,699,710</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	31,932	20,821	24,247	24,247	24,247
Economic Development, Department of	55,760	52,134	45,974	45,824	45,824	45,824
Empire State Development Corporation	85,177	75,724	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	2,360	0	0	0	0	0
Functional Total	176,324	159,790	127,849	131,125	131,125	131,125
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	1,874	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	2,188	440	100	100	100	100
Functional Total	4,619	2,314	1,578	1,347	1,078	1,078
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	303,461	109,851	109,851	109,851	109,851	109,851
Functional Total	303,836	109,851	109,851	109,851	109,851	109,851
HEALTH						
Aging, Office for the	130,141	144,516	141,306	146,361	151,543	156,855
Health, Department of	15,002,803	16,696,306	17,470,534	18,535,704	19,498,994	20,404,981
<i>Medical Assistance</i>	13,837,091	15,540,492	16,481,017	17,552,039	18,529,013	19,448,182
<i>Medicaid Administration</i>	503,108	526,198	466,502	452,297	438,613	425,431
<i>Public Health</i>	662,604	629,616	523,015	531,368	531,368	531,368
Functional Total	15,132,944	16,840,822	17,611,840	18,682,065	19,650,537	20,561,836
SOCIAL WELFARE						
Children and Family Services, Office of	1,655,274	1,509,624	1,471,815	1,518,687	1,518,471	1,518,471
<i>OCFS</i>	1,583,160	1,448,826	1,400,957	1,443,665	1,443,449	1,443,449
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	9,692	31,007	2,983	46,937	46,937	46,937
Labor, Department of	15,373	27,422	2,250	5,000	5,000	5,000
National and Community Service	270	432	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,310,432	1,290,127	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	118,196	82,815	128,996	241,796	278,596
Functional Total	2,819,640	2,878,917	2,767,607	2,917,364	3,029,948	3,066,748
MENTAL HYGIENE						
Addiction Services and Supports, Office of	345,526	364,930	362,656	381,447	401,373	419,183
<i>OASAS</i>	324,201	343,605	341,331	360,122	380,048	397,858
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	1,281,517	1,340,723	1,228,688	1,308,793	1,376,313	1,452,013
<i>OMH</i>	1,002,556	1,043,485	1,139,171	1,214,799	1,274,018	1,344,691
<i>OMH - Other</i>	278,961	297,238	89,517	93,994	102,295	107,322
People with Developmental Disabilities, Office for	520,587	1,701,293	538,481	687,402	855,101	1,016,203
<i>OPWDD</i>	389,437	395,641	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	131,150	1,305,652	185,638	329,078	485,915	612,795
Functional Total	2,147,800	3,407,116	2,129,995	2,377,812	2,632,957	2,887,569
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	7,016	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	5,016	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	160,967	151,086	127,781	127,781	127,781	127,781
Homeland Security and Emergency Services, Division of	4,188	5,572	4,572	4,290	4,376	4,464
Military and Naval Affairs, Division of	885	820	820	886	904	923
Victim Services, Office of	20	0	0	0	0	0
Functional Total	169,495	164,494	140,009	137,793	137,897	138,004
HIGHER EDUCATION						
City University of New York	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Higher Education Services Corporation, New York State	984,334	906,915	823,459	866,098	878,503	878,741
State University of New York	487,744	482,766	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,926,523	2,841,643	2,926,256	2,970,054	3,000,049
EDUCATION						
Arts, Council on the	39,248	40,935	40,835	40,835	40,835	40,835
Education, Department of	25,214,361	25,865,766	26,696,074	28,035,619	29,347,895	30,665,686

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>School Aid</i>	22,927,234	23,446,097	24,290,086	25,516,109	26,741,724	27,991,018
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	843,652	978,514	939,249	981,926	1,001,202	1,001,119
Functional Total	25,253,609	25,906,701	26,736,909	28,076,454	29,388,730	30,706,521
GENERAL GOVERNMENT						
Civil Service, Department of	567	2,000	0	0	0	0
Elections, State Board of	5	0	0	0	0	0
Prevention of Domestic Violence, Office for State, Department of	1,260	1,435	1,385	1,412	1,412	1,412
Taxation and Finance, Department of	12,989	29,842	4,317	4,317	4,317	4,317
Veterans' Services, Division of	921	926	926	926	926	926
Functional Total	26,241	43,688	14,468	14,495	14,495	14,495
ELECTED OFFICIALS						
Audit and Control, Department of Judiciary	32,025	32,024	32,024	32,024	32,024	32,024
Functional Total	35,045	83,024	98,524	98,524	98,524	98,524
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	663,679	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	762,835	723,780	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
Miscellaneous	(67,147)	325,663	1,465,427	1,406,263	2,012,469	2,518,314
Functional Total	(67,147)	325,663	1,465,427	1,406,263	2,012,469	2,518,314
TOTAL LOCAL ASSISTANCE SPENDING	49,745,326	53,572,683	54,775,434	57,667,109	60,965,425	64,021,874

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,350	34,916	34,647	33,001	32,801	32,801
Alcoholic Beverage Control, Division of	11,546	11,275	11,560	11,244	11,244	11,244
Economic Development, Department of	15,942	15,341	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	8,783	8,526	11,526	11,526	11,526	11,526
Functional Total	68,621	70,058	73,074	71,112	70,912	70,912
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	5,018	4,685	4,685	4,685
Environmental Conservation, Department of	103,025	110,134	129,451	127,771	129,082	136,049
Parks, Recreation and Historic Preservation, Office of	105,893	106,461	114,838	112,529	109,644	109,644
Functional Total	213,215	221,323	249,307	244,985	243,411	250,378
TRANSPORTATION						
Motor Vehicles, Department of	10,302	12,347	12,586	12,830	12,830	12,830
Transportation, Department of	292,215	332,049	327,146	332,038	332,038	332,038
Functional Total	302,517	344,396	339,732	344,868	344,868	344,868
HEALTH						
Aging, Office for the	1,230	1,962	2,005	1,962	1,962	1,962
Health, Department of	472,110	459,853	447,127	447,821	444,527	451,589
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	261,296	243,594	237,275	243,632	241,866	248,909
<i>Public Health</i>	134,234	136,533	131,763	128,658	128,658	128,658
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	491,456	479,887	467,811	467,855	464,561	471,623
SOCIAL WELFARE						
Children and Family Services, Office of	244,728	243,587	360,728	359,399	359,399	364,050
<i>OCFS</i>	244,728	243,587	360,728	359,399	359,399	364,050
Housing and Community Renewal, Division of	4,189	4,550	4,905	4,741	4,741	4,741
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	342	288	349	351	351	351
National and Community Service	290	340	349	349	349	352
Temporary and Disability Assistance, Office of	123,825	135,030	131,225	133,945	133,945	133,945
<i>All Other</i>	123,825	135,030	131,225	133,945	133,945	133,945
Functional Total	383,367	393,716	508,146	509,015	509,015	513,669
MENTAL HYGIENE						
Addiction Services and Supports, Office of	80,677	81,025	84,415	84,719	85,396	86,568
<i>OASAS</i>	28,159	35,808	36,865	36,494	36,801	37,306
<i>OASAS - Other</i>	52,518	45,217	47,550	48,225	48,595	49,262
Justice Center	40,179	39,929	41,306	40,580	41,121	41,691
Mental Health, Office of	1,344,927	1,368,906	1,385,774	1,419,133	1,446,916	1,473,792
<i>OMH</i>	327,995	364,249	358,861	367,559	376,378	382,136
<i>OMH - Other</i>	1,016,932	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
People with Developmental Disabilities, Office for	1,330,415	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,330,153	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,796,198	2,830,387	2,850,828	2,897,951	2,943,873	2,989,700
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,598,326	2,857,097	2,701,428	2,705,692	2,742,436	2,742,436
<i>DOCCS</i>	2,598,326	2,857,097	2,701,428	2,705,692	2,742,436	2,742,436
Criminal Justice Services, Division of	33,970	34,275	35,239	34,969	35,657	36,357
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	20,445	20,839	21,137	21,707	22,142	22,586
State Police, Division of	640,201	708,658	728,698	731,328	749,606	749,606
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Functional Total	3,332,433	3,661,132	3,527,558	3,535,060	3,591,946	3,593,111
HIGHER EDUCATION						
City University of New York	346	0	0	0	0	0
Higher Education Services Corporation, New York State	0	500	500	500	500	500
State University of New York	928	742	784	784	784	784
Functional Total	1,274	1,242	1,284	1,284	1,284	1,284

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	4,266	4,320	4,416	4,320	4,320	4,320
Education, Department of	56,181	59,238	59,210	58,238	58,238	58,238
<i>All Other</i>	56,181	59,238	59,210	58,238	58,238	58,238
Functional Total	60,447	63,558	63,626	62,558	62,558	62,558
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,432	23,749	22,895	22,895	22,895
Civil Service, Department of	15,226	13,746	14,216	13,842	13,850	13,850
Deferred Compensation Board	34	57	58	57	57	57
Elections, State Board of	8,343	10,147	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	4,541	6,362	6,527	6,362	6,362	6,362
General Services, Office of	135,673	101,415	77,795	76,391	77,943	77,943
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	31,000	26,225	26,014	26,005	26,005
Prevention of Domestic Violence, Office for	1,556	1,693	1,867	1,778	1,778	1,778
Public Employment Relations Board	3,393	3,589	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	10,633	9,110	7,952	7,590	7,590	7,590
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	303,582	229,423	278,116	269,423	269,423	269,423
Veterans' Services, Division of	5,720	6,236	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	701	731	753	768	768
Functional Total	1,102,985	998,149	1,028,250	1,022,153	1,034,510	1,034,577
ELECTED OFFICIALS						
Audit and Control, Department of	134,753	137,417	143,685	141,263	141,263	141,263
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	2,063,276	2,013,400	2,117,400	2,062,600	2,062,600	2,062,600
Law, Department of	107,960	109,689	115,129	111,883	111,883	111,883
Legislature	223,009	241,265	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	2,542,201	2,515,963	2,638,986	2,584,937	2,584,990	2,584,990
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,414	158,268	837,949	783,362	933,362	1,133,362
Functional Total	46,414	158,268	837,949	783,362	933,362	1,133,362
TOTAL STATE OPERATIONS SPENDING	11,341,375	11,738,081	12,586,551	12,525,140	12,785,290	13,051,032

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,105	28,413	29,316	28,539	28,539	28,539
Alcoholic Beverage Control, Division of	8,166	8,316	8,901	8,585	8,585	8,585
Economic Development, Department of	13,090	12,826	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	5,595	5,338	5,338	5,338	5,338	5,338
Functional Total	53,956	54,893	56,381	55,288	55,288	55,288
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,401	4,528	4,370	4,370	4,370
Environmental Conservation, Department of	90,087	88,366	103,969	102,169	103,480	110,447
Parks, Recreation and Historic Preservation, Office of	99,679	98,961	107,964	105,655	102,770	102,770
Functional Total	193,716	191,728	216,461	212,194	210,620	217,587
TRANSPORTATION						
Motor Vehicles, Department of	8,318	8,694	8,868	9,046	9,046	9,046
Transportation, Department of	153,653	159,060	159,055	159,048	159,048	159,048
Functional Total	161,971	167,754	167,923	168,094	168,094	168,094
HEALTH						
Aging, Office for the	1,125	1,856	1,899	1,856	1,856	1,856
Health, Department of	134,839	143,378	149,854	148,770	151,062	153,507
<i>Essential Plan</i>	3,233	4,580	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	37,949	37,554	38,093	40,185	42,464	44,986
<i>Public Health</i>	93,657	101,244	107,069	103,964	103,964	103,964
Medicaid Inspector General, Office of the	15,204	15,624	16,231	15,624	15,624	15,624
Functional Total	151,168	160,858	167,984	166,250	168,542	170,987
SOCIAL WELFARE						
Children and Family Services, Office of	172,601	168,664	262,335	259,291	259,291	261,926
<i>OCFS</i>	172,601	168,664	262,335	259,291	259,291	261,926
Housing and Community Renewal, Division of	3,049	4,199	4,492	4,328	4,328	4,328
Human Rights, Division of	8,934	9,461	10,121	9,752	9,752	9,752
Labor, Department of	87	88	94	91	91	91
National and Community Service	288	331	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	73,244	76,580	78,130	78,130	78,130
<i>All Other</i>	68,003	73,244	76,580	78,130	78,130	78,130
Functional Total	252,962	255,987	353,962	351,932	351,932	354,570
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	61,101	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	27,213	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	33,888	35,334	35,589	35,914	36,243
Justice Center	31,149	31,761	33,164	32,221	32,534	32,868
Mental Health, Office of	1,086,774	1,113,203	1,126,845	1,138,473	1,153,038	1,166,283
<i>OMH</i>	268,080	325,161	320,949	328,543	335,882	339,740
<i>OMH - Other</i>	818,694	788,042	805,896	809,930	817,156	826,543
People with Developmental Disabilities, Office for	1,142,604	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,342	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,320,730	2,364,972	2,376,663	2,396,477	2,423,970	2,450,282
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,414	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,029	2,357,940	2,207,737	2,218,040	2,251,459	2,251,459
<i>DOCCS</i>	2,111,029	2,357,940	2,207,737	2,218,040	2,251,459	2,251,459
Criminal Justice Services, Division of	28,029	27,627	28,591	28,150	28,664	29,227
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	3,959	4,677	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,335	14,241	14,539	14,615	14,908	15,207
State Police, Division of	611,673	680,138	699,888	695,508	713,074	713,074
Statewide Financial System	11,294	11,882	12,325	12,121	12,356	12,356
Functional Total	2,783,595	3,099,919	2,971,489	2,976,735	3,028,872	3,029,755
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	500	500	500	500	500
State University of New York	0	0	42	42	42	42
Functional Total	0	500	542	542	542	542
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Arts, Council on the	2,636	2,498	2,594	2,498	2,498	2,498
Education, Department of	30,847	29,334	30,306	29,334	29,334	29,334
<i>All Other</i>	30,847	29,334	30,306	29,334	29,334	29,334
Functional Total	33,483	31,832	32,900	31,832	31,832	31,832
GENERAL GOVERNMENT						
Budget, Division of the	20,534	22,216	23,070	22,216	22,216	22,216
Civil Service, Department of	14,874	13,746	14,216	13,842	13,850	13,850
Deferred Compensation Board	34	32	33	32	32	32
Elections, State Board of	5,773	6,288	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	6,248	6,346	6,248	6,248	6,248
Gaming Commission, New York State	3,177	4,282	4,447	4,282	4,282	4,282
General Services, Office of	57,198	26,338	32,097	29,706	29,706	29,706
Information Technology Services, Office of	299,018	298,870	302,746	308,861	315,099	315,099
Inspector General, Office of the	5,909	6,648	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	10,487	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,525	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,338	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,681	4,867	4,827	4,924	4,924
State, Department of	10,301	8,854	7,716	7,354	7,354	7,354
Tax Appeals, Division of	2,513	2,870	2,980	2,870	2,870	2,870
Taxation and Finance, Department of	262,755	217,987	235,376	227,987	227,987	227,987
Veterans' Services, Division of	5,161	5,698	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	626	654	646	659	659
Functional Total	707,605	640,734	665,093	658,705	665,036	665,097
ELECTED OFFICIALS						
Audit and Control, Department of	108,084	112,181	114,603	112,181	112,181	112,181
Executive Chamber	9,135	11,113	11,567	11,113	11,113	11,113
Judiciary	1,669,267	1,646,100	1,737,200	1,682,400	1,682,400	1,682,400
Law, Department of	97,853	97,907	103,347	100,101	100,101	100,101
Legislature	175,304	187,511	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	523	543	523	523	523
Functional Total	2,060,057	2,055,335	2,159,843	2,104,684	2,104,737	2,104,737
ALL OTHER CATEGORIES						
Miscellaneous	68	40,056	390,056	390,056	465,056	565,056
Functional Total	68	40,056	390,056	390,056	465,056	565,056
TOTAL PERSONAL SERVICE SPENDING	8,719,311	9,064,568	9,559,297	9,512,789	9,674,521	9,813,827

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,245	6,503	5,331	4,462	4,262	4,262
Alcoholic Beverage Control, Division of	3,380	2,959	2,659	2,659	2,659	2,659
Economic Development, Department of	2,852	2,515	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	3,188	3,188	6,188	6,188	6,188	6,188
Functional Total	14,665	15,165	16,693	15,824	15,624	15,624
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	327	490	315	315	315
Environmental Conservation, Department of	12,938	21,768	25,482	25,602	25,602	25,602
Parks, Recreation and Historic Preservation, Office of	6,214	7,500	6,874	6,874	6,874	6,874
Functional Total	19,499	29,595	32,846	32,791	32,791	32,791
TRANSPORTATION						
Motor Vehicles, Department of	1,984	3,653	3,718	3,784	3,784	3,784
Transportation, Department of	138,562	172,989	168,091	172,990	172,990	172,990
Functional Total	140,546	176,642	171,809	176,774	176,774	176,774
HEALTH						
Aging, Office for the	105	106	106	106	106	106
Health, Department of	337,271	316,475	297,273	299,051	293,465	298,082
<i>Essential Plan</i>	73,347	75,146	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	223,347	206,040	199,182	203,447	199,402	203,923
<i>Public Health</i>	40,577	35,289	24,694	24,694	24,694	24,694
Medicaid Inspector General, Office of the	2,912	2,448	2,448	2,448	2,448	2,448
Functional Total	340,288	319,029	299,827	301,605	296,019	300,636
SOCIAL WELFARE						
Children and Family Services, Office of	72,127	74,923	98,393	100,108	100,108	102,124
<i>OCFS</i>	72,127	74,923	98,393	100,108	100,108	102,124
Housing and Community Renewal, Division of	1,140	351	413	413	413	413
Human Rights, Division of	1,059	460	469	478	478	478
Labor, Department of	255	200	255	260	260	260
National and Community Service	2	9	9	9	9	9
Temporary and Disability Assistance, Office of	55,822	61,786	54,645	55,815	55,815	55,815
<i>All Other</i>	55,822	61,786	54,645	55,815	55,815	55,815
Functional Total	130,405	137,729	154,184	157,083	157,083	159,099
MENTAL HYGIENE						
Addiction Services and Supports, Office of	20,474	19,924	21,084	21,783	21,854	22,422
<i>OASAS</i>	5,781	8,595	8,868	9,147	9,173	9,403
<i>OASAS - Other</i>	14,693	11,329	12,216	12,636	12,681	13,019
Justice Center	9,030	8,168	8,142	8,359	8,587	8,823
Mental Health, Office of	258,153	255,703	258,929	280,660	293,878	307,509
<i>OMH</i>	59,915	39,088	37,912	39,016	40,496	42,396
<i>OMH - Other</i>	198,238	216,615	221,017	241,644	253,382	265,113
People with Developmental Disabilities, Office for	187,811	181,620	186,010	190,672	195,584	200,664
<i>OPWDD - Other</i>	187,811	181,620	186,010	190,672	195,584	200,664
Functional Total	475,468	465,415	474,165	501,474	519,903	539,418
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	237	242	247	247	247
Corrections and Community Supervision, Department of	487,297	499,157	493,691	487,652	490,977	490,977
<i>DOCCS</i>	487,297	499,157	493,691	487,652	490,977	490,977
Criminal Justice Services, Division of	5,941	6,648	6,648	6,819	6,993	7,130
Judicial Conduct, Commission on	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	6,110	6,598	6,598	7,092	7,234	7,379
State Police, Division of	28,528	28,520	28,810	35,820	36,532	36,532
Statewide Financial System	19,226	18,624	18,624	18,996	19,376	19,376
Functional Total	548,838	561,213	556,069	558,325	563,074	563,356
HIGHER EDUCATION						
City University of New York	346	0	0	0	0	0
State University of New York	928	742	742	742	742	742
Functional Total	1,274	742	742	742	742	742
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Arts, Council on the	1,630	1,822	1,822	1,822	1,822	1,822
Education, Department of	25,334	29,904	28,904	28,904	28,904	28,904
<i>All Other</i>	25,334	29,904	28,904	28,904	28,904	28,904
Functional Total	26,964	31,726	30,726	30,726	30,726	30,726
GENERAL GOVERNMENT						
Budget, Division of the	1,897	1,216	679	679	679	679
Civil Service, Department of	352	0	0	0	0	0
Deferred Compensation Board	0	25	25	25	25	25
Elections, State Board of	2,570	3,859	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	196	196	196	197	197
Gaming Commission, New York State	1,364	2,080	2,080	2,080	2,080	2,080
General Services, Office of	78,475	75,077	45,698	46,685	48,237	48,237
Information Technology Services, Office of	245,523	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	839	856	1,204	1,216	1,216
Labor Management Committees	21,081	20,513	20,527	20,527	20,518	20,518
Prevention of Domestic Violence, Office for	162	168	207	211	211	211
Public Employment Relations Board	218	251	251	251	251	251
Public Ethics, Joint Commission on	707	949	968	1,183	1,195	1,195
State, Department of	332	256	236	236	236	236
Tax Appeals, Division of	307	170	170	170	170	170
Taxation and Finance, Department of	40,827	11,436	42,740	41,436	41,436	41,436
Veterans' Services, Division of	559	538	304	310	310	316
Welfare Inspector General, Office of	14	75	77	107	109	109
Functional Total	395,380	357,415	363,157	363,448	369,474	369,480
ELECTED OFFICIALS						
Audit and Control, Department of	26,669	25,236	29,082	29,082	29,082	29,082
Executive Chamber	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	394,009	367,300	380,200	380,200	380,200	380,200
Law, Department of	10,107	11,782	11,782	11,782	11,782	11,782
Legislature	47,705	53,754	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	116	91	91	91	91	91
Functional Total	482,144	460,628	479,143	480,253	480,253	480,253
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,346	118,212	447,893	393,306	468,306	568,306
Functional Total	46,346	118,212	447,893	393,306	468,306	568,306
TOTAL NON-PERSONAL SERVICE SPENDING	2,622,064	2,673,513	3,027,254	3,012,351	3,110,769	3,237,205

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT						
Labor Management Committees	5,821	5,000	5,000	5,000	5,000	5,000
Functional Total	<u>5,821</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
ELECTED OFFICIALS						
Judiciary	757,989	772,800	813,993	813,993	813,993	813,993
Functional Total	<u>757,989</u>	<u>772,800</u>	<u>813,993</u>	<u>813,993</u>	<u>813,993</u>	<u>813,993</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Miscellaneous	1,374	4,420	4,420	4,420	4,420	4,420
Functional Total	<u>6,374,831</u>	<u>6,848,604</u>	<u>7,090,669</u>	<u>7,834,725</u>	<u>8,364,321</u>	<u>8,807,811</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,138,641</u></u>	<u><u>7,626,404</u></u>	<u><u>7,909,662</u></u>	<u><u>8,653,718</u></u>	<u><u>9,183,314</u></u>	<u><u>9,626,804</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	73,582	74,147	63,444	81,358	81,193	81,193
Local Assistance	33,027	31,932	20,821	24,247	24,247	24,247
State Operations	37,473	40,322	40,463	54,850	54,650	54,650
Personal Service	30,255	31,378	32,650	32,039	32,039	32,039
Non-Personal Service/Indirect Costs	7,218	8,944	7,813	22,811	22,611	22,611
General State Charges	3,082	1,893	2,160	2,261	2,296	2,296
<i>Alcoholic Beverage Control, Division of</i>	11,546	11,275	30,623	37,753	40,692	40,692
State Operations	11,546	11,275	26,501	30,711	31,785	31,785
Personal Service	8,166	8,316	15,353	19,404	22,040	22,040
Non-Personal Service/Indirect Costs	3,380	2,959	11,148	11,307	9,745	9,745
General State Charges	0	0	4,122	7,042	8,907	8,907
<i>Economic Development, Department of</i>	73,570	69,453	63,293	68,143	68,143	68,143
Local Assistance	55,760	52,134	45,974	50,824	50,824	50,824
State Operations	17,810	17,291	17,291	17,291	17,291	17,291
Personal Service	13,090	12,929	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	4,720	4,362	4,362	4,362	4,362	4,362
General State Charges	0	28	28	28	28	28
<i>Empire State Development Corporation</i>	85,177	75,724	61,054	61,054	61,054	61,054
Local Assistance	85,177	75,724	61,054	61,054	61,054	61,054
<i>Financial Services, Department of</i>	392,863	369,125	389,354	382,991	382,991	382,991
Local Assistance	64,179	58,602	59,896	59,896	59,896	59,896
State Operations	215,165	208,699	214,981	208,844	208,844	208,844
Personal Service	156,895	153,752	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	58,270	54,947	55,245	54,951	54,951	54,951
General State Charges	113,519	101,824	114,477	114,251	114,251	114,251
<i>Olympic Regional Development Authority</i>	11,175	8,676	11,676	11,676	11,676	11,676
Local Assistance	2,360	0	0	0	0	0
State Operations	8,784	8,676	11,676	11,676	11,676	11,676
Personal Service	5,595	5,338	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	3,338	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
<i>Public Service Department</i>	75,433	80,671	84,683	82,239	81,099	81,099
Local Assistance	218	1,072	60	60	60	60
State Operations	52,432	51,811	53,426	51,406	49,740	49,740
Personal Service	42,628	43,514	46,025	44,550	44,577	44,577
Non-Personal Service/Indirect Costs	9,804	8,297	7,401	6,856	5,163	5,163
General State Charges	22,783	27,788	31,197	30,773	31,299	31,299
Functional Total	723,346	689,071	704,127	725,214	726,848	726,848
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,728	5,018	4,685	4,685	4,685
State Operations	4,297	4,728	5,018	4,685	4,685	4,685
Personal Service	3,950	4,401	4,528	4,370	4,370	4,370
Non-Personal Service/Indirect Costs	347	327	490	315	315	315
<i>Environmental Conservation, Department of</i>	275,635	265,210	279,387	270,463	265,128	256,082
Local Assistance	2,431	1,874	1,478	1,247	978	978
State Operations	210,770	215,627	227,753	221,743	216,336	215,921
Personal Service	174,576	178,208	188,170	181,395	175,940	175,940
Non-Personal Service/Indirect Costs	36,194	37,419	39,583	40,348	40,396	39,981
General State Charges	62,434	47,709	50,156	47,473	47,814	39,183
<i>Parks, Recreation and Historic Preservation, Office of</i>	186,543	169,292	177,451	174,015	171,130	171,130
Local Assistance	6,502	4,090	3,750	3,750	3,750	3,750
State Operations	176,384	163,242	171,694	168,324	165,439	165,439
Personal Service	133,979	128,590	137,668	134,298	131,413	131,413
Non-Personal Service/Indirect Costs	42,405	34,652	34,026	34,026	34,026	34,026
General State Charges	3,657	1,960	2,007	1,941	1,941	1,941
Functional Total	466,475	439,230	461,856	449,163	440,943	431,897
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	87,876	83,653	86,529	86,862	86,862	86,862

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	375	0	0	0	0	0
State Operations	59,189	59,535	60,566	60,247	60,247	60,247
Personal Service	43,766	43,263	44,207	44,497	44,497	44,497
Non-Personal Service/Indirect Costs	15,423	16,272	16,359	15,750	15,750	15,750
General State Charges	28,312	24,118	25,963	26,615	26,615	26,615
Transportation, Department of	4,240,127	3,896,302	4,413,676	4,502,167	4,662,682	4,922,212
Local Assistance	3,937,827	3,552,207	4,075,112	4,158,773	4,319,288	4,578,818
State Operations	300,619	341,898	336,152	340,937	340,937	340,937
Personal Service	156,307	162,384	162,506	162,396	162,396	162,396
Non-Personal Service/Indirect Costs	144,312	179,514	173,646	178,541	178,541	178,541
General State Charges	1,681	2,197	2,412	2,457	2,457	2,457
Functional Total	4,328,003	3,979,955	4,500,205	4,589,029	4,749,544	5,009,074
HEALTH						
Aging, Office for the	131,371	146,478	143,311	148,323	153,505	158,817
Local Assistance	130,141	144,516	141,306	146,361	151,543	156,855
State Operations	1,230	1,962	2,005	1,962	1,962	1,962
Personal Service	1,125	1,856	1,899	1,856	1,856	1,856
Non-Personal Service/Indirect Costs	105	106	106	106	106	106
Health, Department of	22,376,592	24,378,381	25,129,498	26,288,312	27,356,080	28,360,140
Medical Assistance	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
Local Assistance	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
Essential Plan	76,580	79,726	78,089	75,531	74,003	74,022
State Operations	76,580	79,726	78,089	75,531	74,003	74,022
Personal Service	3,233	4,580	4,692	4,621	4,634	4,557
Non-Personal Service/Indirect Costs	73,347	75,146	73,397	70,910	69,369	69,465
Medicaid Administration	764,404	771,333	705,318	697,470	682,020	675,881
Local Assistance	503,108	526,198	466,502	452,297	438,613	425,431
State Operations	261,296	244,554	238,235	244,592	242,826	249,869
Personal Service	37,949	38,464	39,003	41,095	43,374	45,896
Non-Personal Service/Indirect Costs	223,347	206,090	199,232	203,497	199,452	203,973
General State Charges	0	581	581	581	581	581
Public Health	2,006,689	2,329,964	2,043,726	2,210,819	2,227,822	2,240,131
Local Assistance	1,582,129	1,860,160	1,565,754	1,736,797	1,753,190	1,765,115
State Operations	389,638	431,570	438,942	433,653	434,202	434,487
Personal Service	225,732	241,031	245,591	242,376	242,462	242,604
Non-Personal Service/Indirect Costs	163,906	190,539	193,351	191,277	191,740	191,883
General State Charges	34,922	38,234	39,030	40,369	40,430	40,529
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
State Operations	18,116	18,072	18,679	18,072	18,072	18,072
Personal Service	15,204	15,624	16,231	15,624	15,624	15,624
Non-Personal Service/Indirect Costs	2,912	2,448	2,448	2,448	2,448	2,448
Functional Total	22,526,079	24,542,931	25,291,488	26,454,707	27,527,657	28,537,029
SOCIAL WELFARE						
Children and Family Services, Office of	1,922,296	1,777,513	1,857,402	1,903,236	1,903,020	1,908,019
OCFS	1,850,182	1,716,715	1,786,544	1,828,214	1,827,998	1,832,997
Local Assistance	1,587,065	1,452,408	1,404,539	1,447,247	1,447,031	1,447,031
State Operations	260,874	262,176	379,755	378,701	378,701	383,700
Personal Service	176,225	172,018	265,818	262,740	262,740	265,408
Non-Personal Service/Indirect Costs	84,649	90,158	113,937	115,961	115,961	118,292
General State Charges	2,243	2,131	2,250	2,266	2,266	2,266
OCFS - Other	72,114	60,798	70,858	75,022	75,022	75,022
Local Assistance	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	83,223	106,446	24,676	69,308	69,308	69,308
Local Assistance	10,178	31,859	3,835	47,789	47,789	47,789
State Operations	51,137	52,166	18,320	17,675	17,675	17,675
Personal Service	41,155	42,107	17,705	17,060	17,060	17,060
Non-Personal Service/Indirect Costs	9,982	10,059	615	615	615	615
General State Charges	21,908	22,421	2,521	3,844	3,844	3,844

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
State Operations	9,993	9,921	10,590	10,230	10,230	10,230
Personal Service	8,934	9,461	10,121	9,752	9,752	9,752
Non-Personal Service/Indirect Costs	1,059	460	469	478	478	478
Labor, Department of	91,113	94,578	72,143	76,435	76,435	76,435
Local Assistance	15,469	27,572	2,400	5,150	5,150	5,150
State Operations	49,768	46,519	49,256	48,280	48,280	48,280
Personal Service	33,501	32,618	34,864	33,620	33,620	33,620
Non-Personal Service/Indirect Costs	16,267	13,901	14,392	14,660	14,660	14,660
General State Charges	25,876	20,487	20,487	23,005	23,005	23,005
National and Community Service	560	772	781	781	781	784
Local Assistance	270	432	432	432	432	432
State Operations	290	340	349	349	349	352
Personal Service	288	331	340	340	340	343
Non-Personal Service/Indirect Costs	2	9	9	9	9	9
Temporary and Disability Assistance, Office of	1,266,537	1,446,675	1,421,680	1,480,581	1,593,381	1,630,181
Welfare Assistance	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
All Other	228,599	254,439	214,368	263,269	376,069	412,869
Local Assistance	101,093	118,196	82,815	128,996	241,796	278,596
State Operations	127,470	136,115	131,425	134,145	134,145	134,145
Personal Service	68,003	73,244	76,580	78,130	78,130	78,130
Non-Personal Service/Indirect Costs	59,467	62,871	54,845	56,015	56,015	56,015
General State Charges	36	128	128	128	128	128
Functional Total	3,373,722	3,435,905	3,387,272	3,540,571	3,653,155	3,694,957
MENTAL HYGIENE						
Addiction Services and Supports, Office of	435,819	455,814	458,342	477,452	498,236	517,414
OASAS	361,970	389,272	389,467	407,902	428,316	446,827
Local Assistance	327,526	346,993	346,131	364,922	384,848	402,658
State Operations	34,444	42,279	43,336	42,980	43,468	44,169
Personal Service	22,378	27,213	27,997	27,347	27,628	27,903
Non-Personal Service/Indirect Costs	12,066	15,066	15,339	15,633	15,840	16,266
OASAS - Other	73,849	66,542	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	45,217	47,550	48,225	48,595	49,262
Personal Service	37,825	33,888	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,699	11,329	12,216	12,636	12,681	13,019
Justice Center	43,551	42,893	44,332	43,605	44,190	44,806
Local Assistance	679	649	649	649	649	649
State Operations	41,652	41,346	42,775	42,061	42,616	43,200
Personal Service	32,560	33,133	34,586	33,656	33,982	34,330
Non-Personal Service/Indirect Costs	9,092	8,213	8,189	8,405	8,634	8,870
General State Charges	1,220	898	908	895	925	957
Mental Health, Office of	2,581,803	2,716,149	2,620,982	2,734,446	2,829,749	2,932,325
OMH	1,323,262	1,414,254	1,504,552	1,588,878	1,656,916	1,733,347
Local Assistance	1,002,843	1,044,560	1,140,246	1,215,874	1,275,093	1,345,766
State Operations	331,100	369,694	364,306	373,004	381,823	387,581
Personal Service	268,077	325,264	321,052	328,646	335,985	339,843
Non-Personal Service/Indirect Costs	63,023	44,430	43,254	44,358	45,838	47,738
General State Charges	(10,681)	0	0	0	0	0
OMH - Other	1,258,541	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Local Assistance	278,961	297,238	89,517	93,994	102,295	107,322
State Operations	1,013,556	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
Personal Service	818,643	788,042	805,896	809,930	817,156	826,543
Non-Personal Service/Indirect Costs	194,913	216,615	221,017	241,644	253,382	265,113
General State Charges	(33,976)	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,042,001	1,878,017	2,041,124	2,225,744	2,404,055

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OPWDD	387,604	395,822	353,046	358,527	369,389	403,611
Local Assistance	387,306	395,641	352,843	358,324	369,186	403,408
State Operations	298	181	203	203	203	203
Personal Service	262	0	0	0	0	0
Non-Personal Service/Indirect Costs	36	181	203	203	203	203
OPWDD - Other	1,452,120	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Local Assistance	131,150	1,305,652	185,638	329,078	485,915	612,795
State Operations	1,320,970	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,322	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	178,648	181,620	186,010	190,672	195,584	200,664
Functional Total	4,900,897	6,256,857	5,001,673	5,296,627	5,597,919	5,876,006
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
State Operations	2,541	2,651	2,773	2,735	2,735	2,735
Personal Service	2,276	2,414	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	265	237	242	247	247	247
Corrections and Community Supervision, Department of	2,602,994	2,867,065	2,711,216	2,713,480	2,750,249	2,750,249
DOCCS	2,602,994	2,865,065	2,709,216	2,713,480	2,750,249	2,750,249
Local Assistance	3,435	5,016	4,836	4,836	4,836	4,836
State Operations	2,599,368	2,859,943	2,704,274	2,708,538	2,745,307	2,745,307
Personal Service	2,111,244	2,358,159	2,207,956	2,218,259	2,251,682	2,251,682
Non-Personal Service/Indirect Costs	488,124	501,784	496,318	490,279	493,625	493,625
General State Charges	191	106	106	106	106	106
DOCCS - Other	0	2,000	2,000	0	0	0
Local Assistance	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	217,569	213,927	200,509	200,330	201,075	201,841
Local Assistance	181,941	176,519	162,171	162,171	162,171	162,171
State Operations	35,628	37,408	38,338	38,159	38,904	39,670
Personal Service	28,281	28,019	28,994	28,550	29,064	29,635
Non-Personal Service/Indirect Costs	7,347	9,389	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	86,408	77,779	98,148	144,342	147,268	148,216
Local Assistance	49,327	37,541	57,142	100,839	102,925	103,013
State Operations	36,318	39,421	40,171	42,598	43,438	44,298
Personal Service	20,611	29,610	30,314	32,215	32,774	33,433
Non-Personal Service/Indirect Costs	15,707	9,811	9,857	10,383	10,664	10,865
General State Charges	763	817	835	905	905	905
Indigent Legal Services, Office of	88,454	91,528	166,463	226,631	276,820	290,763
Local Assistance	83,765	85,288	160,000	220,000	270,000	283,762
State Operations	2,838	4,137	4,297	4,380	4,468	4,543
Personal Service	2,430	3,298	3,455	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	408	839	842	856	873	876
General State Charges	1,851	2,103	2,166	2,251	2,352	2,458
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
State Operations	5,423	6,038	6,266	6,444	6,550	6,550
Personal Service	3,959	4,677	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
State Operations	0	30	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
State Operations	7	38	38	38	38	38
Non-Personal Service/Indirect Costs	7	38	38	38	38	38
Military and Naval Affairs, Division of	24,968	26,586	25,782	26,420	26,878	27,415
Local Assistance	885	820	820	886	904	923
State Operations	23,869	25,757	24,953	25,524	25,964	26,482
Personal Service	14,432	14,402	14,700	14,776	15,073	15,376
Non-Personal Service/Indirect Costs	9,437	11,355	10,253	10,748	10,891	11,106
General State Charges	214	9	9	10	10	10
State Police, Division of	763,179	818,469	839,521	837,821	857,473	857,473

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	717,416	790,059	810,568	808,498	827,950	827,950
Personal Service	657,453	729,830	750,020	745,878	764,494	764,494
Non-Personal Service/Indirect Costs	59,963	60,229	60,548	62,620	63,456	63,456
General State Charges	45,763	28,410	28,953	29,323	29,523	29,523
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
State Operations	30,520	30,506	30,949	31,117	31,732	31,732
Personal Service	11,294	11,882	12,325	12,121	12,356	12,356
Non-Personal Service/Indirect Costs	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	30,267	36,345	33,462	34,111	34,111	34,111
Local Assistance	24,865	30,744	27,744	28,398	28,398	28,398
State Operations	3,508	3,951	4,068	4,030	4,030	4,030
Personal Service	2,958	3,206	3,323	3,272	3,272	3,272
Non-Personal Service/Indirect Costs	550	745	745	758	758	758
General State Charges	1,894	1,650	1,650	1,683	1,683	1,683
Functional Total	3,852,330	4,170,962	4,115,157	4,223,499	4,334,959	4,351,153

HIGHER EDUCATION

City University of New York	1,604,154	1,633,469	1,562,217	1,602,467	1,634,860	1,664,617
Local Assistance	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
State Operations	96,018	96,477	3,493	3,493	3,493	3,493
Personal Service	47,274	48,594	3,493	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	48,744	47,883	0	0	0	0
General State Charges	129	150	0	0	0	0
Higher Education - Miscellaneous	540	441	441	441	441	441
State Operations	340	291	291	291	291	291
Personal Service	232	198	198	198	198	198
Non-Personal Service/Indirect Costs	108	93	93	93	93	93
General State Charges	200	150	150	150	150	150
Higher Education Services Corporation, New York State	1,033,360	954,209	870,753	913,392	925,797	926,035
Local Assistance	984,334	906,915	823,459	866,098	878,503	878,741
State Operations	39,219	38,274	38,274	38,274	38,274	38,274
Personal Service	12,337	12,916	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	26,882	25,358	25,358	25,358	25,358	25,358
General State Charges	9,807	9,020	9,020	9,020	9,020	9,020
State University of New York	7,011,073	7,235,260	7,490,573	7,595,088	7,819,540	8,001,198
Local Assistance	487,744	482,766	459,460	461,184	460,184	460,184
State Operations	6,000,806	6,234,651	6,484,299	6,571,927	6,770,049	6,931,110
Personal Service	3,952,902	4,189,940	4,402,843	4,452,574	4,601,904	4,719,036
Non-Personal Service/Indirect Costs	2,047,904	2,044,711	2,081,456	2,119,353	2,168,145	2,212,074
General State Charges	522,523	517,843	546,814	561,977	589,307	609,904
Functional Total	9,649,127	9,823,379	9,923,984	10,111,388	10,380,638	10,592,291

EDUCATION

Arts, Council on the	43,514	45,353	45,349	45,253	45,253	45,253
Local Assistance	39,248	41,033	40,933	40,933	40,933	40,933
State Operations	4,266	4,320	4,416	4,320	4,320	4,320
Personal Service	2,636	2,498	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,630	1,822	1,822	1,822	1,822	1,822
Education, Department of	31,150,503	32,090,048	32,535,328	33,768,404	34,959,670	36,174,373
School Aid	26,250,356	27,291,897	27,923,086	29,134,509	30,354,524	31,603,818
Local Assistance	26,250,356	27,291,897	27,923,086	29,134,509	30,354,524	31,603,818
School Aid – Other	152,867	109,518	109,518	109,518	109,518	109,518
Local Assistance	152,867	109,518	109,518	109,518	109,518	109,518
STAR Property Tax Relief	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
Local Assistance	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
Special Education Categorical Programs	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,033,560	1,181,001	1,145,987	1,184,566	1,204,487	1,204,404

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	852,272	991,547	952,282	994,959	1,014,235	1,014,152
State Operations	138,074	150,213	152,247	149,026	148,983	148,983
Personal Service	88,514	90,726	94,081	90,726	90,726	90,726
Non-Personal Service/Indirect Costs	49,560	59,487	58,166	58,300	58,257	58,257
General State Charges	43,214	39,241	41,458	40,581	41,269	41,269
Functional Total	31,194,017	32,135,401	32,580,677	33,813,657	35,004,923	36,219,626
GENERAL GOVERNMENT						
Budget, Division of the	26,125	30,133	30,603	29,596	29,596	29,596
State Operations	25,218	28,476	28,886	27,939	27,939	27,939
Personal Service	21,921	24,567	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	3,909	3,375	3,372	3,372	3,372
General State Charges	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	16,351	14,848	14,890	14,911	14,911
Local Assistance	567	2,000	0	0	0	0
State Operations	15,422	14,120	14,604	14,649	14,666	14,666
Personal Service	15,062	14,108	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	360	12	12	442	451	451
General State Charges	139	231	244	241	245	245
Deferred Compensation Board	776	891	896	900	904	904
State Operations	474	648	649	648	648	648
Personal Service	424	413	414	413	413	413
Non-Personal Service/Indirect Costs	50	235	235	235	235	235
General State Charges	302	243	247	252	256	256
Elections, State Board of	8,621	10,147	10,583	10,054	9,428	9,428
Local Assistance	5	0	0	0	0	0
State Operations	8,616	10,147	10,583	10,054	9,428	9,428
Personal Service	5,773	6,288	6,571	6,478	6,316	6,316
Non-Personal Service/Indirect Costs	2,843	3,859	4,012	3,576	3,112	3,112
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
State Operations	2,097	6,444	6,542	6,444	6,445	6,445
Personal Service	2,040	6,248	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	57	196	196	196	197	197
Gaming Commission, New York State	159,059	278,886	210,614	209,283	208,383	207,983
Local Assistance	69,013	198,453	124,164	125,250	124,350	123,950
State Operations	67,292	62,858	67,985	66,458	66,458	66,458
Personal Service	35,418	38,687	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	31,874	24,171	27,807	27,771	27,771	27,771
General State Charges	22,754	17,575	18,465	17,575	17,575	17,575
General Services, Office of	142,000	106,005	82,524	81,126	82,753	82,753
State Operations	141,462	105,457	81,941	80,551	82,168	82,168
Personal Service	61,158	27,196	32,994	30,577	30,577	30,577
Non-Personal Service/Indirect Costs	80,304	78,261	48,947	49,974	51,591	51,591
General State Charges	538	548	583	575	585	585
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
State Operations	544,541	538,637	546,877	553,433	564,591	564,591
Personal Service	299,018	298,870	302,746	308,861	315,099	315,099
Non-Personal Service/Indirect Costs	245,523	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
State Operations	6,844	7,487	7,826	8,061	8,210	8,210
Personal Service	5,909	6,648	6,970	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	935	839	856	1,204	1,216	1,216
Labor Management Committees	35,520	36,306	31,531	31,320	31,311	31,311
State Operations	29,699	31,306	26,531	26,320	26,311	26,311
Personal Service	8,618	10,487	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	20,819	20,833	20,833	20,824	20,824
General State Charges	5,821	5,000	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	3,133	3,257	3,195	3,195	3,195
Local Assistance	1,260	1,435	1,385	1,412	1,412	1,412
State Operations	1,556	1,698	1,872	1,783	1,783	1,783
Personal Service	1,394	1,525	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	162	173	212	216	216	216
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	3,430	3,634	3,764	3,634	3,634	3,634
Personal Service	3,175	3,338	3,468	3,338	3,338	3,338
Non-Personal Service/Indirect Costs	255	296	296	296	296	296
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State Operations	5,223	5,630	5,835	6,010	6,119	6,119
Personal Service	4,516	4,681	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	707	949	968	1,183	1,195	1,195
State, Department of	71,381	84,354	61,223	60,389	60,406	60,406
Local Assistance	13,928	29,842	4,317	4,317	4,317	4,317
State Operations	42,005	41,588	42,118	41,006	41,006	41,006
Personal Service	28,629	28,254	28,846	27,758	27,758	27,758
Non-Personal Service/Indirect Costs	13,376	13,334	13,272	13,248	13,248	13,248
General State Charges	15,448	12,924	14,788	15,066	15,083	15,083
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
State Operations	2,820	3,040	3,150	3,040	3,040	3,040
Personal Service	2,513	2,870	2,980	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	307	170	170	170	170	170
Taxation and Finance, Department of	320,486	328,922	381,089	368,962	368,322	368,322
Local Assistance	2,435	2,726	2,726	2,726	2,726	2,726
State Operations	312,384	304,887	355,796	344,845	344,278	344,278
Personal Service	269,428	262,371	281,655	272,500	272,386	272,386
Non-Personal Service/Indirect Costs	42,956	42,516	74,141	72,345	71,892	71,892
General State Charges	5,667	21,309	22,567	21,391	21,318	21,318
Veterans' Services, Division of	16,219	15,721	14,322	14,257	14,257	14,324
Local Assistance	10,499	9,485	7,840	7,840	7,840	7,840
State Operations	5,720	6,236	6,482	6,417	6,417	6,484
Personal Service	5,161	5,698	6,178	6,107	6,107	6,168
Non-Personal Service/Indirect Costs	559	538	304	310	310	316
Welfare Inspector General, Office of	629	701	731	753	768	768
State Operations	629	701	731	753	768	768
Personal Service	615	626	654	646	659	659
Non-Personal Service/Indirect Costs	14	75	77	107	109	109
Workers' Compensation Board	198,486	196,439	205,865	196,439	196,439	196,439
State Operations	141,918	143,219	148,002	143,219	143,219	143,219
Personal Service	82,890	84,892	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	58,327	58,394	58,327	58,327	58,327
General State Charges	56,568	53,220	57,863	53,220	53,220	53,220
Functional Total	1,563,201	1,672,861	1,622,080	1,601,786	1,612,712	1,612,379
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	191,667	198,222	195,513	195,513	195,513
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	148,498	157,446	164,001	161,292	161,292	161,292
Personal Service	118,729	127,888	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	29,769	29,558	33,404	33,404	33,404	33,404
General State Charges	2,039	2,197	2,197	2,197	2,197	2,197
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
State Operations	12,673	13,578	14,032	13,578	13,578	13,578
Personal Service	9,135	11,113	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	3,057,041	3,100,600	3,262,093	3,205,093	3,205,393	3,205,393
Local Assistance	101,561	161,900	179,500	179,500	179,500	179,500
State Operations	2,168,278	2,134,100	2,234,400	2,177,400	2,177,700	2,177,700
Personal Service	1,731,580	1,712,800	1,806,000	1,749,000	1,749,300	1,749,300
Non-Personal Service/Indirect Costs	436,698	421,300	428,400	428,400	428,400	428,400
General State Charges	787,202	804,600	848,193	848,193	848,193	848,193
Law, Department of	213,712	202,783	212,235	206,834	206,834	206,834
State Operations	187,295	182,984	191,186	186,591	186,591	186,591
Personal Service	134,904	129,145	137,554	132,958	132,958	132,958
Non-Personal Service/Indirect Costs	52,391	53,839	53,632	53,633	53,633	53,633
General State Charges	26,417	19,799	21,049	20,243	20,243	20,243

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
State Operations	223,573	242,215	249,056	255,949	256,002	256,002
Personal Service	175,304	187,511	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	48,269	54,704	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	530	614	634	614	614	614
State Operations	530	614	634	614	614	614
Personal Service	414	523	543	523	523	523
Non-Personal Service/Indirect Costs	116	91	91	91	91	91
Functional Total	3,690,091	3,751,457	3,936,272	3,877,581	3,877,934	3,877,934
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
Local Assistance	721,732	663,679	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Local Assistance	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Local Assistance	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Local Assistance	217	218	218	218	218	218
Functional Total	763,082	723,782	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Long-Term Debt Service	6,736,264	5,203,634	6,056,119	7,054,415	7,417,709	7,600,817
State Operations	37,699	37,706	43,657	44,573	44,573	44,573
Non-Personal Service/Indirect Costs	37,699	37,706	43,657	44,573	44,573	44,573
Debt Service	6,698,565	5,165,928	6,012,462	7,009,842	7,373,136	7,556,244
Miscellaneous	(3,357)	212,190	414,557	397,200	353,416	359,261
Local Assistance	(56,188)	154,419	381,625	364,263	320,469	326,314
State Operations	49,862	52,001	27,150	27,150	27,150	27,150
Personal Service	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	47,730	59,716	34,810	34,810	34,810	34,810
General State Charges	2,969	5,770	5,782	5,787	5,797	5,797
Functional Total	13,106,364	12,260,008	13,556,925	15,281,920	16,131,026	16,763,469
TOTAL STATE OPERATING FUNDS SPENDING	100,136,734	103,881,799	105,811,450	110,752,902	114,826,018	118,480,423

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	73,582	74,147	63,444	81,358	81,193	81,193
Alcoholic Beverage Control, Division of	11,546	11,275	30,623	37,753	40,692	40,692
Economic Development, Department of	73,570	69,453	63,293	68,143	68,143	68,143
Empire State Development Corporation	85,177	75,724	61,054	61,054	61,054	61,054
Financial Services, Department of	392,863	369,125	389,354	382,991	382,991	382,991
Olympic Regional Development Authority	11,175	8,676	11,676	11,676	11,676	11,676
Public Service Department	75,433	80,671	84,683	82,239	81,099	81,099
Functional Total	723,346	689,071	704,127	725,214	726,848	726,848
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	5,018	4,685	4,685	4,685
Environmental Conservation, Department of	275,635	265,210	279,387	270,463	265,128	256,082
Parks, Recreation and Historic Preservation, Office of	186,543	169,292	177,451	174,015	171,130	171,130
Functional Total	466,475	439,230	461,856	449,163	440,943	431,897
TRANSPORTATION						
Motor Vehicles, Department of	87,876	83,653	86,529	86,862	86,862	86,862
Transportation, Department of	4,240,127	3,896,302	4,413,676	4,502,167	4,662,682	4,922,212
Functional Total	4,328,003	3,979,955	4,500,205	4,589,029	4,749,544	5,009,074
HEALTH						
Aging, Office for the	131,371	146,478	143,311	148,323	153,505	158,817
Health, Department of	22,376,592	24,378,381	25,129,498	26,288,312	27,356,080	28,360,140
<i>Medical Assistance</i>	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	764,404	771,333	705,318	697,470	682,020	675,881
<i>Public Health</i>	2,006,689	2,329,964	2,043,726	2,210,819	2,227,822	2,240,131
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	22,526,079	24,542,931	25,291,488	26,454,707	27,527,657	28,537,029
SOCIAL WELFARE						
Children and Family Services, Office of	1,922,296	1,777,513	1,857,402	1,903,236	1,903,020	1,908,019
<i>OCFS</i>	1,850,182	1,716,715	1,786,544	1,828,214	1,827,998	1,832,997
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	83,223	106,446	24,676	69,308	69,308	69,308
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	91,113	94,578	72,143	76,435	76,435	76,435
National and Community Service	560	772	781	781	781	784
Temporary and Disability Assistance, Office of	1,266,537	1,446,675	1,421,680	1,480,581	1,593,381	1,630,181
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	228,599	254,439	214,368	263,269	376,069	412,869
Functional Total	3,373,722	3,435,905	3,387,272	3,540,571	3,653,155	3,694,957
MENTAL HYGIENE						
Addiction Services and Supports, Office of	435,819	455,814	458,342	477,452	498,236	517,414
<i>OASAS</i>	361,970	389,272	389,467	407,902	428,316	446,827
<i>OASAS - Other</i>	73,849	66,542	68,875	69,550	69,920	70,587
Justice Center	43,551	42,893	44,332	43,605	44,190	44,806
Mental Health, Office of	2,581,803	2,716,149	2,620,982	2,734,446	2,829,749	2,932,325
<i>OMH</i>	1,323,262	1,414,254	1,504,552	1,588,878	1,656,916	1,733,347
<i>OMH - Other</i>	1,258,541	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,042,001	1,878,017	2,041,124	2,225,744	2,404,055
<i>OPWDD</i>	387,604	395,822	353,046	358,527	369,389	403,611
<i>OPWDD - Other</i>	1,452,120	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Functional Total	4,900,897	6,256,857	5,001,673	5,296,627	5,597,919	5,876,006
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,602,994	2,867,065	2,711,216	2,713,480	2,750,249	2,750,249
<i>DOCCS</i>	2,602,994	2,865,065	2,709,216	2,713,480	2,750,249	2,750,249
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	217,569	213,927	200,509	200,330	201,075	201,841
Homeland Security and Emergency Services, Division of	86,408	77,779	98,148	144,342	147,268	148,216
Indigent Legal Services, Office of	88,454	91,528	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	24,968	26,586	25,782	26,420	26,878	27,415
State Police, Division of	763,179	818,469	839,521	837,821	857,473	857,473
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Victim Services, Office of	30,267	36,345	33,462	34,111	34,111	34,111
Functional Total	3,852,330	4,170,962	4,115,157	4,223,499	4,334,959	4,351,153
HIGHER EDUCATION						
City University of New York	1,604,154	1,633,469	1,562,217	1,602,467	1,634,860	1,664,617
Higher Education - Miscellaneous	540	441	441	441	441	441
Higher Education Services Corporation, New York State	1,033,360	954,209	870,753	913,392	925,797	926,035
State University of New York	7,011,073	7,235,260	7,490,573	7,595,088	7,819,540	8,001,198
Functional Total	9,649,127	9,823,379	9,923,984	10,111,388	10,380,638	10,592,291
EDUCATION						
Arts, Council on the	43,514	45,353	45,349	45,253	45,253	45,253
Education, Department of	31,150,503	32,090,048	32,535,328	33,768,404	34,959,670	36,174,373
<i>School Aid</i>	26,250,356	27,291,897	27,923,086	29,134,509	30,354,524	31,603,818
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,033,560	1,181,001	1,145,987	1,184,566	1,204,487	1,204,404
Functional Total	31,194,017	32,135,401	32,580,677	33,813,657	35,004,923	36,219,626
GENERAL GOVERNMENT						
Budget, Division of the	26,125	30,133	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	16,351	14,848	14,890	14,911	14,911
Deferred Compensation Board	776	891	896	900	904	904
Elections, State Board of	8,621	10,147	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	278,886	210,614	209,283	208,383	207,983
General Services, Office of	142,000	106,005	82,524	81,126	82,753	82,753
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	36,306	31,531	31,320	31,311	31,311
Prevention of Domestic Violence, Office for	2,816	3,133	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	71,381	84,354	61,223	60,389	60,406	60,406
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,486	328,922	381,089	368,962	368,322	368,322
Veterans' Services, Division of	16,219	15,721	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	198,486	196,439	205,865	196,439	196,439	196,439
Functional Total	1,563,201	1,672,861	1,622,080	1,601,786	1,612,712	1,612,379
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	191,667	198,222	195,513	195,513	195,513
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	3,057,041	3,100,600	3,262,093	3,205,093	3,205,393	3,205,393
Law, Department of	213,712	202,783	212,235	206,834	206,834	206,834
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	3,690,091	3,751,457	3,936,272	3,877,581	3,877,934	3,877,934
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	763,082	723,782	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Long-Term Debt Service	6,736,264	5,203,634	6,056,119	7,054,415	7,417,709	7,600,817
Miscellaneous	(3,357)	212,190	414,557	397,200	353,416	359,261
Functional Total	13,106,364	12,260,008	13,556,925	15,281,920	16,131,026	16,763,469
TOTAL STATE OPERATING FUNDS SPENDING	100,136,734	103,881,799	105,811,450	110,752,902	114,826,018	118,480,423

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	31,932	20,821	24,247	24,247	24,247
Economic Development, Department of	55,760	52,134	45,974	50,824	50,824	50,824
Empire State Development Corporation	85,177	75,724	61,054	61,054	61,054	61,054
Financial Services, Department of	64,179	58,602	59,896	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	0	0	0	0	0
Public Service Department	218	1,072	60	60	60	60
Functional Total	240,721	219,464	187,805	196,081	196,081	196,081
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	1,874	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	6,502	4,090	3,750	3,750	3,750	3,750
Functional Total	8,933	5,964	5,228	4,997	4,728	4,728
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	3,937,827	3,552,207	4,075,112	4,158,773	4,319,288	4,578,818
Functional Total	3,938,202	3,552,207	4,075,112	4,158,773	4,319,288	4,578,818
HEALTH						
Aging, Office for the	130,141	144,516	141,306	146,361	151,543	156,855
Health, Department of	21,614,156	23,583,716	24,334,621	25,493,586	26,564,038	27,560,652
<i>Medical Assistance</i>	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
<i>Medicaid Administration</i>	503,108	526,198	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,582,129	1,860,160	1,565,754	1,736,797	1,753,190	1,765,115
Functional Total	21,744,297	23,728,232	24,475,927	25,639,947	26,715,581	27,717,507
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,513,206	1,475,397	1,522,269	1,522,053	1,522,053
<i>OCFS</i>	1,587,065	1,452,408	1,404,539	1,447,247	1,447,031	1,447,031
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	10,178	31,859	3,835	47,789	47,789	47,789
Labor, Department of	15,469	27,572	2,400	5,150	5,150	5,150
National and Community Service	270	432	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,310,432	1,290,127	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	118,196	82,815	128,996	241,796	278,596
Functional Total	2,824,127	2,883,501	2,772,191	2,921,948	3,034,532	3,071,332
MENTAL HYGIENE						
Addiction Services and Supports, Office of	348,851	368,318	367,456	386,247	406,173	423,983
<i>OASAS</i>	327,526	346,993	346,131	364,922	384,848	402,658
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,281,804	1,341,798	1,229,763	1,309,868	1,377,388	1,453,088
<i>OMH</i>	1,002,843	1,044,560	1,140,246	1,215,874	1,275,093	1,345,766
<i>OMH - Other</i>	278,961	297,238	89,517	93,994	102,295	107,322
People with Developmental Disabilities, Office for	518,456	1,701,293	538,481	687,402	855,101	1,016,203
<i>OPWDD</i>	387,306	395,641	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	131,150	1,305,652	185,638	329,078	485,915	612,795
Functional Total	2,149,790	3,412,058	2,136,349	2,384,166	2,639,311	2,893,923
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	7,016	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	5,016	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	181,941	176,519	162,171	162,171	162,171	162,171
Homeland Security and Emergency Services, Division of	49,327	37,541	57,142	100,839	102,925	103,013
Indigent Legal Services, Office of	83,765	85,288	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	820	886	904	923
Victim Services, Office of	24,865	30,744	27,744	28,398	28,398	28,398
Functional Total	344,218	337,928	414,713	517,130	569,234	583,103
HIGHER EDUCATION						
City University of New York	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Higher Education Services Corporation, New York State	984,334	906,915	823,459	866,098	878,503	878,741
State University of New York	487,744	482,766	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,926,523	2,841,643	2,926,256	2,970,054	3,000,049

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Arts, Council on the	39,248	41,033	40,933	40,933	40,933	40,933
Education, Department of	<u>30,969,215</u>	<u>31,900,594</u>	<u>32,341,623</u>	<u>33,578,797</u>	<u>34,769,418</u>	<u>35,984,121</u>
<i>School Aid</i>	26,250,356	27,291,897	27,923,086	29,134,509	30,354,524	31,603,818
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	<u>852,272</u>	<u>991,547</u>	<u>952,282</u>	<u>994,959</u>	<u>1,014,235</u>	<u>1,014,152</u>
Functional Total	<u>31,008,463</u>	<u>31,941,627</u>	<u>32,382,556</u>	<u>33,619,730</u>	<u>34,810,351</u>	<u>36,025,054</u>
GENERAL GOVERNMENT						
Civil Service, Department of	567	2,000	0	0	0	0
Elections, State Board of	5	0	0	0	0	0
Gaming Commission, New York State	69,013	198,453	124,164	125,250	124,350	123,950
Prevention of Domestic Violence, Office for	1,260	1,435	1,385	1,412	1,412	1,412
State, Department of	13,928	29,842	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	2,435	2,726	2,726	2,726	2,726	2,726
Veterans' Services, Division of	<u>10,499</u>	<u>9,485</u>	<u>7,840</u>	<u>7,840</u>	<u>7,840</u>	<u>7,840</u>
Functional Total	<u>97,707</u>	<u>243,941</u>	<u>140,432</u>	<u>141,545</u>	<u>140,645</u>	<u>140,245</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	<u>101,561</u>	<u>161,900</u>	<u>179,500</u>	<u>179,500</u>	<u>179,500</u>	<u>179,500</u>
Functional Total	<u>133,586</u>	<u>193,924</u>	<u>211,524</u>	<u>211,524</u>	<u>211,524</u>	<u>211,524</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	663,679	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>762,835</u>	<u>723,780</u>	<u>729,734</u>	<u>787,760</u>	<u>787,760</u>	<u>787,760</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>(56,188)</u>	<u>154,419</u>	<u>381,625</u>	<u>364,263</u>	<u>320,469</u>	<u>326,314</u>
Functional Total	<u>(56,188)</u>	<u>154,419</u>	<u>381,625</u>	<u>364,263</u>	<u>320,469</u>	<u>326,314</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>66,176,776</u>	<u>70,323,568</u>	<u>70,754,839</u>	<u>73,874,120</u>	<u>76,719,558</u>	<u>79,536,438</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,322	40,463	54,850	54,650	54,650
Alcoholic Beverage Control, Division of	11,546	11,275	26,501	30,711	31,785	31,785
Economic Development, Department of	17,810	17,291	17,291	17,291	17,291	17,291
Financial Services, Department of	215,165	208,699	214,981	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	8,676	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,811	53,426	51,406	49,740	49,740
Functional Total	343,210	338,074	364,338	374,778	373,986	373,986
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	5,018	4,685	4,685	4,685
Environmental Conservation, Department of	210,770	215,627	227,753	221,743	216,336	215,921
Parks, Recreation and Historic Preservation, Office of	176,384	163,242	171,694	168,324	165,439	165,439
Functional Total	391,451	383,597	404,465	394,752	386,460	386,045
TRANSPORTATION						
Motor Vehicles, Department of	59,189	59,535	60,566	60,247	60,247	60,247
Transportation, Department of	300,619	341,898	336,152	340,937	340,937	340,937
Functional Total	359,808	401,433	396,718	401,184	401,184	401,184
HEALTH						
Aging, Office for the	1,230	1,962	2,005	1,962	1,962	1,962
Health, Department of	727,514	755,850	755,266	753,776	751,031	758,378
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	261,296	244,554	238,235	244,592	242,826	249,869
<i>Public Health</i>	389,638	431,570	438,942	433,653	434,202	434,487
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	746,860	775,884	775,950	773,810	771,065	778,412
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	262,176	379,755	378,701	378,701	383,700
<i>OCFS</i>	260,874	262,176	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	51,137	52,166	18,320	17,675	17,675	17,675
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	49,768	46,519	49,256	48,280	48,280	48,280
National and Community Service	290	340	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	136,115	131,425	134,145	134,145	134,145
<i>All Other</i>	127,470	136,115	131,425	134,145	134,145	134,145
Functional Total	499,532	507,237	589,695	589,380	589,380	594,382
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	87,496	90,886	91,205	92,063	93,431
<i>OASAS</i>	34,444	42,279	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	52,524	45,217	47,550	48,225	48,595	49,262
Justice Center	41,652	41,346	42,775	42,061	42,616	43,200
Mental Health, Office of	1,344,656	1,374,351	1,391,219	1,424,578	1,452,361	1,479,237
<i>OMH</i>	331,100	369,694	364,306	373,004	381,823	387,581
<i>OMH - Other</i>	1,013,556	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
People with Developmental Disabilities, Office for	1,321,268	1,340,708	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	298	181	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,794,544	2,843,901	2,864,416	2,911,566	2,957,683	3,003,720
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,599,368	2,859,943	2,704,274	2,708,538	2,745,307	2,745,307
<i>DOCCS</i>	2,599,368	2,859,943	2,704,274	2,708,538	2,745,307	2,745,307
Criminal Justice Services, Division of	35,628	37,408	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	36,318	39,421	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	2,838	4,137	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,757	24,953	25,524	25,964	26,482
State Police, Division of	717,416	790,059	810,568	808,498	827,950	827,950
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	3,508	3,951	4,068	4,030	4,030	4,030
Functional Total	3,457,436	3,799,939	3,666,725	3,672,091	3,731,146	3,733,365
HIGHER EDUCATION						
City University of New York	96,018	96,477	3,493	3,493	3,493	3,493

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education - Miscellaneous	340	291	291	291	291	291
Higher Education Services Corporation, New York State State University of New York	39,219	38,274	38,274	38,274	38,274	38,274
Functional Total	6,000,806	6,234,651	6,484,299	6,571,927	6,770,049	6,931,110
	6,136,383	6,369,693	6,526,357	6,613,985	6,812,107	6,973,168
EDUCATION						
Arts, Council on the	4,266	4,320	4,416	4,320	4,320	4,320
Education, Department of	138,074	150,213	152,247	149,026	148,983	148,983
<i>All Other</i>	138,074	150,213	152,247	149,026	148,983	148,983
Functional Total	142,340	154,533	156,663	153,346	153,303	153,303
GENERAL GOVERNMENT						
Budget, Division of the	25,218	28,476	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	14,120	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	648	649	648	648	648
Elections, State Board of	8,616	10,147	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	62,858	67,985	66,458	66,458	66,458
General Services, Office of	141,462	105,457	81,941	80,551	82,168	82,168
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	31,306	26,531	26,320	26,311	26,311
Prevention of Domestic Violence, Office for	1,556	1,698	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	42,005	41,588	42,118	41,006	41,006	41,006
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,384	304,887	355,796	344,845	344,278	344,278
Veterans' Services, Division of	5,720	6,236	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	141,918	143,219	148,002	143,219	143,219	143,219
Functional Total	1,357,350	1,316,213	1,360,174	1,345,264	1,357,128	1,357,195
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	157,446	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	2,168,278	2,134,100	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	187,295	182,984	191,186	186,591	186,591	186,591
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	2,740,847	2,730,937	2,853,309	2,795,424	2,795,777	2,795,777
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	49,862	52,001	27,150	27,150	27,150	27,150
Functional Total	87,561	89,707	70,807	71,723	71,723	71,723
TOTAL STATE OPERATIONS SPENDING	19,057,569	19,711,150	20,029,617	20,097,303	20,400,942	20,622,260

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	31,378	32,650	32,039	32,039	32,039
Alcoholic Beverage Control, Division of	8,166	8,316	15,353	19,404	22,040	22,040
Economic Development, Department of	13,090	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	153,752	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,338	5,338	5,338	5,338	5,338
Public Service Department	42,628	43,514	46,025	44,550	44,577	44,577
Functional Total	256,629	255,227	272,031	268,153	270,816	270,816
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,401	4,528	4,370	4,370	4,370
Environmental Conservation, Department of	174,576	178,208	188,170	181,395	175,940	175,940
Parks, Recreation and Historic Preservation, Office of	133,979	128,590	137,668	134,298	131,413	131,413
Functional Total	312,505	311,199	330,366	320,063	311,723	311,723
TRANSPORTATION						
Motor Vehicles, Department of	43,766	43,263	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	162,384	162,506	162,396	162,396	162,396
Functional Total	200,073	205,647	206,713	206,893	206,893	206,893
HEALTH						
Aging, Office for the	1,125	1,856	1,899	1,856	1,856	1,856
Health, Department of	266,914	284,075	289,286	288,092	290,470	293,057
<i>Essential Plan</i>	3,233	4,580	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	37,949	38,464	39,003	41,095	43,374	45,896
<i>Public Health</i>	225,732	241,031	245,591	242,376	242,462	242,604
Medicaid Inspector General, Office of the	15,204	15,624	16,231	15,624	15,624	15,624
Functional Total	283,243	301,555	307,416	305,572	307,950	310,537
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	172,018	265,818	262,740	262,740	265,408
<i>OCFS</i>	176,225	172,018	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	41,155	42,107	17,705	17,060	17,060	17,060
Human Rights, Division of	8,934	9,461	10,121	9,752	9,752	9,752
Labor, Department of	33,501	32,618	34,864	33,620	33,620	33,620
National and Community Service	288	331	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	73,244	76,580	78,130	78,130	78,130
<i>All Other</i>	68,003	73,244	76,580	78,130	78,130	78,130
Functional Total	328,106	329,779	405,428	401,642	401,642	404,313
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	61,101	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	27,213	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	33,888	35,334	35,589	35,914	36,243
Justice Center	32,560	33,133	34,586	33,656	33,982	34,330
Mental Health, Office of	1,086,720	1,113,306	1,126,948	1,138,576	1,153,141	1,166,386
<i>OMH</i>	268,077	325,264	321,052	328,646	335,985	339,843
<i>OMH - Other</i>	818,643	788,042	805,896	809,930	817,156	826,543
People with Developmental Disabilities, Office for	1,142,584	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,322,067	2,366,447	2,378,188	2,398,015	2,425,521	2,451,847
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,414	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,244	2,358,159	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,111,244	2,358,159	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	28,281	28,019	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	20,611	29,610	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,430	3,298	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,677	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,402	14,700	14,776	15,073	15,376
State Police, Division of	657,453	729,830	750,020	745,878	764,494	764,494
Statewide Financial System	11,294	11,882	12,325	12,121	12,356	12,356
Victim Services, Office of	2,958	3,206	3,323	3,272	3,272	3,272
Functional Total	2,854,938	3,185,497	3,058,496	3,065,896	3,119,701	3,121,306
HIGHER EDUCATION						
City University of New York	47,274	48,594	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	232	198	198	198	198	198

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education Services Corporation, New York State	12,337	12,916	12,916	12,916	12,916	12,916
State University of New York	3,952,902	4,189,940	4,402,843	4,452,574	4,601,904	4,719,036
Functional Total	4,012,745	4,251,648	4,419,450	4,469,181	4,618,511	4,735,643
EDUCATION						
Arts, Council on the	2,636	2,498	2,594	2,498	2,498	2,498
Education, Department of	88,514	90,726	94,081	90,726	90,726	90,726
<i>All Other</i>	88,514	90,726	94,081	90,726	90,726	90,726
Functional Total	91,150	93,224	96,675	93,224	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,921	24,567	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	14,108	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	413	414	413	413	413
Elections, State Board of	5,773	6,288	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	6,248	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	38,687	40,178	38,687	38,687	38,687
General Services, Office of	61,158	27,196	32,994	30,577	30,577	30,577
Information Technology Services, Office of	299,018	298,870	302,746	308,861	315,099	315,099
Inspector General, Office of the	5,909	6,648	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	10,487	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,525	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,338	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,681	4,867	4,827	4,924	4,924
State, Department of	28,629	28,254	28,846	27,758	27,758	27,758
Tax Appeals, Division of	2,513	2,870	2,980	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	262,371	281,655	272,500	272,386	272,386
Veterans' Services, Division of	5,161	5,698	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	626	654	646	659	659
Workers' Compensation Board	82,890	84,892	89,608	84,892	84,892	84,892
Functional Total	853,662	827,767	861,936	846,887	853,104	853,165
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	127,888	130,597	127,888	127,888	127,888
Executive Chamber	9,135	11,113	11,567	11,113	11,113	11,113
Judiciary	1,731,580	1,712,800	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	134,904	129,145	137,554	132,958	132,958	132,958
Legislature	175,304	187,511	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	523	543	523	523	523
Functional Total	2,170,066	2,168,980	2,278,844	2,219,848	2,220,201	2,220,201
ALL OTHER CATEGORIES						
Miscellaneous	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
Functional Total	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	13,687,316	14,289,255	14,607,883	14,587,714	14,821,626	14,972,008

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	8,944	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	3,380	2,959	11,148	11,307	9,745	9,745
Economic Development, Department of	4,720	4,362	4,362	4,362	4,362	4,362
Financial Services, Department of	58,270	54,947	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	3,338	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,297	7,401	6,856	5,163	5,163
Functional Total	86,581	82,847	92,307	106,625	103,170	103,170
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	327	490	315	315	315
Environmental Conservation, Department of	36,194	37,419	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	42,405	34,652	34,026	34,026	34,026	34,026
Functional Total	78,946	72,398	74,099	74,689	74,737	74,322
TRANSPORTATION						
Motor Vehicles, Department of	15,423	16,272	16,359	15,750	15,750	15,750
Transportation, Department of	144,312	179,514	173,646	178,541	178,541	178,541
Functional Total	159,735	195,786	190,005	194,291	194,291	194,291
HEALTH						
Aging, Office for the	105	106	106	106	106	106
Health, Department of	460,600	471,775	465,980	465,684	460,561	465,321
<i>Essential Plan</i>	73,347	75,146	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	223,347	206,090	199,232	203,497	199,452	203,973
<i>Public Health</i>	163,906	190,539	193,351	191,277	191,740	191,883
Medicaid Inspector General, Office of the	2,912	2,448	2,448	2,448	2,448	2,448
Functional Total	463,617	474,329	468,534	468,238	463,115	467,875
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	90,158	113,937	115,961	115,961	118,292
<i>OCFS</i>	84,649	90,158	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	9,982	10,059	615	615	615	615
Human Rights, Division of	1,059	460	469	478	478	478
Labor, Department of	16,267	13,901	14,392	14,660	14,660	14,660
National and Community Service	2	9	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	62,871	54,845	56,015	56,015	56,015
<i>All Other</i>	59,467	62,871	54,845	56,015	56,015	56,015
Functional Total	171,426	177,458	184,267	187,738	187,738	190,069
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	26,395	27,555	28,269	28,521	29,285
<i>OASAS</i>	12,066	15,066	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,699	11,329	12,216	12,636	12,681	13,019
Justice Center	9,092	8,213	8,189	8,405	8,634	8,870
Mental Health, Office of	257,936	261,045	264,271	286,002	299,220	312,851
<i>OMH</i>	63,023	44,430	43,254	44,358	45,838	47,738
<i>OMH - Other</i>	194,913	216,615	221,017	241,644	253,382	265,113
People with Developmental Disabilities, Office for	178,684	181,801	186,213	190,875	195,787	200,867
<i>OPWDD</i>	36	181	203	203	203	203
<i>OPWDD - Other</i>	178,648	181,620	186,010	190,672	195,584	200,664
Functional Total	472,477	477,454	486,228	513,551	532,162	551,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	237	242	247	247	247
Corrections and Community Supervision, Department of	488,124	501,784	496,318	490,279	493,625	493,625
<i>DOCCS</i>	488,124	501,784	496,318	490,279	493,625	493,625
Criminal Justice Services, Division of	7,347	9,389	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	15,707	9,811	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	408	839	842	856	873	876
Judicial Conduct, Commission on	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,355	10,253	10,748	10,891	11,106
State Police, Division of	59,963	60,229	60,548	62,620	63,456	63,456
Statewide Financial System	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	550	745	745	758	758	758
Functional Total	602,498	614,442	608,229	606,195	611,445	612,059
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
City University of New York	48,744	47,883	0	0	0	0
Higher Education - Miscellaneous	108	93	93	93	93	93
Higher Education Services Corporation, New York State	26,882	25,358	25,358	25,358	25,358	25,358
State University of New York	<u>2,047,904</u>	<u>2,044,711</u>	<u>2,081,456</u>	<u>2,119,353</u>	<u>2,168,145</u>	<u>2,212,074</u>
Functional Total	<u>2,123,638</u>	<u>2,118,045</u>	<u>2,106,907</u>	<u>2,144,804</u>	<u>2,193,596</u>	<u>2,237,525</u>
EDUCATION						
Arts, Council on the	1,630	1,822	1,822	1,822	1,822	1,822
Education, Department of	<u>49,560</u>	<u>59,487</u>	<u>58,166</u>	<u>58,300</u>	<u>58,257</u>	<u>58,257</u>
<i>All Other</i>	<u>49,560</u>	<u>59,487</u>	<u>58,166</u>	<u>58,300</u>	<u>58,257</u>	<u>58,257</u>
Functional Total	<u>51,190</u>	<u>61,309</u>	<u>59,988</u>	<u>60,122</u>	<u>60,079</u>	<u>60,079</u>
GENERAL GOVERNMENT						
Budget, Division of the	3,297	3,909	3,375	3,372	3,372	3,372
Civil Service, Department of	360	12	12	442	451	451
Deferred Compensation Board	50	235	235	235	235	235
Elections, State Board of	2,843	3,859	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	196	196	196	197	197
Gaming Commission, New York State	31,874	24,171	27,807	27,771	27,771	27,771
General Services, Office of	80,304	78,261	48,947	49,974	51,591	51,591
Information Technology Services, Office of	245,523	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	839	856	1,204	1,216	1,216
Labor Management Committees	21,081	20,819	20,833	20,833	20,824	20,824
Prevention of Domestic Violence, Office for	162	173	212	216	216	216
Public Employment Relations Board	255	296	296	296	296	296
Public Ethics, Joint Commission on	707	949	968	1,183	1,195	1,195
State, Department of	13,376	13,334	13,272	13,248	13,248	13,248
Tax Appeals, Division of	307	170	170	170	170	170
Taxation and Finance, Department of	42,956	42,516	74,141	72,345	71,892	71,892
Veterans' Services, Division of	559	538	304	310	310	316
Welfare Inspector General, Office of	14	75	77	107	109	109
Workers' Compensation Board	<u>59,028</u>	<u>58,327</u>	<u>58,394</u>	<u>58,327</u>	<u>58,327</u>	<u>58,327</u>
Functional Total	<u>503,688</u>	<u>488,446</u>	<u>498,238</u>	<u>498,377</u>	<u>504,024</u>	<u>504,030</u>
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	29,558	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	436,698	421,300	428,400	428,400	428,400	428,400
Law, Department of	52,391	53,839	53,632	53,633	53,633	53,633
Legislature	48,269	54,704	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	91	91	91	91	91
Functional Total	<u>570,781</u>	<u>561,957</u>	<u>574,465</u>	<u>575,576</u>	<u>575,576</u>	<u>575,576</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	<u>47,730</u>	<u>59,716</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>
Functional Total	<u>85,429</u>	<u>97,422</u>	<u>78,467</u>	<u>79,383</u>	<u>79,383</u>	<u>79,383</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,370,253</u>	<u>5,421,895</u>	<u>5,421,734</u>	<u>5,509,589</u>	<u>5,579,316</u>	<u>5,650,252</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,893	2,160	2,261	2,296	2,296
Alcoholic Beverage Control, Division of	0	0	4,122	7,042	8,907	8,907
Economic Development, Department of	0	28	28	28	28	28
Financial Services, Department of	113,519	101,824	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,788	31,197	30,773	31,299	31,299
Functional Total	139,415	131,533	151,984	154,355	156,781	156,781
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,709	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	1,960	2,007	1,941	1,941	1,941
Functional Total	66,091	49,669	52,163	49,414	49,755	41,124
TRANSPORTATION						
Motor Vehicles, Department of	28,312	24,118	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	2,197	2,412	2,457	2,457	2,457
Functional Total	29,993	26,315	28,375	29,072	29,072	29,072
HEALTH						
Health, Department of	34,922	38,815	39,611	40,950	41,011	41,110
<i>Medicaid Administration</i>	0	581	581	581	581	581
<i>Public Health</i>	34,922	38,234	39,030	40,369	40,430	40,529
Functional Total	34,922	38,815	39,611	40,950	41,011	41,110
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,131	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,131	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	22,421	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,487	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	128	128	128	128	128
<i>All Other</i>	36	128	128	128	128	128
Functional Total	50,063	45,167	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	898	908	895	925	957
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	898	908	895	925	957
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	106	106	106	106	106
<i>DOCCS</i>	191	106	106	106	106	106
Homeland Security and Emergency Services, Division of	763	817	835	905	905	905
Indigent Legal Services, Office of	1,851	2,103	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	214	9	9	10	10	10
State Police, Division of	45,763	28,410	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,650	1,650	1,683	1,683	1,683
Functional Total	50,676	33,095	33,719	34,278	34,579	34,685
HIGHER EDUCATION						
City University of New York	129	150	0	0	0	0
Higher Education - Miscellaneous	200	150	150	150	150	150
Higher Education Services Corporation, New York State	9,807	9,020	9,020	9,020	9,020	9,020
State University of New York	522,523	517,843	546,814	561,977	589,307	609,904
Functional Total	532,659	527,163	555,984	571,147	598,477	619,074
EDUCATION						
Education, Department of	43,214	39,241	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	39,241	41,458	40,581	41,269	41,269
Functional Total	43,214	39,241	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	139	231	244	241	245	245
Deferred Compensation Board	302	243	247	252	256	256
Gaming Commission, New York State	22,754	17,575	18,465	17,575	17,575	17,575

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General Services, Office of	538	548	583	575	585	585
Labor Management Committees	5,821	5,000	5,000	5,000	5,000	5,000
State, Department of	15,448	12,924	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	21,309	22,567	21,391	21,318	21,318
Workers' Compensation Board	56,568	53,220	57,863	53,220	53,220	53,220
Functional Total	<u>108,144</u>	<u>112,707</u>	<u>121,474</u>	<u>114,977</u>	<u>114,939</u>	<u>114,939</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	2,197	2,197	2,197	2,197	2,197
Judiciary	787,202	804,600	848,193	848,193	848,193	848,193
Law, Department of	26,417	19,799	21,049	20,243	20,243	20,243
Functional Total	<u>815,658</u>	<u>826,596</u>	<u>871,439</u>	<u>870,633</u>	<u>870,633</u>	<u>870,633</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Miscellaneous	2,969	5,770	5,782	5,787	5,797	5,797
Functional Total	<u>6,376,426</u>	<u>6,849,954</u>	<u>7,092,031</u>	<u>7,836,092</u>	<u>8,365,698</u>	<u>8,809,188</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,203,824</u></u>	<u><u>8,681,153</u></u>	<u><u>9,014,532</u></u>	<u><u>9,771,637</u></u>	<u><u>10,332,382</u></u>	<u><u>10,788,075</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	13,756	20,165	10,330	5,915	5,765
Economic Development Capital	1,777	6,400	6,400	0	0	0
Economic Development, Department of	12,821	8,400	16,752	0	0	0
Empire State Development Corporation	1,241,807	1,307,331	1,883,377	1,861,905	1,641,908	1,389,413
Energy Research and Development Authority, New York State	20,828	20,450	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	20,000	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	70,000	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	36,245	30,500	9,500	500	500
Regional Economic Development Program	2,938	2,400	3,000	0	0	0
Strategic Capital Resource Assistance Program	0	0	0	26,900	24,400	24,599
Strategic Investment Program	2,888	2,000	2,500	0	0	0
Functional Total	1,378,858	1,486,982	2,145,263	2,010,379	1,713,156	1,448,483
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	1,000	0	0	0
Environmental Conservation, Department of	882,540	991,785	1,116,282	1,219,588	1,364,540	1,443,868
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	176,743	202,157	168,739	169,189	168,739	168,739
Functional Total	1,059,283	1,198,942	1,301,021	1,403,777	1,545,279	1,624,607
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	227,603	253,961	283,213	246,244	230,772	230,868
Transportation, Department of	4,471,927	4,580,306	5,244,131	5,757,078	5,440,169	5,476,948
Functional Total	5,439,044	5,478,754	5,913,200	6,003,322	5,670,941	5,707,816
HEALTH						
Health, Department of	349,813	663,814	789,132	842,503	869,788	746,162
<i>Public Health</i>	349,813	663,814	789,132	842,503	869,788	746,162
Functional Total	349,813	663,814	789,132	842,503	869,788	746,162
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	29,858	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	29,858	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	271,995	732,648	838,272	524,023	514,023	464,023
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	48,216	62,907	126,707	62,907	57,657	57,657
<i>All Other</i>	48,216	62,907	126,707	62,907	57,657	57,657
Functional Total	431,790	845,413	1,008,783	625,590	610,353	559,651
MENTAL HYGIENE						
Addiction Services and Supports, Office of	53,646	70,886	92,152	75,306	66,463	66,523
<i>OASAS</i>	53,646	70,886	92,152	75,306	66,463	66,523
Mental Health, Office of	276,317	291,326	246,829	223,171	197,098	184,337
<i>OMH</i>	276,317	291,326	246,829	223,171	197,098	184,337
People with Developmental Disabilities, Office for	97,506	98,110	100,218	89,940	96,707	86,501
<i>OPWDD</i>	97,506	98,110	100,218	89,940	96,707	86,501
Functional Total	427,469	460,322	439,199	388,417	360,268	337,361
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	366,318	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	366,318	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	39,820	70,507	71,250	42,495	45,367	37,914
Military and Naval Affairs, Division of	75,582	124,263	97,719	97,102	91,982	58,057
State Police, Division of	47,327	47,500	55,853	54,688	47,538	50,968
Functional Total	532,269	608,588	590,468	531,970	501,862	468,079
HIGHER EDUCATION						
City University of New York	32,463	36,620	37,352	47,352	57,352	67,352
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
State University of New York	941,297	993,516	1,085,300	1,123,086	1,161,063	1,126,793
Functional Total	982,529	1,042,011	1,138,402	1,183,088	1,232,565	1,208,295

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Education, Department of	150,246	504,134	544,340	476,927	411,285	246,998
<i>School Aid</i>	108,198	375,000	400,000	400,000	350,000	200,000
<i>All Other</i>	42,048	129,134	144,340	76,927	61,285	46,998
Functional Total	<u>150,246</u>	<u>504,134</u>	<u>544,340</u>	<u>476,927</u>	<u>411,285</u>	<u>246,998</u>
GENERAL GOVERNMENT						
Elections, State Board of	0	3,000	16,000	7,700	3,200	800
General Services, Office of	154,965	231,662	306,649	179,517	149,129	151,461
Information Technology Services, Office of	70,350	114,118	163,329	76,694	54,900	36,229
State, Department of	7,655	14,000	22,000	47,000	79,000	54,681
Workers' Compensation Board	0	5,347	22,440	33,764	6,755	0
Functional Total	<u>232,970</u>	<u>368,127</u>	<u>530,418</u>	<u>344,675</u>	<u>292,984</u>	<u>243,171</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,520	2,806	0	0	0
Judiciary	10,373	19,500	25,700	14,089	0	0
Law, Department of	6,856	4,262	1,818	0	0	0
Functional Total	<u>19,527</u>	<u>27,282</u>	<u>30,324</u>	<u>14,089</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	11,000	5,000	0	0	0
Miscellaneous	238,165	(251,500)	(594,843)	(704,000)	(695,839)	(695,838)
Special Infrastructure Account	1,019,587	984,327	625,460	356,228	107,255	65,000
Functional Total	<u>1,261,173</u>	<u>743,827</u>	<u>35,617</u>	<u>(347,772)</u>	<u>(588,584)</u>	<u>(630,838)</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>12,264,971</u></u>	<u><u>13,428,196</u></u>	<u><u>14,466,167</u></u>	<u><u>13,476,965</u></u>	<u><u>12,619,897</u></u>	<u><u>11,959,785</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	144,172	103,213	98,955	107,079	102,545	102,395
Local Assistance	33,045	31,932	20,821	24,247	24,247	24,247
State Operations	53,523	53,551	53,684	68,071	67,871	67,871
Personal Service	33,983	34,791	36,063	35,452	35,452	35,452
Non-Personal Service/Indirect Costs	19,540	18,760	17,621	32,619	32,419	32,419
General State Charges	5,851	3,974	4,285	4,431	4,512	4,512
Capital Projects	51,753	13,756	20,165	10,330	5,915	5,765
<i>Alcoholic Beverage Control, Division of</i>	11,546	11,275	30,623	37,753	40,692	40,692
State Operations	11,546	11,275	26,501	30,711	31,785	31,785
Personal Service	8,166	8,316	15,353	19,404	22,040	22,040
Non-Personal Service/Indirect Costs	3,380	2,959	11,148	11,307	9,745	9,745
General State Charges	0	0	4,122	7,042	8,907	8,907
<i>Economic Development Capital</i>	1,777	6,400	6,400	0	0	0
Local Assistance	1,777	6,400	6,400	0	0	0
<i>Economic Development, Department of</i>	94,207	86,153	88,345	76,443	76,443	76,443
Local Assistance	75,941	68,589	70,781	58,879	58,879	58,879
State Operations	18,266	17,536	17,536	17,536	17,536	17,536
Personal Service	13,090	12,929	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	5,176	4,607	4,607	4,607	4,607	4,607
General State Charges	0	28	28	28	28	28
<i>Empire State Development Corporation</i>	1,327,572	1,383,055	1,944,431	1,922,959	1,702,962	1,450,467
Local Assistance	1,241,923	682,110	1,086,817	1,298,338	1,053,617	1,090,144
Capital Projects	85,649	700,945	857,614	624,621	649,345	360,323
<i>Energy Research and Development Authority, New York State</i>	20,828	20,450	21,569	18,244	20,433	18,206
Capital Projects	20,828	20,450	21,569	18,244	20,433	18,206
<i>Financial Services, Department of</i>	394,049	370,525	390,754	384,391	384,391	384,391
Local Assistance	64,179	58,602	59,896	59,896	59,896	59,896
State Operations	216,351	210,099	216,381	210,244	210,244	210,244
Personal Service	156,895	153,752	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	59,456	56,347	56,645	56,351	56,351	56,351
General State Charges	113,519	101,824	114,477	114,251	114,251	114,251
<i>Lake Ontario Resiliency/Economic Development</i>	0	20,000	30,000	40,000	10,000	0
Capital Projects	0	20,000	30,000	40,000	10,000	0
<i>Olympic Regional Development Authority</i>	53,875	78,676	142,676	55,176	21,676	21,676
Local Assistance	2,360	0	0	0	0	0
State Operations	8,784	8,676	11,676	11,676	11,676	11,676
Personal Service	5,595	5,338	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	3,338	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
Capital Projects	42,700	70,000	131,000	43,500	10,000	10,000
<i>Power Authority, New York</i>	1,346	36,245	30,500	9,500	500	500
Capital Projects	1,346	36,245	30,500	9,500	500	500
<i>Public Service Department</i>	78,507	82,699	86,727	84,299	83,175	83,175
Local Assistance	218	1,072	60	60	60	60
State Operations	54,273	53,106	54,721	52,701	51,035	51,035
Personal Service	43,935	44,716	47,227	45,752	45,779	45,779
Non-Personal Service/Indirect Costs	10,338	8,390	7,494	6,949	5,256	5,256
General State Charges	24,016	28,521	31,946	31,538	32,080	32,080
<i>Regional Economic Development Program</i>	2,938	2,400	3,000	0	0	0
Local Assistance	2,938	1,583	0	0	0	0
Capital Projects	0	817	3,000	0	0	0
<i>Strategic Capital Resource Assistance Program</i>	0	0	0	26,900	24,400	24,599
Capital Projects	0	0	0	26,900	24,400	24,599
<i>Strategic Investment Program</i>	2,888	2,000	2,500	0	0	0
Local Assistance	2,888	2,000	2,500	0	0	0
Functional Total	2,133,705	2,203,091	2,876,480	2,762,744	2,467,217	2,202,544

PARKS AND THE ENVIRONMENT

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Adirondack Park Agency	4,297	5,078	6,368	5,035	5,035	5,035
State Operations	4,297	5,078	5,368	5,035	5,035	5,035
Personal Service	3,950	4,401	4,528	4,370	4,370	4,370
Non-Personal Service/Indirect Costs	347	677	840	665	665	665
Capital Projects	0	0	1,000	0	0	0
Environmental Conservation, Department of	1,216,137	1,318,698	1,459,517	1,554,280	1,694,250	1,764,532
Local Assistance	475,941	401,879	438,244	456,586	460,983	460,983
State Operations	249,167	260,377	273,604	267,594	262,166	261,751
Personal Service	198,080	206,016	217,079	210,304	204,828	204,828
Non-Personal Service/Indirect Costs	51,087	54,361	56,525	57,290	57,338	56,923
General State Charges	81,999	64,662	68,153	65,851	66,566	57,935
Capital Projects	409,030	591,780	679,516	764,249	904,535	983,863
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Capital Projects	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	373,648	375,087	349,998	347,012	343,677	343,677
Local Assistance	11,546	5,360	5,020	5,020	5,020	5,020
State Operations	181,702	165,610	174,208	170,838	167,953	167,953
Personal Service	136,844	129,813	139,035	135,665	132,780	132,780
Non-Personal Service/Indirect Costs	44,858	35,797	35,173	35,173	35,173	35,173
General State Charges	3,657	1,960	2,031	1,965	1,965	1,965
Capital Projects	176,743	202,157	168,739	169,189	168,739	168,739
Functional Total	1,594,082	1,703,863	1,830,883	1,921,327	2,054,962	2,125,244
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Local Assistance	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	336,460	365,697	398,066	361,430	345,958	346,054
Local Assistance	16,526	18,000	18,000	18,000	18,000	18,000
State Operations	63,061	68,898	70,084	69,765	69,765	69,765
Personal Service	45,393	48,098	49,186	49,476	49,476	49,476
Non-Personal Service/Indirect Costs	17,668	20,800	20,898	20,289	20,289	20,289
General State Charges	29,270	24,838	26,769	27,421	27,421	27,421
Capital Projects	227,603	253,961	283,213	246,244	230,772	230,868
Transportation, Department of	8,775,801	8,549,520	9,731,289	10,332,157	10,175,763	10,472,072
Local Assistance	5,098,210	4,727,921	5,202,759	5,305,855	5,464,322	5,728,151
State Operations	320,694	365,817	360,489	364,856	364,856	364,856
Personal Service	164,949	172,868	173,393	172,880	172,880	172,880
Non-Personal Service/Indirect Costs	155,745	192,949	187,096	191,976	191,976	191,976
General State Charges	8,965	8,686	9,053	8,946	8,946	8,946
Capital Projects	3,347,932	3,447,096	4,158,988	4,652,500	4,337,639	4,370,119
Functional Total	9,851,775	9,559,704	10,515,211	10,693,587	10,521,721	10,818,126
HEALTH						
Aging, Office for the	237,763	253,698	252,421	257,433	262,615	267,927
Local Assistance	228,997	241,462	240,000	245,055	250,237	255,549
State Operations	8,722	12,236	12,421	12,378	12,378	12,378
Personal Service	7,193	7,782	7,967	7,924	7,924	7,924
Non-Personal Service/Indirect Costs	1,529	4,454	4,454	4,454	4,454	4,454
General State Charges	44	0	0	0	0	0
Health, Department of	69,715,870	72,774,115	72,795,645	77,412,623	80,064,990	82,352,040
Medical Assistance	58,623,402	61,288,168	61,448,085	65,901,596	68,497,750	70,884,735
Local Assistance	58,623,402	61,288,168	61,448,085	65,901,596	68,497,750	70,884,735
Essential Plan	4,083,867	4,179,255	4,584,422	4,590,919	4,598,445	4,607,518
Local Assistance	4,007,287	4,099,529	4,506,333	4,515,388	4,524,442	4,533,496
State Operations	76,580	79,726	78,089	75,531	74,003	74,022
Personal Service	3,233	4,580	4,692	4,621	4,634	4,557
Non-Personal Service/Indirect Costs	73,347	75,146	73,397	70,910	69,369	69,465
Medicaid Administration	1,851,372	1,698,032	1,490,840	1,495,622	1,481,914	1,470,843
Local Assistance	1,240,677	986,949	840,573	827,735	809,151	782,787
State Operations	607,179	706,518	645,527	662,553	667,208	682,200

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	70,586	68,605	70,688	73,748	78,037	82,832
Non-Personal Service/Indirect Costs	536,593	637,913	574,839	588,805	589,171	599,368
General State Charges	3,516	4,565	4,740	5,334	5,555	5,856
Public Health	5,157,229	5,608,660	5,272,298	5,424,486	5,486,881	5,388,944
Local Assistance	4,223,433	4,696,355	4,347,204	4,505,643	4,570,804	4,472,084
State Operations	777,299	721,560	728,776	721,164	719,507	720,191
Personal Service	279,332	297,960	304,596	299,034	299,131	299,274
Non-Personal Service/Indirect Costs	497,967	423,600	424,180	422,130	420,376	420,917
General State Charges	78,826	79,202	82,708	84,069	82,960	83,059
Capital Projects	77,671	111,543	113,610	113,610	113,610	113,610
Medicaid Inspector General, Office of the	46,912	46,074	48,113	46,892	46,892	46,892
State Operations	35,842	36,549	37,812	36,591	36,591	36,591
Personal Service	30,415	31,249	32,470	31,249	31,249	31,249
Non-Personal Service/Indirect Costs	5,427	5,300	5,342	5,342	5,342	5,342
General State Charges	11,070	9,525	10,301	10,301	10,301	10,301
Functional Total	70,000,545	73,073,887	73,096,179	77,716,948	80,374,497	82,666,859
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,834,654	2,921,248	2,966,876	2,966,673	2,973,360
OCFS	2,847,848	2,773,856	2,850,390	2,891,854	2,891,651	2,898,338
Local Assistance	2,449,310	2,370,708	2,322,839	2,365,547	2,365,331	2,365,331
State Operations	326,193	359,830	479,716	480,636	480,636	487,323
Personal Service	202,543	201,265	296,269	293,800	293,800	296,779
Non-Personal Service/Indirect Costs	123,650	158,565	183,447	186,836	186,836	190,544
General State Charges	16,545	13,460	22,031	22,011	22,011	22,011
Capital Projects	55,800	29,858	25,804	23,660	23,673	23,673
OCFS - Other	72,114	60,798	70,858	75,022	75,022	75,022
Local Assistance	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	422,463	901,837	926,667	658,847	648,847	598,847
Local Assistance	337,864	809,941	887,541	617,246	607,246	557,246
State Operations	58,687	62,470	29,600	29,256	29,256	29,256
Personal Service	46,746	49,702	25,830	25,348	25,348	25,348
Non-Personal Service/Indirect Costs	11,941	12,768	3,770	3,908	3,908	3,908
General State Charges	25,912	26,426	6,526	9,345	9,345	9,345
Capital Projects	0	3,000	3,000	3,000	3,000	3,000
Human Rights, Division of	14,990	14,343	15,257	14,990	14,990	14,990
State Operations	14,990	14,343	15,257	14,990	14,990	14,990
Personal Service	12,688	12,596	13,475	13,173	13,173	13,173
Non-Personal Service/Indirect Costs	2,302	1,747	1,782	1,817	1,817	1,817
Labor, Department of	585,801	577,906	570,315	602,207	602,207	602,207
Local Assistance	138,948	179,464	154,292	157,042	157,042	157,042
State Operations	287,352	281,762	299,343	304,943	304,943	304,943
Personal Service	210,868	192,238	206,414	208,606	208,606	208,606
Non-Personal Service/Indirect Costs	76,484	89,524	92,929	96,337	96,337	96,337
General State Charges	159,501	116,680	116,680	140,222	140,222	140,222
National and Community Service	10,334	16,417	16,986	17,305	17,305	17,632
Local Assistance	270	432	432	432	432	432
State Operations	10,064	15,985	16,312	16,631	16,631	16,956
Personal Service	621	708	730	738	738	745
Non-Personal Service/Indirect Costs	9,443	15,277	15,582	15,893	15,893	16,211
General State Charges	0	0	242	242	242	244
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Local Assistance	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Local Assistance	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,150,838	5,190,644	5,189,765	5,298,355	5,335,155
Welfare Assistance	4,125,669	3,818,812	3,833,888	3,843,888	3,843,888	3,843,888
Local Assistance	4,125,669	3,818,812	3,833,888	3,843,888	3,843,888	3,843,888
All Other	1,316,949	1,332,026	1,356,756	1,345,877	1,454,467	1,491,267
Local Assistance	960,306	997,303	1,024,922	1,008,103	1,115,653	1,152,453

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	290,245	286,384	281,694	287,410	287,410	287,410
Personal Service	155,859	150,999	154,335	157,441	157,441	157,441
Non-Personal Service/Indirect Costs	134,386	135,385	127,359	129,969	129,969	129,969
General State Charges	65,432	47,539	48,540	49,564	50,604	50,604
Capital Projects	966	800	1,600	800	800	800
Functional Total	9,451,947	9,515,995	9,659,117	9,464,990	9,563,377	9,556,489
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	638,356	662,150	664,518	676,572	695,928
OASAS	525,470	571,814	593,275	594,968	606,652	625,341
Local Assistance	482,038	519,765	539,403	541,294	552,320	570,130
State Operations	39,013	49,445	50,502	50,250	50,851	51,670
Personal Service	24,921	32,213	32,997	32,397	32,729	33,055
Non-Personal Service/Indirect Costs	14,092	17,232	17,505	17,853	18,122	18,615
General State Charges	2,383	0	0	0	0	0
Capital Projects	2,036	2,604	3,370	3,424	3,481	3,541
OASAS - Other	73,849	66,542	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	45,217	47,550	48,225	48,595	49,262
Personal Service	37,825	33,888	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,699	11,329	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	3,948	4,200	4,200	4,200	4,200	4,200
State Operations	3,180	3,415	3,415	3,415	3,415	3,415
Personal Service	1,025	1,266	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,155	2,149	2,149	2,149	2,149	2,149
General State Charges	768	785	785	785	785	785
Justice Center	44,628	43,721	45,160	44,448	45,082	45,716
Local Assistance	679	649	649	649	649	649
State Operations	42,729	42,110	43,539	42,839	43,415	44,017
Personal Service	32,886	33,361	34,814	33,885	34,213	34,563
Non-Personal Service/Indirect Costs	9,843	8,749	8,725	8,954	9,202	9,454
General State Charges	1,220	962	972	960	1,018	1,050
Mental Health, Office of	2,915,984	3,049,291	2,901,673	2,991,479	3,060,709	3,150,524
OMH	1,657,443	1,747,396	1,785,243	1,845,911	1,887,876	1,951,546
Local Assistance	1,090,479	1,145,785	1,218,773	1,295,585	1,352,532	1,423,205
State Operations	332,772	371,062	365,674	374,372	383,191	388,949
Personal Service	269,052	326,077	321,865	329,459	336,798	340,656
Non-Personal Service/Indirect Costs	63,720	44,985	43,809	44,913	46,393	48,293
General State Charges	(10,072)	469	469	469	469	469
Capital Projects	244,264	230,080	200,327	175,485	151,684	138,923
OMH - Other	1,258,541	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Local Assistance	278,961	297,238	89,517	93,994	102,295	107,322
State Operations	1,013,556	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
Personal Service	818,643	788,042	805,896	809,930	817,156	826,543
Non-Personal Service/Indirect Costs	194,913	216,615	221,017	241,644	253,382	265,113
General State Charges	(33,976)	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,655	3,141,111	1,979,235	2,132,064	2,323,451	2,491,556
OPWDD	485,535	494,932	454,264	449,467	467,096	491,112
Local Assistance	388,326	399,520	356,722	362,203	373,065	407,287
State Operations	645	1,181	1,203	1,203	1,203	1,203
Personal Service	387	0	0	0	0	0
Non-Personal Service/Indirect Costs	258	1,181	1,203	1,203	1,203	1,203
General State Charges	78	0	0	0	0	0
Capital Projects	96,486	94,231	96,339	86,061	92,828	82,622
OPWDD - Other	1,452,120	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Local Assistance	131,150	1,305,652	185,638	329,078	485,915	612,795
State Operations	1,320,970	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,142,322	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	178,648	181,620	186,010	190,672	195,584	200,664

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Functional Total	5,501,534	6,876,679	5,592,418	5,836,709	6,110,014	6,365,330
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
State Operations	2,541	2,651	2,773	2,735	2,735	2,735
Personal Service	2,276	2,414	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	265	237	242	247	247	247
Corrections and Community Supervision, Department of	2,976,983	3,251,368	3,069,847	3,069,150	3,085,209	3,089,374
DOCCS	2,976,983	3,249,368	3,067,847	3,069,150	3,085,209	3,089,374
Local Assistance	3,435	5,016	4,836	4,836	4,836	4,836
State Operations	2,602,342	2,876,887	2,721,218	2,725,482	2,762,251	2,762,251
Personal Service	2,113,450	2,373,912	2,223,709	2,234,012	2,267,435	2,267,435
Non-Personal Service/Indirect Costs	488,892	502,975	497,509	491,470	494,816	494,816
General State Charges	1,666	1,147	1,147	1,147	1,147	1,147
Capital Projects	369,540	366,318	340,646	337,685	316,975	321,140
DOCCS - Other	0	2,000	2,000	0	0	0
Local Assistance	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	268,933	238,926	250,587	225,408	226,245	227,196
Local Assistance	222,515	192,319	177,971	177,971	177,971	177,971
State Operations	45,900	46,259	47,268	47,089	47,919	48,863
Personal Service	33,118	32,621	33,675	33,231	33,745	34,410
Non-Personal Service/Indirect Costs	12,782	13,638	13,593	13,858	14,174	14,453
General State Charges	518	348	348	348	355	362
Capital Projects	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	1,217,150	1,314,786	1,224,398	1,241,837	1,247,635	1,241,130
Local Assistance	1,127,676	1,217,870	1,119,142	1,138,839	1,145,925	1,147,427
State Operations	68,256	79,421	80,171	82,598	83,438	84,298
Personal Service	34,464	44,610	45,314	47,215	47,774	48,433
Non-Personal Service/Indirect Costs	33,792	34,811	34,857	35,383	35,664	35,865
General State Charges	9,246	5,317	7,835	7,905	7,905	7,905
Capital Projects	11,972	12,178	17,250	12,495	10,367	1,500
Indigent Legal Services, Office of	88,454	91,528	166,463	226,631	276,820	290,763
Local Assistance	83,765	85,288	160,000	220,000	270,000	283,762
State Operations	2,838	4,137	4,297	4,380	4,468	4,543
Personal Service	2,430	3,298	3,455	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	408	839	842	856	873	876
General State Charges	1,851	2,103	2,166	2,251	2,352	2,458
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
State Operations	5,423	6,038	6,266	6,444	6,550	6,550
Personal Service	3,959	4,677	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
State Operations	0	30	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
State Operations	7	38	38	38	38	38
Non-Personal Service/Indirect Costs	7	38	38	38	38	38
Military and Naval Affairs, Division of	150,526	194,003	167,090	167,111	163,182	130,543
Local Assistance	885	820	820	886	904	923
State Operations	62,111	62,291	61,797	62,368	63,541	64,808
Personal Service	35,883	35,434	35,732	35,808	36,526	37,259
Non-Personal Service/Indirect Costs	26,228	26,857	26,065	26,560	27,015	27,549
General State Charges	11,948	6,629	6,754	6,755	6,755	6,755
Capital Projects	75,582	124,263	97,719	97,102	91,982	58,057
State Police, Division of	840,623	892,444	922,139	919,812	932,831	936,261
Local Assistance	14	0	0	0	0	0
State Operations	745,098	815,034	835,833	834,301	854,270	854,270
Personal Service	670,416	741,805	762,285	758,281	777,146	777,146
Non-Personal Service/Indirect Costs	74,682	73,229	73,548	76,020	77,124	77,124
General State Charges	48,184	29,910	30,453	30,823	31,023	31,023
Capital Projects	47,327	47,500	55,853	54,688	47,538	50,968

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
State Operations	30,520	30,506	30,949	31,117	31,732	31,732
Personal Service	11,294	11,882	12,325	12,121	12,356	12,356
Non-Personal Service/Indirect Costs	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	79,725	107,745	131,062	131,711	131,711	129,739
Local Assistance	70,626	95,744	120,744	121,398	121,398	121,398
State Operations	7,205	9,751	8,668	8,630	8,630	6,658
Personal Service	5,139	7,406	6,323	6,272	6,272	5,015
Non-Personal Service/Indirect Costs	2,066	2,345	2,345	2,358	2,358	1,643
General State Charges	1,894	2,250	1,650	1,683	1,683	1,683
Functional Total	5,660,885	6,130,063	5,971,642	6,022,024	6,104,718	6,086,091
HIGHER EDUCATION						
City University of New York	1,638,437	1,677,723	1,607,203	1,657,453	1,699,846	1,739,603
Local Assistance	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
State Operations	97,838	104,111	11,127	11,127	11,127	11,127
Personal Service	47,274	48,594	3,493	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	50,564	55,517	7,634	7,634	7,634	7,634
General State Charges	129	150	0	0	0	0
Capital Projects	32,463	36,620	37,352	47,352	57,352	67,352
Higher Education - Miscellaneous	540	441	441	441	441	441
State Operations	340	291	291	291	291	291
Personal Service	232	198	198	198	198	198
Non-Personal Service/Indirect Costs	108	93	93	93	93	93
General State Charges	200	150	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
Local Assistance	8,769	11,875	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	960,843	877,387	920,026	932,431	932,669
Local Assistance	984,334	906,915	823,459	866,098	878,503	878,741
State Operations	42,475	44,907	44,907	44,907	44,907	44,907
Personal Service	12,339	13,752	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	30,136	31,155	31,155	31,155	31,155	31,155
General State Charges	9,808	9,021	9,021	9,021	9,021	9,021
State University of New York	8,303,886	8,540,816	8,887,913	9,030,214	9,292,643	9,440,031
Local Assistance	487,978	482,766	459,460	461,184	460,184	460,184
State Operations	6,352,032	6,546,640	6,796,288	6,883,916	7,082,038	7,243,099
Personal Service	3,962,540	4,197,169	4,410,072	4,459,803	4,609,133	4,726,265
Non-Personal Service/Indirect Costs	2,389,492	2,349,471	2,386,216	2,424,113	2,472,905	2,516,834
General State Charges	522,634	517,894	546,865	562,028	589,358	609,955
Capital Projects	941,242	993,516	1,085,300	1,123,086	1,161,063	1,126,793
Functional Total	10,988,249	11,191,698	11,388,694	11,620,784	11,939,511	12,126,894
EDUCATION						
Arts, Council on the	44,212	46,053	46,049	45,953	45,953	45,953
Local Assistance	39,946	41,633	41,533	41,533	41,533	41,533
State Operations	4,266	4,420	4,516	4,420	4,420	4,420
Personal Service	2,636	2,498	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,630	1,922	1,922	1,922	1,922	1,922
Education, Department of	35,368,982	36,390,060	36,936,431	38,102,094	39,227,718	40,278,134
School Aid	29,115,633	30,384,255	31,089,024	32,300,447	33,470,462	34,569,756
Local Assistance	29,115,633	30,384,255	31,089,024	32,300,447	33,470,462	34,569,756
School Aid – Other	152,867	109,518	109,518	109,518	109,518	109,518
Local Assistance	152,867	109,518	109,518	109,518	109,518	109,518
STAR Property Tax Relief	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
Local Assistance	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
Special Education Categorical Programs	2,298,888	2,126,637	2,157,221	2,228,066	2,295,451	2,364,031
Local Assistance	2,298,888	2,126,637	2,157,221	2,228,066	2,295,451	2,364,031
All Other	1,378,482	1,593,655	1,581,152	1,552,318	1,556,597	1,542,227
Local Assistance	962,535	1,126,554	1,082,989	1,105,666	1,124,942	1,124,859

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	301,188	299,600	305,365	302,144	302,101	302,101
Personal Service	177,576	175,212	181,818	178,463	178,463	178,463
Non-Personal Service/Indirect Costs	123,612	124,388	123,547	123,681	123,638	123,638
General State Charges	108,613	91,667	97,458	96,581	97,269	97,269
Capital Projects	6,146	75,834	95,340	47,927	32,285	17,998
Functional Total	35,413,194	36,436,113	36,982,480	38,148,047	39,273,671	40,324,087
GENERAL GOVERNMENT						
Budget, Division of the	26,125	30,133	30,603	29,596	29,596	29,596
State Operations	25,218	28,476	28,886	27,939	27,939	27,939
Personal Service	21,921	24,567	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	3,909	3,375	3,372	3,372	3,372
General State Charges	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	16,351	14,848	14,890	14,911	14,911
Local Assistance	567	2,000	0	0	0	0
State Operations	15,422	14,120	14,604	14,649	14,666	14,666
Personal Service	15,062	14,108	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	360	12	12	442	451	451
General State Charges	139	231	244	241	245	245
Deferred Compensation Board	776	891	896	900	904	904
State Operations	474	648	649	648	648	648
Personal Service	424	413	414	413	413	413
Non-Personal Service/Indirect Costs	50	235	235	235	235	235
General State Charges	302	243	247	252	256	256
Elections, State Board of	11,162	19,927	31,363	21,334	14,232	11,832
Local Assistance	450	3,000	10,200	1,500	0	0
State Operations	10,442	16,627	15,063	13,334	10,732	10,732
Personal Service	6,025	6,768	7,051	6,958	6,796	6,796
Non-Personal Service/Indirect Costs	4,417	9,859	8,012	6,376	3,936	3,936
General State Charges	270	300	300	300	300	300
Capital Projects	0	0	5,800	6,200	3,200	800
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
State Operations	2,097	6,444	6,542	6,444	6,445	6,445
Personal Service	2,040	6,248	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	57	196	196	196	197	197
Gaming Commission, New York State	159,059	278,886	210,614	209,283	208,383	207,983
Local Assistance	69,013	198,453	124,164	125,250	124,350	123,950
State Operations	67,292	62,858	67,985	66,458	66,458	66,458
Personal Service	35,418	38,687	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	31,874	24,171	27,807	27,771	27,771	27,771
General State Charges	22,754	17,575	18,465	17,575	17,575	17,575
General Services, Office of	307,115	345,949	397,455	268,925	240,325	242,657
Local Assistance	0	250	250	250	250	250
State Operations	151,612	113,489	89,973	88,583	90,361	90,361
Personal Service	61,158	27,196	32,994	30,577	30,577	30,577
Non-Personal Service/Indirect Costs	90,454	86,293	56,979	58,006	59,784	59,784
General State Charges	538	548	583	575	585	585
Capital Projects	154,965	231,662	306,649	179,517	149,129	151,461
Information Technology Services, Office of	615,182	652,755	710,206	630,127	619,491	600,820
State Operations	544,832	538,637	546,877	553,433	564,591	564,591
Personal Service	299,018	298,870	302,746	308,861	315,099	315,099
Non-Personal Service/Indirect Costs	245,814	239,767	244,131	244,572	249,492	249,492
Capital Projects	70,350	114,118	163,329	76,694	54,900	36,229
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
State Operations	6,844	7,487	7,826	8,061	8,210	8,210
Personal Service	5,909	6,648	6,970	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	935	839	856	1,204	1,216	1,216
Labor Management Committees	35,520	36,306	31,531	31,320	31,311	31,311
State Operations	29,699	31,306	26,531	26,320	26,311	26,311
Personal Service	8,618	10,487	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	20,819	20,833	20,833	20,824	20,824
General State Charges	5,821	5,000	5,000	5,000	5,000	5,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Prevention of Domestic Violence, Office for	2,823	3,133	3,257	3,195	3,195	3,195
Local Assistance	1,267	1,435	1,385	1,412	1,412	1,412
State Operations	1,556	1,698	1,872	1,783	1,783	1,783
Personal Service	1,394	1,525	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	162	173	212	216	216	216
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
State Operations	3,430	3,634	3,764	3,634	3,634	3,634
Personal Service	3,175	3,338	3,468	3,338	3,338	3,338
Non-Personal Service/Indirect Costs	255	296	296	296	296	296
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State Operations	5,223	5,630	5,835	6,010	6,119	6,119
Personal Service	4,516	4,681	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	707	949	968	1,183	1,195	1,195
State, Department of	146,276	166,925	151,795	175,962	207,979	183,660
Local Assistance	80,987	97,799	82,274	107,274	139,274	114,955
State Operations	46,216	49,392	49,922	48,810	48,810	48,810
Personal Service	31,280	32,012	32,604	31,516	31,516	31,516
Non-Personal Service/Indirect Costs	14,936	17,380	17,318	17,294	17,294	17,294
General State Charges	17,835	15,734	17,599	17,878	17,895	17,895
Capital Projects	1,238	4,000	2,000	2,000	2,000	2,000
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
State Operations	2,820	3,040	3,150	3,040	3,040	3,040
Personal Service	2,513	2,870	2,980	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	307	170	170	170	170	170
Taxation and Finance, Department of	320,578	330,142	382,309	370,182	369,542	369,542
Local Assistance	2,435	2,726	2,726	2,726	2,726	2,726
State Operations	312,476	306,107	357,016	346,065	345,498	345,498
Personal Service	269,428	262,371	281,655	272,500	272,386	272,386
Non-Personal Service/Indirect Costs	43,048	43,736	75,361	73,565	73,112	73,112
General State Charges	5,667	21,309	22,567	21,391	21,318	21,318
Veterans' Services, Division of	17,254	17,673	16,333	16,321	16,332	16,425
Local Assistance	10,499	9,485	7,840	7,840	7,840	7,840
State Operations	6,370	7,698	7,979	7,945	7,945	8,033
Personal Service	5,667	6,502	7,004	6,950	6,950	7,019
Non-Personal Service/Indirect Costs	703	1,196	975	995	995	1,014
General State Charges	385	490	514	536	547	552
Welfare Inspector General, Office of	629	701	731	753	768	768
State Operations	629	701	731	753	768	768
Personal Service	615	626	654	646	659	659
Non-Personal Service/Indirect Costs	14	75	77	107	109	109
Workers' Compensation Board	198,486	201,786	228,305	230,203	203,194	196,439
State Operations	141,918	143,219	148,002	143,219	143,219	143,219
Personal Service	82,890	84,892	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	58,327	58,394	58,327	58,327	58,327
General State Charges	56,568	53,220	57,863	53,220	53,220	53,220
Capital Projects	0	5,347	22,440	33,764	6,755	0
Functional Total	1,877,527	2,127,793	2,237,363	2,030,180	1,987,611	1,937,491

ELECTED OFFICIALS

Audit and Control, Department of	184,860	195,187	201,028	195,513	195,513	195,513
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	148,498	157,446	164,001	161,292	161,292	161,292
Personal Service	118,729	127,888	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	29,769	29,558	33,404	33,404	33,404	33,404
General State Charges	2,039	2,197	2,197	2,197	2,197	2,197
Capital Projects	2,298	3,520	2,806	0	0	0
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
State Operations	12,673	13,578	14,032	13,578	13,578	13,578
Personal Service	9,135	11,113	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,465	2,465	2,465	2,465	2,465

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judiciary	3,074,939	3,129,700	3,300,993	3,232,382	3,218,593	3,218,593
Local Assistance	101,561	161,900	179,500	179,500	179,500	179,500
State Operations	2,175,297	2,143,200	2,246,900	2,189,900	2,190,200	2,190,200
Personal Service	1,733,307	1,715,100	1,809,100	1,752,100	1,752,400	1,752,400
Non-Personal Service/Indirect Costs	441,990	428,100	437,800	437,800	437,800	437,800
General State Charges	787,708	805,100	848,893	848,893	848,893	848,893
Capital Projects	10,373	19,500	25,700	14,089	0	0
Law, Department of	264,200	249,193	259,232	249,746	249,746	249,746
State Operations	215,230	212,325	221,937	216,486	216,486	216,486
Personal Service	155,585	149,909	160,514	155,062	155,062	155,062
Non-Personal Service/Indirect Costs	59,645	62,416	61,423	61,424	61,424	61,424
General State Charges	42,114	32,606	35,477	33,260	33,260	33,260
Capital Projects	6,856	4,262	1,818	0	0	0
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
State Operations	223,573	242,215	249,056	255,949	256,002	256,002
Personal Service	175,304	187,511	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	48,269	54,704	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	530	614	634	614	614	614
State Operations	530	614	634	614	614	614
Personal Service	414	523	543	523	523	523
Non-Personal Service/Indirect Costs	116	91	91	91	91	91
Functional Total	3,760,775	3,830,487	4,024,975	3,947,782	3,934,046	3,934,046
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
Local Assistance	721,732	663,679	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Local Assistance	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Local Assistance	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Local Assistance	217	218	218	218	218	218
Functional Total	763,082	723,782	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	11,000	5,000	0	0	0
Local Assistance	3,340	6,000	0	0	0	0
Capital Projects	81	5,000	5,000	0	0	0
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Long-Term Debt Service	6,736,264	5,203,634	6,056,119	7,054,415	7,417,709	7,600,817
State Operations	37,699	37,706	43,657	44,573	44,573	44,573
Non-Personal Service/Indirect Costs	37,699	37,706	43,657	44,573	44,573	44,573
Debt Service	6,698,565	5,165,928	6,012,462	7,009,842	7,373,136	7,556,244
Miscellaneous	(254,961)	(507,248)	(648,224)	(774,738)	(810,361)	(804,515)
Local Assistance	(343,899)	(215,027)	43,945	(14,768)	(144,213)	(113,367)
State Operations	49,852	52,001	27,150	27,150	27,150	27,150
Personal Service	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	47,720	59,716	34,810	34,810	34,810	34,810
General State Charges	2,969	5,770	5,782	5,787	5,797	5,797
Capital Projects	36,117	(349,992)	(725,101)	(792,907)	(699,095)	(724,095)
Special Infrastructure Account	1,019,587	984,327	625,460	356,228	107,255	65,000
Local Assistance	595,694	5,000	10,000	0	20,000	5,690
Capital Projects	423,893	979,327	615,460	356,228	87,255	59,310

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Functional Total	<u>13,877,768</u>	<u>12,535,897</u>	<u>13,124,604</u>	<u>14,466,210</u>	<u>15,074,504</u>	<u>15,664,693</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>170,875,068</u>	<u>175,909,052</u>	<u>178,029,780</u>	<u>185,419,092</u>	<u>190,193,609</u>	<u>194,595,654</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	144,172	103,213	98,955	107,079	102,545	102,395
Alcoholic Beverage Control, Division of	11,546	11,275	30,623	37,753	40,692	40,692
Economic Development Capital	1,777	6,400	6,400	0	0	0
Economic Development, Department of	94,207	86,153	88,345	76,443	76,443	76,443
Empire State Development Corporation	1,327,572	1,383,055	1,944,431	1,922,959	1,702,962	1,450,467
Energy Research and Development Authority, New York State	20,828	20,450	21,569	18,244	20,433	18,206
Financial Services, Department of	394,049	370,525	390,754	384,391	384,391	384,391
Lake Ontario Resiliency/Economic Development	0	20,000	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	78,676	142,676	55,176	21,676	21,676
Power Authority, New York	1,346	36,245	30,500	9,500	500	500
Public Service Department	78,507	82,699	86,727	84,299	83,175	83,175
Regional Economic Development Program	2,938	2,400	3,000	0	0	0
Strategic Capital Resource Assistance Program	0	0	0	26,900	24,400	24,599
Strategic Investment Program	2,888	2,000	2,500	0	0	0
Functional Total	2,133,705	2,203,091	2,876,480	2,762,744	2,467,217	2,202,544
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	5,078	6,368	5,035	5,035	5,035
Environmental Conservation, Department of	1,216,137	1,318,698	1,459,517	1,554,280	1,694,250	1,764,532
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	373,648	375,087	349,998	347,012	343,677	343,677
Functional Total	1,594,082	1,703,863	1,830,883	1,921,327	2,054,962	2,125,244
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	336,460	365,697	398,066	361,430	345,958	346,054
Transportation, Department of	8,775,801	8,549,520	9,731,289	10,332,157	10,175,763	10,472,072
Functional Total	9,851,775	9,559,704	10,515,211	10,693,587	10,521,721	10,818,126
HEALTH						
Aging, Office for the	237,763	253,698	252,421	257,433	262,615	267,927
Health, Department of	69,715,870	72,774,115	72,795,645	77,412,623	80,064,990	82,352,040
<i>Medical Assistance</i>	58,623,402	61,288,168	61,448,085	65,901,596	68,497,750	70,884,735
<i>Essential Plan</i>	4,083,867	4,179,255	4,584,422	4,590,919	4,598,445	4,607,518
<i>Medicaid Administration</i>	1,851,372	1,698,032	1,490,840	1,495,622	1,481,914	1,470,843
<i>Public Health</i>	5,157,229	5,608,660	5,272,298	5,424,486	5,486,881	5,388,944
Medicaid Inspector General, Office of the	46,912	46,074	48,113	46,892	46,892	46,892
Functional Total	70,000,545	73,073,887	73,096,179	77,716,948	80,374,497	82,666,859
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,834,654	2,921,248	2,966,876	2,966,673	2,973,360
<i>OCFS</i>	2,847,848	2,773,856	2,850,390	2,891,854	2,891,651	2,898,338
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	422,463	901,837	926,667	658,847	648,847	598,847
Human Rights, Division of	14,990	14,343	15,257	14,990	14,990	14,990
Labor, Department of	585,801	577,906	570,315	602,207	602,207	602,207
National and Community Service	10,334	16,417	16,986	17,305	17,305	17,632
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,150,838	5,190,644	5,189,765	5,298,355	5,335,155
<i>Welfare Assistance</i>	4,125,669	3,818,812	3,833,888	3,843,888	3,843,888	3,843,888
<i>All Other</i>	1,316,949	1,332,026	1,356,756	1,345,877	1,454,467	1,491,267
Functional Total	9,451,947	9,515,995	9,659,117	9,464,990	9,563,377	9,556,489
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	638,356	662,150	664,518	676,572	695,928
<i>OASAS</i>	525,470	571,814	593,275	594,968	606,652	625,341
<i>OASAS - Other</i>	73,849	66,542	68,875	69,550	69,920	70,587
Developmental Disabilities Planning Council	3,948	4,200	4,200	4,200	4,200	4,200
Justice Center	44,628	43,721	45,160	44,448	45,082	45,716
Mental Health, Office of	2,915,984	3,049,291	2,901,673	2,991,479	3,060,709	3,150,524
<i>OMH</i>	1,657,443	1,747,396	1,785,243	1,845,911	1,887,876	1,951,546
<i>OMH - Other</i>	1,258,541	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,655	3,141,111	1,979,235	2,132,064	2,323,451	2,491,556
<i>OPWDD</i>	485,535	494,932	454,264	449,467	467,096	491,112
<i>OPWDD - Other</i>	1,452,120	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Functional Total	5,501,534	6,876,679	5,592,418	5,836,709	6,110,014	6,365,330
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,976,983	3,251,368	3,069,847	3,069,150	3,085,209	3,089,374
<i>DOCCS</i>	2,976,983	3,249,368	3,067,847	3,069,150	3,085,209	3,089,374

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	268,933	238,926	250,587	225,408	226,245	227,196
Homeland Security and Emergency Services, Division of	1,217,150	1,314,786	1,224,398	1,241,837	1,247,635	1,241,130
Indigent Legal Services, Office of	88,454	91,528	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	150,526	194,003	167,090	167,111	163,182	130,543
State Police, Division of	840,623	892,444	922,139	919,812	932,831	936,261
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	79,725	107,745	131,062	131,711	131,711	129,739
Functional Total	5,660,885	6,130,063	5,971,642	6,022,024	6,104,718	6,086,091
HIGHER EDUCATION						
City University of New York	1,638,437	1,677,723	1,607,203	1,657,453	1,699,846	1,739,603
Higher Education - Miscellaneous	540	441	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	960,843	877,387	920,026	932,431	932,669
State University of New York	8,303,886	8,540,816	8,887,913	9,030,214	9,292,643	9,440,031
Functional Total	10,988,249	11,191,698	11,388,694	11,620,784	11,939,511	12,126,894
EDUCATION						
Arts, Council on the	44,212	46,053	46,049	45,953	45,953	45,953
Education, Department of	35,368,982	36,390,060	36,936,431	38,102,094	39,227,718	40,278,134
<i>School Aid</i>	29,115,633	30,384,255	31,089,024	32,300,447	33,470,462	34,569,756
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	2,298,888	2,126,637	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	1,378,482	1,593,655	1,581,152	1,552,318	1,556,597	1,542,227
Functional Total	35,413,194	36,436,113	36,982,480	38,148,047	39,273,671	40,324,087
GENERAL GOVERNMENT						
Budget, Division of the	26,125	30,133	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	16,351	14,848	14,890	14,911	14,911
Deferred Compensation Board	776	891	896	900	904	904
Elections, State Board of	11,162	19,927	31,363	21,334	14,232	11,832
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	278,886	210,614	209,283	208,383	207,983
General Services, Office of	307,115	345,949	397,455	268,925	240,325	242,657
Information Technology Services, Office of	615,182	652,755	710,206	630,127	619,491	600,820
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	36,306	31,531	31,320	31,311	31,311
Prevention of Domestic Violence, Office for	2,823	3,133	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	146,276	166,925	151,795	175,962	207,979	183,660
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,578	330,142	382,309	370,182	369,542	369,542
Veterans' Services, Division of	17,254	17,673	16,333	16,321	16,332	16,425
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	198,486	201,786	228,305	230,203	203,194	196,439
Functional Total	1,877,527	2,127,793	2,237,363	2,030,180	1,987,611	1,937,491
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	195,187	201,028	195,513	195,513	195,513
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	3,074,939	3,129,700	3,300,993	3,232,382	3,218,593	3,218,593
Law, Department of	264,200	249,193	259,232	249,746	249,746	249,746
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	3,760,775	3,830,487	4,024,975	3,947,782	3,934,046	3,934,046
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	763,082	723,782	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	11,000	5,000	0	0	0
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Long-Term Debt Service	6,736,264	5,203,634	6,056,119	7,054,415	7,417,709	7,600,817
Miscellaneous	(254,961)	(507,248)	(648,224)	(774,738)	(810,361)	(804,515)

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Special Infrastructure Account	1,019,587	984,327	625,460	356,228	107,255	65,000
Functional Total	<u>13,877,768</u>	<u>12,535,897</u>	<u>13,124,604</u>	<u>14,466,210</u>	<u>15,074,504</u>	<u>15,664,693</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>170,875,068</u>	<u>175,909,052</u>	<u>178,029,780</u>	<u>185,419,092</u>	<u>190,193,609</u>	<u>194,595,654</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,045	31,932	20,821	24,247	24,247	24,247
Economic Development Capital	1,777	6,400	6,400	0	0	0
Economic Development, Department of	75,941	68,589	70,781	58,879	58,879	58,879
Empire State Development Corporation	1,241,923	682,110	1,086,817	1,298,338	1,053,617	1,090,144
Financial Services, Department of	64,179	58,602	59,896	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	0	0	0	0	0
Public Service Department	218	1,072	60	60	60	60
Regional Economic Development Program	2,938	1,583	0	0	0	0
Strategic Investment Program	2,888	2,000	2,500	0	0	0
Functional Total	1,425,269	852,288	1,247,275	1,441,420	1,196,699	1,233,226
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	475,941	401,879	438,244	456,586	460,983	460,983
Parks, Recreation and Historic Preservation, Office of	11,546	5,360	5,020	5,020	5,020	5,020
Functional Total	487,487	407,239	443,264	461,606	466,003	466,003
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	16,526	18,000	18,000	18,000	18,000	18,000
Transportation, Department of	5,098,210	4,727,921	5,202,759	5,305,855	5,464,322	5,728,151
Functional Total	5,854,250	5,390,408	5,606,615	5,323,855	5,482,322	5,746,151
HEALTH						
Aging, Office for the	228,997	241,462	240,000	245,055	250,237	255,549
Health, Department of	68,094,799	71,071,001	71,142,195	75,750,362	78,402,147	80,673,102
<i>Medical Assistance</i>	58,623,402	61,288,168	61,448,085	65,901,596	68,497,750	70,884,735
<i>Essential Plan</i>	4,007,287	4,099,529	4,506,333	4,515,388	4,524,442	4,533,496
<i>Medicaid Administration</i>	1,240,677	986,949	840,573	827,735	809,151	782,787
<i>Public Health</i>	4,223,433	4,696,355	4,347,204	4,505,643	4,570,804	4,472,084
Functional Total	68,323,796	71,312,463	71,382,195	75,995,417	78,652,384	80,928,651
SOCIAL WELFARE						
Children and Family Services, Office of	2,521,424	2,431,506	2,393,697	2,440,569	2,440,353	2,440,353
<i>OCFS</i>	2,449,310	2,370,708	2,322,839	2,365,547	2,365,331	2,365,331
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	337,864	809,941	887,541	617,246	607,246	557,246
Labor, Department of	138,948	179,464	154,292	157,042	157,042	157,042
National and Community Service	270	432	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,085,975	4,816,115	4,858,810	4,851,991	4,959,541	4,996,341
<i>Welfare Assistance</i>	4,125,669	3,818,812	3,833,888	3,843,888	3,843,888	3,843,888
<i>All Other</i>	960,306	997,303	1,024,922	1,008,103	1,115,653	1,152,453
Functional Total	8,140,260	8,257,458	8,312,772	8,082,280	8,179,614	8,165,712
MENTAL HYGIENE						
Addiction Services and Supports, Office of	503,363	541,090	560,728	562,619	573,645	591,455
<i>OASAS</i>	482,038	519,765	539,403	541,294	552,320	570,130
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,369,440	1,443,023	1,308,290	1,389,579	1,454,827	1,530,527
<i>OMH</i>	1,090,479	1,145,785	1,218,773	1,295,585	1,352,532	1,423,205
<i>OMH - Other</i>	278,961	297,238	89,517	93,994	102,295	107,322
People with Developmental Disabilities, Office for	519,476	1,705,172	542,360	691,281	858,980	1,020,082
<i>OPWDD</i>	388,326	399,520	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	131,150	1,305,652	185,638	329,078	485,915	612,795
Functional Total	2,392,958	3,689,934	2,412,027	2,644,128	2,888,101	3,142,713
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	7,016	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	5,016	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	222,515	192,319	177,971	177,971	177,971	177,971
Homeland Security and Emergency Services, Division of	1,127,676	1,217,870	1,119,142	1,138,839	1,145,925	1,147,427
Indigent Legal Services, Office of	83,765	85,288	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	820	886	904	923
State Police, Division of	14	0	0	0	0	0
Victim Services, Office of	70,626	95,744	120,744	121,398	121,398	121,398
Functional Total	1,508,916	1,599,057	1,585,513	1,663,930	1,721,034	1,736,317

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION						
City University of New York	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	906,915	823,459	866,098	878,503	878,741
State University of New York	487,978	482,766	459,460	461,184	460,184	460,184
Functional Total	<u>2,989,088</u>	<u>2,938,398</u>	<u>2,857,393</u>	<u>2,938,906</u>	<u>2,984,204</u>	<u>3,014,199</u>
EDUCATION						
Arts, Council on the	39,946	41,633	41,533	41,533	41,533	41,533
Education, Department of	34,953,035	35,922,959	36,438,268	37,655,442	38,796,063	39,860,766
<i>School Aid</i>	29,115,633	30,384,255	31,089,024	32,300,447	33,470,462	34,569,756
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	2,298,888	2,126,637	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	962,535	1,126,554	1,082,989	1,105,666	1,124,942	1,124,859
Functional Total	<u>34,992,981</u>	<u>35,964,592</u>	<u>36,479,801</u>	<u>37,696,975</u>	<u>38,837,596</u>	<u>39,902,299</u>
GENERAL GOVERNMENT						
Civil Service, Department of	567	2,000	0	0	0	0
Elections, State Board of	450	3,000	10,200	1,500	0	0
Gaming Commission, New York State	69,013	198,453	124,164	125,250	124,350	123,950
General Services, Office of	0	250	250	250	250	250
Prevention of Domestic Violence, Office for	1,267	1,435	1,385	1,412	1,412	1,412
State, Department of	80,987	97,799	82,274	107,274	139,274	114,955
Taxation and Finance, Department of	2,435	2,726	2,726	2,726	2,726	2,726
Veterans' Services, Division of	10,499	9,485	7,840	7,840	7,840	7,840
Functional Total	<u>165,218</u>	<u>315,148</u>	<u>228,839</u>	<u>246,252</u>	<u>275,852</u>	<u>251,133</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	101,561	161,900	179,500	179,500	179,500	179,500
Functional Total	<u>133,586</u>	<u>193,924</u>	<u>211,524</u>	<u>211,524</u>	<u>211,524</u>	<u>211,524</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	663,679	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	<u>762,835</u>	<u>723,780</u>	<u>729,734</u>	<u>787,760</u>	<u>787,760</u>	<u>787,760</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,340	6,000	0	0	0	0
Miscellaneous	(343,899)	(215,027)	43,945	(14,768)	(144,213)	(113,367)
Special Infrastructure Account	595,694	5,000	10,000	0	20,000	5,690
Functional Total	<u>255,135</u>	<u>(204,027)</u>	<u>53,945</u>	<u>(14,768)</u>	<u>(124,213)</u>	<u>(107,677)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>127,431,779</u>	<u>131,440,662</u>	<u>131,550,897</u>	<u>137,479,285</u>	<u>141,558,880</u>	<u>145,478,011</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	53,523	53,551	53,684	68,071	67,871	67,871
Alcoholic Beverage Control, Division of	11,546	11,275	26,501	30,711	31,785	31,785
Economic Development, Department of	18,266	17,536	17,536	17,536	17,536	17,536
Financial Services, Department of	216,351	210,099	216,381	210,244	210,244	210,244
Olympic Regional Development Authority	8,784	8,676	11,676	11,676	11,676	11,676
Public Service Department	54,273	53,106	54,721	52,701	51,035	51,035
Functional Total	362,743	354,243	380,499	390,939	390,147	390,147
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	5,078	5,368	5,035	5,035	5,035
Environmental Conservation, Department of	249,167	260,377	273,604	267,594	262,166	261,751
Parks, Recreation and Historic Preservation, Office of	181,702	165,610	174,208	170,838	167,953	167,953
Functional Total	435,166	431,065	453,180	443,467	435,154	434,739
TRANSPORTATION						
Motor Vehicles, Department of	63,061	68,898	70,084	69,765	69,765	69,765
Transportation, Department of	320,694	365,817	360,489	364,856	364,856	364,856
Functional Total	383,755	434,715	430,573	434,621	434,621	434,621
HEALTH						
Aging, Office for the	8,722	12,236	12,421	12,378	12,378	12,378
Health, Department of	1,461,058	1,507,804	1,452,392	1,459,248	1,460,718	1,476,413
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	607,179	706,518	645,527	662,553	667,208	682,200
<i>Public Health</i>	777,299	721,560	728,776	721,164	719,507	720,191
Medicaid Inspector General, Office of the	35,842	36,549	37,812	36,591	36,591	36,591
Functional Total	1,505,622	1,556,589	1,502,625	1,508,217	1,509,687	1,525,382
SOCIAL WELFARE						
Children and Family Services, Office of	326,193	359,830	479,716	480,636	480,636	487,323
<i>OCFS</i>	326,193	359,830	479,716	480,636	480,636	487,323
Housing and Community Renewal, Division of	58,687	62,470	29,600	29,256	29,256	29,256
Human Rights, Division of	14,990	14,343	15,257	14,990	14,990	14,990
Labor, Department of	287,352	281,762	299,343	304,943	304,943	304,943
National and Community Service	10,064	15,985	16,312	16,631	16,631	16,956
Temporary and Disability Assistance, Office of	290,245	286,384	281,694	287,410	287,410	287,410
<i>All Other</i>	290,245	286,384	281,694	287,410	287,410	287,410
Functional Total	987,531	1,020,774	1,121,922	1,133,866	1,133,866	1,140,878
MENTAL HYGIENE						
Addiction Services and Supports, Office of	91,537	94,662	98,052	98,475	99,446	100,932
<i>OASAS</i>	39,013	49,445	50,502	50,250	50,851	51,670
<i>OASAS - Other</i>	52,524	45,217	47,550	48,225	48,595	49,262
Developmental Disabilities Planning Council	3,180	3,415	3,415	3,415	3,415	3,415
Justice Center	42,729	42,110	43,539	42,839	43,415	44,017
Mental Health, Office of	1,346,328	1,375,719	1,392,587	1,425,946	1,453,729	1,480,605
<i>OMH</i>	332,772	371,062	365,674	374,372	383,191	388,949
<i>OMH - Other</i>	1,013,556	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
People with Developmental Disabilities, Office for	1,321,615	1,341,708	1,340,536	1,354,722	1,371,643	1,388,852
<i>OPWDD</i>	645	1,181	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	1,320,970	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,805,389	2,857,614	2,878,129	2,925,397	2,971,648	3,017,821
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,602,342	2,876,887	2,721,218	2,725,482	2,762,251	2,762,251
<i>DOCCS</i>	2,602,342	2,876,887	2,721,218	2,725,482	2,762,251	2,762,251
Criminal Justice Services, Division of	45,900	46,259	47,268	47,089	47,919	48,863
Homeland Security and Emergency Services, Division of	68,256	79,421	80,171	82,598	83,438	84,298
Indigent Legal Services, Office of	2,838	4,137	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	62,111	62,291	61,797	62,368	63,541	64,808
State Police, Division of	745,098	815,034	835,833	834,301	854,270	854,270
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	7,205	9,751	8,668	8,630	8,630	6,658
Functional Total	3,572,241	3,933,043	3,799,308	3,805,212	3,865,602	3,866,776

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HIGHER EDUCATION						
City University of New York	97,838	104,111	11,127	11,127	11,127	11,127
Higher Education - Miscellaneous	340	291	291	291	291	291
Higher Education Services Corporation, New York State	42,475	44,907	44,907	44,907	44,907	44,907
State University of New York	6,352,032	6,546,640	6,796,288	6,883,916	7,082,038	7,243,099
Functional Total	6,492,685	6,695,949	6,852,613	6,940,241	7,138,363	7,299,424
EDUCATION						
Arts, Council on the	4,266	4,420	4,516	4,420	4,420	4,420
Education, Department of	301,188	299,600	305,365	302,144	302,101	302,101
<i>All Other</i>	301,188	299,600	305,365	302,144	302,101	302,101
Functional Total	305,454	304,020	309,881	306,564	306,521	306,521
GENERAL GOVERNMENT						
Budget, Division of the	25,218	28,476	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	14,120	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	648	649	648	648	648
Elections, State Board of	10,442	16,627	15,063	13,334	10,732	10,732
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	62,858	67,985	66,458	66,458	66,458
General Services, Office of	151,612	113,489	89,973	88,583	90,361	90,361
Information Technology Services, Office of	544,832	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	31,306	26,531	26,320	26,311	26,311
Prevention of Domestic Violence, Office for	1,556	1,698	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	46,216	49,392	49,922	48,810	48,810	48,810
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,476	306,107	357,016	346,065	345,498	345,498
Veterans' Services, Division of	6,370	7,698	7,979	7,945	7,945	8,033
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	141,918	143,219	148,002	143,219	143,219	143,219
Functional Total	1,374,570	1,341,211	1,383,207	1,367,128	1,377,177	1,377,265
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	157,446	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	2,175,297	2,143,200	2,246,900	2,189,900	2,190,200	2,190,200
Law, Department of	215,230	212,325	221,937	216,486	216,486	216,486
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	2,775,801	2,769,378	2,896,560	2,837,819	2,838,172	2,838,172
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	49,852	52,001	27,150	27,150	27,150	27,150
Functional Total	87,551	89,707	70,807	71,723	71,723	71,723
TOTAL STATE OPERATIONS SPENDING	21,088,755	21,788,310	22,079,304	22,165,194	22,472,681	22,703,469

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,983	34,791	36,063	35,452	35,452	35,452
Alcoholic Beverage Control, Division of	8,166	8,316	15,353	19,404	22,040	22,040
Economic Development, Department of	13,090	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	153,752	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,338	5,338	5,338	5,338	5,338
Public Service Department	43,935	44,716	47,227	45,752	45,779	45,779
Functional Total	261,664	259,842	276,646	272,768	275,431	275,431
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,401	4,528	4,370	4,370	4,370
Environmental Conservation, Department of	198,080	206,016	217,079	210,304	204,828	204,828
Parks, Recreation and Historic Preservation, Office of	136,844	129,813	139,035	135,665	132,780	132,780
Functional Total	338,874	340,230	360,642	350,339	341,978	341,978
TRANSPORTATION						
Motor Vehicles, Department of	45,393	48,098	49,186	49,476	49,476	49,476
Transportation, Department of	164,949	172,868	173,393	172,880	172,880	172,880
Functional Total	210,342	220,966	222,579	222,356	222,356	222,356
HEALTH						
Aging, Office for the	7,193	7,782	7,967	7,924	7,924	7,924
Health, Department of	353,151	371,145	379,976	377,403	381,802	386,663
<i>Essential Plan</i>	3,233	4,580	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	70,586	68,605	70,688	73,748	78,037	82,832
<i>Public Health</i>	279,332	297,960	304,596	299,034	299,131	299,274
Medicaid Inspector General, Office of the	30,415	31,249	32,470	31,249	31,249	31,249
Functional Total	390,759	410,176	420,413	416,576	420,975	425,836
SOCIAL WELFARE						
Children and Family Services, Office of	202,543	201,265	296,269	293,800	293,800	296,779
<i>OCFS</i>	202,543	201,265	296,269	293,800	293,800	296,779
Housing and Community Renewal, Division of	46,746	49,702	25,830	25,348	25,348	25,348
Human Rights, Division of	12,688	12,596	13,475	13,173	13,173	13,173
Labor, Department of	210,868	192,238	206,414	208,606	208,606	208,606
National and Community Service	621	708	730	738	738	745
Temporary and Disability Assistance, Office of	155,859	150,999	154,335	157,441	157,441	157,441
<i>All Other</i>	155,859	150,999	154,335	157,441	157,441	157,441
Functional Total	629,325	607,508	697,053	699,106	699,106	702,092
MENTAL HYGIENE						
Addiction Services and Supports, Office of	62,746	66,101	68,331	67,986	68,643	69,298
<i>OASAS</i>	24,921	32,213	32,997	32,397	32,729	33,055
<i>OASAS - Other</i>	37,825	33,888	35,334	35,589	35,914	36,243
Developmental Disabilities Planning Council	1,025	1,266	1,266	1,266	1,266	1,266
Justice Center	32,886	33,361	34,814	33,885	34,213	34,563
Mental Health, Office of	1,087,695	1,114,119	1,127,761	1,139,389	1,153,954	1,167,199
<i>OMH</i>	269,052	326,077	321,865	329,459	336,798	340,656
<i>OMH - Other</i>	818,643	788,042	805,896	809,930	817,156	826,543
People with Developmental Disabilities, Office for	1,142,709	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	387	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,327,061	2,373,754	2,385,495	2,405,373	2,432,932	2,459,311
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,414	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,113,450	2,373,912	2,223,709	2,234,012	2,267,435	2,267,435
<i>DOCCS</i>	2,113,450	2,373,912	2,223,709	2,234,012	2,267,435	2,267,435
Criminal Justice Services, Division of	33,118	32,621	33,675	33,231	33,745	34,410
Homeland Security and Emergency Services, Division of	34,464	44,610	45,314	47,215	47,774	48,433
Indigent Legal Services, Office of	2,430	3,298	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,677	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	35,883	35,434	35,732	35,808	36,526	37,259
State Police, Division of	670,416	741,805	762,285	758,281	777,146	777,146
Statewide Financial System	11,294	11,882	12,325	12,121	12,356	12,356
Victim Services, Office of	5,139	7,406	6,323	6,272	6,272	5,015
Functional Total	2,912,429	3,258,059	3,130,227	3,137,765	3,192,240	3,193,112
HIGHER EDUCATION						
City University of New York	47,274	48,594	3,493	3,493	3,493	3,493

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education - Miscellaneous	232	198	198	198	198	198
Higher Education Services Corporation, New York State	12,339	13,752	13,752	13,752	13,752	13,752
State University of New York	3,962,540	4,197,169	4,410,072	4,459,803	4,609,133	4,726,265
Functional Total	4,022,385	4,259,713	4,427,515	4,477,246	4,626,576	4,743,708
EDUCATION						
Arts, Council on the	2,636	2,498	2,594	2,498	2,498	2,498
Education, Department of	177,576	175,212	181,818	178,463	178,463	178,463
<i>All Other</i>	177,576	175,212	181,818	178,463	178,463	178,463
Functional Total	180,212	177,710	184,412	180,961	180,961	180,961
GENERAL GOVERNMENT						
Budget, Division of the	21,921	24,567	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	14,108	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	413	414	413	413	413
Elections, State Board of	6,025	6,768	7,051	6,958	6,796	6,796
Employee Relations, Office of	2,040	6,248	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	38,687	40,178	38,687	38,687	38,687
General Services, Office of	61,158	27,196	32,994	30,577	30,577	30,577
Information Technology Services, Office of	299,018	298,870	302,746	308,861	315,099	315,099
Inspector General, Office of the	5,909	6,648	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	10,487	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,525	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,338	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,681	4,867	4,827	4,924	4,924
State, Department of	31,280	32,012	32,604	31,516	31,516	31,516
Tax Appeals, Division of	2,513	2,870	2,980	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	262,371	281,655	272,500	272,386	272,386
Veterans' Services, Division of	5,667	6,502	7,004	6,950	6,950	7,019
Welfare Inspector General, Office of	615	626	654	646	659	659
Workers' Compensation Board	82,890	84,892	89,608	84,892	84,892	84,892
Functional Total	857,071	832,809	867,000	851,968	858,185	858,254
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	127,888	130,597	127,888	127,888	127,888
Executive Chamber	9,135	11,113	11,567	11,113	11,113	11,113
Judiciary	1,733,307	1,715,100	1,809,100	1,752,100	1,752,400	1,752,400
Law, Department of	155,585	149,909	160,514	155,062	155,062	155,062
Legislature	175,304	187,511	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	523	543	523	523	523
Functional Total	2,192,474	2,192,044	2,304,904	2,245,052	2,245,405	2,245,405
ALL OTHER CATEGORIES						
Miscellaneous	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
Functional Total	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	14,324,728	14,925,096	15,269,226	15,251,850	15,488,485	15,640,784

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	19,540	18,760	17,621	32,619	32,419	32,419
Alcoholic Beverage Control, Division of	3,380	2,959	11,148	11,307	9,745	9,745
Economic Development, Department of	5,176	4,607	4,607	4,607	4,607	4,607
Financial Services, Department of	59,456	56,347	56,645	56,351	56,351	56,351
Olympic Regional Development Authority	3,189	3,338	6,338	6,338	6,338	6,338
Public Service Department	<u>10,338</u>	<u>8,390</u>	<u>7,494</u>	<u>6,949</u>	<u>5,256</u>	<u>5,256</u>
Functional Total	<u>101,079</u>	<u>94,401</u>	<u>103,853</u>	<u>118,171</u>	<u>114,716</u>	<u>114,716</u>
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	677	840	665	665	665
Environmental Conservation, Department of	51,087	54,361	56,525	57,290	57,338	56,923
Parks, Recreation and Historic Preservation, Office of	<u>44,858</u>	<u>35,797</u>	<u>35,173</u>	<u>35,173</u>	<u>35,173</u>	<u>35,173</u>
Functional Total	<u>96,292</u>	<u>90,835</u>	<u>92,538</u>	<u>93,128</u>	<u>93,176</u>	<u>92,761</u>
TRANSPORTATION						
Motor Vehicles, Department of	17,668	20,800	20,898	20,289	20,289	20,289
Transportation, Department of	<u>155,745</u>	<u>192,949</u>	<u>187,096</u>	<u>191,976</u>	<u>191,976</u>	<u>191,976</u>
Functional Total	<u>173,413</u>	<u>213,749</u>	<u>207,994</u>	<u>212,265</u>	<u>212,265</u>	<u>212,265</u>
HEALTH						
Aging, Office for the	1,529	4,454	4,454	4,454	4,454	4,454
Health, Department of	<u>1,107,907</u>	<u>1,136,659</u>	<u>1,072,416</u>	<u>1,081,845</u>	<u>1,078,916</u>	<u>1,089,750</u>
<i>Essential Plan</i>	73,347	75,146	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	536,593	637,913	574,839	588,805	589,171	599,368
<i>Public Health</i>	497,967	423,600	424,180	422,130	420,376	420,917
Medicaid Inspector General, Office of the	<u>5,427</u>	<u>5,300</u>	<u>5,342</u>	<u>5,342</u>	<u>5,342</u>	<u>5,342</u>
Functional Total	<u>1,114,863</u>	<u>1,146,413</u>	<u>1,082,212</u>	<u>1,091,641</u>	<u>1,088,712</u>	<u>1,099,546</u>
SOCIAL WELFARE						
Children and Family Services, Office of	123,650	158,565	183,447	186,836	186,836	190,544
<i>OCFS</i>	<u>123,650</u>	<u>158,565</u>	<u>183,447</u>	<u>186,836</u>	<u>186,836</u>	<u>190,544</u>
Housing and Community Renewal, Division of	11,941	12,768	3,770	3,908	3,908	3,908
Human Rights, Division of	2,302	1,747	1,782	1,817	1,817	1,817
Labor, Department of	76,484	89,524	92,929	96,337	96,337	96,337
National and Community Service	9,443	15,277	15,582	15,893	15,893	16,211
Temporary and Disability Assistance, Office of	<u>134,386</u>	<u>135,385</u>	<u>127,359</u>	<u>129,969</u>	<u>129,969</u>	<u>129,969</u>
<i>All Other</i>	<u>134,386</u>	<u>135,385</u>	<u>127,359</u>	<u>129,969</u>	<u>129,969</u>	<u>129,969</u>
Functional Total	<u>358,206</u>	<u>413,266</u>	<u>424,869</u>	<u>434,760</u>	<u>434,760</u>	<u>438,786</u>
MENTAL HYGIENE						
Addiction Services and Supports, Office of	28,791	28,561	29,721	30,489	30,803	31,634
<i>OASAS</i>	14,092	17,232	17,505	17,853	18,122	18,615
<i>OASAS - Other</i>	14,699	11,329	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	2,155	2,149	2,149	2,149	2,149	2,149
Justice Center	9,843	8,749	8,725	8,954	9,202	9,454
Mental Health, Office of	<u>258,633</u>	<u>261,600</u>	<u>264,826</u>	<u>286,557</u>	<u>299,775</u>	<u>313,406</u>
<i>OMH</i>	63,720	44,985	43,809	44,913	46,393	48,293
<i>OMH - Other</i>	194,913	216,615	221,017	241,644	253,382	265,113
People with Developmental Disabilities, Office for	<u>178,906</u>	<u>182,801</u>	<u>187,213</u>	<u>191,875</u>	<u>196,787</u>	<u>201,867</u>
<i>OPWDD</i>	258	1,181	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	<u>178,648</u>	<u>181,620</u>	<u>186,010</u>	<u>190,672</u>	<u>195,584</u>	<u>200,664</u>
Functional Total	<u>478,328</u>	<u>483,860</u>	<u>492,634</u>	<u>520,024</u>	<u>538,716</u>	<u>558,510</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	237	242	247	247	247
Corrections and Community Supervision, Department of	<u>488,892</u>	<u>502,975</u>	<u>497,509</u>	<u>491,470</u>	<u>494,816</u>	<u>494,816</u>
<i>DOCCS</i>	<u>488,892</u>	<u>502,975</u>	<u>497,509</u>	<u>491,470</u>	<u>494,816</u>	<u>494,816</u>
Criminal Justice Services, Division of	12,782	13,638	13,593	13,858	14,174	14,453
Homeland Security and Emergency Services, Division of	33,792	34,811	34,857	35,383	35,664	35,865
Indigent Legal Services, Office of	408	839	842	856	873	876
Judicial Conduct, Commission on	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	26,228	26,857	26,065	26,560	27,015	27,549
State Police, Division of	74,682	73,229	73,548	76,020	77,124	77,124
Statewide Financial System	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	<u>2,066</u>	<u>2,345</u>	<u>2,345</u>	<u>2,358</u>	<u>2,358</u>	<u>1,643</u>
Functional Total	<u>659,812</u>	<u>674,984</u>	<u>669,081</u>	<u>667,447</u>	<u>673,362</u>	<u>673,664</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION						
City University of New York	50,564	55,517	7,634	7,634	7,634	7,634
Higher Education - Miscellaneous	108	93	93	93	93	93
Higher Education Services Corporation, New York State	30,136	31,155	31,155	31,155	31,155	31,155
State University of New York	<u>2,389,492</u>	<u>2,349,471</u>	<u>2,386,216</u>	<u>2,424,113</u>	<u>2,472,905</u>	<u>2,516,834</u>
Functional Total	<u>2,470,300</u>	<u>2,436,236</u>	<u>2,425,098</u>	<u>2,462,995</u>	<u>2,511,787</u>	<u>2,555,716</u>
EDUCATION						
Arts, Council on the	1,630	1,922	1,922	1,922	1,922	1,922
Education, Department of	<u>123,612</u>	<u>124,388</u>	<u>123,547</u>	<u>123,681</u>	<u>123,638</u>	<u>123,638</u>
<i>All Other</i>	<u>123,612</u>	<u>124,388</u>	<u>123,547</u>	<u>123,681</u>	<u>123,638</u>	<u>123,638</u>
Functional Total	<u>125,242</u>	<u>126,310</u>	<u>125,469</u>	<u>125,603</u>	<u>125,560</u>	<u>125,560</u>
GENERAL GOVERNMENT						
Budget, Division of the	3,297	3,909	3,375	3,372	3,372	3,372
Civil Service, Department of	360	12	12	442	451	451
Deferred Compensation Board	50	235	235	235	235	235
Elections, State Board of	4,417	9,859	8,012	6,376	3,936	3,936
Employee Relations, Office of	57	196	196	196	197	197
Gaming Commission, New York State	31,874	24,171	27,807	27,771	27,771	27,771
General Services, Office of	90,454	86,293	56,979	58,006	59,784	59,784
Information Technology Services, Office of	245,814	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	839	856	1,204	1,216	1,216
Labor Management Committees	21,081	20,819	20,833	20,833	20,824	20,824
Prevention of Domestic Violence, Office for	162	173	212	216	216	216
Public Employment Relations Board	255	296	296	296	296	296
Public Ethics, Joint Commission on	707	949	968	1,183	1,195	1,195
State, Department of	14,936	17,380	17,318	17,294	17,294	17,294
Tax Appeals, Division of	307	170	170	170	170	170
Taxation and Finance, Department of	43,048	43,736	75,361	73,565	73,112	73,112
Veterans' Services, Division of	703	1,196	975	995	995	1,014
Welfare Inspector General, Office of	14	75	77	107	109	109
Workers' Compensation Board	<u>59,028</u>	<u>58,327</u>	<u>58,394</u>	<u>58,327</u>	<u>58,327</u>	<u>58,327</u>
Functional Total	<u>517,499</u>	<u>508,402</u>	<u>516,207</u>	<u>515,160</u>	<u>518,992</u>	<u>519,011</u>
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	29,558	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	441,990	428,100	437,800	437,800	437,800	437,800
Law, Department of	59,645	62,416	61,423	61,424	61,424	61,424
Legislature	48,269	54,704	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	91	91	91	91	91
Functional Total	<u>583,327</u>	<u>577,334</u>	<u>591,656</u>	<u>592,767</u>	<u>592,767</u>	<u>592,767</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	<u>47,720</u>	<u>59,716</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>
Functional Total	<u>85,419</u>	<u>97,422</u>	<u>78,467</u>	<u>79,383</u>	<u>79,383</u>	<u>79,383</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>6,764,027</u>	<u>6,863,214</u>	<u>6,810,078</u>	<u>6,913,344</u>	<u>6,984,196</u>	<u>7,062,685</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,851	3,974	4,285	4,431	4,512	4,512
Alcoholic Beverage Control, Division of	0	0	4,122	7,042	8,907	8,907
Economic Development, Department of	0	28	28	28	28	28
Financial Services, Department of	113,519	101,824	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	24,016	28,521	31,946	31,538	32,080	32,080
Functional Total	<u>143,417</u>	<u>134,347</u>	<u>154,858</u>	<u>157,290</u>	<u>159,778</u>	<u>159,778</u>
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	81,999	64,662	68,153	65,851	66,566	57,935
Parks, Recreation and Historic Preservation, Office of	3,657	1,960	2,031	1,965	1,965	1,965
Functional Total	<u>85,656</u>	<u>66,622</u>	<u>70,184</u>	<u>67,816</u>	<u>68,531</u>	<u>59,900</u>
TRANSPORTATION						
Motor Vehicles, Department of	29,270	24,838	26,769	27,421	27,421	27,421
Transportation, Department of	8,965	8,686	9,053	8,946	8,946	8,946
Functional Total	<u>38,235</u>	<u>33,524</u>	<u>35,822</u>	<u>36,367</u>	<u>36,367</u>	<u>36,367</u>
HEALTH						
Aging, Office for the	44	0	0	0	0	0
Health, Department of	82,342	83,767	87,448	89,403	88,515	88,915
<i>Medicaid Administration</i>	3,516	4,565	4,740	5,334	5,555	5,856
<i>Public Health</i>	78,826	79,202	82,708	84,069	82,960	83,059
Medicaid Inspector General, Office of the	11,070	9,525	10,301	10,301	10,301	10,301
Functional Total	<u>93,456</u>	<u>93,292</u>	<u>97,749</u>	<u>99,704</u>	<u>98,816</u>	<u>99,216</u>
SOCIAL WELFARE						
Children and Family Services, Office of	16,545	13,460	22,031	22,011	22,011	22,011
<i>OCFS</i>	16,545	13,460	22,031	22,011	22,011	22,011
Housing and Community Renewal, Division of	25,912	26,426	6,526	9,345	9,345	9,345
Labor, Department of	159,501	116,680	116,680	140,222	140,222	140,222
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,432	47,539	48,540	49,564	50,604	50,604
<i>All Other</i>	65,432	47,539	48,540	49,564	50,604	50,604
Functional Total	<u>267,390</u>	<u>204,105</u>	<u>194,019</u>	<u>221,384</u>	<u>222,424</u>	<u>222,426</u>
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	785	785	785	785	785
Justice Center	1,220	962	972	960	1,018	1,050
Mental Health, Office of	(44,048)	469	469	469	469	469
<i>OMH</i>	(10,072)	469	469	469	469	469
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
People with Developmental Disabilities, Office for	78	0	0	0	0	0
<i>OPWDD</i>	78	0	0	0	0	0
Functional Total	<u>(39,599)</u>	<u>2,216</u>	<u>2,226</u>	<u>2,214</u>	<u>2,272</u>	<u>2,304</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,666	1,147	1,147	1,147	1,147	1,147
<i>DOCCS</i>	1,666	1,147	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	518	348	348	348	355	362
Homeland Security and Emergency Services, Division of	9,246	5,317	7,835	7,905	7,905	7,905
Indigent Legal Services, Office of	1,851	2,103	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	11,948	6,629	6,754	6,755	6,755	6,755
State Police, Division of	48,184	29,910	30,453	30,823	31,023	31,023
Victim Services, Office of	1,894	2,250	1,650	1,683	1,683	1,683
Functional Total	<u>75,307</u>	<u>47,704</u>	<u>50,353</u>	<u>50,912</u>	<u>51,220</u>	<u>51,333</u>
HIGHER EDUCATION						
City University of New York	129	150	0	0	0	0
Higher Education - Miscellaneous	200	150	150	150	150	150
Higher Education Services Corporation, New York State	9,808	9,021	9,021	9,021	9,021	9,021
State University of New York	522,634	517,894	546,865	562,028	589,358	609,955
Functional Total	<u>532,771</u>	<u>527,215</u>	<u>556,036</u>	<u>571,199</u>	<u>598,529</u>	<u>619,126</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Education, Department of	108,613	91,667	97,458	96,581	97,269	97,269
<i>All Other</i>	<u>108,613</u>	<u>91,667</u>	<u>97,458</u>	<u>96,581</u>	<u>97,269</u>	<u>97,269</u>
Functional Total	<u>108,613</u>	<u>91,667</u>	<u>97,458</u>	<u>96,581</u>	<u>97,269</u>	<u>97,269</u>
GENERAL GOVERNMENT						
Budget, Division of the	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	139	231	244	241	245	245
Deferred Compensation Board	302	243	247	252	256	256
Elections, State Board of	270	300	300	300	300	300
Gaming Commission, New York State	22,754	17,575	18,465	17,575	17,575	17,575
General Services, Office of	538	548	583	575	585	585
Labor Management Committees	5,821	5,000	5,000	5,000	5,000	5,000
State, Department of	17,835	15,734	17,599	17,878	17,895	17,895
Taxation and Finance, Department of	5,667	21,309	22,567	21,391	21,318	21,318
Veterans' Services, Division of	385	490	514	536	547	552
Workers' Compensation Board	56,568	53,220	57,863	53,220	53,220	53,220
Functional Total	<u>111,186</u>	<u>116,307</u>	<u>125,099</u>	<u>118,625</u>	<u>118,598</u>	<u>118,603</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	2,197	2,197	2,197	2,197	2,197
Judiciary	787,708	805,100	848,893	848,893	848,893	848,893
Law, Department of	42,114	32,606	35,477	33,260	33,260	33,260
Functional Total	<u>831,861</u>	<u>839,903</u>	<u>886,567</u>	<u>884,350</u>	<u>884,350</u>	<u>884,350</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Miscellaneous	2,969	5,770	5,782	5,787	5,797	5,797
Functional Total	<u>6,376,426</u>	<u>6,849,954</u>	<u>7,092,031</u>	<u>7,836,092</u>	<u>8,365,698</u>	<u>8,809,188</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,624,719</u></u>	<u><u>9,006,856</u></u>	<u><u>9,362,402</u></u>	<u><u>10,142,534</u></u>	<u><u>10,703,852</u></u>	<u><u>11,159,860</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	13,756	20,165	10,330	5,915	5,765
Empire State Development Corporation	85,649	700,945	857,614	624,621	649,345	360,323
Energy Research and Development Authority, New York State	20,828	20,450	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	20,000	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	70,000	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	36,245	30,500	9,500	500	500
Regional Economic Development Program	0	817	3,000	0	0	0
Strategic Capital Resource Assistance Program	0	0	0	26,900	24,400	24,599
Functional Total	202,276	862,213	1,093,848	773,095	720,593	419,393
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	1,000	0	0	0
Environmental Conservation, Department of	409,030	591,780	679,516	764,249	904,535	983,863
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	176,743	202,157	168,739	169,189	168,739	168,739
Functional Total	585,773	798,937	864,255	948,438	1,085,274	1,164,602
TRANSPORTATION						
Motor Vehicles, Department of	227,603	253,961	283,213	246,244	230,772	230,868
Transportation, Department of	3,347,932	3,447,096	4,158,988	4,652,500	4,337,639	4,370,119
Functional Total	3,575,535	3,701,057	4,442,201	4,898,744	4,568,411	4,600,987
HEALTH						
Health, Department of	77,671	111,543	113,610	113,610	113,610	113,610
<i>Public Health</i>	77,671	111,543	113,610	113,610	113,610	113,610
Functional Total	77,671	111,543	113,610	113,610	113,610	113,610
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	29,858	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	29,858	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	966	800	1,600	800	800	800
<i>All Other</i>	966	800	1,600	800	800	800
Functional Total	56,766	33,658	30,404	27,460	27,473	27,473
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	2,604	3,370	3,424	3,481	3,541
<i>OASAS</i>	2,036	2,604	3,370	3,424	3,481	3,541
Mental Health, Office of	244,264	230,080	200,327	175,485	151,684	138,923
<i>OMH</i>	244,264	230,080	200,327	175,485	151,684	138,923
People with Developmental Disabilities, Office for	96,486	94,231	96,339	86,061	92,828	82,622
<i>OPWDD</i>	96,486	94,231	96,339	86,061	92,828	82,622
Functional Total	342,786	326,915	300,036	264,970	247,993	225,086
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	366,318	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	366,318	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	11,972	12,178	17,250	12,495	10,367	1,500
Military and Naval Affairs, Division of	75,582	124,263	97,719	97,102	91,982	58,057
State Police, Division of	47,327	47,500	55,853	54,688	47,538	50,968
Functional Total	504,421	550,259	536,468	501,970	466,862	431,665
HIGHER EDUCATION						
City University of New York	32,463	36,620	37,352	47,352	57,352	67,352
State University of New York	941,242	993,516	1,085,300	1,123,086	1,161,063	1,126,793
Functional Total	973,705	1,030,136	1,122,652	1,170,438	1,218,415	1,194,145
EDUCATION						
Education, Department of	6,146	75,834	95,340	47,927	32,285	17,998
<i>All Other</i>	6,146	75,834	95,340	47,927	32,285	17,998
Functional Total	6,146	75,834	95,340	47,927	32,285	17,998
GENERAL GOVERNMENT						
Elections, State Board of	0	0	5,800	6,200	3,200	800
General Services, Office of	154,965	231,662	306,649	179,517	149,129	151,461
Information Technology Services, Office of	70,350	114,118	163,329	76,694	54,900	36,229
State, Department of	1,238	4,000	2,000	2,000	2,000	2,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Workers' Compensation Board	0	5,347	22,440	33,764	6,755	0
Functional Total	<u>226,553</u>	<u>355,127</u>	<u>500,218</u>	<u>298,175</u>	<u>215,984</u>	<u>190,490</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,520	2,806	0	0	0
Judiciary	10,373	19,500	25,700	14,089	0	0
Law, Department of	6,856	4,262	1,818	0	0	0
Functional Total	<u>19,527</u>	<u>27,282</u>	<u>30,324</u>	<u>14,089</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	5,000	5,000	0	0	0
Miscellaneous	36,117	(349,992)	(725,101)	(792,907)	(699,095)	(724,095)
Special Infrastructure Account	423,893	979,327	615,460	356,228	87,255	59,310
Functional Total	<u>460,091</u>	<u>634,335</u>	<u>(104,641)</u>	<u>(436,679)</u>	<u>(611,840)</u>	<u>(664,785)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>7,031,250</u></u>	<u><u>8,507,296</u></u>	<u><u>9,024,715</u></u>	<u><u>8,622,237</u></u>	<u><u>8,085,060</u></u>	<u><u>7,720,664</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	125,335	87,903	83,609	91,688	87,108	86,958
Alcoholic Beverage Control, Division of	11,546	11,275	30,623	37,753	40,692	40,692
Economic Development Capital	1,777	6,400	6,400	0	0	0
Economic Development, Department of	86,391	77,853	80,045	68,143	68,143	68,143
Empire State Development Corporation	1,326,984	1,383,055	1,944,431	1,922,959	1,702,962	1,450,467
Energy Research and Development Authority, New York State	20,828	20,450	21,569	18,244	20,433	18,206
Financial Services, Department of	392,863	369,125	389,354	382,991	382,991	382,991
Lake Ontario Resiliency/Economic Development	0	20,000	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	78,676	142,676	55,176	21,676	21,676
Power Authority, New York	1,346	36,245	30,500	9,500	500	500
Public Service Department	75,433	80,671	84,683	82,239	81,099	81,099
Regional Economic Development Program	2,938	2,400	3,000	0	0	0
Strategic Capital Resource Assistance Program	0	0	0	26,900	24,400	24,599
Strategic Investment Program	2,888	2,000	2,500	0	0	0
Functional Total	2,102,204	2,176,053	2,849,390	2,735,593	2,440,004	2,175,331
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	6,018	4,685	4,685	4,685
Environmental Conservation, Department of	928,743	1,089,408	1,228,082	1,322,464	1,462,081	1,532,363
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	355,557	363,449	338,190	335,204	331,869	331,869
Functional Total	1,288,597	1,462,585	1,587,290	1,677,353	1,810,635	1,880,917
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	315,479	337,614	369,742	333,106	317,634	317,730
Transportation, Department of	7,090,353	6,956,629	8,185,612	8,787,050	8,630,656	8,926,965
Functional Total	8,145,346	7,938,730	8,941,210	9,120,156	8,948,290	9,244,695
HEALTH						
Aging, Office for the	131,371	146,478	143,311	148,323	153,505	158,817
Health, Department of	22,675,217	24,965,906	25,842,341	27,054,526	28,149,579	29,030,013
<i>Medical Assistance</i>	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	764,404	771,333	705,318	697,470	682,020	675,881
<i>Public Health</i>	2,305,314	2,917,489	2,756,569	2,977,033	3,021,321	2,910,004
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	22,824,704	25,130,456	26,004,331	27,220,921	28,321,156	29,206,902
SOCIAL WELFARE						
Children and Family Services, Office of	1,978,096	1,807,371	1,883,206	1,926,896	1,926,693	1,931,692
<i>OCFS</i>	1,905,982	1,746,573	1,812,348	1,851,874	1,851,671	1,856,670
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	355,218	836,094	859,948	590,331	580,331	530,331
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	91,113	94,578	72,143	76,435	76,435	76,435
National and Community Service	560	772	781	781	781	784
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,314,753	1,509,582	1,548,387	1,543,488	1,651,038	1,687,838
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	276,815	317,346	341,075	326,176	433,726	470,526
Functional Total	3,805,512	4,278,318	4,393,055	4,163,161	4,260,508	4,251,608
MENTAL HYGIENE						
Addiction Services and Supports, Office of	489,465	526,700	550,494	552,758	564,699	583,937
<i>OASAS</i>	415,616	460,158	481,619	483,208	494,779	513,350
<i>OASAS - Other</i>	73,849	66,542	68,875	69,550	69,920	70,587
Justice Center	43,551	42,893	44,332	43,605	44,190	44,806
Mental Health, Office of	2,858,120	3,007,475	2,867,811	2,957,617	3,026,847	3,116,662
<i>OMH</i>	1,599,579	1,705,580	1,751,381	1,812,049	1,854,014	1,917,684
<i>OMH - Other</i>	1,258,541	1,301,895	1,116,430	1,145,568	1,172,833	1,198,978
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,230	3,140,111	1,978,235	2,131,064	2,322,451	2,490,556
<i>OPWDD</i>	485,110	493,932	453,264	448,467	466,096	490,112
<i>OPWDD - Other</i>	1,452,120	2,646,179	1,524,971	1,682,597	1,856,355	2,000,444
Functional Total	5,328,366	6,717,179	5,440,872	5,685,044	5,958,187	6,213,367
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,972,534	3,233,383	3,051,862	3,051,165	3,067,224	3,071,389

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Actuals	Current	Proposed	Projected	Projected	Projected
<i>DOCCS</i>	2,972,534	3,231,383	3,049,862	3,051,165	3,067,224	3,071,389
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	217,569	213,927	225,509	200,330	201,075	201,841
Homeland Security and Emergency Services, Division of	126,228	148,286	169,398	186,837	192,635	186,130
Indigent Legal Services, Office of	88,454	91,528	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	69,401	124,849	91,126	60,164	54,985	49,560
State Police, Division of	810,506	865,969	895,374	892,509	905,011	908,441
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	30,267	36,345	33,462	34,111	34,111	34,111
Functional Total	4,353,450	4,753,550	4,673,250	4,692,111	4,772,946	4,783,320
HIGHER EDUCATION						
City University of New York	1,636,617	1,670,089	1,599,569	1,649,819	1,692,212	1,731,969
Higher Education - Miscellaneous	540	441	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,033,360	954,209	870,753	913,392	925,797	926,035
State University of New York	7,952,370	8,228,776	8,575,873	8,718,174	8,980,603	9,127,991
Functional Total	10,631,656	10,865,390	11,062,386	11,294,476	11,613,203	11,800,586
EDUCATION						
Arts, Council on the	43,514	45,353	45,349	45,253	45,253	45,253
Education, Department of	31,300,749	32,594,182	33,079,668	34,245,331	35,370,955	36,421,371
<i>School Aid</i>	26,358,554	27,666,897	28,323,086	29,534,509	30,704,524	31,803,818
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,075,608	1,310,135	1,290,327	1,261,493	1,265,772	1,251,402
Functional Total	31,344,263	32,639,535	33,125,017	34,290,584	35,416,208	36,466,624
GENERAL GOVERNMENT						
Budget, Division of the	26,125	30,133	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	16,351	14,848	14,890	14,911	14,911
Deferred Compensation Board	776	891	896	900	904	904
Elections, State Board of	8,621	13,147	26,583	17,754	12,628	10,228
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	159,059	278,886	210,614	209,283	208,383	207,983
General Services, Office of	296,965	337,667	389,173	260,643	231,882	234,214
Information Technology Services, Office of	614,891	652,755	702,825	590,884	581,044	570,291
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	35,520	36,306	31,531	31,320	31,311	31,311
Prevention of Domestic Violence, Office for	2,816	3,133	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	79,036	98,354	83,223	107,389	139,406	115,087
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	320,486	328,922	381,089	368,962	368,322	368,322
Veterans' Services, Division of	16,219	15,721	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	198,486	201,786	228,305	230,203	203,194	196,439
Functional Total	1,796,171	2,040,988	2,145,117	1,907,218	1,867,249	1,825,021
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	195,187	201,028	195,513	195,513	195,513
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	3,067,414	3,120,100	3,287,793	3,219,182	3,205,393	3,205,393
Law, Department of	220,568	207,045	214,053	206,834	206,834	206,834
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	3,709,618	3,778,739	3,966,596	3,891,670	3,877,934	3,877,934
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	663,681	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	763,082	723,782	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	11,000	5,000	0	0	0
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Long-Term Debt Service	6,736,264	5,203,634	6,056,119	7,054,415	7,417,709	7,600,817
Miscellaneous	232,432	(39,310)	(180,286)	(306,800)	(342,423)	(336,577)
Special Infrastructure Account	1,019,587	984,327	625,460	356,228	107,255	65,000
Functional Total	<u>14,365,161</u>	<u>13,003,835</u>	<u>13,592,542</u>	<u>14,934,148</u>	<u>15,542,442</u>	<u>16,132,631</u>
TOTAL STATE FUNDS SPENDING	<u>110,458,130</u>	<u>115,509,140</u>	<u>118,510,790</u>	<u>122,400,195</u>	<u>125,616,522</u>	<u>128,646,696</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	31,932	20,821	24,247	24,247	24,247
Economic Development Capital	1,777	6,400	6,400	0	0	0
Economic Development, Department of	68,581	60,534	62,726	50,824	50,824	50,824
Empire State Development Corporation	1,241,335	682,110	1,086,817	1,298,338	1,053,617	1,090,144
Financial Services, Department of	64,179	58,602	59,896	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	0	0	0	0	0
Public Service Department	218	1,072	60	60	60	60
Regional Economic Development Program	2,938	1,583	0	0	0	0
Strategic Investment Program	2,888	2,000	2,500	0	0	0
Functional Total	1,417,303	844,233	1,239,220	1,433,365	1,188,644	1,225,171
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	255,176	246,879	283,244	301,586	305,983	305,983
Parks, Recreation and Historic Preservation, Office of	6,502	4,090	3,750	3,750	3,750	3,750
Functional Total	261,678	250,969	286,994	305,336	309,733	309,733
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	644,487	385,856	0	0	0
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	4,612,113	4,204,436	4,679,274	4,782,370	4,940,837	5,204,666
Functional Total	5,352,002	4,848,923	5,065,130	4,782,370	4,940,837	5,204,666
HEALTH						
Aging, Office for the	130,141	144,516	141,306	146,361	151,543	156,855
Health, Department of	21,838,763	24,065,987	24,940,143	26,152,479	27,250,216	28,123,204
<i>Medical Assistance</i>	19,528,919	21,197,358	22,302,365	23,304,492	24,372,235	25,370,106
<i>Medicaid Administration</i>	503,108	526,198	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,806,736	2,342,431	2,171,276	2,395,690	2,439,368	2,327,667
Functional Total	21,968,904	24,210,503	25,081,449	26,298,840	27,401,759	28,280,059
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,513,206	1,475,397	1,522,269	1,522,053	1,522,053
<i>OCFS</i>	1,587,065	1,452,408	1,404,539	1,447,247	1,447,031	1,447,031
<i>OCFS - Other</i>	72,114	60,798	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	282,173	761,507	839,107	568,812	558,812	508,812
Labor, Department of	15,469	27,572	2,400	5,150	5,150	5,150
National and Community Service	270	432	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	20,000	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,186,281	1,372,539	1,415,234	1,408,415	1,515,965	1,552,765
<i>Welfare Assistance</i>	1,037,938	1,192,236	1,207,312	1,217,312	1,217,312	1,217,312
<i>All Other</i>	148,343	180,303	207,922	191,103	298,653	335,453
Functional Total	3,199,151	3,695,256	3,750,570	3,520,078	3,617,412	3,603,510
MENTAL HYGIENE						
Addiction Services and Supports, Office of	400,461	436,600	456,238	458,129	469,155	486,965
<i>OASAS</i>	379,136	415,275	434,913	436,804	447,830	465,640
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,313,857	1,403,044	1,276,265	1,357,554	1,422,802	1,498,502
<i>OMH</i>	1,034,896	1,105,806	1,186,748	1,263,560	1,320,507	1,391,180
<i>OMH - Other</i>	278,961	297,238	89,517	93,994	102,295	107,322
People with Developmental Disabilities, Office for	519,476	1,705,172	542,360	691,281	858,980	1,020,082
<i>OPWDD</i>	388,326	399,520	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	131,150	1,305,652	185,638	329,078	485,915	612,795
Functional Total	2,234,473	3,545,465	2,275,512	2,507,613	2,751,586	3,006,198
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	7,016	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	5,016	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	2,000	0	0	0
Criminal Justice Services, Division of	181,941	176,519	162,171	162,171	162,171	162,171
Homeland Security and Emergency Services, Division of	77,175	95,870	111,142	130,839	137,925	139,427
Indigent Legal Services, Office of	83,765	85,288	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	820	886	904	923
Victim Services, Office of	24,865	30,744	27,744	28,398	28,398	28,398
Functional Total	372,066	396,257	468,713	547,130	604,234	619,517
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
City University of New York	1,508,007	1,536,842	1,558,724	1,598,974	1,631,367	1,661,124
Higher Education Facilities Capital Matching Grants Program	8,769	11,875	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	906,915	823,459	866,098	878,503	878,741
State University of New York	487,799	482,766	459,460	461,184	460,184	460,184
Functional Total	2,988,909	2,938,398	2,857,393	2,938,906	2,984,204	3,014,199
EDUCATION						
Arts, Council on the	39,248	41,033	40,933	40,933	40,933	40,933
Education, Department of	31,113,315	32,328,894	32,790,623	34,007,797	35,148,418	36,213,121
<i>School Aid</i>	26,358,554	27,666,897	28,323,086	29,534,509	30,704,524	31,803,818
<i>School Aid – Other</i>	152,867	109,518	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>Special Education Categorical Programs</i>	1,290,608	1,331,637	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	888,174	1,044,847	1,001,282	1,023,959	1,043,235	1,043,152
Functional Total	31,152,563	32,369,927	32,831,556	34,048,730	35,189,351	36,254,054
GENERAL GOVERNMENT						
Civil Service, Department of	567	2,000	0	0	0	0
Elections, State Board of	5	3,000	10,200	1,500	0	0
Gaming Commission, New York State	69,013	198,453	124,164	125,250	124,350	123,950
Prevention of Domestic Violence, Office for	1,260	1,435	1,385	1,412	1,412	1,412
State, Department of	20,345	39,842	24,317	49,317	81,317	56,998
Taxation and Finance, Department of	2,435	2,726	2,726	2,726	2,726	2,726
Veterans' Services, Division of	10,499	9,485	7,840	7,840	7,840	7,840
Functional Total	104,124	256,941	170,632	188,045	217,645	192,926
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	101,561	161,900	179,500	179,500	179,500	179,500
Functional Total	133,586	193,924	211,524	211,524	211,524	211,524
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	663,679	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	19,000	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	19,600	19,600	19,600	19,600
Small Government Assistance	217	218	218	218	218	218
Functional Total	762,835	723,780	729,734	787,760	787,760	787,760
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,340	6,000	0	0	0	0
Miscellaneous	145,860	252,911	511,883	453,170	323,725	354,571
Special Infrastructure Account	595,694	5,000	10,000	0	20,000	5,690
Functional Total	744,894	263,911	521,883	453,170	343,725	360,261
TOTAL LOCAL ASSISTANCE SPENDING	70,692,488	74,538,487	75,490,310	78,022,867	80,548,414	83,069,578

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,322	40,463	54,850	54,650	54,650
Alcoholic Beverage Control, Division of	11,546	11,275	26,501	30,711	31,785	31,785
Economic Development, Department of	17,810	17,291	17,291	17,291	17,291	17,291
Financial Services, Department of	215,165	208,699	214,981	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	8,676	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,811	53,426	51,406	49,740	49,740
Functional Total	343,210	338,074	364,338	374,778	373,986	373,986
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,728	5,018	4,685	4,685	4,685
Environmental Conservation, Department of	210,770	215,627	227,753	221,743	216,336	215,921
Parks, Recreation and Historic Preservation, Office of	176,384	163,242	171,694	168,324	165,439	165,439
Functional Total	391,451	383,597	404,465	394,752	386,460	386,045
TRANSPORTATION						
Motor Vehicles, Department of	59,189	59,535	60,566	60,247	60,247	60,247
Transportation, Department of	300,619	341,898	336,152	340,937	340,937	340,937
Functional Total	359,808	401,433	396,718	401,184	401,184	401,184
HEALTH						
Aging, Office for the	1,230	1,962	2,005	1,962	1,962	1,962
Health, Department of	727,514	755,850	755,266	753,776	751,031	758,378
<i>Essential Plan</i>	76,580	79,726	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	261,296	244,554	238,235	244,592	242,826	249,869
<i>Public Health</i>	389,638	431,570	438,942	433,653	434,202	434,487
Medicaid Inspector General, Office of the	18,116	18,072	18,679	18,072	18,072	18,072
Functional Total	746,860	775,884	775,950	773,810	771,065	778,412
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	262,176	379,755	378,701	378,701	383,700
<i>OCFS</i>	260,874	262,176	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	51,137	52,166	18,320	17,675	17,675	17,675
Human Rights, Division of	9,993	9,921	10,590	10,230	10,230	10,230
Labor, Department of	49,768	46,519	49,256	48,280	48,280	48,280
National and Community Service	290	340	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	136,115	131,425	134,145	134,145	134,145
<i>All Other</i>	127,470	136,115	131,425	134,145	134,145	134,145
Functional Total	499,532	507,237	589,695	589,380	589,380	594,382
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	87,496	90,886	91,205	92,063	93,431
<i>OASAS</i>	34,444	42,279	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	52,524	45,217	47,550	48,225	48,595	49,262
Justice Center	41,652	41,346	42,775	42,061	42,616	43,200
Mental Health, Office of	1,344,656	1,374,351	1,391,219	1,424,578	1,452,361	1,479,237
<i>OMH</i>	331,100	369,694	364,306	373,004	381,823	387,581
<i>OMH - Other</i>	1,013,556	1,004,657	1,026,913	1,051,574	1,070,538	1,091,656
People with Developmental Disabilities, Office for	1,321,268	1,340,708	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	298	181	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,340,527	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,794,544	2,843,901	2,864,416	2,911,566	2,957,683	3,003,720
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	2,651	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,599,368	2,859,943	2,704,274	2,708,538	2,745,307	2,745,307
<i>DOCCS</i>	2,599,368	2,859,943	2,704,274	2,708,538	2,745,307	2,745,307
Criminal Justice Services, Division of	35,628	37,408	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	36,318	39,421	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	2,838	4,137	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	6,038	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,757	24,953	25,524	25,964	26,482
State Police, Division of	717,416	790,059	810,568	808,498	827,950	827,950
Statewide Financial System	30,520	30,506	30,949	31,117	31,732	31,732
Victim Services, Office of	3,508	3,951	4,068	4,030	4,030	4,030
Functional Total	3,457,436	3,799,939	3,666,725	3,672,091	3,731,146	3,733,365
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
City University of New York	96,018	96,477	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	340	291	291	291	291	291
Higher Education Services Corporation, New York State	39,219	38,274	38,274	38,274	38,274	38,274
State University of New York	6,000,806	6,234,651	6,484,299	6,571,927	6,770,049	6,931,110
Functional Total	6,136,383	6,369,693	6,526,357	6,613,985	6,812,107	6,973,168
EDUCATION						
Arts, Council on the	4,266	4,320	4,416	4,320	4,320	4,320
Education, Department of	138,074	150,213	152,247	149,026	148,983	148,983
<i>All Other</i>	138,074	150,213	152,247	149,026	148,983	148,983
Functional Total	142,340	154,533	156,663	153,346	153,303	153,303
GENERAL GOVERNMENT						
Budget, Division of the	25,218	28,476	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	14,120	14,604	14,649	14,666	14,666
Deferred Compensation Board	474	648	649	648	648	648
Elections, State Board of	8,616	10,147	10,583	10,054	9,428	9,428
Employee Relations, Office of	2,097	6,444	6,542	6,444	6,445	6,445
Gaming Commission, New York State	67,292	62,858	67,985	66,458	66,458	66,458
General Services, Office of	141,462	105,457	81,941	80,551	82,168	82,168
Information Technology Services, Office of	544,541	538,637	546,877	553,433	564,591	564,591
Inspector General, Office of the	6,844	7,487	7,826	8,061	8,210	8,210
Labor Management Committees	29,699	31,306	26,531	26,320	26,311	26,311
Prevention of Domestic Violence, Office for	1,556	1,698	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,430	3,634	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,223	5,630	5,835	6,010	6,119	6,119
State, Department of	42,005	41,588	42,118	41,006	41,006	41,006
Tax Appeals, Division of	2,820	3,040	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	312,384	304,887	355,796	344,845	344,278	344,278
Veterans' Services, Division of	5,720	6,236	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	629	701	731	753	768	768
Workers' Compensation Board	141,918	143,219	148,002	143,219	143,219	143,219
Functional Total	1,357,350	1,316,213	1,360,174	1,345,264	1,357,128	1,357,195
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	157,446	164,001	161,292	161,292	161,292
Executive Chamber	12,673	13,578	14,032	13,578	13,578	13,578
Judiciary	2,168,278	2,134,100	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	187,295	182,984	191,186	186,591	186,591	186,591
Legislature	223,573	242,215	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	530	614	634	614	614	614
Functional Total	2,740,847	2,730,937	2,853,309	2,795,424	2,795,777	2,795,777
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	49,862	52,001	27,150	27,150	27,150	27,150
Functional Total	87,561	89,707	70,807	71,723	71,723	71,723
TOTAL STATE OPERATIONS SPENDING	19,057,569	19,711,150	20,029,617	20,097,303	20,400,942	20,622,260

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	31,378	32,650	32,039	32,039	32,039
Alcoholic Beverage Control, Division of	8,166	8,316	15,353	19,404	22,040	22,040
Economic Development, Department of	13,090	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	156,895	153,752	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,338	5,338	5,338	5,338	5,338
Public Service Department	42,628	43,514	46,025	44,550	44,577	44,577
Functional Total	256,629	255,227	272,031	268,153	270,816	270,816
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,401	4,528	4,370	4,370	4,370
Environmental Conservation, Department of	174,576	178,208	188,170	181,395	175,940	175,940
Parks, Recreation and Historic Preservation, Office of	133,979	128,590	137,668	134,298	131,413	131,413
Functional Total	312,505	311,199	330,366	320,063	311,723	311,723
TRANSPORTATION						
Motor Vehicles, Department of	43,766	43,263	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	162,384	162,506	162,396	162,396	162,396
Functional Total	200,073	205,647	206,713	206,893	206,893	206,893
HEALTH						
Aging, Office for the	1,125	1,856	1,899	1,856	1,856	1,856
Health, Department of	266,914	284,075	289,286	288,092	290,470	293,057
<i>Essential Plan</i>	3,233	4,580	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	37,949	38,464	39,003	41,095	43,374	45,896
<i>Public Health</i>	225,732	241,031	245,591	242,376	242,462	242,604
Medicaid Inspector General, Office of the	15,204	15,624	16,231	15,624	15,624	15,624
Functional Total	283,243	301,555	307,416	305,572	307,950	310,537
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	172,018	265,818	262,740	262,740	265,408
<i>OCFS</i>	176,225	172,018	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	41,155	42,107	17,705	17,060	17,060	17,060
Human Rights, Division of	8,934	9,461	10,121	9,752	9,752	9,752
Labor, Department of	33,501	32,618	34,864	33,620	33,620	33,620
National and Community Service	288	331	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	73,244	76,580	78,130	78,130	78,130
<i>All Other</i>	68,003	73,244	76,580	78,130	78,130	78,130
Functional Total	328,106	329,779	405,428	401,642	401,642	404,313
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	61,101	63,331	62,936	63,542	64,146
<i>OASAS</i>	22,378	27,213	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,825	33,888	35,334	35,589	35,914	36,243
Justice Center	32,560	33,133	34,586	33,656	33,982	34,330
Mental Health, Office of	1,086,720	1,113,306	1,126,948	1,138,576	1,153,141	1,166,386
<i>OMH</i>	268,077	325,264	321,052	328,646	335,985	339,843
<i>OMH - Other</i>	818,643	788,042	805,896	809,930	817,156	826,543
People with Developmental Disabilities, Office for	1,142,584	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,158,907	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,322,067	2,366,447	2,378,188	2,398,015	2,425,521	2,451,847
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,414	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,111,244	2,358,159	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,111,244	2,358,159	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	28,281	28,019	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	20,611	29,610	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,430	3,298	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,677	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,402	14,700	14,776	15,073	15,376
State Police, Division of	657,453	729,830	750,020	745,878	764,494	764,494
Statewide Financial System	11,294	11,882	12,325	12,121	12,356	12,356
Victim Services, Office of	2,958	3,206	3,323	3,272	3,272	3,272
Functional Total	2,854,938	3,185,497	3,058,496	3,065,896	3,119,701	3,121,306
HIGHER EDUCATION						
City University of New York	47,274	48,594	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	232	198	198	198	198	198

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education Services Corporation, New York State	12,337	12,916	12,916	12,916	12,916	12,916
State University of New York	3,952,902	4,189,940	4,402,843	4,452,574	4,601,904	4,719,036
Functional Total	4,012,745	4,251,648	4,419,450	4,469,181	4,618,511	4,735,643
EDUCATION						
Arts, Council on the	2,636	2,498	2,594	2,498	2,498	2,498
Education, Department of	88,514	90,726	94,081	90,726	90,726	90,726
<i>All Other</i>	88,514	90,726	94,081	90,726	90,726	90,726
Functional Total	91,150	93,224	96,675	93,224	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,921	24,567	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	14,108	14,592	14,207	14,215	14,215
Deferred Compensation Board	424	413	414	413	413	413
Elections, State Board of	5,773	6,288	6,571	6,478	6,316	6,316
Employee Relations, Office of	2,040	6,248	6,346	6,248	6,248	6,248
Gaming Commission, New York State	35,418	38,687	40,178	38,687	38,687	38,687
General Services, Office of	61,158	27,196	32,994	30,577	30,577	30,577
Information Technology Services, Office of	299,018	298,870	302,746	308,861	315,099	315,099
Inspector General, Office of the	5,909	6,648	6,970	6,857	6,994	6,994
Labor Management Committees	8,618	10,487	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,525	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,175	3,338	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,516	4,681	4,867	4,827	4,924	4,924
State, Department of	28,629	28,254	28,846	27,758	27,758	27,758
Tax Appeals, Division of	2,513	2,870	2,980	2,870	2,870	2,870
Taxation and Finance, Department of	269,428	262,371	281,655	272,500	272,386	272,386
Veterans' Services, Division of	5,161	5,698	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	615	626	654	646	659	659
Workers' Compensation Board	82,890	84,892	89,608	84,892	84,892	84,892
Functional Total	853,662	827,767	861,936	846,887	853,104	853,165
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	127,888	130,597	127,888	127,888	127,888
Executive Chamber	9,135	11,113	11,567	11,113	11,113	11,113
Judiciary	1,731,580	1,712,800	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	134,904	129,145	137,554	132,958	132,958	132,958
Legislature	175,304	187,511	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	414	523	543	523	523	523
Functional Total	2,170,066	2,168,980	2,278,844	2,219,848	2,220,201	2,220,201
ALL OTHER CATEGORIES						
Miscellaneous	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
Functional Total	2,132	(7,715)	(7,660)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	13,687,316	14,289,255	14,607,883	14,587,714	14,821,626	14,972,008

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	8,944	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	3,380	2,959	11,148	11,307	9,745	9,745
Economic Development, Department of	4,720	4,362	4,362	4,362	4,362	4,362
Financial Services, Department of	58,270	54,947	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	3,338	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,297	7,401	6,856	5,163	5,163
Functional Total	86,581	82,847	92,307	106,625	103,170	103,170
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	327	490	315	315	315
Environmental Conservation, Department of	36,194	37,419	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	42,405	34,652	34,026	34,026	34,026	34,026
Functional Total	78,946	72,398	74,099	74,689	74,737	74,322
TRANSPORTATION						
Motor Vehicles, Department of	15,423	16,272	16,359	15,750	15,750	15,750
Transportation, Department of	144,312	179,514	173,646	178,541	178,541	178,541
Functional Total	159,735	195,786	190,005	194,291	194,291	194,291
HEALTH						
Aging, Office for the	105	106	106	106	106	106
Health, Department of	460,600	471,775	465,980	465,684	460,561	465,321
<i>Essential Plan</i>	73,347	75,146	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	223,347	206,090	199,232	203,497	199,452	203,973
<i>Public Health</i>	163,906	190,539	193,351	191,277	191,740	191,883
Medicaid Inspector General, Office of the	2,912	2,448	2,448	2,448	2,448	2,448
Functional Total	463,617	474,329	468,534	468,238	463,115	467,875
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	90,158	113,937	115,961	115,961	118,292
<i>OCFS</i>	84,649	90,158	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	9,982	10,059	615	615	615	615
Human Rights, Division of	1,059	460	469	478	478	478
Labor, Department of	16,267	13,901	14,392	14,660	14,660	14,660
National and Community Service	2	9	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	62,871	54,845	56,015	56,015	56,015
<i>All Other</i>	59,467	62,871	54,845	56,015	56,015	56,015
Functional Total	171,426	177,458	184,267	187,738	187,738	190,069
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	26,395	27,555	28,269	28,521	29,285
<i>OASAS</i>	12,066	15,066	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,699	11,329	12,216	12,636	12,681	13,019
Justice Center	9,092	8,213	8,189	8,405	8,634	8,870
Mental Health, Office of	257,936	261,045	264,271	286,002	299,220	312,851
<i>OMH</i>	63,023	44,430	43,254	44,358	45,838	47,738
<i>OMH - Other</i>	194,913	216,615	221,017	241,644	253,382	265,113
People with Developmental Disabilities, Office for	178,684	181,801	186,213	190,875	195,787	200,867
<i>OPWDD</i>	36	181	203	203	203	203
<i>OPWDD - Other</i>	178,648	181,620	186,010	190,672	195,584	200,664
Functional Total	472,477	477,454	486,228	513,551	532,162	551,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	237	242	247	247	247
Corrections and Community Supervision, Department of	488,124	501,784	496,318	490,279	493,625	493,625
<i>DOCCS</i>	488,124	501,784	496,318	490,279	493,625	493,625
Criminal Justice Services, Division of	7,347	9,389	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	15,707	9,811	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	408	839	842	856	873	876
Judicial Conduct, Commission on	1,464	1,361	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30	30
Judicial Screening Committees, New York State	7	38	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,355	10,253	10,748	10,891	11,106
State Police, Division of	59,963	60,229	60,548	62,620	63,456	63,456
Statewide Financial System	19,226	18,624	18,624	18,996	19,376	19,376
Victim Services, Office of	550	745	745	758	758	758
Functional Total	602,498	614,442	608,229	606,195	611,445	612,059
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
City University of New York	48,744	47,883	0	0	0	0
Higher Education - Miscellaneous	108	93	93	93	93	93
Higher Education Services Corporation, New York State	26,882	25,358	25,358	25,358	25,358	25,358
State University of New York	<u>2,047,904</u>	<u>2,044,711</u>	<u>2,081,456</u>	<u>2,119,353</u>	<u>2,168,145</u>	<u>2,212,074</u>
Functional Total	<u>2,123,638</u>	<u>2,118,045</u>	<u>2,106,907</u>	<u>2,144,804</u>	<u>2,193,596</u>	<u>2,237,525</u>
EDUCATION						
Arts, Council on the	1,630	1,822	1,822	1,822	1,822	1,822
Education, Department of	<u>49,560</u>	<u>59,487</u>	<u>58,166</u>	<u>58,300</u>	<u>58,257</u>	<u>58,257</u>
<i>All Other</i>	<u>49,560</u>	<u>59,487</u>	<u>58,166</u>	<u>58,300</u>	<u>58,257</u>	<u>58,257</u>
Functional Total	<u>51,190</u>	<u>61,309</u>	<u>59,988</u>	<u>60,122</u>	<u>60,079</u>	<u>60,079</u>
GENERAL GOVERNMENT						
Budget, Division of the	3,297	3,909	3,375	3,372	3,372	3,372
Civil Service, Department of	360	12	12	442	451	451
Deferred Compensation Board	50	235	235	235	235	235
Elections, State Board of	2,843	3,859	4,012	3,576	3,112	3,112
Employee Relations, Office of	57	196	196	196	197	197
Gaming Commission, New York State	31,874	24,171	27,807	27,771	27,771	27,771
General Services, Office of	80,304	78,261	48,947	49,974	51,591	51,591
Information Technology Services, Office of	245,523	239,767	244,131	244,572	249,492	249,492
Inspector General, Office of the	935	839	856	1,204	1,216	1,216
Labor Management Committees	21,081	20,819	20,833	20,833	20,824	20,824
Prevention of Domestic Violence, Office for	162	173	212	216	216	216
Public Employment Relations Board	255	296	296	296	296	296
Public Ethics, Joint Commission on	707	949	968	1,183	1,195	1,195
State, Department of	13,376	13,334	13,272	13,248	13,248	13,248
Tax Appeals, Division of	307	170	170	170	170	170
Taxation and Finance, Department of	42,956	42,516	74,141	72,345	71,892	71,892
Veterans' Services, Division of	559	538	304	310	310	316
Welfare Inspector General, Office of	14	75	77	107	109	109
Workers' Compensation Board	<u>59,028</u>	<u>58,327</u>	<u>58,394</u>	<u>58,327</u>	<u>58,327</u>	<u>58,327</u>
Functional Total	<u>503,688</u>	<u>488,446</u>	<u>498,238</u>	<u>498,377</u>	<u>504,024</u>	<u>504,030</u>
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	29,558	33,404	33,404	33,404	33,404
Executive Chamber	3,538	2,465	2,465	2,465	2,465	2,465
Judiciary	436,698	421,300	428,400	428,400	428,400	428,400
Law, Department of	52,391	53,839	53,632	53,633	53,633	53,633
Legislature	48,269	54,704	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	116	91	91	91	91	91
Functional Total	<u>570,781</u>	<u>561,957</u>	<u>574,465</u>	<u>575,576</u>	<u>575,576</u>	<u>575,576</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	37,706	43,657	44,573	44,573	44,573
Miscellaneous	<u>47,730</u>	<u>59,716</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>	<u>34,810</u>
Functional Total	<u>85,429</u>	<u>97,422</u>	<u>78,467</u>	<u>79,383</u>	<u>79,383</u>	<u>79,383</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,370,253</u>	<u>5,421,895</u>	<u>5,421,734</u>	<u>5,509,589</u>	<u>5,579,316</u>	<u>5,650,252</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,893	2,160	2,261	2,296	2,296
Alcoholic Beverage Control, Division of	0	0	4,122	7,042	8,907	8,907
Economic Development, Department of	0	28	28	28	28	28
Financial Services, Department of	113,519	101,824	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,788	31,197	30,773	31,299	31,299
Functional Total	<u>139,415</u>	<u>131,533</u>	<u>151,984</u>	<u>154,355</u>	<u>156,781</u>	<u>156,781</u>
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,709	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	1,960	2,007	1,941	1,941	1,941
Functional Total	<u>66,091</u>	<u>49,669</u>	<u>52,163</u>	<u>49,414</u>	<u>49,755</u>	<u>41,124</u>
TRANSPORTATION						
Motor Vehicles, Department of	28,312	24,118	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	2,197	2,412	2,457	2,457	2,457
Functional Total	<u>29,993</u>	<u>26,315</u>	<u>28,375</u>	<u>29,072</u>	<u>29,072</u>	<u>29,072</u>
HEALTH						
Health, Department of	34,922	38,815	39,611	40,950	41,011	41,110
<i>Medicaid Administration</i>	0	581	581	581	581	581
<i>Public Health</i>	34,922	38,234	39,030	40,369	40,430	40,529
Functional Total	<u>34,922</u>	<u>38,815</u>	<u>39,611</u>	<u>40,950</u>	<u>41,011</u>	<u>41,110</u>
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,131	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,131	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	22,421	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,487	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	128	128	128	128	128
<i>All Other</i>	36	128	128	128	128	128
Functional Total	<u>50,063</u>	<u>45,167</u>	<u>25,386</u>	<u>29,243</u>	<u>29,243</u>	<u>29,243</u>
MENTAL HYGIENE						
Justice Center	1,220	898	908	895	925	957
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	<u>(43,437)</u>	<u>898</u>	<u>908</u>	<u>895</u>	<u>925</u>	<u>957</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	106	106	106	106	106
<i>DOCCS</i>	191	106	106	106	106	106
Homeland Security and Emergency Services, Division of	763	817	835	905	905	905
Indigent Legal Services, Office of	1,851	2,103	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	214	9	9	10	10	10
State Police, Division of	45,763	28,410	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,650	1,650	1,683	1,683	1,683
Functional Total	<u>50,676</u>	<u>33,095</u>	<u>33,719</u>	<u>34,278</u>	<u>34,579</u>	<u>34,685</u>
HIGHER EDUCATION						
City University of New York	129	150	0	0	0	0
Higher Education - Miscellaneous	200	150	150	150	150	150
Higher Education Services Corporation, New York State	9,807	9,020	9,020	9,020	9,020	9,020
State University of New York	522,523	517,843	546,814	561,977	589,307	609,904
Functional Total	<u>532,659</u>	<u>527,163</u>	<u>555,984</u>	<u>571,147</u>	<u>598,477</u>	<u>619,074</u>
EDUCATION						
Education, Department of	43,214	39,241	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	39,241	41,458	40,581	41,269	41,269
Functional Total	<u>43,214</u>	<u>39,241</u>	<u>41,458</u>	<u>40,581</u>	<u>41,269</u>	<u>41,269</u>
GENERAL GOVERNMENT						
Budget, Division of the	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	139	231	244	241	245	245
Deferred Compensation Board	302	243	247	252	256	256
Gaming Commission, New York State	22,754	17,575	18,465	17,575	17,575	17,575

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General Services, Office of	538	548	583	575	585	585
Labor Management Committees	5,821	5,000	5,000	5,000	5,000	5,000
State, Department of	15,448	12,924	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	21,309	22,567	21,391	21,318	21,318
Workers' Compensation Board	56,568	53,220	57,863	53,220	53,220	53,220
Functional Total	<u>108,144</u>	<u>112,707</u>	<u>121,474</u>	<u>114,977</u>	<u>114,939</u>	<u>114,939</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	2,197	2,197	2,197	2,197	2,197
Judiciary	787,202	804,600	848,193	848,193	848,193	848,193
Law, Department of	26,417	19,799	21,049	20,243	20,243	20,243
Functional Total	<u>815,658</u>	<u>826,596</u>	<u>871,439</u>	<u>870,633</u>	<u>870,633</u>	<u>870,633</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,844,184	7,086,249	7,830,305	8,359,901	8,803,391
Miscellaneous	2,969	5,770	5,782	5,787	5,797	5,797
Functional Total	<u>6,376,426</u>	<u>6,849,954</u>	<u>7,092,031</u>	<u>7,836,092</u>	<u>8,365,698</u>	<u>8,809,188</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,203,824</u></u>	<u><u>8,681,153</u></u>	<u><u>9,014,532</u></u>	<u><u>9,771,637</u></u>	<u><u>10,332,382</u></u>	<u><u>10,788,075</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	13,756	20,165	10,330	5,915	5,765
Empire State Development Corporation	85,649	700,945	857,614	624,621	649,345	360,323
Energy Research and Development Authority, New York State	20,828	20,450	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	20,000	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	70,000	131,000	43,500	10,000	10,000
Power Authority, New York	1,346	36,245	30,500	9,500	500	500
Regional Economic Development Program	0	817	3,000	0	0	0
Strategic Capital Resource Assistance Program	0	0	0	26,900	24,400	24,599
Functional Total	202,276	862,213	1,093,848	773,095	720,593	419,393
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	1,000	0	0	0
Environmental Conservation, Department of	400,363	579,193	666,929	751,662	891,948	971,276
Hudson River Park Trust	0	5,000	15,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	169,014	194,157	160,739	161,189	160,739	160,739
Functional Total	569,377	778,350	843,668	927,851	1,064,687	1,144,015
TRANSPORTATION						
Motor Vehicles, Department of	227,603	253,961	283,213	246,244	230,772	230,868
Transportation, Department of	2,175,940	2,408,098	3,167,774	3,661,286	3,346,425	3,378,905
Functional Total	2,403,543	2,662,059	3,450,987	3,907,530	3,577,197	3,609,773
HEALTH						
Health, Department of	74,018	105,254	107,321	107,321	107,321	107,321
<i>Public Health</i>	74,018	105,254	107,321	107,321	107,321	107,321
Functional Total	74,018	105,254	107,321	107,321	107,321	107,321
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	29,858	25,804	23,660	23,673	23,673
<i>OCFS</i>	55,800	29,858	25,804	23,660	23,673	23,673
Temporary and Disability Assistance, Office of	966	800	1,600	800	800	800
<i>All Other</i>	966	800	1,600	800	800	800
Functional Total	56,766	30,658	27,404	24,460	24,473	24,473
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	2,604	3,370	3,424	3,481	3,541
<i>OASAS</i>	2,036	2,604	3,370	3,424	3,481	3,541
Mental Health, Office of	244,264	230,080	200,327	175,485	151,684	138,923
<i>OMH</i>	244,264	230,080	200,327	175,485	151,684	138,923
People with Developmental Disabilities, Office for	96,486	94,231	96,339	86,061	92,828	82,622
<i>OPWDD</i>	96,486	94,231	96,339	86,061	92,828	82,622
Functional Total	342,786	326,915	300,036	264,970	247,993	225,086
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	366,318	340,646	337,685	316,975	321,140
<i>DOCCS</i>	369,540	366,318	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	11,972	12,178	17,250	12,495	10,367	1,500
Military and Naval Affairs, Division of	44,433	98,263	65,344	33,744	28,107	22,145
State Police, Division of	47,327	47,500	55,853	54,688	47,538	50,968
Functional Total	473,272	524,259	504,093	438,612	402,987	395,753
HIGHER EDUCATION						
City University of New York	32,463	36,620	37,352	47,352	57,352	67,352
State University of New York	941,242	993,516	1,085,300	1,123,086	1,161,063	1,126,793
Functional Total	973,705	1,030,136	1,122,652	1,170,438	1,218,415	1,194,145
EDUCATION						
Education, Department of	6,146	75,834	95,340	47,927	32,285	17,998
<i>All Other</i>	6,146	75,834	95,340	47,927	32,285	17,998
Functional Total	6,146	75,834	95,340	47,927	32,285	17,998

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Elections, State Board of	0	0	5,800	6,200	3,200	800
General Services, Office of	154,965	231,662	306,649	179,517	149,129	151,461
Information Technology Services, Office of	70,350	114,118	155,948	37,451	16,453	5,700
State, Department of	1,238	4,000	2,000	2,000	2,000	2,000
Workers' Compensation Board	0	5,347	22,440	33,764	6,755	0
Functional Total	226,553	355,127	492,837	258,932	177,537	159,961
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,520	2,806	0	0	0
Judiciary	10,373	19,500	25,700	14,089	0	0
Law, Department of	6,856	4,262	1,818	0	0	0
Functional Total	19,527	27,282	30,324	14,089	0	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	5,000	5,000	0	0	0
Miscellaneous	33,741	(349,992)	(725,101)	(792,907)	(699,095)	(724,095)
Special Infrastructure Account	423,893	979,327	615,460	356,228	87,255	59,310
Functional Total	457,715	634,335	(104,641)	(436,679)	(611,840)	(664,785)
TOTAL CAPITAL PROJECTS SPENDING	5,805,684	7,412,422	7,963,869	7,498,546	6,961,648	6,633,133

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	0	0	5,000	5,000	5,000
Financial Services, Department of	64,179	58,602	59,896	59,896	59,896	59,896
Public Service Department	218	1,072	60	60	60	60
Functional Total	64,397	59,674	59,956	64,956	64,956	64,956
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,314	3,650	3,650	3,650	3,650	3,650
Functional Total	4,314	3,650	3,650	3,650	3,650	3,650
TRANSPORTATION						
Transportation, Department of	3,634,366	3,442,356	3,965,261	4,048,922	4,209,437	4,468,967
Functional Total	3,634,366	3,442,356	3,965,261	4,048,922	4,209,437	4,468,967
HEALTH						
Health, Department of	6,611,353	6,887,410	6,864,087	6,957,882	7,065,044	7,155,671
<i>Medical Assistance</i>	5,691,828	5,656,866	5,821,348	5,752,453	5,843,222	5,921,924
<i>Public Health</i>	919,525	1,230,544	1,042,739	1,205,429	1,221,822	1,233,747
Functional Total	6,611,353	6,887,410	6,864,087	6,957,882	7,065,044	7,155,671
SOCIAL WELFARE						
Children and Family Services, Office of	3,905	3,582	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,905	3,582	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	486	852	852	852	852	852
Labor, Department of	96	150	150	150	150	150
Functional Total	4,487	4,584	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Addiction Services and Supports, Office of	3,325	3,388	4,800	4,800	4,800	4,800
<i>OASAS</i>	3,325	3,388	4,800	4,800	4,800	4,800
Justice Center	509	479	479	479	479	479
Mental Health, Office of	287	1,075	1,075	1,075	1,075	1,075
<i>OMH</i>	287	1,075	1,075	1,075	1,075	1,075
People with Developmental Disabilities, Office for	(2,131)	0	0	0	0	0
<i>OPWDD</i>	(2,131)	0	0	0	0	0
Functional Total	1,990	4,942	6,354	6,354	6,354	6,354
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	20,974	25,433	34,390	34,390	34,390	34,390
Homeland Security and Emergency Services, Division of	45,139	31,969	52,570	96,549	98,549	98,549
Indigent Legal Services, Office of	83,765	85,288	160,000	220,000	270,000	283,762
Victim Services, Office of	24,845	30,744	27,744	28,398	28,398	28,398
Functional Total	174,723	173,434	274,704	379,337	431,337	445,099
EDUCATION						
Arts, Council on the	0	98	98	98	98	98
Education, Department of	5,754,854	6,034,828	5,645,549	5,543,178	5,421,523	5,318,435
<i>School Aid</i>	3,323,122	3,845,800	3,633,000	3,618,400	3,612,800	3,612,800
<i>STAR Property Tax Relief</i>	2,423,112	2,175,995	1,999,516	1,911,745	1,795,690	1,692,602
<i>All Other</i>	8,620	13,033	13,033	13,033	13,033	13,033
Functional Total	5,754,854	6,034,926	5,645,647	5,543,276	5,421,621	5,318,533
GENERAL GOVERNMENT						
Gaming Commission, New York State	69,013	198,453	124,164	125,250	124,350	123,950
State, Department of	939	0	0	0	0	0
Taxation and Finance, Department of	1,514	1,800	1,800	1,800	1,800	1,800
Functional Total	71,466	200,253	125,964	127,050	126,150	125,750
ELECTED OFFICIALS						
Judiciary	98,541	110,900	113,000	113,000	113,000	113,000
Functional Total	98,541	110,900	113,000	113,000	113,000	113,000
ALL OTHER CATEGORIES						
Miscellaneous	10,959	(171,244)	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
Functional Total	10,959	(171,244)	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
TOTAL LOCAL ASSISTANCE SPENDING	16,431,450	16,750,885	15,979,405	16,207,011	15,754,133	15,514,564

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,150	2,965	3,334	3,500	3,500	3,500
Alcoholic Beverage Control, Division of	0	0	6,452	10,819	13,455	13,455
Economic Development, Department of	0	103	103	103	103	103
Financial Services, Department of	156,895	153,752	159,736	153,893	153,893	153,893
Public Service Department	42,628	43,514	46,025	44,550	44,577	44,577
Functional Total	202,673	200,334	215,650	212,865	215,528	215,528
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	84,489	89,842	84,201	79,226	72,460	65,493
Parks, Recreation and Historic Preservation, Office of	34,300	29,629	29,704	28,643	28,643	28,643
Functional Total	118,789	119,471	113,905	107,869	101,103	94,136
TRANSPORTATION						
Motor Vehicles, Department of	35,448	34,569	35,339	35,451	35,451	35,451
Transportation, Department of	2,654	3,324	3,451	3,348	3,348	3,348
Functional Total	38,102	37,893	38,790	38,799	38,799	38,799
HEALTH						
Health, Department of	132,075	140,697	139,432	139,322	139,408	139,550
<i>Medicaid Administration</i>	0	910	910	910	910	910
<i>Public Health</i>	132,075	139,787	138,522	138,412	138,498	138,640
Functional Total	132,075	140,697	139,432	139,322	139,408	139,550
SOCIAL WELFARE						
Children and Family Services, Office of	3,624	3,354	3,483	3,449	3,449	3,482
<i>OCFS</i>	3,624	3,354	3,483	3,449	3,449	3,482
Housing and Community Renewal, Division of	38,106	37,908	13,213	12,732	12,732	12,732
Labor, Department of	33,414	32,530	34,770	33,529	33,529	33,529
Functional Total	75,144	73,792	51,466	49,710	49,710	49,743
MENTAL HYGIENE						
Justice Center	1,411	1,372	1,422	1,435	1,448	1,462
Mental Health, Office of	(54)	103	103	103	103	103
<i>OMH</i>	(3)	103	103	103	103	103
<i>OMH - Other</i>	(51)	0	0	0	0	0
People with Developmental Disabilities, Office for	(20)	0	0	0	0	0
<i>OPWDD - Other</i>	(20)	0	0	0	0	0
Functional Total	1,337	1,475	1,525	1,538	1,551	1,565
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	215	219	219	219	223	223
<i>DOCCS</i>	215	219	219	219	223	223
Criminal Justice Services, Division of	252	392	403	400	400	408
Homeland Security and Emergency Services, Division of	19,611	28,610	29,314	31,215	31,754	32,392
Indigent Legal Services, Office of	2,430	3,298	3,455	3,524	3,595	3,667
Military and Naval Affairs, Division of	97	161	161	161	165	169
State Police, Division of	45,780	49,692	50,132	50,370	51,420	51,420
Victim Services, Office of	2,958	3,206	3,323	3,272	3,272	3,272
Functional Total	71,343	85,578	87,007	89,161	90,829	91,551
HIGHER EDUCATION						
City University of New York	47,274	48,594	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	232	198	198	198	198	198
Higher Education Services Corporation, New York State	12,337	12,416	12,416	12,416	12,416	12,416
State University of New York	3,952,902	4,189,940	4,402,801	4,452,532	4,601,862	4,718,994
Functional Total	4,012,745	4,251,148	4,418,908	4,468,639	4,617,969	4,735,101
EDUCATION						
Education, Department of	57,667	61,392	63,775	61,392	61,392	61,392
<i>All Other</i>	57,667	61,392	63,775	61,392	61,392	61,392
Functional Total	57,667	61,392	63,775	61,392	61,392	61,392
GENERAL GOVERNMENT						
Budget, Division of the	1,387	2,351	2,441	2,351	2,351	2,351
Civil Service, Department of	188	362	376	365	365	365
Deferred Compensation Board	390	381	381	381	381	381

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Gaming Commission, New York State	32,241	34,405	35,731	34,405	34,405	34,405
General Services, Office of	3,960	858	897	871	871	871
State, Department of	18,328	19,400	21,130	20,404	20,404	20,404
Taxation and Finance, Department of	6,673	44,384	46,279	44,513	44,399	44,399
Workers' Compensation Board	82,890	84,892	89,608	84,892	84,892	84,892
Functional Total	<u>146,057</u>	<u>187,033</u>	<u>196,843</u>	<u>188,182</u>	<u>188,068</u>	<u>188,068</u>
ELECTED OFFICIALS						
Audit and Control, Department of	10,645	15,707	15,994	15,707	15,707	15,707
Judiciary	62,313	66,700	68,800	66,600	66,900	66,900
Law, Department of	37,051	31,238	34,207	32,857	32,857	32,857
Functional Total	<u>110,009</u>	<u>113,645</u>	<u>119,001</u>	<u>115,164</u>	<u>115,464</u>	<u>115,464</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,064	(47,771)	(397,716)	(397,716)	(472,716)	(572,716)
Functional Total	<u>2,064</u>	<u>(47,771)</u>	<u>(397,716)</u>	<u>(397,716)</u>	<u>(472,716)</u>	<u>(572,716)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>4,968,005</u></u>	<u><u>5,224,687</u></u>	<u><u>5,048,586</u></u>	<u><u>5,074,925</u></u>	<u><u>5,147,105</u></u>	<u><u>5,158,181</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,973	2,441	2,482	18,349	18,349	18,349
Alcoholic Beverage Control, Division of	0	0	8,489	8,648	7,086	7,086
Economic Development, Department of	1,868	1,847	1,847	1,847	1,847	1,847
Financial Services, Department of	58,270	54,947	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	1	150	150	150	150	150
Public Service Department	9,804	8,297	7,401	6,856	5,163	5,163
Functional Total	71,916	67,682	75,614	90,801	87,546	87,546
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,256	15,651	14,101	14,746	14,794	14,379
Parks, Recreation and Historic Preservation, Office of	36,191	27,152	27,152	27,152	27,152	27,152
Functional Total	59,447	42,803	41,253	41,898	41,946	41,531
TRANSPORTATION						
Motor Vehicles, Department of	13,439	12,619	12,641	11,966	11,966	11,966
Transportation, Department of	5,750	6,525	5,555	5,551	5,551	5,551
Functional Total	19,189	19,144	18,196	17,517	17,517	17,517
HEALTH						
Health, Department of	123,329	155,300	168,707	166,633	167,096	167,239
<i>Medicaid Administration</i>	0	50	50	50	50	50
<i>Public Health</i>	123,329	155,250	168,657	166,583	167,046	167,189
Functional Total	123,329	155,300	168,707	166,633	167,096	167,239
SOCIAL WELFARE						
Children and Family Services, Office of	12,522	15,235	15,544	15,853	15,853	16,168
<i>OCFS</i>	12,522	15,235	15,544	15,853	15,853	16,168
Housing and Community Renewal, Division of	8,842	9,708	202	202	202	202
Labor, Department of	16,012	13,701	14,137	14,400	14,400	14,400
Temporary and Disability Assistance, Office of	3,645	1,085	200	200	200	200
<i>All Other</i>	3,645	1,085	200	200	200	200
Functional Total	41,021	39,729	30,083	30,655	30,655	30,970
MENTAL HYGIENE						
Addiction Services and Supports, Office of	6,291	6,471	6,471	6,486	6,667	6,863
<i>OASAS</i>	6,285	6,471	6,471	6,486	6,667	6,863
<i>OASAS - Other</i>	6	0	0	0	0	0
Justice Center	62	45	47	46	47	47
Mental Health, Office of	(217)	5,342	5,342	5,342	5,342	5,342
<i>OMH</i>	3,108	5,342	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	(3,325)	0	0	0	0	0
People with Developmental Disabilities, Office for	(9,127)	181	203	203	203	203
<i>OPWDD</i>	36	181	203	203	203	203
<i>OPWDD - Other</i>	(9,163)	0	0	0	0	0
Functional Total	(2,991)	12,039	12,063	12,077	12,259	12,455
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	827	2,627	2,627	2,627	2,648	2,648
<i>DOCCS</i>	827	2,627	2,627	2,627	2,648	2,648
Criminal Justice Services, Division of	1,406	2,741	2,696	2,790	2,847	2,905
Homeland Security and Emergency Services, Division of	15,707	9,811	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	408	839	842	856	873	876
Military and Naval Affairs, Division of	3,327	4,757	3,655	3,656	3,657	3,727
State Police, Division of	31,435	31,709	31,738	26,800	26,924	26,924
Victim Services, Office of	550	745	745	758	758	758
Functional Total	53,660	53,229	52,160	47,870	48,371	48,703
HIGHER EDUCATION						
City University of New York	48,398	47,883	0	0	0	0
Higher Education - Miscellaneous	108	93	93	93	93	93
Higher Education Services Corporation, New York State	26,882	25,358	25,358	25,358	25,358	25,358
State University of New York	2,046,976	2,043,969	2,080,714	2,118,611	2,167,403	2,211,332
Functional Total	2,122,364	2,117,303	2,106,165	2,144,062	2,192,854	2,236,783
EDUCATION						
Education, Department of	24,226	29,583	29,262	29,396	29,353	29,353
<i>All Other</i>	24,226	29,583	29,262	29,396	29,353	29,353
Functional Total	24,226	29,583	29,262	29,396	29,353	29,353

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Budget, Division of the	1,400	2,693	2,696	2,693	2,693	2,693
Civil Service, Department of	8	12	12	442	451	451
Deferred Compensation Board	50	210	210	210	210	210
Elections, State Board of	273	0	0	0	0	0
Gaming Commission, New York State	30,510	22,091	25,727	25,691	25,691	25,691
General Services, Office of	1,829	3,184	3,249	3,289	3,354	3,354
Labor Management Committees	0	306	306	306	306	306
Prevention of Domestic Violence, Office for	0	5	5	5	5	5
Public Employment Relations Board	37	45	45	45	45	45
State, Department of	13,044	13,078	13,036	13,012	13,012	13,012
Taxation and Finance, Department of	2,129	31,080	31,401	30,909	30,456	30,456
Workers' Compensation Board	59,028	58,327	58,394	58,327	58,327	58,327
Functional Total	108,308	131,031	135,081	134,929	134,550	134,550
ELECTED OFFICIALS						
Audit and Control, Department of	3,100	4,322	4,322	4,322	4,322	4,322
Judiciary	42,689	54,000	48,200	48,200	48,200	48,200
Law, Department of	42,284	42,057	41,850	41,851	41,851	41,851
Legislature	564	950	950	950	950	950
Functional Total	88,637	101,329	95,322	95,323	95,323	95,323
ALL OTHER CATEGORIES						
Miscellaneous	1,384	(58,496)	(413,083)	(358,496)	(433,496)	(533,496)
Functional Total	1,384	(58,496)	(413,083)	(358,496)	(433,496)	(533,496)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	2,710,490	2,710,676	2,350,823	2,452,665	2,423,974	2,368,474

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,893	2,160	2,261	2,296	2,296
Alcoholic Beverage Control, Division of	0	0	4,122	7,042	8,907	8,907
Economic Development, Department of	0	28	28	28	28	28
Financial Services, Department of	113,519	101,824	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,788	31,197	30,773	31,299	31,299
Functional Total	139,415	131,533	151,984	154,355	156,781	156,781
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,709	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	3,657	1,960	2,007	1,941	1,941	1,941
Functional Total	66,091	49,669	52,163	49,414	49,755	41,124
TRANSPORTATION						
Motor Vehicles, Department of	28,312	24,118	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	2,197	2,412	2,457	2,457	2,457
Functional Total	29,993	26,315	28,375	29,072	29,072	29,072
HEALTH						
Health, Department of	34,922	38,815	39,611	40,950	41,011	41,110
<i>Medicaid Administration</i>	0	581	581	581	581	581
<i>Public Health</i>	34,922	38,234	39,030	40,369	40,430	40,529
Functional Total	34,922	38,815	39,611	40,950	41,011	41,110
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,131	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,131	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	22,421	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,487	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	128	128	128	128	128
<i>All Other</i>	36	128	128	128	128	128
Functional Total	50,063	45,167	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	898	908	895	925	957
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	898	908	895	925	957
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	106	106	106	106	106
<i>DOCCS</i>	191	106	106	106	106	106
Homeland Security and Emergency Services, Division of	763	817	835	905	905	905
Indigent Legal Services, Office of	1,851	2,103	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	214	9	9	10	10	10
State Police, Division of	45,763	28,410	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,650	1,650	1,683	1,683	1,683
Functional Total	50,676	33,095	33,719	34,278	34,579	34,685
HIGHER EDUCATION						
City University of New York	129	150	0	0	0	0
Higher Education - Miscellaneous	200	150	150	150	150	150
Higher Education Services Corporation, New York State	9,807	9,020	9,020	9,020	9,020	9,020
State University of New York	522,523	517,843	546,814	561,977	589,307	609,904
Functional Total	532,659	527,163	555,984	571,147	598,477	619,074
EDUCATION						
Education, Department of	43,214	39,241	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	39,241	41,458	40,581	41,269	41,269
Functional Total	43,214	39,241	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	1,657	1,717	1,657	1,657	1,657
Civil Service, Department of	139	231	244	241	245	245
Deferred Compensation Board	302	243	247	252	256	256

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Gaming Commission, New York State	22,754	17,575	18,465	17,575	17,575	17,575
General Services, Office of	538	548	583	575	585	585
State, Department of	15,448	12,924	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	21,309	22,567	21,391	21,318	21,318
Workers' Compensation Board	56,568	53,220	57,863	53,220	53,220	53,220
Functional Total	<u>102,323</u>	<u>107,707</u>	<u>116,474</u>	<u>109,977</u>	<u>109,939</u>	<u>109,939</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	2,197	2,197	2,197	2,197	2,197
Judiciary	29,213	31,800	34,200	34,200	34,200	34,200
Law, Department of	26,417	19,799	21,049	20,243	20,243	20,243
Functional Total	<u>57,669</u>	<u>53,796</u>	<u>57,446</u>	<u>56,640</u>	<u>56,640</u>	<u>56,640</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,595	1,350	1,362	1,367	1,377	1,377
Functional Total	<u>1,595</u>	<u>1,350</u>	<u>1,362</u>	<u>1,367</u>	<u>1,377</u>	<u>1,377</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>1,065,183</u></u>	<u><u>1,054,749</u></u>	<u><u>1,104,870</u></u>	<u><u>1,117,919</u></u>	<u><u>1,149,068</u></u>	<u><u>1,161,271</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	18	0	0	0	0	0
Economic Development, Department of	7,360	8,055	8,055	8,055	8,055	8,055
Empire State Development Corporation	588	0	0	0	0	0
Functional Total	7,966	8,055	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	5,044	1,270	1,270	1,270	1,270	1,270
Functional Total	5,044	1,270	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	16,151	18,000	18,000	18,000	18,000	18,000
Transportation, Department of	36,388	42,504	42,504	42,504	42,504	42,504
Functional Total	52,539	60,504	60,504	60,504	60,504	60,504
HEALTH						
Aging, Office for the	98,856	96,946	98,694	98,694	98,694	98,694
Health, Department of	46,208,501	46,935,014	46,132,052	49,527,883	51,081,931	52,479,898
<i>Medical Assistance</i>	39,094,483	40,090,810	39,145,720	42,597,104	44,125,515	45,514,629
<i>Essential Plan</i>	4,007,287	4,099,529	4,506,333	4,515,388	4,524,442	4,533,496
<i>Medicaid Administration</i>	737,569	460,751	374,071	375,438	370,538	357,356
<i>Public Health</i>	2,369,162	2,283,924	2,105,928	2,039,953	2,061,436	2,074,417
Functional Total	46,307,357	47,031,960	46,230,746	49,626,577	51,180,625	52,578,592
SOCIAL WELFARE						
Children and Family Services, Office of	862,245	918,300	918,300	918,300	918,300	918,300
<i>OCFS</i>	862,245	918,300	918,300	918,300	918,300	918,300
Housing and Community Renewal, Division of	55,691	48,434	48,434	48,434	48,434	48,434
Labor, Department of	123,479	151,892	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,899,694	3,443,576	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	3,087,731	2,626,576	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	811,963	817,000	817,000	817,000	817,000	817,000
Functional Total	4,941,109	4,562,202	4,562,202	4,562,202	4,562,202	4,562,202
MENTAL HYGIENE						
Addiction Services and Supports, Office of	102,902	104,490	104,490	104,490	104,490	104,490
<i>OASAS</i>	102,902	104,490	104,490	104,490	104,490	104,490
Mental Health, Office of	55,583	39,979	32,025	32,025	32,025	32,025
<i>OMH</i>	55,583	39,979	32,025	32,025	32,025	32,025
Functional Total	158,485	144,469	136,515	136,515	136,515	136,515
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	40,574	15,800	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,050,501	1,122,000	1,008,000	1,008,000	1,008,000	1,008,000
State Police, Division of	14	0	0	0	0	0
Victim Services, Office of	45,761	65,000	93,000	93,000	93,000	93,000
Functional Total	1,136,850	1,202,800	1,116,800	1,116,800	1,116,800	1,116,800
HIGHER EDUCATION						
State University of New York	180	0	0	0	0	0
Functional Total	180	0	0	0	0	0
EDUCATION						
Arts, Council on the	698	600	600	600	600	600
Education, Department of	3,839,720	3,594,065	3,647,645	3,647,645	3,647,645	3,647,645
<i>School Aid</i>	2,757,079	2,717,358	2,765,938	2,765,938	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	1,008,280	795,000	800,000	800,000	800,000	800,000
<i>All Other</i>	74,361	81,707	81,707	81,707	81,707	81,707
Functional Total	3,840,418	3,594,665	3,648,245	3,648,245	3,648,245	3,648,245
GENERAL GOVERNMENT						
Elections, State Board of	445	0	0	0	0	0
General Services, Office of	0	250	250	250	250	250
Prevention of Domestic Violence, Office for	7	0	0	0	0	0
State, Department of	60,642	57,957	57,957	57,957	57,957	57,957
Functional Total	61,094	58,207	58,207	58,207	58,207	58,207

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	(489,759)	(467,938)	(467,938)	(467,938)	(467,938)	(467,938)
Functional Total	<u>(489,759)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>56,021,283</u>	<u>56,196,194</u>	<u>55,354,606</u>	<u>58,750,437</u>	<u>60,304,485</u>	<u>61,702,452</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,728	3,413	3,413	3,413	3,413	3,413
Public Service Department	1,307	1,202	1,202	1,202	1,202	1,202
Functional Total	5,035	4,615	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,504	27,808	28,909	28,909	28,888	28,888
Parks, Recreation and Historic Preservation, Office of	2,865	1,223	1,367	1,367	1,367	1,367
Functional Total	26,369	29,031	30,276	30,276	30,255	30,255
TRANSPORTATION						
Motor Vehicles, Department of	1,627	4,835	4,979	4,979	4,979	4,979
Transportation, Department of	8,642	10,484	10,887	10,484	10,484	10,484
Functional Total	10,269	15,319	15,866	15,463	15,463	15,463
HEALTH						
Aging, Office for the	6,068	5,926	6,068	6,068	6,068	6,068
Health, Department of	86,237	87,070	90,690	89,311	91,332	93,606
<i>Medicaid Administration</i>	32,637	30,141	31,685	32,653	34,663	36,936
<i>Public Health</i>	53,600	56,929	59,005	56,658	56,669	56,670
Medicaid Inspector General, Office of the	15,211	15,625	16,239	15,625	15,625	15,625
Functional Total	107,516	108,621	112,997	111,004	113,025	115,299
SOCIAL WELFARE						
Children and Family Services, Office of	26,318	29,247	30,451	31,060	31,060	31,371
<i>OCFS</i>	26,318	29,247	30,451	31,060	31,060	31,371
Housing and Community Renewal, Division of	5,591	7,595	8,125	8,288	8,288	8,288
Human Rights, Division of	3,754	3,135	3,354	3,421	3,421	3,421
Labor, Department of	177,367	159,620	171,550	174,986	174,986	174,986
National and Community Service	333	377	390	398	398	402
Temporary and Disability Assistance, Office of	87,856	77,755	77,755	79,311	79,311	79,311
<i>All Other</i>	87,856	77,755	77,755	79,311	79,311	79,311
Functional Total	301,219	277,729	291,625	297,464	297,464	297,779
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,543	5,000	5,000	5,050	5,101	5,152
<i>OASAS</i>	2,543	5,000	5,000	5,050	5,101	5,152
Developmental Disabilities Planning Council	1,025	1,266	1,266	1,266	1,266	1,266
Justice Center	326	228	228	229	231	233
Mental Health, Office of	975	813	813	813	813	813
<i>OMH</i>	975	813	813	813	813	813
People with Developmental Disabilities, Office for	125	0	0	0	0	0
<i>OPWDD</i>	125	0	0	0	0	0
Functional Total	4,994	7,307	7,307	7,358	7,411	7,464
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	2,206	15,753	15,753	15,753	15,753	15,753
<i>DOCCS</i>	2,206	15,753	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	4,837	4,602	4,681	4,681	4,681	4,775
Homeland Security and Emergency Services, Division of	13,853	15,000	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,451	21,032	21,032	21,032	21,453	21,883
State Police, Division of	12,963	11,975	12,265	12,403	12,652	12,652
Victim Services, Office of	2,181	4,200	3,000	3,000	3,000	1,743
Functional Total	57,491	72,562	71,731	71,869	72,539	71,806
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	2	836	836	836	836	836
State University of New York	9,638	7,229	7,229	7,229	7,229	7,229
Functional Total	9,640	8,065	8,065	8,065	8,065	8,065
EDUCATION						
Education, Department of	89,062	84,486	87,737	87,737	87,737	87,737
<i>All Other</i>	89,062	84,486	87,737	87,737	87,737	87,737
Functional Total	89,062	84,486	87,737	87,737	87,737	87,737

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT						
Elections, State Board of	252	480	480	480	480	480
State, Department of	2,651	3,758	3,758	3,758	3,758	3,758
Veterans' Services, Division of	506	804	826	843	843	851
Functional Total	<u>3,409</u>	<u>5,042</u>	<u>5,064</u>	<u>5,081</u>	<u>5,081</u>	<u>5,089</u>
ELECTED OFFICIALS						
Judiciary	1,727	2,300	3,100	3,100	3,100	3,100
Law, Department of	20,681	20,764	22,960	22,104	22,104	22,104
Functional Total	<u>22,408</u>	<u>23,064</u>	<u>26,060</u>	<u>25,204</u>	<u>25,204</u>	<u>25,204</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>637,412</u></u>	<u><u>635,841</u></u>	<u><u>661,343</u></u>	<u><u>664,136</u></u>	<u><u>666,859</u></u>	<u><u>668,776</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	12,322	9,816	9,808	9,808	9,808	9,808
Economic Development, Department of	456	245	245	245	245	245
Financial Services, Department of	1,186	1,400	1,400	1,400	1,400	1,400
Public Service Department	534	93	93	93	93	93
Functional Total	14,498	11,554	11,546	11,546	11,546	11,546
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	350	350	350	350	350
Environmental Conservation, Department of	14,893	16,942	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,453	1,145	1,147	1,147	1,147	1,147
Functional Total	17,346	18,437	18,439	18,439	18,439	18,439
TRANSPORTATION						
Motor Vehicles, Department of	2,245	4,528	4,539	4,539	4,539	4,539
Transportation, Department of	11,433	13,435	13,450	13,435	13,435	13,435
Functional Total	13,678	17,963	17,989	17,974	17,974	17,974
HEALTH						
Aging, Office for the	1,424	4,348	4,348	4,348	4,348	4,348
Health, Department of	647,307	664,884	606,436	616,161	618,355	624,429
<i>Medicaid Administration</i>	313,246	431,823	375,607	385,308	389,719	395,395
<i>Public Health</i>	334,061	233,061	230,829	230,853	228,636	229,034
Medicaid Inspector General, Office of the	2,515	2,852	2,894	2,894	2,894	2,894
Functional Total	651,246	672,084	613,678	623,403	625,597	631,671
SOCIAL WELFARE						
Children and Family Services, Office of	39,001	68,407	69,510	70,875	70,875	72,252
<i>OCFS</i>	39,001	68,407	69,510	70,875	70,875	72,252
Housing and Community Renewal, Division of	1,959	2,709	3,155	3,293	3,293	3,293
Human Rights, Division of	1,243	1,287	1,313	1,339	1,339	1,339
Labor, Department of	60,217	75,623	78,537	81,677	81,677	81,677
National and Community Service	9,441	15,268	15,573	15,884	15,884	16,202
Temporary and Disability Assistance, Office of	74,919	72,514	72,514	73,954	73,954	73,954
<i>All Other</i>	74,919	72,514	72,514	73,954	73,954	73,954
Functional Total	186,780	235,808	240,602	247,022	247,022	248,717
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,026	2,166	2,166	2,220	2,282	2,349
<i>OASAS</i>	2,026	2,166	2,166	2,220	2,282	2,349
Developmental Disabilities Planning Council	2,155	2,149	2,149	2,149	2,149	2,149
Justice Center	751	536	536	549	568	584
Mental Health, Office of	697	555	555	555	555	555
<i>OMH</i>	697	555	555	555	555	555
People with Developmental Disabilities, Office for	222	1,000	1,000	1,000	1,000	1,000
<i>OPWDD</i>	222	1,000	1,000	1,000	1,000	1,000
Functional Total	5,851	6,406	6,406	6,473	6,554	6,637
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	768	1,191	1,191	1,191	1,191	1,191
<i>DOCCS</i>	768	1,191	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	5,435	4,249	4,249	4,249	4,334	4,418
Homeland Security and Emergency Services, Division of	18,085	25,000	25,000	25,000	25,000	25,000
Military and Naval Affairs, Division of	16,791	15,502	15,812	15,812	16,124	16,443
State Police, Division of	14,719	13,000	13,000	13,400	13,668	13,668
Victim Services, Office of	1,516	1,600	1,600	1,600	1,600	885
Functional Total	57,314	60,542	60,852	61,252	61,917	61,605
HIGHER EDUCATION						
City University of New York	1,820	7,634	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	3,254	5,797	5,797	5,797	5,797	5,797
State University of New York	341,588	304,760	304,760	304,760	304,760	304,760
Functional Total	346,662	318,191	318,191	318,191	318,191	318,191
EDUCATION						
Arts, Council on the	0	100	100	100	100	100
Education, Department of	74,052	64,901	65,381	65,381	65,381	65,381
<i>All Other</i>	74,052	64,901	65,381	65,381	65,381	65,381
Functional Total	74,052	65,001	65,481	65,481	65,481	65,481

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Actuals	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL GOVERNMENT						
Elections, State Board of	1,574	6,000	4,000	2,800	824	824
General Services, Office of	10,150	8,032	8,032	8,032	8,193	8,193
Information Technology Services, Office of	291	0	0	0	0	0
State, Department of	1,560	4,046	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	92	1,220	1,220	1,220	1,220	1,220
Veterans' Services, Division of	144	658	671	685	685	698
Functional Total	13,811	19,956	17,969	16,783	14,968	14,981
ELECTED OFFICIALS						
Judiciary	5,291	6,800	9,400	9,400	9,400	9,400
Law, Department of	7,254	8,577	7,791	7,791	7,791	7,791
Functional Total	12,545	15,377	17,191	17,191	17,191	17,191
ALL OTHER CATEGORIES						
Miscellaneous	(10)	0	0	0	0	0
Functional Total	(10)	0	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,393,773	1,441,319	1,388,344	1,403,755	1,404,880	1,412,433

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,769	2,081	2,125	2,170	2,216	2,216
Public Service Department	1,233	733	749	765	781	781
Functional Total	<u>4,002</u>	<u>2,814</u>	<u>2,874</u>	<u>2,935</u>	<u>2,997</u>	<u>2,997</u>
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	19,565	16,953	17,997	18,378	18,752	18,752
Parks, Recreation and Historic Preservation, Office of	0	0	24	24	24	24
Functional Total	<u>19,565</u>	<u>16,953</u>	<u>18,021</u>	<u>18,402</u>	<u>18,776</u>	<u>18,776</u>
TRANSPORTATION						
Motor Vehicles, Department of	958	720	806	806	806	806
Transportation, Department of	7,284	6,489	6,641	6,489	6,489	6,489
Functional Total	<u>8,242</u>	<u>7,209</u>	<u>7,447</u>	<u>7,295</u>	<u>7,295</u>	<u>7,295</u>
HEALTH						
Aging, Office for the	44	0	0	0	0	0
Health, Department of	47,420	44,952	47,837	48,453	47,504	47,805
<i>Medicaid Administration</i>	3,516	3,984	4,159	4,753	4,974	5,275
<i>Public Health</i>	43,904	40,968	43,678	43,700	42,530	42,530
Medicaid Inspector General, Office of the	11,070	9,525	10,301	10,301	10,301	10,301
Functional Total	<u>58,534</u>	<u>54,477</u>	<u>58,138</u>	<u>58,754</u>	<u>57,805</u>	<u>58,106</u>
SOCIAL WELFARE						
Children and Family Services, Office of	14,302	11,329	19,781	19,745	19,745	19,745
<i>OCFS</i>	14,302	11,329	19,781	19,745	19,745	19,745
Housing and Community Renewal, Division of	4,004	4,005	4,005	5,501	5,501	5,501
Labor, Department of	133,625	96,193	96,193	117,217	117,217	117,217
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,396	47,411	48,412	49,436	50,476	50,476
<i>All Other</i>	65,396	47,411	48,412	49,436	50,476	50,476
Functional Total	<u>217,327</u>	<u>158,938</u>	<u>168,633</u>	<u>192,141</u>	<u>193,181</u>	<u>193,183</u>
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	785	785	785	785	785
Justice Center	0	64	64	65	93	93
Mental Health, Office of	609	469	469	469	469	469
<i>OMH</i>	609	469	469	469	469	469
People with Developmental Disabilities, Office for	78	0	0	0	0	0
<i>OPWDD</i>	78	0	0	0	0	0
Functional Total	<u>3,838</u>	<u>1,318</u>	<u>1,318</u>	<u>1,319</u>	<u>1,347</u>	<u>1,347</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,475	1,041	1,041	1,041	1,041	1,041
<i>DOCCS</i>	1,475	1,041	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	518	348	348	348	355	362
Homeland Security and Emergency Services, Division of	8,483	4,500	7,000	7,000	7,000	7,000
Military and Naval Affairs, Division of	11,734	6,620	6,745	6,745	6,745	6,745
State Police, Division of	2,421	1,500	1,500	1,500	1,500	1,500
Victim Services, Office of	0	600	0	0	0	0
Functional Total	<u>24,631</u>	<u>14,609</u>	<u>16,634</u>	<u>16,634</u>	<u>16,641</u>	<u>16,648</u>
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	1	1	1	1	1	1
State University of New York	111	51	51	51	51	51
Functional Total	<u>112</u>	<u>52</u>	<u>52</u>	<u>52</u>	<u>52</u>	<u>52</u>
EDUCATION						
Education, Department of	65,399	52,426	56,000	56,000	56,000	56,000
<i>All Other</i>	65,399	52,426	56,000	56,000	56,000	56,000
Functional Total	<u>65,399</u>	<u>52,426</u>	<u>56,000</u>	<u>56,000</u>	<u>56,000</u>	<u>56,000</u>
GENERAL GOVERNMENT						
Elections, State Board of	270	300	300	300	300	300
State, Department of	2,387	2,810	2,811	2,812	2,812	2,812
Veterans' Services, Division of	385	490	514	536	547	552
Functional Total	<u>3,042</u>	<u>3,600</u>	<u>3,625</u>	<u>3,648</u>	<u>3,659</u>	<u>3,664</u>
ELECTED OFFICIALS						

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Actuals</u>	<u>FY 2020 Current</u>	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Judiciary	505	500	700	700	700	700
Law, Department of	15,697	12,807	14,428	13,017	13,017	13,017
Functional Total	<u>16,202</u>	<u>13,307</u>	<u>15,128</u>	<u>13,717</u>	<u>13,717</u>	<u>13,717</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>420,894</u></u>	<u><u>325,703</u></u>	<u><u>347,870</u></u>	<u><u>370,897</u></u>	<u><u>371,470</u></u>	<u><u>371,785</u></u>

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RBTF - Dedicated PIT in excess of Debt Service		24,917,006	26,395,423	26,425,163	27,459,714	28,802,077
ECEP in Excess of Revenue Bond Debt Service		700	1,700	3,500	3,750	4,050
STBF - Sales Tax Bond Fund		2,993,914	2,651,025	2,800,855	2,865,680	2,984,936
LGAC - Dedicated Sales Tax in excess of Debt Service		3,440,567	3,661,650	3,908,619	4,087,829	4,291,357
CWCA - Real Estate Transfer Tax in excess of Debt Service		952,231	973,666	1,013,924	1,062,512	1,111,245
Total All Other Transfers		3,080,186	2,185,771	1,780,458	1,616,349	1,441,494
339.21982	Administration Program	1,301	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.21977	Business and Licensing Services Account	55,674	60,058	58,328	58,328	58,328
339.21920	Certificate of Need Account	1,086	71,086	71,086	71,086	71,086
346.22700	Chemical Dependence Services	102,230	0	0	0	0
334.55055	Civil Service Administration Account	1,651	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	639	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	289	289	289	289	289
501.23702	Commercial Gaming Regulation	2	2	2	2	2
501.23701	Commercial Gaming Revenue Account	17,712	0	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	22,237	11,886	11,886	11,886	11,886
072.30050	Dedicated Highway and Bridge Trust Fund	57,567	57,567	57,567	57,567	57,567
323.55010	Design and Construction Account	1,866	1,866	1,866	1,866	1,866
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
366.23102	Drinking Water Program Management and Administration - Health Account	1,108	1,108	1,108	1,108	1,108
507.24901	Elementary Secondary Education Charitable	36,211	0	0	0	0
061.20809	Emergency Medical Services Training Account	131	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	131	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	7	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	1,961	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,314	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
265.25100	Federal Health and Human Services Fund	111,617	111,617	111,617	111,617	111,617
290.25300	Federal Operating Grants Fund	481	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	34,694	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	28,523	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	14,810	20,810	20,810	20,810	20,810
339.22075	Funeral Directing Program Account	8	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	25,200	25,200	25,200	25,200	25,200
506.24850	Health Care Transformation Account	691,952	445,333	131,333	68,000	0
507.24900	Health Charitable Gifts Trust	59,000	0	0	0	0
396.55300	Health Insurance Internal Services Account	3,428	3,428	3,428	3,428	3,428
502.23755	Health Operation and Oversight Account	149	0	0	0	0
339.22140	Helen Hayes Hospital Account	299	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	15,827	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
339.22096	Legal Services Assistance Fund	17,080	9,830	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	4,274	4,274	4,274	4,274	4,274
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	1,449,364	1,271,257	1,185,977	1,088,685	981,672
502.23753	MMF - Law Enforcement	150	0	0	0	0
314.21452	Mobile Source Account	6,404	6,404	6,404	6,404	6,404
339.22144	Montrose State Veterans Home	67	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,400	1,100	1,100	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	119	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	107	107	107	107	107
323.5502X	Office of General Services Executive Direction Account	105	105	105	105	105
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,000	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	4,277	4,277	4,277	4,277
339.22239	Opioid Stewardship Account	34,000	0	0	0	0
331.0GSPS	Parking Services	1,000	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	102	102	102	102	102
061.20814	Primary Care Initiatives Account	158	158	158	158	158
339.22088	Professional Medical Conduct Account	291	291	291	291	291
339.22123	Public Safety Communication Account	30,161	30,161	5,161	5,161	5,161
339.22011	Public Service Account	7,871	5,671	5,671	5,671	5,671
339.21965	Radiological Health Protection	216	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	329	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	458	458	458	458	458
339.22156	Rent Revenue Other - New York City	115	115	115	115	115
339.21900	Reserve for Transaction Risks	(400,000)	(500,000)	(500,000)	(500,000)	(500,000)
339.22024	Revenue Arrearage Account	18,677	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
354.22802	State Police Motor Vehicle Enforcement Account	112,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	43,700	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	42,800	46,341	67,023	64,639	64,797
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	4,214	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,320	5,320	5,320	5,320	5,320
339.22055	Traffic Adjudication Account	2,288	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	8	8	8	8	8
339.22067	Transportation Regulation Account	1,988	2,428	2,443	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	303,771	137,450	137,450	137,450	137,450
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	50,569	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,583	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,405	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	666	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
339.21995	Workers' Compensation Account	16,352	16,352	16,352	16,352	16,352
		35,384,604	35,869,235	35,932,519	37,095,834	38,635,159

General Fund Transfers To Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Current	FY 2021 Proposed	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Transfers to Debt Service Funds		516,563	569,554	523,831	537,128	584,364
Transfers to Capital Projects Funds		3,182,342	3,535,366	3,781,642	3,822,658	2,974,877
Transfers to SUNY University Operations		1,185,370	1,273,471	1,272,748	1,267,162	1,267,162
Total All Other Transfers		1,190,783	1,270,500	1,406,735	1,483,854	1,589,384
020.20143	Alzheimers Disease Assistance	270	270	270	270	270
334.55057	Banking Services	49,190	49,310	49,420	49,420	49,420
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	500	500	500	500	500
323.55022	Business Services Center	28,000	30,000	30,000	30,000	30,000
334.55069	Centralized Technology Services	11,460	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	159,300	159,300	159,300	159,300	159,300
397.55350	Correctional Industries	20,773	20,773	20,773	20,773	20,773
073.20853	Dedicated Mass Transportation Non MTA	5,274	5,274	5,274	5,274	5,274
225.23651	Department of Transportation (MTA Payroll Tax)	244,250	275,250	369,250	448,250	552,250
339.22056	Federal Salary Sharing Account	2,768	2,811	2,848	2,887	2,887
319.40300	Health Income Fund	16,079	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	7,853	12,000	12,000	12,000	12,000
316.40250	Housing Debt Fund	1,000	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	28,000	28,000	74,781	74,781	74,781
340.22501	Judiciary Funds	115,000	115,000	115,000	115,000	115,000
313.21402	Mass Transportation Operating Assistance	21,175	21,175	21,175	21,175	21,175
502.23755	Medical Cannabis Fund	4,626	6,550	6,550	6,550	6,550
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.23653	New York Central Business District Trust	112,500	150,000	151,500	153,015	154,545
368.23151	NYC County Clerk Operations Offset Fund	3,214	3,200	3,200	3,200	3,200
323.5502X	Office of General Services Executive Direction Account	9,631	9,628	3,435	0	0
323.5502Y	OGS Building Administration Account	9,500	9,500	9,500	9,500	9,500
020.20183	Prostate Cancer Research and Education	200	200	200	200	200
313.21401	Public Transportation Systems	16,144	16,144	16,144	16,144	16,144
073.20852	Railroad Account	9,216	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,020	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury Fund	8,500	8,500	8,500	8,500	8,500
325.5005	State Fair Receipts Fund	0	3,000	3,000	3,000	3,000
345.22656	State University of New York - Medicaid Reimbursement	243,000	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	1,500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	51,394	51,394	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		6,075,058	6,648,891	6,984,956	7,110,802	6,415,787

CASH COMBINING STATEMENT
GENERAL FUND
FY 2020
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	35	790	4,194	408	500	0	7,206
Receipts:										
Taxes	39,968	0	0	0	0	0	0	0	0	39,968
Miscellaneous Receipts	2,192	0	0	0	0	787	0	0	0	2,979
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total receipts	42,160	0	0	0	0	787	0	0	0	42,947
Disbursements:										
Local Assistance	53,553	0	0	20	0	0	0	0	0	53,573
State Operations	11,738	0	0	0	0	0	0	0	0	11,738
General State Charges	7,626	0	0	0	0	0	0	0	0	7,626
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	72,917	0	0	20	0	0	0	0	0	72,937
Other financing sources (uses):										
Transfers from Other Funds	73,983	0	0	2	428	0	482	0	(39,510)	35,385
Transfers to Other Funds	(43,226)	0	0	(17)	0	(2,341)	0	0	39,510	(6,074)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	30,757	0	0	(15)	428	(2,341)	482	0	0	29,311
Change in Fund Balance	0	0	0	(35)	428	(1,554)	482	0	0	(679)
Closing Fund Balance	0	1,258	21	0	1,218	2,640	890	500	0	6,527

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	MENTAL HEALTH DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	808	68,508	58,589	86	9	8,066	4,571	6,501	0	87,772
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	72	(147,479)	59,000	318	120	4,905	9,233	0	719,600	489,132
Federal Grants	0	0	0	0	0	0	0	0	5,516,638	1,447,751
Total Receipts	72	(147,479)	59,000	318	120	4,905	9,233	0	6,236,238	633,883
Disbursements:										
Local Assistance	0	7,956	20,000	0	0	0	5,056	4,837	5,925,260	697,119
State Operations	72	2,884	1,488	421	247	3,037	2,155	0	81,762	0
General State Charges	0	223	551	186	149	1,222	1,114	0	9,728	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	11,063	22,039	607	396	4,259	8,325	4,837	6,016,750	697,119
Other Financing Sources (Uses):										
Transfers from Other Funds	0	160,892	0	300	300	0	0	4,837	0	65,884
Transfers to Other Funds	0	(549)	0	(8)	0	(285)	(1,383)	0	(219,488)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,343	0	292	300	(285)	(1,383)	4,837	(219,488)	65,884
Change in Fund Balance	0	1,801	36,961	3	24	361	(475)	0	0	2,648
Closing Fund Balance	808	70,309	95,550	89	33	8,427	4,096	6,501	0	90,420

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	360,880	28,748	33,323	(15,504)	(971,747)	(136,957)	(263,585)	(4,221)	(1,674)	74,061	33,983
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,420,961	6,730	0	100,011	65,902	392	4,255	900	77,111	46,792	58,166
Federal Grants	0	650	0	2,147,358	56,445,154	3,157,921	1,627,563	0	0	0	0
Total Receipts	3,420,961	7,380	0	2,247,369	56,511,056	3,158,313	1,631,818	900	77,111	46,792	58,166
Disbursements:											
Local Assistance	3,684,200	0	276,571	2,136,678	50,020,231	2,572,315	1,315,089	0	0	0	0
State Operations	35,335	2,500	0	61,489	988,555	522,027	2,69,956	432	65,517	24,483	13,532
General State Charges	11,833	0	0	14,217	116,566	51,399	47,369	266	25,507	13,355	7,718
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,731,368	2,500	276,571	2,212,384	51,125,352	3,145,741	1,632,414	698	91,024	37,838	21,250
Other Financing Sources (Uses):											
Transfers from Other Funds	18,000	0	356,750	0	0	0	11,517	0	20,957	75	19,006
Transfers to Other Funds	(4,940)	0	0	(34,985)	(2,114,624)	(12,572)	(10,921)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	13,060	4,880	356,750	(34,985)	(2,114,624)	(12,572)	596	202	10,206	(1,820)	(30,300)
Change in Fund Balance	(297,347)	4,880	80,179	0	3,271,080	0	0	202	(3,707)	7,134	6,616
Closing Fund Balance	63,533	33,628	113,502	(15,504)	2,299,333	(136,957)	(263,585)	(4,019)	(5,381)	81,195	40,599

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAMS OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	3,828	9,747	537	115,463	(27,665)	70	11,894	263,829	466	0	1
Receipts:											
Taxes	0	0	0	2,570,021	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	12,200	28	17,500	32,849	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	12,200	28	2,587,521	32,849	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,468,666	0	0	0	0	0	0	0
State Operations	33,684	12,700	91	4,031	22,647	0	950	0	59	75	0
General State Charges	13,505	200	0	2,197	12,537	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,189	12,900	91	2,474,894	35,184	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(6,401)	(6,404)	0	0	(396,516)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(7)	30,918	(6,404)	0	0	(396,516)	0	0	0
Change in Fund Balance	1,307	(700)	(70)	143,545	(8,739)	0	769	(52,492)	56	0	0
Closing Fund Balance	5,135	9,047	467	2,59,008	(36,404)	70	12,663	211,337	522	0	1
	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	947	1,139,528	19,201	51	1,543,169	9,995	70	24,915	355	24	10,277
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	2,640,746	150	0	4,723,141	108,724	1,208	123,625	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	2,640,835	150	0	4,723,141	108,724	1,208	123,625	160	0	900
Disbursements:											
Local Assistance	98	1,025,946	110,900	0	0	0	0	4,237	0	0	852
State Operations	0	1,434,654	1,900	0	5,972,984	6,471	972	9,240	155	0	0
General State Charges	0	406,223	800	0	517,688	0	447	0	55	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	2,866,823	113,600	0	6,490,672	6,471	1,419	13,477	210	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	688,781	115,000	0	1,919,085	0	0	0	0	0	0
Transfers to Other Funds	0	(405,974)	(3,517)	0	(230,149)	(102,230)	0	(113,820)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	282,807	111,483	0	1,688,936	(102,230)	0	(113,820)	0	0	0
Change in Fund Balance	(38)	56,819	(1,967)	0	(78,595)	23	(211)	(3,672)	(50)	0	48
Closing Fund Balance	909	1,196,347	17,234	51	1,464,574	10,018	(141)	21,243	305	24	10,325

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020**
(thousands of dollars)

	NYS DOT HIGHWAY PROGRAM (2,800-2,804)	VOCATIONAL REHABILITATION (2,850-2,898)	DRINKING WATER PROGRAM MANAGEMENT ADMINISTRATION (2,3100-2,314)	NEW YORK CITY COUNTY CLERKS OFFICE (2,3150-2,318)	JUDICIARY PROCEDURAL OFFICE (2,3200-2,324)	CITY UNIVERSITY REIMBURSEMENT (2,3250-2,344)	US OLYMPIC COMMITTEE/ LAKE PLACID TRAINING (2,3500-2,354)	INDIGENT SERVICES (2,3550-2,359)	UNEMPLOYMENT ADMINISTRATION (2,3900-2,394)	UNEMPLOYMENT INSURANCE AND PENALTY (2,3600-2,364)	UNEMPLOYMENT INSURANCE OCCURRING TRAINING (2,3950-2,399)
Opening Fund Balance	(12,683)	31	(5,351)	(28,280)	37,796	190,533	183	313,697	141,983	34,816	(524)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	53,700	99,130	85	222,138	53,840	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	306,741	0	7,780
Total Receipts	3,068	100	0	30,100	53,700	99,130	85	222,138	360,581	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	91,384	9,339	0	7,780
State Operations	3,449	25	0	25,000	31,800	96,477	75	29,137	212,779	2,685	0
General State Charges	0	0	0	12,400	10,000	150	0	2,103	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,449	45	0	37,400	41,800	96,627	75	122,624	310,012	3,858	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,214	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	(1,108)	3,214	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(381)	23	(1,108)	(4,086)	11,900	2,503	10	127,514	425	0	0
Closing Fund Balance	(13,064)	54	(6,459)	(32,366)	49,696	193,036	193	441,211	141,983	35,241	(524)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (2,6000-2,604)	NEW YORK COMMERCIAL GAMING (2,3700-2,374)	MEDICAL MARIJUANA TRUST (2,3750-2,379)	DEDICATED MISCELLANEOUS REVENUE (2,3800-2,389)	FANTASY SPORTS (2,4950-2,499)	HEALTH CARE TRANSFER (2,4850-2,489)	CHARITABLE GIFTS TRUST FUND (2,4900-2,494)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(2,015)	31,687	8,152	1,810	13,433	524,619	93,599	0	3,842,405	0	3,842,405
Receipts:											
Taxes	0	0	6,000	400	0	0	0	0	5,961,148	0	5,961,148
Miscellaneous Receipts	0	206,259	2,000	1,700	6,000	481,333	1,820	(1,000)	18,600,637	0	18,600,637
Federal Grants	165,374	0	0	0	0	0	0	0	63,858,630	0	63,858,630
Total Receipts	165,374	206,259	8,000	2,100	6,000	481,333	1,820	(1,000)	88,420,415	0	88,420,415
Disbursements:											
Local Assistance	134,762	202,988	1,800	0	0	0	0	47,000	72,947,079	0	72,947,079
State Operations	22,354	3,523	4,252	3,754	708	0	0	(1,000)	10,011,523	0	10,011,523
General State Charges	8,258	1,346	1,160	621	292	0	0	1,000	1,381,452	0	1,381,452
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,374	207,857	7,212	4,375	1,000	0	0	47,000	84,340,054	0	84,340,054
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	4,626	0	0	0	0	0	3,454,543	(1,067,047)	2,387,496
Transfers to Other Funds	0	(17,714)	(555)	(60)	(18,000)	(691,952)	(95,211)	0	(4,613,509)	1,067,047	(3,546,462)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(17,714)	4,071	(60)	(18,000)	(691,952)	(95,211)	0	(1,158,966)	0	(1,158,966)
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0
Change in Fund Balance	0	(19,312)	4,859	(2,335)	(13,000)	(210,619)	(93,391)	(48,000)	2,921,395	0	2,921,395
Closing Fund Balance	(2,015)	12,375	13,011	(525)	433	314,000	208	(48,000)	6,763,800	0	6,763,800

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	806	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	806
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,540	0	350	0	0	0	350	216	0	48	7	139	0	0	0	0	410	1,480
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	5	0	0	0	0	0	0	0	5	53
020.20109-Helen Hayes Hsp	61	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	26
020.20110-Oxford Donation	320	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	436
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	107	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	104
020.20113-Donations-Batav	16	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)
020.20114-Montrose Donati	195	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	194
020.20116-IBR Genetic Cou	(1)	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	(1)
020.20118-Tech Transfer	(1)	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	2,660	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,798
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,493	0	744	0	0	0	744	0	44	518	1	28	0	0	0	0	591	1,646
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,253	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	4,125
020.20129-NYSCB Gift& Beq	180	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	163
020.20130-St Transm Money	20,140	0	230	0	0	0	230	0	0	0	0	0	0	0	0	0	0	20,370
020.20142-Youth Grants &	281	0	4	0	0	0	4	43	0	418	0	17	0	0	0	0	478	(193)
020.20143-Alzheimers Dis	1,586	0	270	0	0	270	540	670	0	0	0	0	0	0	0	0	670	1,456
020.20144-Local Gov Comm	148	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	153
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	436	0	10	0	0	0	10	0	0	22	0	0	0	0	0	0	22	424
020.20150-Emergency Serv	17,526	0	5,688	0	0	0	5,688	3,101	127	93	4	30	0	0	0	0	3,355	19,859
020.20151-Batavia-Charlot	348	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	345
020.20152-Rome-Gifts And	86	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	87
020.20155-Br Can Res & Ed	6,919	0	540	0	0	500	1,040	1,620	0	0	0	0	0	0	0	0	1,620	6,339
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	107	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	107
020.20166-Erie Canal Muse	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,447	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,670
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,583	0	240	0	0	0	440	520	0	0	0	0	0	0	0	0	520	2,503
020.20185-Percy T Phillip	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
020.20192-Missing Children	75	0	407	0	0	0	407	256	0	142	0	0	0	0	0	0	398	84
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	545	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	545
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201GW-CCF Grts & Beq	153	0	100	0	0	0	100	0	14	90	1	9	0	0	0	0	114	139
020.201HH-OWH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZZ-Donated Funds	312	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	612
020.20200-NY Teen Hth Ed	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
	76	0	120	0	0	0	120	1,000	0	67	0	0	0	0	0	549	1,616	1,489

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2020
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Dist.	Closing Balance
020.20201-Veterans Rem Ce	1,257	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	1,332
020.20205-Mental Illness	182	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	165
020.20206-Women's Cancer	195	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	95
020.20209-Combined Gifts	2,301	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,298
023.20300-N Y Int Lawyers	58,589	0	59,000	0	0	0	59,000	20,000	840	619	29	0	551	0	0	0	22,039	95,550
024.20350-NYS Archvs Pine	85	0	318	0	0	300	618	0	292	119	10	0	186	0	0	8	615	88
025.20401-Child Performer	12	0	120	0	0	300	420	0	232	9	6	0	149	0	0	0	396	36
050.20451-Tuition Reimb	4,981	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,362
050.20452-Voc School Supe	3,087	0	4,200	0	0	0	4,200	0	1,755	1,022	60	0	1,121	0	0	262	4,220	3,067
052.20501-Loc Govt Record	4,569	0	9,233	0	0	0	9,233	5,056	1,745	350	60	0	1,114	0	0	1,383	9,708	4,084
053.20550-Sch Tax Relief	(1)	2,175,995	0	0	0	0	2,175,995	0	0	0	0	0	0	0	0	0	2,175,995	(1)
054.20601-Charter School	6,502	0	0	0	0	4,837	4,837	0	0	0	0	0	0	0	0	0	4,837	6,502
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	5	0	0	0	0	0	0	0	1,901	91	65	0	1,214	0	0	0	3,271	(3,266)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	(1)	0	0	0	0	0	0	3,834,366	0	0	0	0	0	0	0	0	3,834,366	(3,834,367)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	(1,148)	0	0	0	0	0	0	357,860	600	7,456	21	0	383	0	0	0	366,320	(367,468)
061.20809-EMS Training	(2)	0	0	0	0	0	0	10,570	2,035	1,106	73	0	1,353	0	0	131	15,268	(15,270)
061.20810-Child Health In	0	0	0	0	0	0	0	7,366,300	808	8,630	304	0	714	0	0	0	747,086	(747,086)
061.20811-HCRA Undistrib	1	719,600	5,455,398	0	0	0	6,174,998	0	0	0	0	0	0	0	0	210,097	210,097	5,964,902
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	0	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(60)
061.20814-Primary Care In	(3)	0	0	0	0	0	0	0	348	0	9	0	183	0	0	158	698	(701)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,148	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,352)
061.20818-EPIC Premium	4	0	61,240	0	0	0	61,240	1,032,274	677	9,570	23	0	473	0	0	0	114,017	(52,773)
061.20819-Health Occup De	0	0	0	0	0	0	0	0	649	25	22	0	415	0	0	0	1,111	(1,111)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	(3)	0	0	0	0	0	0	0	231	2	8	0	148	0	0	0	389	(392)
061.20822-Cig Task Force	0	0	0	0	0	0	0	0	2,419	206	64	0	1,487	0	0	0	4,176	(4,176)
061.20823-NYSCH	0	0	0	0	0	0	0	0	5,663	35,501	3,255	0	3,358	0	0	0	47,777	(47,777)
073.20851-Transit Authori	60,749	382,052	112,893	0	0	51,394	546,339	546,267	0	0	0	0	0	0	0	0	546,267	60,821
073.20852-Railroad Accoun	10,788	67,421	19,838	0	0	9,216	96,475	96,243	0	0	0	0	0	0	0	0	96,243	11,020
073.20853-DMTF	16,233	39,659	12,020	0	0	5,274	56,953	54,609	0	0	0	0	0	0	0	0	54,609	18,577
160.20901-Education - New	239,190	0	2,422,000	0	0	18,000	2,440,000	2,709,000	0	0	0	0	0	0	0	2,709,000	2,709,000	(29,810)
160.20902-Lottery Adm New	64,512	0	42,921	0	0	0	42,921	0	17,772	12,736	488	0	10,151	0	0	4,274	45,421	62,012
160.20903-VLT Administrat	24,905	0	12,040	0	0	0	12,040	0	2,978	1,280	81	0	1,682	0	0	666	6,687	30,258
160.20904-VLT - Education	32,274	0	944,000	0	0	0	944,000	975,200	0	2,500	0	0	0	0	0	0	975,200	1,074
221.20950-Comb Student Ln	28,750	0	6,730	650	0	0	7,380	0	0	0	0	0	0	0	0	0	2,500	33,630
225.23651-Mobility Tax Tr	1,005	0	0	0	0	244,250	244,250	244,250	0	0	0	0	0	0	0	0	244,250	1,005
225.23652-MTA Aid Trust	32,321	0	0	0	0	112,500	112,500	0	0	0	0	0	0	0	0	0	32,321	0
225.23653-NY Cen Bus Dis	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	112,500
300.21002-Encon Adm Acc	(4,222)	0	900	0	0	0	900	0	418	14	0	0	266	0	0	0	698	(4,020)
301.21051-EnCon Energy Ef	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
301.21052-EnCon-Seized As	79	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	99
301.21053-Wst Tite Migr/Re	30,650	0	19,200	0	0	0	19,200	0	12,967	1,925	416	0	8,200	0	0	0	23,508	26,342
301.21054-Oil & Gas Accou	95	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	85
301.21055-Marine/Coastal	192	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	205
301.21060-Indirect Charge	1,797	0	0	0	0	10,157	10,157	0	7,471	2,853	160	0	3,125	0	0	2,085	15,694	(3,740)
301.21061-Hazardous Sub B	1,190	0	350	0	0	0	350	0	212	38	8	0	101	0	0	0	359	1,181
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2020
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21064-Utility Envir R	(1,671)	0	0	0	0	1,800	1,800	0	1,250	0	33	0	639	0	0	0	1,922	(1,793)
301.21065-Federal Grant I	654	0	40	0	0	9,000	9,040	0	8,492	168	0	0	0	0	0	1,041	9,701	(7)
301.21066-Low Level Radio	(4,328)	0	2,811	0	0	0	2,811	0	1,392	192	47	0	890	0	0	433	2,954	(4,471)
301.21067-Recreation Acco	4,431	0	10,200	0	0	0	10,200	0	2,367	862	95	0	1,088	0	0	455	4,867	9,764
301.21077-Public Safety R	10	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	2
301.21080-Encon Magazine	877	0	705	0	0	0	705	0	164	0	0	0	0	0	0	150	314	1,268
301.21081-Environmental R	(47,411)	0	28,600	0	0	0	28,600	0	13,095	2,436	427	0	7,154	0	0	6,187	29,299	(48,110)
301.21082-Natural Resource	(13,354)	0	4,811	0	0	0	4,811	0	577	397	19	0	369	0	0	400	1,762	(10,305)
301.21083-UST-Trust Recov	460	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	472
301.21084-Mined Land Recl	3,777	0	4,210	0	0	0	4,210	0	2,171	117	72	0	1,381	0	0	0	3,741	4,246
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21022-Monitors-Aggre	20,807	0	6,000	0	0	0	6,000	0	4,274	533	130	0	2,560	0	0	0	7,497	19,310
302.21150-Conservation	5,791	0	43,222	0	0	75	43,297	0	20,440	2,108	641	0	12,670	0	0	1,820	37,679	11,409
302.21151-Marine Resource	3,831	0	1,480	0	0	0	1,480	0	1,077	0	33	0	633	0	0	0	1,743	3,568
302.21152-Migratory Bird	33	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(2)
302.21153-Guides License	225	0	55	0	0	0	55	0	63	6	2	0	34	0	0	0	105	175
302.21154-Fish And Game T	64,149	0	2,000	0	0	0	2,000	0	0	75	0	0	0	0	0	75	75	66,074
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	0	32	35	1	0	18	0	0	0	86	(89)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26
303.21201-Oil Spill - DAC	4	0	470	0	0	705	1,175	0	667	58	23	0	427	0	0	0	1,175	4
303.21202-Oil Sp Relocain	2	0	0	0	0	301	301	0	164	8	7	0	122	0	0	0	301	2
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,292	951	362	0	7,169	0	0	0	19,774	(1,776)
303.21204-Oil Spill - DAC	33,979	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	42,369
303.21205-License Fee Sur	1	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	1
305.21251-OSH Trng & Educ	2,567	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	3,472
305.21252-OSHA Inspection	1,257	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	1,659
306.21301-CSF Regis Fee	9,748	0	12,200	0	0	0	12,200	0	500	12,200	0	0	200	0	0	0	12,900	9,048
307.21351-Equipment Loan	540	0	28	0	0	0	28	0	0	91	0	0	0	0	0	7	98	470
313.21401-Pub Tran Systms	14,153	101,734	0	0	0	16,144	117,878	112,229	660	195	20	0	436	0	0	0	113,540	18,491
313.21402-Metropolitan Ma	101,209	2,468,287	17,500	0	0	21,175	2,506,962	2,356,437	2,664	410	82	0	1,761	0	0	6,401	2,367,755	240,416
313.21403-Urban Mass Tran	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(27,258)	0	9,200	0	0	0	9,200	0	2,602	147	85	0	1,662	0	0	0	4,496	(22,554)
314.21452-Mobile Source	(414)	0	23,649	0	0	0	23,649	0	16,455	2,851	507	0	10,875	0	0	6,404	37,092	(13,857)
318.21501-Housing Reserve	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
321.21551-Legisl Comp R&D	11,829	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,596
321.21552-Demographics/Re	63	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	65
330.40350-S U Dorm Income	263,825	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	396,516	396,516	211,333
332.21651-Brummer Award	39	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	39
332.21652-William Vorce F	237	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	237
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	946	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	908
340.22501-CFIA Undistrib	19,201	0	150	0	0	115,000	115,150	110,900	1,800	100	0	0	800	0	0	3,517	117,117	17,234
341.22552-DFY-NYC Summer	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
345.22652-L I Vets Home	25,659	0	47,474	0	0	0	47,474	0	30,464	18,719	0	0	0	0	0	0	49,183	23,950
345.22653-S U Genl IFR	863,399	0	701,028	0	0	31,487	732,515	0	193,462	384,859	0	0	15,523	0	0	79,460	673,304	922,610
345.22654-S U Inc Offset	(20,179)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,179)
345.22655-Gen Rev Offset	154,396	0	1,742,595	0	0	1,185,370	2,927,965	0	2,437,897	388,032	0	0	563	0	0	107,889	2,934,381	147,980

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22656-S U Hosp Ops	310,162	0	2,049,215	0	0	662,461	2,711,676	0	1,297,724	1,063,777	0	0	497,117	0	0	42,800	2,921,418	100,420
345.22657-SUNY Stabilizat	76,216	0	0	0	0	39,767	39,767	0	400	600	0	0	0	0	0	0	1,000	114,983
345.22658-State Univ Hosp	15,083	0	51,244	0	0	0	51,244	0	48,671	3,403	0	0	0	0	0	0	52,074	114,253
345.22659-SUNY Tuition Re	119,826	0	131,585	0	0	0	131,585	0	58,043	26,933	0	0	4,485	0	0	0	89,461	161,950
346.22700-Chem Dep Svcs	9,993	0	108,724	0	0	0	108,724	0	0	6,471	0	0	0	0	0	102,230	108,701	10,016
349.22751-Lk George Park	72	0	1,208	0	0	0	1,208	0	701	250	21	0	447	0	0	0	1,419	(139)
354.22801-MVTIFA	3,636	0	4,800	0	0	0	4,800	4,237	136	4	0	0	0	0	0	1,400	5,777	2,659
354.22802-St Police MV En	21,279	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	18,584
355.22851-Great Lakes Pro	351	0	160	0	0	0	160	0	82	70	3	0	55	0	0	0	210	301
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,276	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,324
362.23001-DOT Comm Veh Sa	(12,683)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(13,064)
365.23051-Vocatl Rehabil	33	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	56
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,952)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(28,280)	0	30,100	0	0	3,214	33,314	0	21,600	3,400	0	12,400	0	0	0	0	37,400	(32,366)
369.23201-Jud Data Proc O	37,795	0	53,700	0	0	0	53,700	0	26,400	5,400	0	10,000	0	0	0	0	41,800	49,695
377.23267-CUNY Stabilizn	5,059	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,059
377.2327X-CUNY Tuitt Reim	91,511	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	93,126
377.232ZY-CUNY Inc Reimb	93,963	0	94,022	0	0	0	94,022	0	45,101	47,883	0	150	0	0	0	0	93,134	94,851
385.23501-Lk Pleacid Train	183	0	85	0	0	0	85	0	75	0	0	0	0	0	0	0	75	193
390.23551-Indigent Legal	313,695	0	222,138	0	0	28,000	250,138	91,384	3,298	25,723	116	2,103	0	0	0	11,583	122,624	441,209
482.23601-UI Sp Int & Pen	34,816	0	15,866	0	0	0	15,866	0	1,643	1,000	42	1,173	0	0	0	0	15,441	35,241
501.23701-Commercial Gami	43,321	0	198,000	0	0	0	198,000	199,600	0	0	0	0	1,346	0	0	2	217,312	24,009
501.23703-Prob Gambli Svcs	(13,796)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	0	0	0	0	0	4,871	(13,796)
502.23750-Med Marth Colle	2,158	0	3,388	0	0	0	3,388	3,388	0	0	0	0	0	0	0	0	3,388	2,158
502.23752-WMF - County Di	2,851	2,700	0	0	0	0	2,700	1,800	0	0	0	0	0	0	0	0	1,800	1,417
502.23753-WMF - Law Enfor	517	2,700	0	0	0	0	2,700	0	0	0	0	0	0	0	0	150	150	467
502.23754-WMF - Addictio	317	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	617
502.23755-Health Operato	317	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	617
502.23755-Health Operato	4,151	0	2,000	0	0	4,626	6,626	0	1,817	2,373	62	1,160	0	0	0	405	5,817	4,960
503.23800-Inter Recip Pos	1,283	0	1,200	0	0	0	1,200	0	435	427	15	278	0	0	0	60	1,215	1,268
503.23801-Hwy Use Tax Adm	467	400	500	0	0	0	900	0	181	202	6	111	0	0	0	0	500	867
503.23802-Cure Childhood	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
503.23806-NYS Secure Choi	0	0	0	0	0	0	0	0	361	2,116	11	232	0	0	0	0	2,720	(2,720)
503.23807-Military Fam Re	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
504.24950-Fan Sports Educ	13,480	0	6,000	0	0	0	6,000	0	0	0	0	0	0	0	0	18,000	18,000	1,480
504.24951-Fan Sport Admin	(48)	0	0	0	0	0	0	0	480	214	14	292	0	0	0	0	1,000	(1,048)
506.24850-Hlth Care Trans	524,619	0	481,333	0	0	0	481,333	0	0	0	0	0	0	0	0	691,952	691,952	314,000
507.24800-Hlth Caritabl	58,005	0	1,130	0	0	0	1,130	0	0	0	0	0	0	0	0	59,000	59,000	135
507.24901-Elem Sec Ed Cha	35,594	0	690	0	0	0	690	0	0	0	0	0	0	0	0	36,211	36,211	73

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,971	0	1,450	0	0	0	1,450	1,010	0	0	0	0	0	0	0	0	6,411
339.21902-S P A R C S	6,685	0	6,600	0	0	0	6,600	0	495	648	17	0	316	0	0	4,214	7,595
339.21904-Fire Prev/Code	41,967	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	41,967
339.21905-NYS Tvy Police	(6,909)	0	63,312	0	0	0	63,312	0	37,886	25	0	0	25,401	0	0	0	(6,909)
339.21906-DMV Seiz Assets	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.21911-Fin Cntrl Board	(747)	0	3,044	0	0	0	3,044	0	1,389	811	45	0	799	0	0	0	(747)
339.21912-Reg of Racing	(2,744)	0	12,647	0	0	0	12,647	0	6,809	5,277	171	0	1,755	0	0	458	(4,567)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.21916-Nurses Aide Reg	1,040	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,040
339.21917-Med Frd Seized	240	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	240
339.21918-Child Care & Pr	2,077	0	425	0	0	0	425	100	0	0	0	0	0	0	0	0	2,402
339.21919-Cyber Sec Upgr	914	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	914
339.21920-Cert of Need	10,220	0	2,959	0	0	0	2,959	0	1,722	1,516	59	0	1,100	0	0	7,769	1,013
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,142	0	131	0	0	0	131	0	66	4	2	0	42	0	0	2	1,157
339.21923-DOL Fee Penalty	4,107	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	300	12,663
339.21924-Educ Museum	284	0	868	0	0	0	868	0	282	334	10	0	180	0	0	62	284
339.21925-Ns Hm Receiptshp	2,936	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,961
339.21926-3rd Party Hlth	468	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	468
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Afts	77	0	684	0	0	0	684	0	111	528	4	0	22	0	0	0	96
339.21930-I Lve NY W Boat	96	0	245	0	0	0	245	0	130	25	4	0	84	0	0	0	98
339.21932-Snowmobile	6,141	0	6,150	0	0	0	6,150	3,650	111	363	9	0	72	0	0	0	8,086
339.21933-Tr Surplus Prop	5,314	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	4,737
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pflmr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	123,279	135,146	0	0	155	0	0	0	51,440
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,722	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,499
339.21945-Crim Jus Improv	33,086	0	41,724	0	0	0	41,724	30,744	2,733	396	116	0	1,650	0	0	22,237	16,934
339.21948-Farm Prod Insp	195	0	1,390	0	0	0	1,390	0	648	123	22	0	414	0	0	0	378
339.21950-FgprintID&Tech	44,758	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	28,523	28,720
339.21953-NY Fire Academy	354	0	468	0	0	0	468	0	278	314	9	0	239	0	0	0	(18)
339.21958-Domestic Awaren	100	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	104
339.21959-Environmental L	3,643	0	3,700	0	0	0	3,700	0	1,491	449	51	0	952	0	0	131	4,269
339.21960-HESC Ins Prem P	68,723	0	69,881	0	0	0	69,881	0	12,416	24,936	422	0	9,020	0	0	15,827	75,983
339.21961-Train Mgmt Eval	645	0	1,400	0	0	0	1,400	0	1,572	507	51	0	1,004	0	0	8	(1,097)
339.21962-Clin Lab Refrnc	(9,328)	0	18,059	0	0	0	18,059	0	5,560	1,424	194	0	3,618	0	0	4,848	(6,913)
339.21964-Pub Emp Rel Brd	800	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	841
339.21965-Radio Hlth Prot	1,166	0	4,048	0	0	0	4,048	0	2,093	133	78	0	1,445	0	0	796	669
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	3,432	0	51	0	0	1,400	1,451	0	0	3,452	0	0	0	0	0	0	1,431

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatin Library	161	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	166
339.21969-Teacher Certif	7,231	0	6,600	0	0	0	6,600	0	3,400	643	117	0	2,171	0	0	537	6,963
339.21970-Banking Deprimt	24,672	0	100,832	0	0	0	100,832	0	50,110	12,609	1,542	0	33,164	0	0	0	28,079
339.21971-Cable TV Acct	3,958	0	3,130	0	0	0	3,130	0	1,361	109	47	0	869	0	0	0	4,702
339.21972-Econ Devel Asst	319	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	319
339.21973-Fin Svcs Seized	729	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	729
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	258	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258
339.21977-Business and Li	54,985	0	86,443	0	0	0	86,443	0	17,146	10,607	529	0	11,317	0	0	55,674	46,155
339.21978-Indir Cost Reco	3,694	0	0	0	0	18,954	18,954	0	9,125	4,362	0	0	5,827	0	0	3,941	(607)
339.21979-High School Equ	1,348	0	225	0	0	0	225	0	0	225	0	0	0	0	0	10	1,338
339.21980-OTDA Program	1,733	0	0	0	0	500	500	0	0	1,085	0	0	128	0	0	0	1,020
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	250	0	13	0	0	9,256	9,269	0	3,822	1,675	131	0	2,440	0	1,343	108	1,338
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	16,203	0	0	0	16,203	0	12,163	4,010	30	0	0	0	0	0	2
339.21986-Seized Assets	5	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	7
339.21987-Spinal Injury	6,113	0	0	0	0	8,500	8,500	0	0	0	0	0	0	0	0	0	6,113
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	1,625	0	0	0	0	12,000	12,000	0	1,551	9,158	50	0	990	0	0	0	1,876
339.21990-OCTF Crime Forf	4,545	0	1,436	0	0	0	1,436	0	0	1,436	0	0	0	0	0	0	4,545
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	268	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	268
339.21993-Radon Detection	494	0	20	0	0	0	20	0	0	12	0	0	0	0	0	2	500
339.21994-Insurance Dept	149,049	0	276,166	0	0	0	276,166	58,111	103,642	37,301	3,195	0	68,660	0	0	0	154,306
339.21995-Workers' Compn	18,350	0	226,202	0	0	0	226,202	84,892	84,892	56,088	2,239	0	53,220	0	36,352	0	11,761
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	9,307	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,541
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219AC-Non-Inv Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	765	0	1,200	0	0	0	1,200	0	425	14	15	0	271	0	0	0	1,240
339.219YL-OGS Bldg Admin	18,160	0	10,000	0	0	0	10,000	0	0	1,119	0	0	0	0	0	0	27,041
339.219YN-OGS Std & Purch	11,140	0	5,660	0	0	0	5,660	0	858	1,831	28	0	548	0	3,000	0	10,535
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	160	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	52	0	204
339.22003-Bell Jar Collec	0	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	615
339.22004-Ind & Util Serv	3,520	0	2,547	0	0	0	2,547	1,750	1,750	150	46	0	1,076	0	0	0	3,045
339.22008-Courts Special	964	0	2,400	0	0	0	2,400	0	0	2,500	0	0	0	0	0	0	864
339.22009-Asbestos Trning	(84)	0	330	0	0	0	330	0	134	2	8	0	144	0	0	0	(42)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	44,337	0	87,082	0	0	0	87,082	0	42,968	7,015	1,472	0	27,451	0	9,671	0	42,842
339.22012-Atty Licensing	6,447	0	28,500	0	0	0	28,500	0	16,400	5,400	0	0	8,400	0	0	0	4,747
339.22014-DSS Prov Recovs	195	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	195
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	(88)	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	(74)
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,240	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	2,240
339.22022-College Savings	18,490	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	18,862
339.22023-Discover Queens	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
339.22024-Reven Atreage	76,968	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	20,503	76,314
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,985
339.22027-Spec Conserv Ac	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
339.22028-State Central R	5,134	0	4,600	0	0	0	4,600	0	130	0	4	0	83	0	0	1,822	7,695
339.22029-Plant Industry	51	0	529	0	0	0	529	0	274	0	9	0	175	0	0	0	122
339.22032-Batavia School	(8,521)	0	9,600	0	0	900	10,500	0	5,364	628	195	0	3,426	0	0	512	(8,146)
339.22034-Investment Serv	1,813	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,813
339.22035-Diabetes Resear	41	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	47
339.22037-Keep Kids Drug	62	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	71
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,832)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,832)
339.22040-Senate Recyclab	624	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	644
339.22041-Medicaid Fraud	22,535	0	13,810	0	0	0	13,810	0	6,920	2,423	198	0	4,269	0	0	0	22,535
339.22042-DED Marketing A	3,038	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,048
339.22044-Tug Hill Admin	140	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	136
339.22045-Settlement Enf	411	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	411
339.22046-Regulation of I	(80,856)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,931	0	0	329	(81,993)
339.22047-NYS FLEX Spend	609	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	603
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	41,808	0	55,352	0	0	0	55,352	0	22,770	11,283	781	0	14,541	0	0	13,299	34,486
339.22052-Armony Rental A	4,044	0	0	0	0	0	0	0	0	1,240	0	0	0	0	0	0	2,804
339.22053-Rome School	(1,824)	0	9,600	0	0	1,020	10,620	0	4,019	652	147	0	2,566	0	0	427	985
339.22054-Seized Assets	(4,456)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,456)
339.22055-Traf Adjudicatn	(14,376)	0	44,500	0	0	0	44,500	0	18,099	8,605	617	0	13,234	0	0	2,288	(12,719)
339.22056-Fed Salary Shar	0	0	0	0	0	2,768	2,768	419	1,372	0	45	0	898	0	0	0	34
339.22057-Cook/Chill Acco	1,588	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,588
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	40,054	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	40,054
339.22063-Cultural Educat	(4,172)	0	28,362	0	0	0	28,362	0	11,622	6,592	408	0	7,421	0	0	2,947	(4,800)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(39)	0	3,150	0	0	0	3,150	0	362	0	12	0	231	0	0	1,961	545
339.22067-Trans Regul Acc	10,109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,282	7,827
339.22068-Cons Prot Acct	2,018	0	91	0	0	0	91	0	0	67	0	0	156	0	0	0	1,886
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.22075-Funeral	2,219	0	470	0	0	0	470	0	235	7	8	0	150	0	0	95	2,194
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	31	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	31
339.22078-Local Services	1,222	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,211
339.22080-Adult Shelter	21,187	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	23,787
339.22081-QAA Earned Rev	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408
339.22082-Family Pres Svc	3,223	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,109

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22083-Electronic Bene	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22084-Federal- Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(13,242)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(13,827)
339.22086-OMH-Research OH	78	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	6
339.22087-DMV- Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	7,850	0	24,900	0	0	0	24,900	990	10,006	6,231	335	0	6,989	0	0	3,960	4,239
339.22089-Hwy Const & Ma	1,793	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,918
339.22090-Housing Indirec	(1,836)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	1,231
339.22091-Adult Home Qual	3,062	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	3,234
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	240	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	240
339.22095-IG Szd Assets	102	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102
339.22096-Leg Svcs Assist	44,624	0	25,100	0	0	0	25,100	15,100	0	0	0	0	0	0	0	17,080	37,544
339.22097-Loc Pub Hlth	7,699	0	84	0	0	0	84	0	295	2	10	0	189	0	0	59	7,228
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22100-DHCR HCA Applic	(3,789)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,737)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	8,581	0	4,840	0	0	0	4,840	0	619	438	21	0	395	0	0	4,687	7,261
339.22104-CHCCDP Transfer	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,067	0	210	0	0	0	210	0	0	232	0	0	0	0	0	0	1,045
339.22109-Conference & Sp	129	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129
339.22110-Assisted Living	1,935	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,935
339.22111-OCFS Program	381	0	0	0	0	0	0	0	0	645	0	0	0	0	0	0	(264)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	8,389	0	74,235	0	0	0	74,235	0	23,125	35,595	747	0	14,768	0	0	0	8,389
339.22118-Animal Populati	443	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	431
339.22119-Love Your Libra	105	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	111
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	120	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	120
339.22123-Pub Safe Commun	84,786	0	137,000	0	0	0	137,000	25,668	27,359	33,662	0	0	0	0	0	33,754	101,343
339.22124-Cuba Lake Mgmt	164	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	158
339.22126-St Justice Inst	71	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	71
339.22128-Med Reimb Acct	1,438	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	1,938
339.22130-Low Inc Housing	3,352	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,687
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	56
339.22133-Procure Op News	259	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	84
339.22134-OVS RESTITUTION	672	0	593	0	0	0	593	0	473	120	0	0	0	0	0	0	672
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	591	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	531
339.22137-Pet Dealer	21	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	53
339.22138-Auth Bdg Office	1,215	0	2,088	0	0	1,826	3,914	0	989	254	30	0	654	0	0	45	3,157
339.22139-Patient Safety	3,883	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,883
339.22140-Helen Hayes Hos	10,401	0	3,115	0	0	59,583	62,698	0	35,356	20,220	21	0	2,423	0	0	1,591	13,488

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22141-NYC Veterans	(483)	0	350	0	0	30,837	31,187	0	18,399	8,576	12	0	7,157	0	0	473	(3,913)
339.22142-NYS Home-Vetera	330	0	120	0	0	23,669	23,789	0	15,422	6,155	9	0	182	0	0	575	1,776
339.22143-WNY Vets Home	565	0	55	0	0	12,708	12,763	0	8,738	4,037	5	0	94	0	0	159	295
339.22144-Montrose S V H	2,561	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	264	2,961
339.22145-DOH Hospital Ho	337	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(217)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	9,215	0	1,387	0	0	0	1,387	0	0	0	0	0	0	0	0	0	10,602
339.22149-Motor Fuel Qual	1,103	0	2,800	0	0	0	2,800	0	1,142	1,214	39	0	729	0	0	0	779
339.22150-Weights Measure	20	0	325	0	0	0	325	0	221	101	8	0	141	0	0	0	(126)
339.22151-Defer Comp Adm	(118)	0	820	0	0	0	820	0	381	187	23	0	243	0	0	0	(132)
339.22152-Hazard Abatement	971	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,021
339.22153-Education Stats	931	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	986
339.22154-Real Estate Fin	3,722	0	3,375	0	0	0	3,375	0	1,193	1,381	39	0	762	0	0	0	3,722
339.22156-NYC Rent Rev	6,148	0	62,862	0	0	0	62,862	0	25,558	8,300	1,270	0	19,900	0	0	10,115	3,867
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	108	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	143
339.22159-CSFP Salvage Ac	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
339.22161-ES Stem Cell Tr	(78)	0	0	0	0	30,555	30,555	0	468	25,882	14	0	301	0	0	510	3,302
339.22162-Systems & Tech	12,852	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	13,220
339.22163-Paron Services	5,824	0	62,416	0	0	0	62,416	0	29,112	26,592	0	0	1,626	0	0	3,992	6,918
339.22165-Trans Aviatn	1,727	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,428
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	369	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	369
339.22168-Tax Rev Airrear	4,026	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,026
339.22169-TSCR Account	135,066	0	475,278	0	0	0	475,278	160,453	0	0	0	0	0	0	0	303,771	146,120
339.22170-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,137	0	39	0	0	2,087	2,126	0	0	3,384	0	0	0	0	0	0	879
339.22172-Undgrnd Sfty T	3,351	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	3,286
339.22173-Vol Fire Rec&Re	1,083	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,083
339.22174-HAVA Match	1,389	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,389
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,142	0	9,000	0	0	0	9,000	9,560	359	11	14	0	253	0	0	76	(131)
339.22178-Crim Back Check	393	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	393
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22182-OWIG Adm Reimb	201	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	201
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	714	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	754
339.22186-Yth Fac PerDiem	1	0	17,000	0	0	0	17,000	0	0	0	0	0	0	0	0	0	17,001
339.22187-Provider Assess	416	0	931,000	0	0	0	931,000	931,000	0	0	0	0	0	0	0	0	416
339.22188-Fed Indirect Re	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22189-DOCS Asset Forf	222	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	222
339.22190-Conference&Sign	76	0	35	0	0	0	35	0	0	80	0	0	0	0	0	0	31
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	7,853	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,853
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,645	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,645
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	668	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	668
339.22198-HEP	55	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(245)

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22199-Airport Securit	522	0	343	0	0	0	343	0	0	506	0	0	0	0	0	360	(1)
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	105	0	0	0	0	0	0	62	0	0	0	0	0	0	0	0	43
339.22206-Wholesale Mkt	7,203	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	6,503
339.22207-Tech Financing	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	54	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	54
339.22213-BOE Enforcement	297	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	297
339.22214-Fireworks Reven	1,184	0	320	0	0	0	320	0	143	0	5	0	95	0	0	0	1,261
339.22215-Delivery Transf	1,559	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,559
339.22217-Eq Sh DTF Just	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
339.22218-Eq Sh DTF Treas	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22231-Eq Sh DEC Just	172	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	172
339.22233-Eq Shar-DMN Jus	144	0	112	0	0	0	112	0	0	112	0	0	0	0	0	0	144
339.22234-Eq Shar-DMN Tre	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22235-Insitt Accred	421	0	570	0	0	0	570	0	290	56	6	0	171	0	0	47	421
339.22238-Eq Sh PRK Treas	18	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	18
339.22239-Opioid Steward	567	0	34,000	0	0	0	34,000	0	0	0	0	0	0	0	0	34,000	567
339.22240-NYS Med Indimty	0	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	0
339.22243-Securing Cities	0	0	0	0	0	360	360	0	0	0	0	0	0	0	0	0	360

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE PARK INFRASTRUCTURE (80350-30399)	PASSENGER FACILITY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (80600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (80630-30639)
Opening Fund Balance	0	(89,804)	145,596	11,592	(25,302)	15	119,984	164	668	3,328
Receipts:										
Taxes	0	1,320,447	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,191,026	1,401,967	0	745	159,175	0	79,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	4,191,026	2,726,919	0	745	159,175	0	198,750	0	0	0
Disbursements:										
Local Assistance	3,180,634	63,532	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	3,046,416	1,896,156	62,500	745	161,100	0	255,275	0	0	0
Capital Projects	6,227,050	1,959,688	62,500	745	161,100	0	255,275	0	0	0
Total Disbursements	12,454,100	3,489,326	125,000	1,490	322,200	0	510,550	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	2,038,599	785,937	62,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,575)	(1,545,747)	0	0	0	0	0	(25)	(288)	25
Bond & Note Proceeds	0	0	0	0	0	0	0	25	0	0
Net Other Financing Sources (Uses)	2,036,024	(759,810)	62,500	0	0	0	28,000	0	0	0
Change in Fund Balance	0	37,421	0	(1,925)	(1,925)	0	(28,525)	0	0	0
Closing Fund Balance	0	(52,383)	145,596	11,592	(27,227)	15	91,459	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (80640-30649)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31600-31699)
Opening Fund Balance	1,419	18,876	4,255	5,551	2,778	1,428	(504,674)	1,056	(96,650)	527
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,223,542	0	0	0
Total Receipts	0	0	0	0	0	0	2,223,542	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,094,874	10	104,996	0
Total Disbursements	0	0	0	0	0	0	1,800,855	10	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(615)	(3,046)	(25)	(3,608)	(25)	(3,714)	(406,449)	0	(25,200)	0
Bond & Note Proceeds	615	3,046	25	4,807	25	4,714	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	1,199	0	1,000	(406,449)	0	(9,700)	0
Change in Fund Balance	0	0	0	1,199	0	1,000	16,238	0	(11,446)	0
Closing Fund Balance	1,419	18,876	4,255	6,750	2,778	2,428	(488,436)	1,056	(108,096)	527

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31769)	HOUSING ASSISTANCE (31800-31869)	HOUSING PROGRAM (31950-31999)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32300-32399)
Opening Fund Balance	(21,348)	(12,942)	(177,077)	17,054	(12,346)	120,004	83,477	(18)	(470,121)	(193,029)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	21,889	0	613,894	1,000	0	62,500	26,058	0	274,322	258,453
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	21,889	0	613,894	1,000	0	62,500	26,058	0	274,322	258,453
Disbursements:										
Local Assistance	0	0	614,469	0	0	0	0	0	118,052	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	21,889	0	0	1,017	0	62,500	62,235	0	156,520	366,318
Total Disbursements	21,889	0	614,469	1,017	0	62,500	62,235	0	274,572	366,318
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	0	55,800	0	2,000	107,865
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	0	55,800	0	2,000	107,865
Change in Fund Balance	0	0	(177,077)	(17)	(12,346)	120,004	103,100	(18)	(468,371)	(193,029)
Closing Fund Balance	(21,348)	(12,942)	(177,077)	17,037	(12,346)	120,004	103,100	(18)	(468,371)	(193,029)

	SMART SCHOOLS BOND FUND (80710-30749)	NEW YORK STATE STORM RECOVERY (83000-83049)	DEDICATED INFRASTRUCTURE INVESTMENT (83050-83051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(88,664)	16,299	0	(1,137,904)	0	(1,137,904)
Receipts:							
Taxes	0	0	0	1,000	1,440,547	0	1,440,547
Miscellaneous Receipts	0	22,774	500,000	301	7,717,014	0	7,717,014
Federal Grants	0	0	0	500	2,228,547	0	2,228,547
Total Receipts	0	22,774	500,000	1,801	11,386,108	0	11,386,108
Disbursements:							
Local Assistance	0	(9,054)	247,286	0	4,920,900	0	4,920,900
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	(14,582)	1,225,327	0	8,507,296	0	8,507,296
Total Disbursements	0	(23,636)	1,472,613	0	13,428,196	0	13,428,196
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,210,957	0	4,307,733	(783,878)	3,523,855
Transfers to Other Funds	(375,000)	0	0	0	(2,336,342)	783,878	(1,552,464)
Bond & Note Proceeds	375,000	0	0	1,000	389,570	0	389,570
Net Other Financing Sources (Uses)	0	0	1,210,957	1,000	2,360,961	0	2,360,961
Change in Fund Balance	0	46,410	(236,344)	2,801	(819,031)	0	(819,031)
Closing Fund Balance	0	(42,254)	250,643	2,801	(819,031)	0	(819,031)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2020

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	28,431	0	0	36,349	0	0	64,780	0	64,780
Receipts:									
Taxes	0	30,260,526	0	0	1,007,900	3,752,500	35,020,926	0	35,020,926
Miscellaneous Receipts	255,855	0	3,494	143,702	0	500	403,551	0	403,551
Federal Grants	0	73,651	0	0	0	0	73,651	0	73,651
Total Receipts	255,855	30,334,177	3,494	143,702	1,007,900	3,755,000	35,498,128	0	35,498,128
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	895	32,185	0	2,471	0	2,155	37,706	0	37,706
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	7,096	4,817,902	4,494	26,158	0	310,278	5,165,928	0	5,165,928
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	7,991	4,850,087	4,494	28,629	0	312,433	5,203,634	0	5,203,634
Other Financing Sources (Uses):									
Transfers from Other Funds	1,527,517	2,427,531	1,000	31,260	0	0	3,987,308	(348,015)	3,639,293
Transfers to Other Funds	(1,741,711)	(27,911,620)	0	(154,028)	(1,007,899)	(3,440,567)	(34,255,825)	348,015	(33,907,810)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(214,194)	(25,484,089)	1,000	(122,768)	(1,007,899)	(3,440,567)	(30,268,517)	0	(30,268,517)
Change in Fund Balance	33,670	1	0	(7,695)	1	0	25,977	0	25,977
Closing Fund Balance	62,101	1	0	28,654	1	0	90,757	0	90,757

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(14,025)	0	69,626	0	0	0	69,626	0	29,251	15,423	945	0	18,680	0	0	1,866	66,165	(10,564)
323.55020-OGS Ent Contr	(63,104)	0	150,000	0	0	0	150,000	0	600	149,074	19	0	383	0	0	0	150,076	(63,180)
323.55022-Business Svc Ct	(8,053)	0	0	0	0	28,000	28,000	0	27,500	2,500	0	0	0	0	0	0	30,000	(10,053)
323.550XX-Misc Certif Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	5,930	0	118,000	0	0	9,631	127,631	0	4,447	109,208	144	0	2,840	0	0	13,123	129,762	3,799
323.5502Y-OGS Bldg Admin	(9,093)	0	23,729	0	0	9,500	33,229	0	2,231	27,876	72	0	1,425	0	0	0	31,604	(7,468)
323.5502Z-OGS Std & Purch	(3,127)	0	11,057	0	0	0	11,057	0	3,211	5,430	104	0	2,051	0	0	0	10,796	(2,866)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55052-Archives R	342	0	1,654	0	0	0	1,654	0	920	114	27	0	504	0	0	0	1,565	431
334.55053-Fed Single Aud	1,973	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	1,973
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,836	0	5,963	0	0	0	5,963	0	2,792	3,066	90	0	1,783	0	0	1,651	9,382	(683)
334.55056-EHS Occup Hlth	(119)	0	870	0	0	0	870	0	635	517	21	0	406	0	0	0	1,579	(828)
334.55057-Banking Service	(7)	0	500	0	0	49,190	49,690	0	0	49,690	0	0	0	0	0	0	49,690	(7)
334.55058-Cult Resources	(2,892)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	865	0	0	284	6,702	(2,265)
334.55059-Neighbor Work P	(7,653)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(7,653)
334.55060-Auto/Print Chgb	608	0	17,927	0	0	0	17,927	0	8,419	4,345	0	0	5,092	0	0	0	17,856	679
334.55061-NYT Account	(2,339)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,339)
334.55062-State Data Ctr	(45,428)	0	9,353	0	0	0	9,353	0	0	9,000	0	0	0	0	0	0	9,000	(45,075)
334.55063-Human Svcs Tele	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.55065-OPWDD Copy Cent	792	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	792
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Gr	(166)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(166)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(72,303)	0	45,837	0	0	11,460	57,297	0	0	41,402	0	0	0	0	0	0	41,402	(56,408)
334.55070-Learning Mgmt S	1,542	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,542
334.55071-Labor Cont Ctr	(254)	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	(133)
334.55072-HS Cont Ctr	1,061	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,419	0	0	0	17,629	1,149
334.55074-Civil Recoverie	(17,475)	0	16,700	0	0	0	16,700	0	10,740	0	361	0	5,599	0	0	0	16,700	(17,475)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Svc	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	71	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	101
347.55150-DFY Voc Educatn	69	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	94
394.55200-Joint Labor-Mgt	476	0	1,000	0	0	0	1,000	0	912	406	30	0	582	0	0	0	1,930	(454)
395.55251-Ex Dir Intl Aud	(8,722)	0	2,948	0	0	0	2,948	0	1,655	178	57	0	1,058	0	0	0	2,948	(8,722)
395.55252-CIO INFO TECH C	(22,366)	0	28,890	0	0	0	28,890	0	3,600	17,666	389	0	7,235	0	0	0	28,890	(22,366)
396.55300-Health Insuranc	(13,625)	0	14,121	0	0	7,853	21,974	0	9,150	2,555	296	0	5,843	0	0	3,428	21,272	(12,923)
396.55301-CS EBD Adm Reim	(1,155)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,181	0	0	639	4,065	(720)
397.55350-Correctional In	(25,297)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,958)

CASH COMBINING STATEMENT BY ACCOUNT

ENTERPRISE
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	97	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	97
325.50050-State Fair Rece	1,260	0	14,400	0	0	0	14,400	0	4,124	8,596	0	0	0	0	0	0	12,720	2,940
326.50100-DOCS Commissary	4,076	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	4,158
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DPY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	178	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	202
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(274)	0	1,497	0	0	0	1,497	0	609	441	20	0	389	0	0	0	1,459	(236)
331.50319-Attica Emp Mess	1,939	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,993
331.50322-Asset Preservat	109	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	100
331.50323-Farm Program	607	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	593
331.50327-Emp Plz Gift Sh	(186)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(192)
331.50331-Retail Sales	0	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	0
331.50332-Golf	0	0	17,000	0	0	0	17,000	0	5,000	12,000	0	0	0	0	0	0	17,000	0
331.503Z2-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,852	0	0	1,000	9,458	(1,366)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	890	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
351.50400-OMWH Sheit Wikshs	2,159	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,159
352.50450-MR Shel Wikshop	1,735	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,635
353.50500-MH & MR Communi	4,581	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	4,978
353.50516-MR Community St	140	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	137
481.50650-U I Benefit Frnd	864,333	0	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	864,333
481.50651-Interest Assess	5,743	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,743
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

CASH COMBINING STATEMENT
GENERAL FUND
FY 2021
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	0	1,218	2,640	890	500	0	6,527
Receipts:										
Taxes	43,322	0	0	0	0	0	0	0	0	43,322
Miscellaneous Receipts	2,106	0	0	0	0	0	0	0	0	2,106
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total receipts	45,428	0	0	0	0	0	0	0	0	45,428
Disbursements:										
Local Assistance	54,775	0	0	0	0	0	0	0	0	54,775
State Operations	12,586	0	0	0	0	0	0	0	0	12,586
General State Charges	7,910	0	0	0	0	0	0	0	0	7,910
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	75,271	0	0	0	0	0	0	0	0	75,271
Other financing sources (uses):										
Transfers from Other Funds	68,610	0	0	0	0	0	0	0	(32,740)	35,870
Transfers to Other Funds	(38,767)	0	0	0	0	(623)	0	0	32,740	(6,650)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	29,843	0	0	0	0	(623)	0	0	0	29,220
Change in Fund Balance	0	0	0	0	0	(623)	0	0	0	(623)
Closing Fund Balance	0	1,258	21	0	1,218	2,017	890	500	0	5,904

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(Thousands of dollars)

	MENTAL HEALTH DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	808	70,309	95,550	89	33	8,427	4,096	0	6,501	0	90,420
Receipts:											
Taxes	0	0	0	0	0	0	0	1,999,516	0	678,000	483,754
Miscellaneous Receipts	72	(146,673)	39,000	318	120	4,905	9,233	0	0	5,501,875	145,943
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(146,673)	39,000	318	120	4,905	9,233	1,999,516	0	6,179,875	629,697
Disbursements:											
Local Assistance	0	7,956	20,000	0	0	0	5,056	1,999,516	4,837	5,895,937	693,745
State Operations	72	3,725	1,488	432	264	2,974	2,224	0	0	86,739	0
General State Charges	0	233	563	197	149	1,285	1,177	0	0	9,681	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	11,914	22,051	629	413	4,259	8,457	1,999,516	4,837	5,992,357	693,745
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	(200)	0	(8)	0	(265)	(1,383)	0	0	(187,518)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,692	0	292	300	(265)	(1,383)	0	4,837	(187,518)	65,884
Change in Fund Balance	0	2,105	(16,949)	(19)	7	381	(607)	0	0	0	1,836
Closing Fund Balance	808	72,414	112,499	70	40	8,808	3,489	0	6,501	0	92,256

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	63,533	33,628	113,502	(15,504)	2,299,333	(136,957)	(263,585)	(4,019)	(5,381)	81,195	40,599
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,545,125	6,007	0	100,011	68,933	392	4,255	900	77,111	46,792	58,166
Federal Grants	0	650	0	2,179,680	52,503,442	3,195,985	1,547,688	0	0	0	0
Total Receipts	3,545,125	6,657	0	2,279,691	52,572,375	3,196,377	1,551,943	900	77,111	46,792	58,166
Disbursements:											
Local Assistance	3,465,000	0	425,250	2,167,288	49,210,965	2,595,285	1,229,187	0	0	0	0
State Operations	41,176	2,500	0	62,250	939,029	526,452	271,979	437	56,315	25,812	14,004
General State Charges	12,382	0	0	15,168	123,109	62,068	51,373	269	26,224	14,430	8,134
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,518,558	2,500	425,250	2,244,706	50,273,103	3,183,805	1,552,559	706	82,539	40,242	22,138
Other Financing Sources (Uses):											
Transfers from Other Funds	5,000	0	425,250	0	0	0	11,517	0	22,757	75	19,006
Transfers to Other Funds	(4,940)	0	0	(34,985)	(1,944,928)	(12,572)	(10,921)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	60	0	425,250	(34,985)	(1,944,928)	(12,572)	596	0	12,006	(1,820)	(30,300)
Change in Fund Balance	26,627	4,157	0	0	354,344	0	194	0	6,578	4,730	5,728
Closing Fund Balance	90,160	37,785	113,502	(15,504)	2,653,677	(136,957)	(263,585)	(3,825)	1,197	85,925	46,327

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	5,135	9,047	467	259,008	(36,404)	70	12,663	211,337	522	0	1
Receipts:											
Taxes	0	0	0	2,731,658	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,000	28	17,500	32,849	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,000	28	2,749,158	32,849	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,846,266	0	0	0	0	0	0	0
State Operations	35,571	12,600	93	4,162	22,712	0	950	0	59	75	0
General State Charges	13,505	300	0	2,412	13,290	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	49,076	12,900	93	2,852,840	36,002	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(4,000)	(6,404)	0	0	(413,979)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(580)	(3,900)	(7)	33,319	(6,404)	0	0	(413,979)	0	0	0
Change in Fund Balance	4,555	5,147	395	188,645	(45,961)	70	13,432	141,382	578	0	1
Closing Fund Balance	9,690	14,194	862	2,937,803	11,883	70	26,095	585,716	1,103	75	1
ARTS CAPITAL REVOLVING (21850-21899)	909	1,196,347	17,234	51	1,464,574	10,018	(141)	21,243	305	24	10,325
MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	0	0	0	0	0	0	0	0	0	0	0
COURT FACILITIES INCENTIVE AID (22500-22549)	60	671,071	150	0	4,959,740	6,494	1,208	123,625	160	0	900
MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	0	89	0	0	0	0	0	0	0	0	0
COURT FACILITIES INCENTIVE AID (22500-22549)	60	671,160	150	0	4,959,740	6,494	1,208	123,625	160	0	900
DISBURSEMENTS:											
Local Assistance	98	63,147	113,000	0	0	0	0	4,237	0	0	852
State Operations	0	730,402	2,300	0	6,220,449	6,471	999	9,245	158	0	0
General State Charges	0	417,388	1,000	0	546,659	0	491	0	60	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	1,210,937	116,300	0	6,767,108	6,471	1,490	13,482	218	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	692,558	115,000	0	2,002,419	0	0	0	0	0	0
Transfers to Other Funds	0	(161,843)	0	0	(231,626)	0	0	(113,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(38)	530,715	115,000	0	1,770,793	0	0	(113,520)	0	0	0
Change in Fund Balance	871	1,187,285	16,084	51	1,427,999	23	(282)	(3,377)	(58)	24	48
Closing Fund Balance	10,561	15,381	3,146	2,988,804	13,211	93	25,813	572,338	1,045	99	10,373

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(thousands of dollars)

	NYSDOT HIGHWAY PROGRAM (2,800-2,804)	VOCATIONAL REHABILITATION (2,850-2,898)	DRINKING WATER PROGRAM MANAGEMENT ADMINISTRATION (2,310-2,316)	NEW YORK CITY COUNTY CLERKS OFFICE (2,315-2,318)	JUDICIARY PROCUREMENT OFFSET (2,320-2,324)	CITY UNIVERSITY REIMBURSEMENT (2,325-2,329)	US OLYMPIC COMMITTEE/ LAKE PLACID TRAINING (2,350-2,354)	INDIGENT SERVICES (2,355-2,359)	UNEMPLOYMENT ADMINISTRATION (2,590-2,594)	UNEMPLOYMENT INSURANCE AND PENALTY (2,600-2,604)	UNEMPLOYMENT INSURANCE OCCURRING TRAINING (2,595-2,599)
Opening Fund Balance	(13,064)	54	(6,459)	(32,366)	49,696	193,036	193	441,211	141,983	35,241	(524)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	49,000	5,108	85	212,662	56,151	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	318,199	0	7,780
Total Receipts	3,068	100	0	30,100	49,000	5,108	85	212,662	374,350	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	170,888	9,339	0	7,780
State Operations	3,579	25	0	25,300	26,900	3,493	75	29,297	226,548	2,828	0
General State Charges	0	0	0	12,000	13,100	0	0	2,166	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,579	45	0	37,300	40,000	3,493	75	202,351	323,781	4,001	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,200	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	(1,108)	3,200	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(511)	23	(1,108)	(4,000)	9,000	1,615	10	38,311	0	282	0
Closing Fund Balance	(13,575)	77	(7,567)	(36,366)	58,696	194,651	203	479,522	141,983	35,523	(524)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (2,600-2,604)	NEW YORK COMMERCIAL GAMING (2,370-2,374)	MEDICAL MARIJUANA TRUST (2,375-2,379)	DEDICATED MISCELLANEOUS REVENUE (2,380-2,389)	FANTASY SPORTS (2,450-2,459)	HEALTH CARE TRANSFER (2,485-2,489)	CHARITABLE GIFTS TRUST FUND (2,490-2,494)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(2,015)	12,375	13,011	(525)	433	314,000	208	(48,000)	6,763,800	0	6,763,800
Receipts:											
Taxes	0	0	6,000	20,400	0	0	0	1,000	5,920,328	0	5,920,328
Miscellaneous Receipts	0	222,341	0	3,200	6,000	131,333	0	0	16,414,713	0	16,414,713
Federal Grants	166,449	0	0	0	0	0	0	0	59,919,962	0	59,919,962
Total Receipts	166,449	222,341	6,000	23,600	6,000	131,333	0	1,000	82,255,003	0	82,255,003
Disbursements:											
Local Assistance	134,762	213,300	1,800	1,500	0	0	0	47,000	71,334,011	0	71,334,011
State Operations	23,429	3,611	4,496	14,689	708	0	0	0	9,449,096	0	9,449,096
General State Charges	8,258	1,404	1,313	3,593	292	0	0	0	1,452,740	0	1,452,740
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	166,449	218,315	7,609	19,782	1,000	0	0	47,000	82,235,847	0	82,235,847
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	6,550	0	0	0	0	0	3,600,864	(1,054,814)	2,546,050
Transfers to Other Funds	0	(2)	0	(45)	(5,000)	(445,333)	0	0	(3,704,723)	1,054,814	(2,649,909)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(2)	6,550	(45)	(5,000)	(445,333)	0	0	(103,859)	0	(103,859)
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0
Change in Fund Balance	0	4,024	4,941	3,773	0	(314,000)	0	(46,000)	(84,703)	0	(84,703)
Closing Fund Balance	(2,015)	16,399	17,952	3,248	433	0	208	(94,000)	6,679,097	0	6,679,097

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Went Hyg Gifts	806	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	806
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,480	0	350	0	0	0	350	224	48	48	7	148	0	0	0	0	427	1,403
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	805	0	0	0	805	0	805	805	0	0	0	0	0	0	805	53
020.20109-Helen Hayes Hsp	26	0	0	0	0	0	0	0	35	35	0	0	0	0	0	0	35	(9)
020.20110-Oxford Donation	436	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	552
020.20111-Donat-St.Albans	(8)	0	0	0	0	0	0	0	13	13	0	0	0	0	0	0	13	(21)
020.20112-CVB Gifts & Beq	104	0	5	0	0	0	5	0	8	8	0	0	0	0	0	0	8	101
020.20113-Donations-Batav	(5)	0	19	0	0	0	19	0	40	40	0	0	0	0	0	0	40	(26)
020.20114-Montrose Donati	194	0	12	0	0	0	12	0	13	13	0	0	0	0	0	0	13	193
020.20116-IBR Genetic Cou	(1)	0	108	0	0	0	108	0	108	108	0	0	0	0	0	0	108	(1)
020.20118-Tech Transfer	25	0	50	0	0	0	50	0	24	24	0	0	0	0	0	0	24	51
020.20120-Spec Events	2,798	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,936
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,646	0	744	0	0	0	744	45	528	528	1	29	0	0	0	0	603	1,787
020.20127-DMNA Military	12	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,125	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,997
020.20129-NYSCB Gift& Beq	163	0	0	0	0	0	0	0	17	17	0	0	0	0	0	0	17	146
020.20130-St Transm Money	20,370	0	240	0	0	0	240	0	0	0	0	0	0	0	0	0	0	20,610
020.20142-Youth Grants &	(193)	0	0	0	0	0	0	44	426	426	0	17	0	0	0	0	487	(680)
020.20143-Alzheimers Dis	1,456	0	270	0	0	270	540	670	0	0	0	0	0	0	0	0	670	1,326
020.20144-Local Gov Comm	153	0	12	0	0	0	12	0	7	7	0	0	0	0	0	0	7	158
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	424	0	10	0	0	0	10	0	22	22	0	0	0	0	0	0	22	412
020.20150-Emergency Serv	19,859	0	5,688	0	0	0	5,688	3,101	132	93	4	30	0	0	0	0	3,360	22,187
020.20151-Batavia-Charlot	345	0	20	0	0	0	20	0	23	23	0	0	0	0	0	0	23	342
020.20152-Rome-Gifts And	87	0	20	0	0	0	20	0	19	19	0	0	0	0	0	0	19	88
020.20155-Br Can Res & Ed	6,339	0	540	0	0	500	1,040	1,620	0	0	0	0	0	0	0	0	1,620	5,759
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	107	0	5	0	0	0	5	0	5	5	0	0	0	0	0	0	5	107
020.20166-Erie Canal Muse	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
020.20167-Grants and Bequ	7	0	1	0	0	0	1	0	2	2	0	0	0	0	0	0	2	6
020.20174-Life Pass It on	1,670	0	400	0	0	0	400	0	177	177	0	0	0	0	0	0	177	1,893
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,503	0	240	0	0	0	440	520	0	0	0	0	0	0	0	0	520	2,423
020.20185-Percy T Phillip	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
020.20192-Missing Children	84	0	407	0	0	0	407	262	142	142	0	0	0	0	0	0	404	87
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	545	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	545
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201GW-CCF Grts & Beqs	139	0	100	0	0	0	100	0	14	92	1	9	0	0	0	0	116	123
020.201HH-OWH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,489	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,922
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	612	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	912
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	76	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	76

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Dist.	Closing Balance
020.20201-Veterans Rem Ce	1,332	0	75	0	0	0	75	0	0	0	0	0	0	0	0	200	200	1,207
020.20205-Mental Illness	165	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	148
020.20206-Women's Cancer	95	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	(5)
020.20209-Combined Gifts	2,298	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,295
023.20300-N Y Int Lawyers	95,550	0	39,000	0	0	0	39,000	20,000	840	619	29	0	563	0	0	0	22,051	112,499
024.20350-NYS Archvs Pine	88	0	318	0	0	300	618	0	303	119	10	0	197	0	0	8	637	69
025.20401-Child Performer	36	0	120	0	0	300	420	0	248	9	7	0	149	0	0	0	413	43
050.20451-Tuition Reimb	5,362	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,743
050.20452-Voc School Supe	3,067	0	4,200	0	0	0	4,200	0	1,823	888	63	0	1,184	0	0	242	4,200	3,067
052.20501-Loc Govt Record	4,094	0	9,233	0	0	0	9,233	5,056	1,812	350	62	0	1,177	0	0	1,383	9,840	3,487
053.20550-Sch Tax Relief	(1)	1,999,516	0	0	0	0	1,999,516	1,999,516	0	0	0	0	0	0	0	0	1,999,516	(1)
054.20601-Chatter School	6,502	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,502
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	(3,266)	0	0	0	0	0	0	0	1,608	142	59	0	1,130	0	0	0	2,939	(6,205)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicatd Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	(3,834,367)	0	0	0	0	0	0	3,993,848	0	0	0	0	0	0	0	0	3,993,848	(7,828,215)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	(367,468)	0	0	0	0	0	0	270,931	0	7,359	0	0	0	0	0	0	278,290	(645,758)
061.20809-EMS Training	(15,270)	0	0	0	0	0	0	10,570	2,096	1,381	67	1,551	0	0	0	131	15,796	(31,066)
061.20810-Child Health In	(747,086)	0	0	0	0	0	0	633,615	1,031	12,830	146	886	0	0	0	0	648,508	(1,395,594)
061.20811-HCRA Undistrib	5,964,902	678,000	5,439,553	0	0	0	6,117,553	0	0	0	0	0	0	0	0	178,127	178,127	11,904,328
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	(60)	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(120)
061.20814-Primary Care In	(701)	0	0	0	0	0	0	0	280	0	9	146	0	0	0	158	593	(1,294)
061.20815-Priv Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	(99)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(201)
061.20817-Indigent Care	(890,352)	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(1,781,852)
061.20818-EPIC Premium	(52,773)	0	62,322	0	0	0	62,322	1,044,413	1,140	9,768	23	823	0	0	0	0	116,167	(106,618)
061.20819-Health Occup De	(1,111)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,111)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	357	2	7	247	0	0	0	613	613	(1,005)
061.20821-Health Care Del	(392)	0	0	0	0	0	0	0	2,494	206	66	1,533	0	0	0	0	4,299	(8,475)
061.20822-Cig Task Force	(4,176)	0	0	0	0	0	0	0	5,470	38,919	1,279	3,365	0	0	0	0	49,033	(96,810)
061.20823-NYSOH	(47,777)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,777)
073.20851-Transit Authori	60,821	377,851	112,990	0	0	51,394	542,235	541,933	0	0	0	0	0	0	0	0	541,933	61,123
073.20852-Railroad Account	11,020	66,680	20,001	0	0	9,216	95,897	96,203	0	0	0	0	0	0	0	0	96,203	10,714
073.20853-DMTF	18,577	39,223	12,952	0	0	5,274	57,449	55,609	0	0	0	0	0	0	0	0	55,609	20,417
160.20901-Education - New	(29,810)	0	2,512,000	0	0	5,000	2,517,000	2,487,000	0	0	0	0	0	0	0	0	2,487,000	190
160.20902-Lottery Adm New	62,012	0	44,085	0	0	0	44,085	0	18,458	17,736	506	10,611	0	0	0	4,274	51,585	54,512
160.20903-VLT Administrat	30,258	0	12,040	0	0	0	12,040	978,000	3,111	1,280	85	1,771	0	0	0	666	6,913	35,385
160.20904-VLT - Education	1,074	0	977,000	0	0	0	977,000	0	0	2,500	0	0	0	0	0	0	978,000	74
221.20950-Comb Student Ln	33,630	0	6,007	650	0	0	6,657	0	0	0	0	0	0	0	0	0	2,500	37,787
225.23651-Mobility Tax Tr	1,005	0	0	0	0	275,250	275,250	275,250	0	0	0	0	0	0	0	0	275,250	1,005
225.23653-NY Cen Bus Dis	112,500	0	0	0	0	150,000	150,000	150,000	0	0	0	0	0	0	0	0	150,000	112,500
300.21002-Encon Admin Acc	(4,020)	0	900	0	0	0	900	0	423	14	0	269	0	0	0	0	706	(3,826)
301.21051-EnCon Energy Ef	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
301.21052-EnCon-Seized AS	99	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	119
301.21053-Wst Tire Mgr/Re	26,342	0	19,200	0	0	0	19,200	0	12,967	400	415	8,342	0	0	0	0	22,124	23,418
301.21054-Oil & Gas Accou	85	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	75
301.21055-Mairne/Coastal	205	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	218
301.21060-Indirect Charge	(3,740)	0	0	0	0	10,157	10,157	0	9,501	2,853	164	3,253	0	0	0	2,085	17,856	(11,439)
301.21061-Hazardous Sub B	1,181	0	350	0	0	0	350	0	218	38	8	111	0	0	0	0	375	1,156
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	(1,793)	0	0	0	0	3,600	3,600	0	1,288	0	34	674	0	0	0	0	1,996	(189)

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance
301.21065-Federal Grant I	(7)	0	40	0	0	9,000	9,040	0	8,767	168	0	0	0	0	0	1,041	9,976	(943)
301.21066-Low Level Radio	(4,471)	0	2,811	0	0	0	2,811	0	1,266	192	47	0	813	0	0	433	2,751	(4,411)
301.21067-Recreation Acco	9,764	0	10,200	0	0	0	10,200	0	2,579	862	98	0	1,093	0	0	455	5,087	14,877
301.21077-Public Safety R	2	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	(6)
301.21080-Encon Magazine	1,268	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,659
301.21081-Environmental R	(48,110)	0	28,600	0	0	0	28,600	0	2,626	2,436	447	0	7,345	0	0	6,187	19,041	(38,551)
301.21082-Natural Resourc	(10,305)	0	4,811	0	0	0	4,811	0	659	397	22	0	429	0	0	400	1,907	(7,401)
301.21083-UST-Trust Recov	472	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	484
301.21084-Mined Land Recl	4,246	0	4,210	0	0	0	4,210	0	2,254	117	75	0	1,459	0	0	0	3,905	4,551
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2107Z-Monitors-Aggr	19,310	0	6,000	0	0	0	6,000	0	4,428	533	135	0	2,705	0	0	0	7,801	17,509
302.21150-Conservation	11,409	0	43,222	0	0	75	43,297	0	21,712	2,108	656	0	13,705	0	0	1,820	40,001	14,705
302.21151-Marine Resource	3,568	0	1,480	0	0	0	1,480	0	1,115	0	34	0	669	0	0	0	1,818	3,230
302.21152-Migratory Bird	(2)	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(37)
302.21153-Guides License	175	0	55	0	0	0	55	0	65	6	2	0	37	0	0	0	110	120
302.21154-Fish And Game T	66,074	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	67,999
302.21155-Surf Clam/Quaho	(89)	0	0	0	0	0	0	0	33	35	1	0	19	0	0	0	88	(177)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	27	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	52
302.21158-OUTDOOR REC & T	26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26
303.21201-Oil Spill - DAC	4	0	470	0	0	705	1,175	0	667	58	23	0	427	0	0	0	1,175	4
303.21202-Oil Sp Relocam	2	0	0	0	0	301	301	0	190	8	6	0	133	0	0	0	337	(34)
303.21203-Oil Spill - DEC	(1,776)	0	0	0	0	18,000	18,000	0	11,724	951	377	0	7,574	0	0	0	20,626	(4,402)
303.21204-Oil Spill - DAC	42,369	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	50,759
303.21205-License Fee Sur	1	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	1
305.21251-OSH Trng & Educ	3,472	0	26,357	0	0	0	26,357	0	11,556	7,345	338	0	7,172	0	0	0	26,411	3,418
305.21252-OSHA Inspection	1,659	0	22,139	0	0	0	22,139	0	12,674	3,288	370	0	6,333	0	0	0	22,665	1,133
306.21301-CSF Regis Fee	9,048	0	9,000	0	0	0	9,000	0	600	12,000	0	0	300	0	0	0	12,900	5,148
307.21351-Equipment Loan	470	0	28	0	0	0	28	0	0	93	0	0	0	0	0	7	100	398
313.21401-Pub Tran Sysms	18,491	106,426	0	0	0	16,144	122,570	120,829	685	195	21	0	479	0	0	0	122,209	18,852
313.21402-Metropolitan Ma	2,40,416	2,625,232	17,500	0	0	21,175	2,663,907	2,725,437	2,766	410	85	0	1,933	0	0	4,000	2,734,631	169,692
313.21403-Urban Mass Tran	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(22,554)	0	9,200	0	0	0	9,200	0	2,762	47	90	0	1,795	0	0	0	4,694	(18,048)
314.21452-Mobile Source	(13,857)	0	23,649	0	0	0	23,649	0	16,455	2,851	507	0	11,495	0	0	6,404	37,712	(27,920)
318.21501-Housing Reserve	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
321.21551-Legisl Comp R&D	12,596	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	13,363
321.21552-Demographics/Re	65	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	67
330.40350-S U Dorm Income	211,333	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	413,979	413,979	141,378
332.21651-Brummer Award	39	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	39
332.21652-William Vorce F	237	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	237
332.21653-Rocky Pocanico	63	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	121
332.21654-OPWDD Nonexp Tr	72	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	70
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Winnr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-NYS Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	908	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	870
340.22501-CFIA Undistrib	17,234	0	150	0	0	115,000	115,150	113,000	2,200	100	0	0	1,000	0	0	0	116,300	16,084
341.22552-DFY-NYC Summer	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
345.22652-L I Veis Home	23,950	0	48,231	0	0	0	48,231	0	32,286	18,906	0	0	0	0	0	0	51,192	20,989
345.22653-S U Genl IFR	922,610	0	649,738	0	0	31,487	681,225	0	198,899	389,445	0	0	15,523	0	0	68,515	672,382	931,453
345.22654-S U Inc Offset	(20,179)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,179)
345.22655-Gen Rev Offset	147,980	0	1,792,471	0	0	1,273,471	3,065,942	0	2,554,355	398,478	0	0	563	0	0	1,16,770	3,070,166	143,756
345.22656-S U Hosp Ops	100,420	0	2,314,069	0	0	662,461	2,976,530	0	1,378,066	1,104,407	0	0	525,833	0	0	46,341	3,054,647	22,303

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22657-SUNY Stabilizat	114,983	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	148,983
345.22658-State Univ Hosp	14,253	0	52,631	0	0	0	52,631	0	52,409	3,463	0	0	0	0	0	0	55,872	11,012
345.22659-SUNY Tuiton Re	161,950	0	102,600	0	0	0	102,600	0	61,241	27,494	0	0	4,740	0	0	0	93,475	171,075
346.22700-Chem Dep Svcs	10,016	0	6,494	0	0	0	6,494	0	6,471	6,471	0	0	0	0	0	0	6,471	10,039
349.22751-Lk George Park	(139)	0	1,208	0	0	0	1,208	0	727	250	22	0	491	0	0	0	1,490	(421)
354.22801-MVTIFA	2,659	0	4,800	0	0	0	4,800	4,237	141	4	0	0	0	0	0	1,100	5,482	1,977
354.22802-St Police MV Eh	18,584	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	15,889
355.22851-Great Lakes Pro	301	0	160	0	0	0	160	0	85	70	3	0	60	0	0	0	218	243
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,324	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,372
362.23001-DOT Comm Veh Sa	(13,064)	0	3,068	0	0	0	3,068	0	3,084	495	0	0	0	0	0	0	3,579	(13,575)
365.23051-Vocatl Rehabil	56	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	79
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(6,460)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(7,568)
368.23151-NYC County Cler	(32,366)	0	30,100	0	0	3,200	33,300	0	21,800	3,500	0	12,000	0	0	0	0	37,300	(36,366)
369.23201-Jud Data Proc O	49,695	0	49,695	0	0	0	49,695	0	26,900	0	0	13,100	0	0	0	0	40,000	58,695
377.23267-CUNY Stabilizn	5,059	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,059
377.232ZX-CUNY Tuitn Reim	93,126	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	94,741
377.232ZY-CUNY Inc Reimb	94,851	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	94,851
385.23501-Lk Placid Train	193	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	203
390.23551-Indigent Legal	441,209	0	212,662	0	0	28,000	240,662	170,888	3,455	25,723	119	2,166	0	0	0	0	202,351	479,520
482.23601-Uj Sp Int & Pen	35,241	0	15,866	0	0	0	15,866	0	1,757	1,020	51	1,173	0	0	0	11,583	15,584	35,523
S01.23701-Commercial Gami	24,009	0	214,000	0	0	0	214,000	210,000	0	0	0	0	0	0	0	0	210,000	28,009
S01.23702-Comm Game Regul	(13,796)	0	5,041	0	0	0	5,041	0	2,322	1,223	66	1,404	0	0	0	2	5,017	(13,772)
S01.23703-Prob Gambli Svcs	2,158	0	3,300	0	0	0	3,300	3,300	0	0	0	0	0	0	0	0	3,300	2,158
S02.23750-Wed Marth Colle	5,551	2,700	0	0	0	0	2,700	0	0	0	0	0	0	0	0	0	0	8,251
S02.23752-MMF - County Di	1,417	2,700	0	0	0	0	2,700	1,800	0	0	0	0	0	0	0	0	1,800	2,317
S02.23753-MMF - Law Entor	467	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	767
S02.23754-MMF - Addictio	617	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	917
S02.23755-Health Operatio	4,960	0	0	0	0	6,550	6,550	0	1,949	2,492	55	1,313	0	0	0	0	5,809	5,701
S03.23800-Inter Recip Pos	1,268	0	1,200	0	0	0	1,200	0	452	394	16	294	0	0	0	45	1,201	1,267
S03.23801-Hwy Use Trax Adm	867	400	500	0	0	0	900	0	188	202	6	116	0	0	0	0	512	1,255
S03.23802-Cure Childhood	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
S03.23806-NYS Secure Choi	(2,720)	0	0	0	0	0	0	0	583	2,386	17	374	0	0	0	0	3,360	(6,080)
S03.23807-Military Fam Re	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
S03.BHPCO-Beh Hlth Comp	0	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,500	0
S03.NYSCR-NY Cannabis Rev	0	20,000	0	0	0	0	20,000	0	4,503	5,788	154	2,809	0	0	0	0	13,254	6,746
S04.24950-Fan Sports Educ	1,480	0	6,000	0	0	0	6,000	0	480	214	0	292	0	0	0	5,000	5,000	2,480
S04.24951-Fan Sport AdmIn	(1,048)	0	0	0	0	0	0	0	480	0	14	0	0	0	0	0	1,000	(2,048)
S06.24850-Hlth Care Trans	314,000	0	131,333	0	0	0	131,333	0	0	0	0	0	0	0	0	445,333	445,333	0
S07.24900-Hlth Caritable	135	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	135
S07.24901-Elem Sec Ed Cha	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	6,411	0	500	0	0	0	500	60	0	0	0	0	0	0	0	0	6,851
339.21902-S P A R C S	7,595	0	6,600	0	0	0	6,600	0	1,014	3,648	33	0	703	0	0	4,214	4,583
339.21904-Fire Prev/Code	41,967	0	14,810	0	0	0	14,810	0	1,004	500	34	0	627	0	0	20,810	33,802
339.21905-NYS Twy Police	(6,909)	0	63,612	0	0	0	63,612	0	37,986	25	0	0	25,601	0	0	0	(6,909)
339.21906-DMV Seiz Assets	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.21911-Fin Cntrl Board	(747)	0	3,099	0	0	0	3,099	0	1,444	811	45	0	799	0	0	0	(747)
339.21912-Reg of Racing	(4,567)	0	12,647	0	0	0	12,647	0	7,071	3,877	178	0	1,931	0	0	458	(5,435)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.21916-Nurses Aide Reg	1,040	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,040
339.21917-Med Frd Seized	240	0	160	0	0	0	160	0	0	160	0	0	0	0	0	0	240
339.21918-Child Care & Pr	2,402	0	425	0	0	0	425	100	0	0	0	0	0	0	0	0	2,727
339.21919-Cyber Sec Upgr	914	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	914
339.21920-Cert of Need	1,013	0	72,959	0	0	0	72,959	0	1,606	1,516	53	0	1,123	0	0	77,769	(8,095)
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,157	0	131	0	0	0	131	0	66	4	2	0	28	0	0	2	1,186
339.21923-DOL Fee Penalty	12,663	0	20,383	0	0	0	20,383	0	6,409	1,239	187	0	4,167	0	0	300	20,744
339.21924-Educ Museum	284	0	892	0	0	0	892	0	293	334	10	0	190	0	0	62	287
339.21925-Ns Hm Receiptshp	2,961	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,986
339.21926-3rd Party Hlth	468	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	468
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	922	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,843
339.21929-Summer Sch Afts	96	0	684	0	0	0	684	0	115	528	4	0	26	0	0	0	107
339.21930-I Lve NY W Boat	98	0	245	0	0	0	245	0	130	25	4	0	86	0	0	0	98
339.21932-Snowmobile	8,086	0	6,150	0	0	0	6,150	3,650	111	363	9	0	74	0	0	0	10,029
339.21933-Tr Surplus Prop	4,737	0	2,200	0	0	0	2,200	0	0	0	0	0	0	0	0	2,777	4,160
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pflnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	51,440	0	0	0	0	310,025	310,025	0	125,145	135,421	0	0	155	0	0	0	100,744
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,499	0	6,000	0	0	0	6,000	3,000	730	687	30	0	453	0	0	1,350	3,249
339.21945-Crim Jus Improv	16,934	0	41,373	0	0	0	41,373	27,744	2,836	396	116	0	1,650	0	0	11,886	13,679
339.21948-Farm Prod Insp-	378	0	1,390	0	0	0	1,390	0	672	123	23	0	437	0	0	0	513
339.21950-FgprntID&Tech	28,720	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	20,662
339.21953-NY Fire Academy	(18)	0	468	0	0	0	468	0	289	314	9	0	239	0	0	0	(401)
339.21958-Domestic Awaren	104	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	108
339.21959-Environmental L	4,269	0	4,112	0	0	0	4,112	0	1,748	567	54	0	1,196	0	0	131	4,685
339.21960-HESC Ins Prem P	75,983	0	69,881	0	0	0	69,881	0	12,416	24,936	422	0	9,020	0	0	15,827	83,243
339.21961-Train Mgmt Eval	(1,097)	0	1,400	0	0	0	1,400	0	1,634	97	53	0	1,061	0	0	8	(2,550)
339.21962-Clin Lab Refrnc	(6,913)	0	18,059	0	0	0	18,059	0	5,521	2,079	176	0	3,974	0	0	4,848	(5,452)
339.21964-Pub Emp Rel Brd	841	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	882
339.21965-Radio Hlth Prot	669	0	7,688	0	0	0	7,688	0	2,071	133	70	0	1,488	0	0	796	3,799
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	1,431	0	80	0	0	1,400	1,480	0	0	3,944	0	0	0	0	0	0	(1,033)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatin Library	166	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	171
339.21969-Teacher Certif	6,963	0	6,600	0	0	0	6,600	0	3,531	643	121	0	2,294	0	0	450	6,524
339.21970-Banking Deprimt	28,079	0	106,082	0	0	0	106,082	0	52,053	12,609	1,598	0	36,415	0	0	0	31,486
339.21971-Cable TV Acct	4,702	0	3,130	0	0	0	3,130	0	1,413	109	49	0	918	0	0	0	5,343
339.21972-Econ Devel Asst	319	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	319
339.21973-Fin Svcs Seized	729	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	729
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	258	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258
339.21977-Business and Li	46,155	0	86,443	0	0	0	86,443	0	17,785	10,007	549	0	12,403	0	0	60,058	31,796
339.21978-Indir Cost Reco	(607)	0	0	0	0	18,954	18,954	0	9,476	4,362	0	0	6,156	0	0	2,757	(4,404)
339.21979-High School Equ	1,338	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,338
339.21980-OTDA Program	1,020	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	1,192
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	108	0	13	0	0	13,350	13,363	0	3,799	6,531	119	0	2,729	0	0	1,343	(1,050)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	16,203	0	0	0	16,203	0	12,317	4,010	30	0	0	0	0	0	(152)
339.21986-Seized Assets	7	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	9
339.21987-Spinal Injury	6,113	0	0	0	0	8,500	8,500	0	0	0	0	0	0	0	0	0	6,113
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	1,876	0	0	0	0	12,000	12,000	0	1,612	9,341	52	0	1,047	0	0	0	1,824
339.21990-OCTF Crime Forf	4,545	0	1,372	0	0	0	1,372	0	0	1,372	0	0	0	0	0	0	4,545
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	268	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	268
339.21993-Radon Detection	500	0	20	0	0	0	20	0	0	12	0	0	0	0	0	2	506
339.21994-Insurance Dept	154,306	0	291,248	0	0	0	291,248	59,405	107,683	37,301	3,437	0	78,062	0	0	0	159,666
339.21995-Workers' Compn	11,761	0	226,202	0	0	0	226,202	0	89,608	56,088	2,306	0	57,863	0	0	36,352	(4,254)
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	9,541	0	3,982	0	0	0	3,982	0	2,126	221	62	0	1,493	0	0	0	9,621
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219AC-Non-Inv Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	1,240	0	1,200	0	0	0	1,200	0	441	14	15	0	286	0	0	0	1,684
339.219YL-OGS Bldg Admin	27,041	0	10,000	0	0	0	10,000	0	0	1,141	0	0	0	0	0	0	35,900
339.219YN-OGS Std & Purch	10,535	0	5,660	0	0	0	5,660	0	897	1,869	29	0	583	0	0	3,000	9,817
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	204	0	2,500	0	0	0	2,500	2,000	189	100	6	0	123	0	0	52	234
339.22003-Bell Jar Collec	615	0	1,875	0	0	0	1,875	0	716	124	21	0	445	0	0	0	1,184
339.22004-Ind & Util Serv	3,045	0	2,547	0	0	0	2,547	0	1,814	150	48	0	1,116	0	0	0	2,464
339.22008-Courts Special	864	0	2,400	0	0	0	2,400	0	0	2,200	0	0	0	0	0	0	1,064
339.22009-Asbestos Trning	(42)	0	1,225	0	0	0	1,225	0	292	2	7	0	207	0	0	0	675
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	42,842	0	87,082	0	0	0	87,082	0	45,442	5,964	1,626	0	30,845	0	0	9,271	36,776
339.22012-Atty Licensing	4,747	0	36,000	0	0	0	36,000	0	17,300	5,400	0	0	7,800	0	0	0	10,247
339.22014-DSS Prov Recovs	195	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	195
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	(74)	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	(60)
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,240	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	2,240
339.22022-College Savings	18,862	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	19,234
339.22023-Discover Queens	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
339.22024-Reven Atreage	76,314	0	25,000	0	0	0	25,000	0	1,684	2,500	47	0	1,025	0	0	20,503	75,555
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,985
339.22027-Spec Conserv Ac	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
339.22028-State Central R	7,695	0	4,600	0	0	0	4,600	0	134	0	4	0	87	0	0	1,822	10,248
339.22029-Plant Industry	122	0	529	0	0	0	529	0	550	30	17	0	352	0	0	0	(298)
339.22032-Batavia School	(8,146)	0	9,600	0	0	900	10,500	0	5,578	628	202	0	3,624	0	0	522	(8,200)
339.22034-Investment Serv	1,813	0	4,038	0	0	0	4,038	0	2,150	673	66	0	1,285	0	0	0	1,677
339.22035-Diabetes Resear	47	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	53
339.22037-Keep Kids Drug	71	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	80
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,832)	0	4,848	0	0	0	4,848	0	3,010	125	76	0	1,770	0	0	0	(1,965)
339.22040-Senate Recyclab	644	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	664
339.22041-Medicaid Fraud	22,535	0	15,326	0	0	0	15,326	0	7,745	2,223	213	0	5,145	0	0	0	22,535
339.22042-DED Marketing A	3,048	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,058
339.22044-Tug Hill Admin	136	0	38	0	0	0	38	0	30	3	0	0	0	0	0	10	131
339.22045-Settlement Enf	411	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	411
339.22046-Regulation of I	(81,993)	0	13,388	0	0	0	13,388	0	8,635	765	240	0	5,363	0	0	329	(83,937)
339.22047-NYS FLEX Spend	603	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	597
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	34,486	0	56,852	0	0	0	56,852	0	23,645	9,559	811	0	15,360	0	0	17,091	24,872
339.22052-Armony Rental A	2,804	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,804
339.22053-Rome School	985	0	9,600	0	0	1,020	10,620	0	4,181	652	152	0	2,716	0	0	436	3,468
339.22054-Seized Assets	(4,456)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,456)
339.22055-Traf Adjudicatn	(12,719)	0	44,500	0	0	0	44,500	0	18,869	8,605	639	0	14,459	0	0	2,288	(13,079)
339.22056-Fed Salary Shar	34	0	0	0	0	2,811	2,811	419	1,422	0	47	0	908	0	0	0	49
339.22057-Cook/Chill Acco	1,588	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,588
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	40,054	0	79,653	0	0	0	79,653	0	38,300	24,553	1,458	0	17,751	0	0	0	37,645
339.22063-Cultural Educat	(4,800)	0	29,865	0	0	0	29,865	0	12,076	8,095	423	0	7,845	0	0	2,393	(5,767)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	545	0	3,150	0	0	0	3,150	0	376	0	12	0	244	0	0	1,961	1,102
339.22067-Trans Regul Acc	7,827	0	91	0	0	0	91	0	0	67	1	0	171	0	0	0	5,005
339.22068-Cons Prot Acct	1,886	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	1,729
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.22075-Funeral	2,194	0	470	0	0	0	470	0	217	7	8	0	153	0	0	95	2,184
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	31	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	31
339.22078-Local Services	1,211	0	1,153	0	0	0	1,153	0	750	50	20	0	392	0	0	0	1,152
339.22080-Adult Shelter	23,787	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	26,387
339.22081-QAA Earned Rev	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408
339.22082-Family Pres Svc	4,109	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,995

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22083-Electronic Bene	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22084-Federal- Seized	(38)	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(76)
339.22085-DHCR Mortgage S	(13,827)	0	3,833	0	0	0	3,833	0	4,727	4	0	0	0	0	0	0	(14,725)
339.22086-OMH-Research OH	6	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	(66)
339.22087-DMV- Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	4,239	0	24,900	0	0	0	24,900	990	10,988	6,191	335	0	7,706	0	0	3,960	(1,031)
339.22089-Hwy Const & Ma	1,918	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	2,043
339.22090-Housing Indirec	1,231	0	0	0	0	5,739	5,739	0	2,643	5	0	0	0	0	0	201	4,121
339.22091-Adult Home Qual	3,234	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	3,406
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	240	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	240
339.22095-IG Szd Assets	102	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102
339.22096-Leg Svcs Assist	37,544	0	25,100	0	0	0	25,100	19,265	0	0	0	0	0	0	0	9,830	33,549
339.22097-Loc Pub Hlth	7,228	0	4,388	0	0	0	4,388	0	184	2	10	0	145	0	0	59	11,216
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22100-DHCR HCA Applic	(3,737)	0	5,000	0	0	0	5,000	0	3,000	14	88	0	1,584	0	0	489	(3,912)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	7,261	0	4,840	0	0	0	4,840	0	622	438	20	0	473	0	0	0	5,861
339.22104-CHCCDP Transfer	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,045	0	210	0	0	0	210	0	0	237	0	0	0	0	0	0	1,018
339.22109-Conference & Sp	129	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129
339.22110-Assisted Living	1,935	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,935
339.22111-OCFS Program	(264)	0	0	0	0	0	0	0	0	658	0	0	0	0	0	0	(922)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	8,389	0	76,778	0	0	0	76,778	0	25,191	35,628	831	0	15,128	0	0	0	8,389
339.22118-Animal Populati	431	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	419
339.22119-Love Your Libra	111	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	117
339.22120-DISTINCTIVE PLA	(25)	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(50)
339.22122-Local Wireless	120	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	120
339.22123-Pub Safe Commun	101,343	0	137,000	0	0	0	137,000	46,269	28,002	34,214	0	0	0	0	0	35,911	93,947
339.22124-Cuba Lake Mgmt	158	0	200	0	0	0	200	0	0	210	0	0	0	0	0	0	148
339.22126-St Justice Inst	71	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	71
339.22128-Med Reimb Acct	1,938	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	2,438
339.22130-Low Inc Housing	3,687	0	3,631	0	0	0	3,631	0	2,436	7	72	0	812	0	0	150	3,841
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	56
339.22133-Procure Op News	84	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	(91)
339.22134-OVS RESTITUTION	672	0	593	0	0	0	593	0	487	120	0	0	0	0	0	0	658
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	531	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	471
339.22137-Pet Dealer	53	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	85
339.22138-Auth Bdgt Office	3,157	0	2,088	0	0	1,826	3,914	0	1,024	254	31	0	715	0	0	45	5,002
339.22139-Patient Safety	3,883	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,883
339.22140-Helen Hayes Hos	13,488	0	3,115	0	0	59,583	62,698	0	35,356	21,220	21	0	2,423	0	0	1,591	15,575

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22141-NYC Veterans	(3,913)	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	0	473	(7,502)
339.22142-NYS Home-Vetera	1,776	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	0	575	3,064
339.22143-WNY Vets Home	295	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	0	159	(134)
339.22144-Montrose S V H	2,961	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	264	3,361
339.22145-DOH Hospital Ho	(217)	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(771)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	10,602	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	10,989
339.22149-Motor Fuel Qual	779	0	2,800	0	0	0	2,800	0	1,186	1,214	41	0	770	0	0	0	368
339.22150-Weights Measure	(126)	0	325	0	0	0	325	0	230	101	8	0	149	0	0	0	(289)
339.22151-Defer Comp Adm	(132)	0	820	0	0	0	820	0	381	187	23	0	247	0	0	0	(150)
339.22152-Hazard Abatement	1,021	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,071
339.22153-Education Stats	986	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	1,041
339.22154-Real Estate Fin	3,722	0	3,470	0	0	0	3,470	0	1,271	1,381	42	0	776	0	0	0	3,722
339.22156-NYC Rent Rev	3,867	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,248)
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	143	0	550	0	0	0	550	0	407	0	12	0	125	0	0	0	149
339.22159-CSFP Salvage Ac	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
339.22161-ES Stem Cell Tr	3,302	0	0	0	0	30,555	30,555	0	488	25,882	14	0	328	0	0	510	6,635
339.22162-Systems & Tech	13,282	0	7,300	0	0	0	7,300	0	757	120	29	0	692	0	0	5,320	13,664
339.22163-Paron Services	6,918	0	62,416	0	0	0	62,416	0	29,179	26,592	0	0	1,659	0	0	3,992	7,912
339.22165-Trans Aviatn	1,428	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,129
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	369	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	369
339.22168-Tax Rev Airrear	4,026	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,026
339.22169-TSCR Account	146,120	0	219,400	0	0	0	219,400	82,164	0	0	0	0	0	0	0	137,450	145,906
339.22170-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	879	0	39	0	0	2,087	2,126	0	0	3,384	0	0	0	0	0	0	(379)
339.22172-Undgrnd Sfty T	3,286	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	3,221
339.22173-Vol Fire Rec&Re	1,083	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,083
339.22174-HAVA Match	1,389	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,389
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	(131)	0	9,000	0	0	0	9,000	9,560	366	11	12	0	228	0	0	76	(1,384)
339.22178-Crim Back Check	393	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	393
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22182-OWIG Adm Reimb	201	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	201
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	754	0	40	0	0	40	40	0	0	0	0	0	0	0	0	0	794
339.22186-Yth Fac PerDiem	17,001	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17,001
339.22187-Provider Assess	416	0	936,000	0	0	0	936,000	936,000	0	0	0	0	0	0	0	0	416
339.22188-Fed Indirect Re	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22189-DOCS Asset Forf	222	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	222
339.22190-Conference&Sign	31	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	31
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	7,853	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,853
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,645	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,645
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	668	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	668
339.22198-HEP	(245)	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(545)

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)
 FY 2021
 (thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22199-Airport Securit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22206-Wholesale Mkt	6,503	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	5,803
339.22207-Tech Financing	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22208-Offender Progra	0	0	200	0	0	0	200	0	0	200	0	0	0	0	0	0	0
339.22212-Lake George Inv	54	0	350	0	0	0	350	0	36	285	10	0	21	0	0	0	52
339.22213-BOE Enforcement	297	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	297
339.22214-Fireworks Reven	1,261	0	320	0	0	0	320	0	161	0	5	0	113	0	0	0	1,302
339.22215-Delivery Transf	1,559	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,559
339.22217-Eq Sh DTF Just	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
339.22218-Eq Sh DTF Treas	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22231-Eq Sh DEC Just	172	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	172
339.22233-Eq Shar-DMN Jus	144	0	260	0	0	0	260	0	0	250	0	0	0	0	0	0	154
339.22234-Eq Shar-DMN Tre	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22235-Insitt Accredit	421	0	570	0	0	0	570	0	301	56	6	0	171	0	0	47	410
339.22238-Eq Sh PRK Treas	18	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	18
339.22239-Opioid Steward	567	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	567
339.22240-NYS Med Indimty	0	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	0
339.22243-Securing Cities	360	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	360

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021**
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30500-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(52,383)	145,596	11,592	(27,227)	15	91,459	164	668	3,328
Receipts:										
Taxes	0	1,311,188	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	3,751,590	1,410,805	0	500	125,175	0	102,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	3,751,590	2,726,498	0	500	125,175	0	221,750	0	0	0
Disbursements:										
Local Assistance	3,519,137	59,681	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	3,763,510	2,007,639	75,000	500	127,300	0	260,525	0	0	0
Total Disbursements	7,282,647	2,067,320	75,000	500	127,300	0	260,525	0	0	0
Other Financing Sources (Use):										
Transfers from Other Funds	3,533,598	827,069	75,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,575)	(1,485,544)	0	0	0	0	(25)	(25)	(288)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	288	25
Net Other Financing Sources (Use)	3,531,023	(658,475)	75,000	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	703	0	0	(2,125)	0	(10,775)	0	0	0
Closing Fund Balance	(34)	(51,680)	145,596	11,592	(29,352)	15	80,684	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31300-31499)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION BOND (31650-31699)
Opening Fund Balance	1,419	18,876	4,255	6,750	2,778	2,428	(488,436)	1,056	(108,096)	527
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,188,874	0	0	0
Total Receipts	0	0	0	0	0	0	2,188,874	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,060,846	10	104,996	0
Total Disbursements	0	0	0	0	0	0	1,766,827	10	104,996	0
Other Financing Sources (Use):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(615)	(389,764)	(25)	(3,608)	(25)	(3,714)	(413,190)	0	(25,200)	0
Bond & Note Proceeds	615	389,764	25	4,807	25	4,714	0	0	0	0
Net Other Financing Sources (Use)	0	0	0	1,199	0	1,000	(413,190)	0	(9,700)	0
Change in Fund Balance	0	0	0	1,199	0	1,000	8,857	0	(11,446)	0
Closing Fund Balance	1,419	18,876	4,255	7,949	2,778	3,428	(479,579)	1,056	(119,542)	527

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE PROGRAM (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32000-32099)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(21,348)	(12,942)	(177,077)	17,037	(12,346)	120,004	103,100	(18)	(468,371)	(193,029)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	16,462	0	776,555	1,000	0	72,500	22,622	0	228,578	231,941
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	16,462	0	776,555	1,000	0	72,500	22,622	0	228,578	231,941
Disbursements:										
Local Assistance	0	0	840,130	0	0	0	0	0	121,308	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	18,431	0	0	1,017	0	72,500	82,057	0	109,020	340,646
Total Disbursements	18,431	0	840,130	1,017	0	72,500	82,057	0	230,328	340,646
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	0	55,090	0	2,000	108,705
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	0	55,090	0	2,000	108,705
Change in Fund Balance	(1,989)	0	(63,000)	(17)	0	(4,345)	0	0	250	0
Closing Fund Balance	(23,317)	(12,942)	(240,077)	17,020	(12,346)	120,004	98,755	(18)	(468,121)	(193,029)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	INFRASTRUCTURE INVESTMENT (33050-33065)	DEDICATED BOND FUND (33065-33065)	MOTHER NATURE BOND FUND	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(42,254)	250,643	0	0	2,801	(819,031)	0	(819,031)
Receipts:									
Taxes	0	0	0	0	0	0	1,430,288	0	1,430,288
Miscellaneous Receipts	0	7,000	500,000	0	0	301	7,350,939	0	7,350,939
Federal Grants	0	0	0	0	0	500	2,193,879	0	2,193,879
Total Receipts	0	7,000	500,000	0	0	801	10,975,106	0	10,975,106
Disbursements:									
Local Assistance	0	0	195,249	0	0	0	5,441,486	0	5,441,486
State Operations	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	7,000	993,718	0	0	0	9,024,715	0	9,024,715
Total Disbursements	0	7,000	1,188,967	0	0	0	14,466,201	0	14,466,201
Other Financing Sources (Uses):									
Transfers from Other Funds	0	0	512,967	0	0	0	5,158,504	(1,252,337)	3,906,167
Bond & Note Proceeds	400,000	0	0	(50,000)	50,000	0	(2,774,598)	1,252,337	(1,522,261)
Net Other Financing Sources (Uses)	400,000	0	512,967	(176,000)	0	0	850,288	0	850,288
Change in Fund Balance	0	(42,254)	74,643	0	0	801	(1,075,932)	0	(1,075,932)
Closing Fund Balance	0	(42,254)	74,643	0	0	3,602	(1,075,932)	0	(1,075,932)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2021

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	62,101	1	0	28,654	1	0	90,757	0	90,757
Receipts:									
Taxes	0	32,320,595	0	0	1,024,900	3,914,000	37,259,495	0	37,259,495
Miscellaneous Receipts	233,162	0	3,404	143,702	0	500	380,768	0	380,768
Federal Grants	0	73,318	0	0	0	0	73,318	0	73,318
Total Receipts	233,162	32,393,913	3,404	143,702	1,024,900	3,914,500	37,713,581	0	37,713,581
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,040	38,503	0	2,680	0	1,434	43,657	0	43,657
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	9,774	5,721,047	4,404	25,820	0	251,417	6,012,462	0	6,012,462
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	10,814	5,759,550	4,404	28,500	0	252,851	6,056,119	0	6,056,119
Other Financing Sources (Uses):									
Transfers from Other Funds	1,353,471	2,413,783	1,000	31,260	0	0	3,799,514	(355,841)	3,443,673
Transfers to Other Funds	(1,575,864)	(29,048,148)	0	(154,028)	(1,024,900)	(3,661,650)	(35,464,590)	355,841	(35,108,749)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(222,393)	(26,634,365)	1,000	(122,768)	(1,024,900)	(3,661,650)	(31,665,076)	0	(31,665,076)
Change in Fund Balance	(45)	(2)	0	(7,566)	0	(1)	(7,614)	0	(7,614)
Closing Fund Balance	62,056	(1)	0	21,088	1	(1)	83,143	0	83,143

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2021**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(10,564)	0	70,626	0	0	0	70,626	0	30,603	15,731	943	0	21,379	0	0	1,866	70,522	(10,460)
323.55020-OGS Ent Contr	(63,180)	0	154,000	0	0	0	154,000	0	628	153,055	20	0	408	0	0	0	154,111	(63,291)
323.55022-Business Svc Ct	(10,053)	0	0	0	0	30,000	30,000	0	27,500	2,500	0	0	0	0	0	0	30,000	(10,053)
323.550XX-Misc Centrl Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	3,799	0	115,000	0	0	9,628	124,628	0	4,652	109,792	150	0	3,022	0	0	4,248	121,864	6,563
323.5502Y-OGS Bldg Admin	(7,468)	0	24,129	0	0	9,500	33,629	0	2,320	28,256	75	0	1,507	0	0	0	32,158	(5,997)
323.5502Z-OGS Std & Purch	(2,866)	0	11,257	0	0	0	11,257	0	3,359	5,539	108	0	2,182	0	0	0	11,188	(2,797)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55052-Archives R	431	0	1,729	0	0	0	1,729	0	955	114	28	0	543	0	0	0	1,640	520
334.55053-Fed Single Aud	1,973	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	1,973
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	(583)	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,814	0	0	1,651	6,847	(1,467)
334.55056-EHS Occup Hlth	(828)	0	870	0	0	0	870	0	635	517	21	0	412	0	0	0	1,585	(1,543)
334.55057-Banking Service	(7)	0	500	0	0	49,310	49,810	0	0	49,810	0	0	0	0	0	0	49,810	(7)
334.55058-Cult Resources	(2,265)	0	7,329	0	0	0	7,329	0	1,484	4,082	44	0	926	0	0	284	6,820	(1,756)
334.55059-Neighbor Work P	(7,653)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(7,653)
334.55060-Auto/Print Chgb	679	0	18,878	0	0	0	18,878	0	8,743	4,345	0	0	5,452	0	0	0	18,540	1,017
334.55061-NYT Account	(2,339)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,339)
334.55062-State Data Ctr	(45,075)	0	48,200	0	0	0	48,200	0	0	48,200	0	0	0	0	0	0	48,200	(45,075)
334.55063-Human Svcs Tele	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.55065-OPWDD Copy Cent	792	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	792
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Gr	(166)	0	843	0	0	0	843	0	737	103	3	0	0	0	0	0	843	(166)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(56,408)	0	35,837	0	0	11,460	47,297	0	0	41,402	0	0	0	0	0	0	41,402	(50,513)
334.55070-Learning Mgmt S	1,542	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,542
334.55071-Labor Cont Ctr	(133)	0	2,021	0	0	0	2,021	0	1,136	164	27	0	654	0	0	0	1,981	(93)
334.55072-HS Cont Ctr	1,149	0	17,971	0	0	0	17,971	0	9,042	3,158	268	0	5,503	0	0	0	17,971	1,149
334.55074-Civil Recoverie	(17,475)	0	16,700	0	0	0	16,700	0	7,719	3,901	264	0	4,816	0	0	0	16,700	(17,475)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	101	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	131
347.55150-DFY Voc Educatn	94	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	119
394.55200-Joint Labor-Mgt	(454)	0	1,000	0	0	0	1,000	0	912	406	30	0	592	0	0	0	1,940	(1,394)
395.55251-Ex Dir Intl Aud	(8,722)	0	2,948	0	0	0	2,948	0	1,655	178	57	0	1,058	0	0	0	2,948	(8,722)
395.55252-CIO INFO TECH C	(22,366)	0	28,890	0	0	0	28,890	0	3,600	17,666	389	0	7,235	0	0	0	28,890	(22,366)
396.55300-Health Insuranc	(12,923)	0	14,121	0	0	12,000	26,121	0	9,150	1,700	296	0	5,944	0	0	3,428	20,518	(7,320)
396.55301-CS EBD Adm Reim	(720)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,202	0	0	639	4,086	(306)
397.55350-Correctional In	(28,958)	0	49,000	0	0	20,773	69,773	0	25,855	34,831	535	0	12,011	0	0	357	73,589	(32,774)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2021**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	97	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	97
325.50050-State Fair Rece	2,940	0	17,840	0	0	3,000	20,840	0	5,474	12,150	143	0	2,711	0	0	0	20,478	3,302
326.50100-DOCS Commissary	4,158	0	44,262	0	0	0	44,262	0	0	44,180	0	0	0	0	0	0	44,180	4,240
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	14	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	15
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	202	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	226
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(236)	0	1,497	0	0	0	1,497	0	638	444	21	0	414	0	0	0	1,517	(256)
331.50319-Attica Emp Mess	1,933	0	1,256	0	0	0	1,256	0	288	803	10	0	167	0	0	0	1,288	1,921
331.50322-Asset Preservat	100	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	91
331.50323-Farm Program	593	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	579
331.50327-Emp Plz Gift Sh	(192)	0	500	0	0	0	500	0	110	334	4	0	71	0	0	0	519	(211)
331.50331-Retail Sales	0	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	0
331.50332-Golf	0	0	17,000	0	0	0	17,000	0	5,000	12,000	0	0	0	0	0	0	17,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	(1,434)	0	0	0	0	0	0	0	684	691	18	0	66	0	0	0	1,459	(2,893)
331.OGSPS-Parking Servs	(1,366)	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,884	0	0	1,000	9,490	(2,764)
331.OGSSSE-Special Events	(6)	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(12)
331.OGSSW-Solid Waste	(67)	0	105	0	0	0	105	0	100	5	3	0	65	0	0	0	173	(135)
351.50400-OWH Sheit Wikshs	2,159	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,159
352.50450-MR Shel Wrkshop	1,635	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,535
353.50500-MH & MR Communi	4,978	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	5,375
353.50516-MR Community St	137	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	134
481.50650-U I Benefit Frnd	864,333	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	864,333
481.50651-Interest Assess	5,743	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,743
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2019 RESULTS
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	32,987	65,253	32,350	61,002
Economic Development, Department of	55,567	242,232	15,942	25,339
Empire State Development Corporation	85,112	412,847	0	0
Olympic Regional Development Authority	2,360	0	8,783	9,940
FUNCTIONAL TOTAL	176,026	720,332	57,075	96,281
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,297	4,544
Environmental Conservation, Department of	2,325	18,394	103,025	145,528
Parks, Recreation and Historic Preservation, Office of	1,181	9,810	105,893	129,156
FUNCTIONAL TOTAL	3,506	28,204	213,215	279,228
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	303,461	308,220	292,215	340,401
FUNCTIONAL TOTAL	303,461	308,220	292,215	340,401
HEALTH				
Aging, Office for the	129,245	247,868	1,230	1,236
Health, Department of	15,002,591	102,259,405	472,110	976,367
Medicaid Inspector General, Office of the	0	0	18,116	19,426
FUNCTIONAL TOTAL	15,131,836	102,507,273	491,456	997,029
SOCIAL WELFARE				
Children and Family Services, Office of	1,652,752	2,899,421	244,728	341,113
Housing and Community Renewal, Division of	9,405	31,098	4,189	12,674
Human Rights, Division of	0	0	9,993	12,135
Labor, Department of	15,297	37,599	342	287
National and Community Service	270	1,587	290	336
Temporary and Disability Assistance, Office of	1,139,031	1,541,649	123,825	183,750
FUNCTIONAL TOTAL	2,816,755	4,511,354	383,367	550,295
MENTAL HYGIENE				
Addiction Services and Supports, Office of	345,486	460,900	80,677	135,482
Mental Health, Office of	1,281,496	1,890,350	1,344,927	2,819,400
People with Developmental Disabilities, Office for	520,535	4,242,699	1,330,415	2,416,781
Justice Center	170	302	40,179	43,328
FUNCTIONAL TOTAL	2,147,687	6,594,251	2,796,198	5,414,991
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,541	2,955
Correctional Services, Department of	3,435	62,284	2,598,326	2,647,885
Criminal Justice Services, Division of	160,785	378,661	33,970	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	3,955	1,196,518	1,000	1,000
Judicial Conduct, Commission on	0	0	5,423	5,696
Judicial Nomination, Commission on	0	0	0	30
Judicial Screening, Committees	0	0	7	38
Military and Naval Affairs, Division of	885	1,563	20,445	25,354
State Police, Division of	0	0	640,201	679,655
Statewide Financial Services	0	0	30,520	30,491
Victim Services	0	8,156	0	0
FUNCTIONAL TOTAL	169,060	1,647,182	3,332,433	3,431,121
EDUCATION				
Arts, Council on the	39,248	82,820	4,266	4,319
City University of New York	1,508,002	1,579,738	0	0
Education, Department of	25,213,493	28,003,348	56,527	99,564
Higher Education Services Corporation, New York State	984,334	1,191,541	0	0
State University of New York	487,719	483,644	928	1,722,000
FUNCTIONAL TOTAL	28,232,796	31,341,091	61,721	1,825,883
GENERAL GOVERNMENT				
Budget, Division of the	0	0	22,431	29,078
Civil Service, Department of	567	1,000	15,226	14,553
Deferred Compensation	0	0	34	111
Elections, State Board of	5	1,838	8,343	14,658
Employee Relations, Office of	0	0	2,097	2,913
Gaming Commission, New York State	0	0	4,541	6,431
General Services, Office of	0	0	135,673	148,417
Inspector General, Office of the	0	0	6,844	6,944
Labor Management Committee	0	0	29,699	108,473
Prevention of Domestic Violence, Office for	1,260	3,612	1,556	1,767
Public Employment Relations Board	0	0	3,393	3,600
Public Integrity, Commission on	0	0	5,223	5,582
State, Department of	12,461	35,108	10,633	11,553
Tax Appeals, Division of	0	0	2,820	3,040
Taxation and Finance, Department of	921	1,852	303,582	262,174
Technology, Office for	0	0	544,541	582,793
Veterans' Services, Division of	10,300	21,976	5,720	6,922
Welfare Inspector General, Office of	0	0	629	1,162
FUNCTIONAL TOTAL	25,514	65,386	1,102,985	1,210,171
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	134,753	134,713
Executive Chamber	0	0	12,673	17,854
Law, Department of	0	0	107,960	107,538
Judiciary	3,020	18,500	2,063,276	2,765,376
Legislature	0	0	223,009	337,556
Lieutenant Governor, Office of the	0	0	530	630
FUNCTIONAL TOTAL	35,045	50,525	2,542,201	3,363,667
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	762,835	1,129,957	247	2,500
FUNCTIONAL TOTAL	932,835	1,299,957	247	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2020 CURRENT**

(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	31,932	74,762	34,916	71,449
Economic Development, Department of	52,134	254,171	15,341	25,570
Empire State Development Corporation	75,724	395,648	0	0
Olympic Regional Development Authority	0	0	8,526	26,940
FUNCTIONAL TOTAL	159,790	724,581	58,783	123,959
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,728	4,684
Environmental Conservation, Department of	1,874	17,950	110,134	149,690
Parks, Recreation and Historic Preservation, Office of	440	5,851	106,461	130,721
FUNCTIONAL TOTAL	2,314	23,801	221,323	285,095
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	109,851	113,009	332,049	607,362
FUNCTIONAL TOTAL	109,851	113,009	332,049	607,362
HEALTH				
Aging, Office for the	144,516	246,784	1,962	1,967
Health, Department of	16,696,306	87,850,355	459,853	784,795
Medicaid Inspector General, Office of the	0	0	18,072	19,426
FUNCTIONAL TOTAL	16,840,822	88,097,139	479,887	806,188
SOCIAL WELFARE				
Children and Family Services, Office of	1,509,624	2,817,508	243,587	344,787
Housing and Community Renewal, Division of	31,007	55,308	4,550	18,055
Human Rights, Division of	0	0	9,921	12,135
Labor, Department of	27,422	37,162	288	287
National and Community Service	432	1,548	340	336
Temporary and Disability Assistance, Office of	1,310,432	1,582,755	135,030	215,018
FUNCTIONAL TOTAL	2,878,917	4,494,281	393,716	590,618
MENTAL HYGIENE				
Addiction Services and Supports, Office of	364,930	452,116	81,025	124,647
Mental Health, Office of	1,340,723	1,526,553	1,368,906	2,255,535
Mental Hygiene	0	0	0	600,000
People with Developmental Disabilities, Office for	1,701,293	4,616,348	1,340,527	2,239,870
Justice Center	170	324	39,929	44,946
FUNCTIONAL TOTAL	3,407,116	6,595,341	2,830,387	5,264,998
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,955
Correctional Services, Department of	7,016	72,459	2,857,097	2,634,802
Criminal Justice Services, Division of	151,086	317,538	34,275	38,309
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	5,572	1,309,500	1,000	1,000
Judicial Conduct, Commission on	0	0	6,038	6,026
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,730	20,839	25,354
State Police, Division of	0	0	708,658	679,655
Statewide Financial Services	0	0	30,506	30,506
Victim Services	0	2,665	0	0
FUNCTIONAL TOTAL	164,494	1,703,892	3,661,132	3,418,675
EDUCATION				
Arts, Council on the	40,935	84,906	4,320	4,319
City University of New York	1,536,842	1,618,455	0	0
Education, Department of	25,865,766	30,106,685	59,238	80,337
Higher Education Services Corporation, New York State	906,915	1,173,443	500	500
State University of New York	482,766	490,239	742	1,762,770
FUNCTIONAL TOTAL	28,833,224	33,473,728	64,800	1,847,926
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,432	28,788
Civil Service, Department of	2,000	3,000	13,746	15,840
Deferred Compensation	0	0	57	111
Elections, State Board of	0	11,834	10,147	14,230
Employee Relations, Office of	0	0	6,444	6,736
Gaming Commission, New York State	0	0	6,362	6,431
General Services, Office of	0	0	101,415	125,086
Inspector General, Office of the	0	0	7,487	6,944
Labor Management Committee	0	0	31,000	118,893
Prevention of Domestic Violence, Office for	1,435	3,761	1,693	1,767
Public Employment Relations Board	0	0	3,589	3,672
Public Integrity, Commission on	0	0	5,630	5,582
State, Department of	29,842	40,786	9,110	11,061
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	926	229,423	271,016
Technology, Office for	0	0	538,637	582,707
Veterans' Services, Division of	9,485	23,924	6,236	7,222
Welfare Inspector General, Office of	0	0	701	1,162
FUNCTIONAL TOTAL	43,688	84,231	998,149	1,210,288
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	137,417	137,417
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	109,689	109,689
Judiciary	51,000	19,000	2,013,400	2,843,172
Legislature	0	0	241,265	240,433
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	83,024	51,025	2,515,963	3,349,195
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	170,000	0	0	0
Local Government Assistance	723,780	1,072,015	2	2,500
FUNCTIONAL TOTAL	893,780	1,072,015	2	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: FY 2020 Appropriations reflect amounts included in the Enacted Budget.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2021 PROPOSED
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	20,821	66,825	34,647	77,417
Economic Development, Department of	45,974	247,637	15,341	27,164
Empire State Development Corporation	61,054	400,183	0	0
Olympic Regional Development Authority	0	0	11,526	29,940
FUNCTIONAL TOTAL	127,849	714,645	61,514	134,521
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	5,018	5,034
Environmental Conservation, Department of	1,478	15,514	129,451	146,322
Parks, Recreation and Historic Preservation, Office of	100	5,094	114,838	130,986
FUNCTIONAL TOTAL	1,578	20,608	249,307	282,342
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	109,851	113,121	327,146	637,727
FUNCTIONAL TOTAL	109,851	113,121	327,146	637,727
HEALTH				
Aging, Office for the	141,306	256,407	2,005	1,967
Health, Department of	17,470,534	70,593,634	447,127	475,555
Medicaid Inspector General, Office of the	0	0	18,679	19,426
FUNCTIONAL TOTAL	17,611,840	70,850,041	467,811	496,948
SOCIAL WELFARE				
Children and Family Services, Office of	1,471,815	2,541,687	360,728	351,947
Housing and Community Renewal, Division of	2,983	8,739	4,905	18,472
Human Rights, Division of	0	0	10,590	12,135
Labor, Department of	2,250	20,943	349	287
National and Community Service	432	1,583	349	336
Temporary and Disability Assistance, Office of	1,290,127	1,597,814	131,225	212,475
FUNCTIONAL TOTAL	2,767,607	4,170,766	508,146	595,652
MENTAL HYGIENE				
Addiction Services and Supports, Office of	362,656	458,779	84,415	126,183
Mental Health, Office of	1,228,688	1,590,249	1,385,774	2,243,335
People with Developmental Disabilities, Office for	538,481	4,884,434	1,339,333	2,239,870
Justice Center	170	330	41,306	45,348
FUNCTIONAL TOTAL	2,129,995	6,933,792	2,850,828	4,654,736
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,773	2,955
Correctional Services, Department of	6,836	75,426	2,701,428	2,732,555
Criminal Justice Services, Division of	127,781	303,624	35,239	38,309
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	4,572	1,454,600	1,000	1,000
Judicial Conduct, Commission on	0	0	6,266	6,026
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,945	21,137	25,354
State Police, Division of	0	0	728,698	735,899
Statewide Financial Services	0	0	30,949	31,161
Victim Services	0	1,300	0	0
FUNCTIONAL TOTAL	140,009	1,836,895	3,527,558	3,573,327
EDUCATION				
Arts, Council on the	40,835	82,678	4,416	4,319
City University of New York	1,558,724	1,645,941	0	0
Education, Department of	26,696,074	28,416,053	59,210	68,818
Higher Education Services Corporation, New York State	823,459	1,113,949	500	500
State University of New York	459,460	466,100	784	1,859,046
FUNCTIONAL TOTAL	29,578,552	31,724,721	64,910	1,932,683
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,749	28,251
Civil Service, Department of	0	4,356	14,216	15,840
Deferred Compensation	0	0	58	111
Elections, State Board of	0	11,831	10,583	13,618
Employee Relations, Office of	0	0	6,542	6,736
Gaming Commission, New York State	0	0	6,527	6,431
General Services, Office of	0	0	77,795	103,722
Inspector General, Office of the	0	0	7,826	6,944
Labor Management Committee	0	0	26,225	134,002
Prevention of Domestic Violence, Office for	1,385	3,887	1,867	1,903
Public Employment Relations Board	0	0	3,719	3,672
Public Integrity, Commission on	0	0	5,835	5,582
State, Department of	4,317	33,197	7,952	11,059
Tax Appeals, Division of	0	0	3,150	3,040
Taxation and Finance, Department of	926	926	278,116	271,016
Technology, Office for	0	0	546,877	579,524
Veterans' Services, Division of	7,840	23,172	6,482	7,222
Welfare Inspector General, Office of	0	0	731	1,162
FUNCTIONAL TOTAL	14,468	77,369	1,028,250	1,199,835
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	143,685	141,263
Executive Chamber	0	0	14,032	17,854
Law, Department of	0	0	115,129	111,883
Judiciary	66,500	66,700	2,117,400	2,891,439
Legislature	0	0	248,106	450,102
Lieutenant Governor, Office of the	0	0	634	630
FUNCTIONAL TOTAL	98,524	98,725	2,638,986	3,613,171
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	170,000	0	0	0
Local Government Assistance	729,734	1,052,909	0	2,500
FUNCTIONAL TOTAL	899,734	1,052,909	0	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: FY 2021 Proposed Appropriations reflect amounts included in the Executive Budget.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2020
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Current</u>
Revenues:			
Taxes:			
Personal Income Tax	23,034	996	24,030
Consumption/Use Taxes	7,867	22	7,889
Business Taxes	6,111	122	6,233
Other Taxes	1,113	(1)	1,112
Miscellaneous Receipts	5,217	400	5,617
Federal Receipts	0	0	0
Total Receipts	<u>43,342</u>	<u>1,539</u>	<u>44,881</u>
Expenditures:			
Local Assistance	51,752	1,556	53,308
State Operations	13,241	76	13,317
General State Charges	5,946	179	6,125
Debt Service	0	0	0
Capital Projects	0	0	0
Total Disbursements	<u>70,939</u>	<u>1,811</u>	<u>72,750</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	34,835	561	35,396
Transfers To Other Funds	(9,042)	161	(8,881)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
Net Other Financing Sources (Uses)	<u>25,793</u>	<u>722</u>	<u>26,515</u>
Operating Surplus/(Deficit)	<u>(1,804)</u>	<u>450</u>	<u>(1,354)</u>
Accumulated Surplus/(Deficit)¹	<u>477</u>		<u>2,027</u>

GAAP FINANCIAL PLAN
GENERAL FUND
FY 2020 and FY 2021
(millions of dollars)

	<u>FY 2020</u> <u>Current</u>	<u>FY 2021</u> <u>Proposed</u>	<u>Annual</u> <u>Change</u>
Revenues:			
Taxes:			
Personal Income Tax	24,030	26,113	2,083
Consumption/Use Taxes	7,889	8,213	324
Business Taxes	6,233	6,996	763
Other Taxes	1,112	1,193	81
Miscellaneous Receipts	5,617	2,772	(2,845)
Federal Receipts	0	-	0
Total Receipts	<u>44,881</u>	<u>45,287</u>	<u>406</u>
Expenditures:			
Local Assistance	53,308	53,686	378
State Operations	13,317	13,421	104
General State Charges	6,125	6,281	156
Debt Service	0	-	0
Capital Projects	0	-	0
Total Disbursements	<u>72,750</u>	<u>73,388</u>	<u>638</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	35,396	36,075	679
Transfers To Other Funds	(8,881)	(9,400)	(519)
Proceeds From Financing Arrangements/ Advance Refundings	0	-	0
Net Other Financing Sources (Uses)	<u>26,515</u>	<u>26,675</u>	<u>160</u>
Operating Surplus/(Deficit)	<u>(1,354)</u>	<u>(1,426)</u>	<u>(72)</u>
Accumulated Surplus/(Deficit)	<u>2,027</u>	<u>601</u>	

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2020
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	39,264	5,957	1,439	35,044	81,704
Public Health/Patient Fees	0	3,155	0	400	3,555
Miscellaneous Receipts	5,617	4,616	1,752	3	11,988
Federal Receipts	0	68,955	2,231	74	71,260
Total Receipts	44,881	82,683	5,422	35,521	168,507
Expenditures:					
Local Assistance	53,308	76,970	4,926	0	135,204
State Operations	13,317	2,279	0	38	15,634
General State Charges	6,125	445	0	0	6,570
Debt Service	0	0	0	4,093	4,093
Capital Projects	0	0	8,031	0	8,031
Total Disbursements	72,750	79,694	12,957	4,131	169,532
Other Financing Sources (Uses):					
Transfers From Other Funds	35,396	3,673	3,461	3,639	46,169
Transfers To Other Funds	(8,881)	(3,438)	(1,552)	(34,981)	(48,852)
Proceeds Of General Obligation Bonds	0	0	389	0	389
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,775	0	5,775
Net Other Financing Sources (Uses)	26,515	235	8,073	(31,342)	3,481
Operating Surplus/(Deficit)	(1,354)	3,224	538	48	2,456

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2021
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	42,515	5,903	1,430	37,276	87,124
Public Health/Patient Fees	0	5,502	0	377	5,879
Miscellaneous Receipts	2,772	1,787	1,700	4	6,263
Federal Receipts	0	65,124	2,196	73	67,393
Total Receipts	45,287	78,316	5,326	37,730	166,659
Expenditures:					
Local Assistance	53,686	76,769	5,446	0	135,901
State Operations	13,421	2,262	0	44	15,727
General State Charges	6,281	479	0	0	6,760
Debt Service	0	0	0	4,826	4,826
Capital Projects	0	0	8,463	0	8,463
Total Disbursements	73,388	79,510	13,909	4,870	171,677
Other Financing Sources (Uses):					
Transfers From Other Funds	36,075	4,366	3,831	3,444	47,716
Transfers To Other Funds	(9,400)	(3,282)	(1,522)	(36,294)	(50,498)
Proceeds Of General Obligation Bonds	0	0	850	0	850
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,413	0	5,413
Net Other Financing Sources (Uses)	26,675	1,084	8,572	(32,850)	3,481
Operating Surplus/(Deficit)	(1,426)	(110)	(11)	10	(1,537)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2020
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal Income Tax	24,030	0	26,542	2,142	0	52,714
Consumption/Use Taxes	7,889	0	3,753	6,272	0	17,914
Business Taxes	6,233	0	0	2,604	0	8,837
Other Taxes	1,112	0	0	1,127	0	2,239
Public Health/Patient Fees	0	0	0	3,555	0	3,555
Miscellaneous Receipts	5,617	226	0	6,145	0	11,988
Federal Receipts	0	68,954	74	2,232	0	71,260
Total Receipts	44,881	69,180	30,369	24,077	0	168,507
Expenditures:						
Local Assistance	53,308	61,508	0	20,388	0	135,204
State Operations	13,317	1,862	32	423	0	15,634
General State Charges	6,125	326	0	119	0	6,570
Debt Service	0	0	3,744	349	0	4,093
Capital Projects	0	0	0	8,031	0	8,031
Total Disbursements	72,750	63,696	3,776	29,310	0	169,532
Other Financing Sources (Uses):						
Transfers From Other Funds	35,396	12	2,427	8,334	(40,126)	6,043
Transfers To Other Funds	(8,881)	(2,224)	(28,985)	(8,762)	40,126	(8,726)
Proceeds Of General Obligation Bonds				389	0	389
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	5,775	0	5,775
Net Other Financing Sources (Uses)	26,515	(2,212)	(26,558)	5,736	0	3,481
Operating Surplus/(Deficit)	(1,354)	3,272	35	503	0	2,456

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2021
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Special Revenue	General Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal Income Tax	26,113	0	28,433	1,971	0	56,517
Consumption/Use Taxes	8,213	0	3,914	6,451	0	18,578
Business Taxes	6,996	0	0	2,694	0	9,690
Other Taxes	1,193	0	0	1,146	0	2,339
Public Health/Patient Fees	0	0	0	5,879	0	5,879
Miscellaneous Receipts	2,772	231	0	3,260	0	6,263
Federal Receipts	0	65,123	73	2,197	0	67,393
Total Receipts	45,287	65,354	32,420	23,598	0	166,659
Expenditures:						
Local Assistance	53,686	60,772	0	21,443	0	135,901
State Operations	13,421	1,836	39	431	0	15,727
General State Charges	6,281	348	0	131	0	6,760
Debt Service	0	0	4,535	291	0	4,826
Capital Projects	0	0	0	8,463	0	8,463
Total Disbursements	73,388	62,956	4,574	30,759	0	171,677
Other Financing Sources (Uses):						
Transfers From Other Funds	36,075	12	2,414	9,215	(40,999)	6,717
Transfers To Other Funds	(9,400)	(2,054)	(30,234)	(8,810)	40,999	(9,499)
Proceeds Of General Obligation Bonds				850	0	850
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	5,413	0	5,413
Net Other Financing Sources (Uses)	26,675	(2,042)	(27,820)	6,668	0	3,481
Operating Surplus/(Deficit)	(1,426)	356	26	(493)	0	(1,537)

GAAP COMBINING STATEMENT
GENERAL FUND
FY 2020
(millions of dollars)

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	COMMUNITY PROJECTS (10250-10299)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	RAINY DAY RESERVE (10800-10349)	CENTRALIZED SERVICES (55000-55049)	STATE EXPOSITION SPECIAL (50050-50099)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	AGENCY ENTERPRISE (50300-50399)	AGENCY INTERNAL SERVICE (55050-55099)
Revenues:										
Personal Income Tax	-	24,030	0	0	0	0	0	0	0	0
Consumption/Use Taxes	-	7,889	0	0	0	0	0	0	0	0
Business Taxes	-	6,233	0	0	0	0	0	0	0	0
Other Taxes	-	1,112	0	0	0	0	0	0	0	0
Miscellaneous Receipts	-	2,894	0	0	0	372	14	39	31	139
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	-	42,158	0	0	0	372	14	39	31	139
Expenditures:										
Local Assistance	52,262	0	20	0	0	0	0	0	0	0
State Operations	12,030	0	0	0	0	378	13	39	31	165
General State Charges	5,643	0	0	0	0	25	0	0	3	20
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	52,262	17,673	20	0	0	403	13	39	34	185
Other Financing Sources (Uses):										
Transfers From Other Funds	-	34,646	0	0	428	47	0	0	0	62
Transfers To Other Funds	(1,700)	(7,242)	(16)	0	0	(15)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	(1,700)	27,404	(16)	0	428	32	0	0	0	0
Net Other Financing Sources (Uses)										
Operating Surplus/(Deficit)	(53,962)	51,889	(36)	0	428	1	1	0	(4)	14
Revenues:										
Personal Income Tax	-	-	0	0	0	0	0	0	0	24,090
Consumption/Use Taxes	-	-	0	0	0	0	0	0	0	7,889
Business Taxes	-	-	0	0	0	0	0	0	0	6,233
Other Taxes	-	-	0	0	0	0	0	0	0	1,112
Miscellaneous Receipts	2,641	2	3	3	1	32	19	49	(622)	5,617
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	2,641	2	3	3	1	32	19	49	(622)	44,888
Expenditures:										
Local Assistance	1,026	-	0	0	0	0	0	0	0	53,308
Agency Operations	1,176	2	3	2	1	23	14	62	(622)	13,317
General State Charges	406	-	0	0	1	8	7	12	0	6,125
Debt Service	-	-	0	0	0	0	0	0	0	0
Capital Projects	-	-	0	0	0	0	0	0	0	0
Total Disbursements	2,608	2	3	2	2	31	21	74	(622)	72,750
Other Financing Sources (Uses):										
Transfers From Other Funds	544	-	0	0	0	0	8	21	(360)	35,396
Transfers To Other Funds	(261)	-	0	0	0	0	(4)	0	360	(8,881)
Proceeds From Financing Arrangements/Advance Refundings	-	-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	283	-	0	0	0	0	4	21	0	26,515
Operating Surplus/(Deficit)	316	-	0	1	(1)	1	2	(4)	0	(1,354)

GAAP COMBINING STATEMENT
GENERAL FUND
FY 2021
(millions of dollars)

	LOCAL ASSISTANCE ACCOUNT (10900-10949)	STATE OPERATIONS ACCOUNT (10950-10999)	COMMUNITY PROJECTS (10250-10299)	FINANCE RESERVE ESCROW ACCOUNT (10900-10949)	RAINY DAY RESERVE (10900-10949)	CENTRALIZED SERVICES (5900-5909)	STATE EXPENDITURE SPECIAL (60950-60999)	CORRECTIONAL COMMISSARY (60100-60299)	AGENCY ENTERPRISE (60300-60399)	AGENCY INTERNAL SERVICE (59050-59099)
Revenues:										
Personal Income Tax		26,113	0	0	0	0	0	0	0	0
Consumption/Use Taxes		8,213	0	0	0	0	0	0	0	0
Business Taxes		6,996	0	0	0	0	0	0	0	0
Other Taxes		1,193	0	0	0	0	0	0	0	0
Miscellaneous Receipts		2,021	0	0	0	375	18	44	31	169
Federal Receipts		-	0	0	0	0	0	0	0	0
Total Receipts		44,536	0	0	0	375	18	44	31	169
Expenditures:										
Local Assistance	53,623									
State Operations		12,831								
General State Charges		5,781								
Debt Service		-								
Capital Projects		-								
Total Disbursements	53,623	18,612	0	0	0	413	20	44	34	223
Other Financing Sources (Uses):										
Transfers From Other Funds		35,500	0	0	0	49	3	0	0	62
Transfers To Other Funds		(1,852)	0	0	0	(6)	0	0	0	(2)
Proceeds From Financing Arrangements/Advance Refundings		-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,852)	27,661	0	0	0	43	3	0	(1)	60
Operating Surplus/(Deficit)	(55,475)	53,785	0	0	0	5	1	0	(4)	6

	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	MENTAL HYGIENE REVOLVING (55100-55149)	SHELTERED WORKSHOP FUNDS (50400-50499)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	AUDIT AND CONTROL REVOLVING (55250-55299)	HEALTH INSURANCE REVOLVING (55300-55349)	CORRECTIONAL INDUSTRIES REVOLVING (55350-55399)	Eliminations	Total
Revenues:										
Personal Income Tax		-	0	0	0	0	0	0	0	26,113
Consumption/Use Taxes		-	0	0	0	0	0	0	0	8,213
Business Taxes		-	0	0	0	0	0	0	0	6,996
Other Taxes		-	0	0	0	0	0	0	0	1,193
Miscellaneous Receipts	671	2	3	3	1	32	19	49	(666)	2,772
Federal Receipts		-	0	0	0	0	0	0	0	0
Total Receipts	671	2	3	3	1	32	19	49	(666)	46,287
Expenditures:										
Local Assistance	63									59,686
Agency Operations	470		3	2	1	23	13	62	(666)	13,421
Fringe Benefits/Fixed Costs	418		0	0	1	8	7	12	0	6,281
Debt Service			0	0	0	0	0	0	0	0
Capital Projects			0	0	0	0	0	0	0	0
Total Disbursements	951	2	3	2	2	31	20	74	(666)	79,388
Other Financing Sources (Uses):										
Transfers From Other Funds	548		0	0	0	0	0	0	(120)	36,075
Transfers To Other Funds	(16)		0	0	0	0	(4)	0	120	(9,400)
Proceeds From Financing Arrangements/Advance Refundings	532		0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	252	-	0	0	0	0	0	0	0	26,675
Operating Surplus/(Deficit)	252	-	0	0	0	1	7	(8)	0	(1,428)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2020
(millions of dollars)

	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Cash Financial Plan	Special Revenue Funds	Other Funds	Entity Difference						
Revenues:										
Taxes:										
Personal Income Tax	24,333				24,333	(303)				24,030
Consumption/Use Taxes	8,123				8,123	(234)				7,889
Business Taxes	6,400				6,400	(167)				6,233
Other Taxes	1,112		-		1,112	-				1,112
Miscellaneous Receipts	2,979	2,641	704		6,324	(75)	(70)	(622)	60	5,617
Federal Receipts	-	-	704		0	(779)	(70)	(622)	60	0
Total Revenues	42,947	2,641	704		46,292	(779)	(70)	(622)	60	44,881
Expenditures:										
Local Assistance	53,573	1,026	-		54,599	(14)	0	0	(1,277)	53,308
State Operations	11,738	1,435	731		13,904	(39)	(70)	(622)	144	13,317
General State Charges	7,626	406	77		8,109	17	0	0	(2,001)	6,125
Debt Service	-	-	-		0	0	0	0	0	0
Capital Projects	-	-	-		0	-	0	0	0	0
Total Expenditures	72,937	2,867	808		76,612	(36)	(70)	(622)	(3,134)	72,750
Other Financing Sources (Uses):										
Transfers From Other Funds	35,385	544	137		36,066	-	0	(360)	(310)	35,396
Transfers To Other Funds	(6,074)	(261)	(22)		(6,357)	-	0	360	(2,884)	(8,881)
Proceeds From Financing Arrangements/ Advance Refundings	-	-	-		0	-	0	0	0	0
Net Other Financing Sources (Uses)	29,311	283	115		29,709	-	0	0	(3,194)	26,515
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(679)	57	11		(611)	(743)	0	0	0	(1,354)
(Increase)/Decrease In Reserves										
Operating Surplus/(Deficit)	(679)	57	11		(611)	(743)	0	0	0	(1,354)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)

	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23448)	STATE UNIVERSITY INCOME (22150-22699)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	STATE LOTTERY (20900-20949)	Fund Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Revenues:										
Taxes	5,961	0	0	0	0	0	0	0	(4)	5,957
Public Health	0	0	0	0	0	3,155	0	0	0	3,155
Miscellaneous Receipts	18,601	(99)	(4,723)	(2,641)	(3,421)	(3,155)	0	0	0	4,616
Federal Receipts	63,859	0	0	0	0	(312)	0	0	738	68,955
Total Receipts	88,421	(99)	(4,723)	(2,641)	(3,421)	0	(312)	0	788	82,688
Expenditures:										
Local Assistance	72,947	0	(5,973)	(1,026)	(318)	0	0	0	687	76,970
State Operations	10,013	(96)	(5,973)	(1,435)	(85)	0	(312)	0	117	2,279
General State Charges	1,380	0	(518)	(406)	(12)	0	0	0	1	445
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	84,340	(96)	(6,491)	(2,867)	(965)	0	(312)	0	815	79,694
Other Financing Sources (Uses):										
Transfers From Other Funds	2,387	0	(1,879)	(544)	3,366	0	0	343	0	3,673
Transfers To Other Funds	(3,546)	0	190	261	0	0	0	(343)	0	(3,438)
Net Other Financing Sources (Uses)	(1,159)	0	(1,689)	(283)	3,366	0	0	0	0	235
Operating Surplus/(Deficit)	(3)	(3)	(79)	(57)	310	0	0	0	(27)	3,224

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
FY 2020
(millions of dollars)

	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE CAPITAL PROJECTS (30000-30049)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Revenues:									
Taxes	1,440	0	0	0	0	0	0	(1)	1,439
Miscellaneous Receipts	7,717	(63)	(686)	0	0	0	(5,217)	1	1,752
Federal Receipts	2,229	0	0	0	0	0	0	2	2,231
Total Receipts	11,386	(63)	(686)	0	0	0	(5,217)	2	5,422
Expenditures:									
Local Assistance	4,921	0	0	0	0	0	0	5	4,926
Capital Projects	8,507	(63)	(905)	(9)	0	558	0	6	8,031
Total Disbursements	13,428	(63)	(905)	(9)	0	558	0	11	12,957
Other Financing Sources (Uses):									
Transfers From Other Funds	3,524	0	0	0	0	0	0	0	3,461
Transfers To Other Funds	(1,552)	0	0	0	0	0	0	0	(1,552)
Proceeds Of GO Bonds	389	0	0	0	0	0	0	0	389
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	558	5,217	0	5,775
Net Other Financing Sources (Uses)	2,361	(63)	0	0	0	558	5,217	0	8,073
Operating Surplus/(Deficit)	319	0	0	9	0	0	0	(9)	538

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2020
(millions of dollars)

	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
Revenues:					
Taxes	35,021	0	0	23	35,044
Patient Fees	0	400	0	0	400
Miscellaneous Receipts	404	(400)	0	0	3
Federal Receipts	74	0	0	0	74
Total Receipts	35,499	(1)	0	23	35,521
Expenditures:					
State Operations	38	0	0	0	38
Debt Service	5,166	0	(1,073)	0	4,093
Total Disbursements	5,204	0	(1,073)	0	4,131
Other Financing Sources (Uses):					
Transfers From Other Funds	3,639	0	0	0	3,639
Transfers To Other Funds	(33,908)	0	(1,073)	0	(34,981)
Net Other Financing Sources (Uses)	(30,269)	0	(1,073)	0	(31,342)
Operating Surplus/(Deficit)	26	(1)	0	23	48

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2021
(millions of dollars)

	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Cash Financial Plan	Special Revenue Funds	Other Funds	Entity Difference						
Revenues:										
Taxes:										
Personal Income Tax	26,405				26,405	(292)				26,113
Consumption/Use Taxes	8,496				8,496	(283)				8,213
Business Taxes	7,228				7,228	(232)				6,996
Other Taxes	1,193		-		1,193	-				1,193
Miscellaneous Receipts	2,106	671	745		3,522	(74)	(70)	(666)	60	2,772
Federal Receipts	-	-			0					0
Total Revenues	45,428	671	745		46,844	(881)	(70)	(666)	60	45,287
Expenditures:										
Local Assistance	54,775	63	-		54,838	191	0	0	(1,343)	53,686
State Operations	12,586	730	787		14,103	(115)	(70)	(666)	169	13,421
General State Charges	7,910	417	84		8,411	(154)	0	0	(1,976)	6,281
Debt Service	-	-	-		0			0		0
Capital Projects	-	-	-		0		0	0	0	0
Total Expenditures	75,271	1,210	871		77,352	(78)	(70)	(666)	(3,150)	73,388
Other Financing Sources (Uses):										
Transfers From Other Funds	35,870	548	147		36,565	-	(120)	0	(370)	36,075
Transfers To Other Funds	(6,650)	(17)	(13)		(6,680)	-	120	0	(2,840)	(9,400)
Proceeds From Financing Arrangements/ Advance Refundings	-	-	-		0	-	0	0	0	0
Net Other Financing Sources (Uses)	29,220	531	134		29,885	-	0	0	(3,210)	26,675
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(623)	(8)	8		(623)	(803)	0	0	0	(1,426)
(Increase)/Decrease In Reserves										
Operating Surplus/(Deficit)	(623)	(8)	8		(623)	(803)	0	0	0	(1,426)

GAAP FINANCIAL PLAN
GENERAL FUND
FY 2021 THROUGH FY 2024
(millions of dollars)

	FY 2021 <u>Proposed</u>	FY 2022 <u>Projected</u>	FY 2023 <u>Projected</u>	FY 2024 <u>Projected</u>
Revenues:				
Taxes:				
Personal Income Tax	26,113	27,698	29,057	30,519
Consumption/Use Taxes	8,213	8,481	8,756	9,078
Business Taxes	6,996	7,151	7,354	7,579
Other Taxes	1,193	1,250	1,307	1,368
Miscellaneous Receipts	2,772	2,975	2,176	1,495
Federal Receipts	0	-	-	-
Total Receipts	<u>45,287</u>	<u>47,555</u>	<u>48,650</u>	<u>50,039</u>
Expenditures:				
Local Assistance	53,686	56,702	59,363	61,916
State Operations	13,421	13,671	13,859	13,919
General State Charges	6,281	6,691	7,091	7,413
Debt Service	0	-	-	-
Capital Projects	0	-	-	-
Total Disbursements	<u>73,388</u>	<u>77,065</u>	<u>80,313</u>	<u>83,247</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	36,075	36,631	37,707	39,406
Transfers To Other Funds	(9,400)	(10,220)	(10,385)	(9,795)
Proceeds From Financing Arrangements/ Advance Refundings	0	-	-	-
Net Other Financing Sources (Uses)	<u>26,675</u>	<u>26,411</u>	<u>27,323</u>	<u>29,611</u>
Operating Surplus/(Deficit)*	<u>(1,426)</u>	<u>(3,100)</u>	<u>(4,341)</u>	<u>(3,597)</u>

*FY 2021 through FY 2023 operating deficits do not reflect the impact of the State's adherence to the two percent spending benchmark, which would reduce expenditures from current forecasted levels.

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		Commercial Gaming Revenue Fund	Special Revenue

STATE OF NEW YORK				APPENDIX
LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
23750-23799		Medical Marihuana Trust Fund	Special Revenue	
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue	
24850-24899		Health Care Transformation Fund	Special Revenue	
24900-24949		Charitable Gifts Trust Fund	Special Revenue	
24950-24999		Interactive Fantasy Sports Fund	Special Revenue	
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue	
25100-25199	265	Federal Health and Human Services Fund	Special Revenue	
25200-25249	267	Federal Education Fund	Special Revenue	
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue	
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue	
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue	
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue	
40350-40399	330	State University Dormitory Income Fund	Special Revenue	
30000-30049	002	State Capital Projects Fund	Capital Projects	
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects	
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects	
30300-30349	075	NYS Canal System Development Fund	Capital Projects	
30350-30399	076	State Park Infrastructure Fund	Capital Projects	
30400-30449	077	Passenger Facility Charge Fund	Capital Projects	
30450-30499	078	Environmental Protection Fund	Capital Projects	
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects	
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects	
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects	
30620-30629	105	Pure Waters Bond Fund	Capital Projects	
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects	
30640-30649	115	Environmental Quality Protection Fund	Capital Projects	
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects	
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects	
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects	
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects	
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects	
30700-30709	119	State Housing Bond Fund	Capital Projects	
30710-30719		Smart Schools Bond Fund	Capital Projects	
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects	
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects	
31350-31449	291	Federal Capital Projects Fund	Capital Projects	
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects	
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects	
31650-31699	327	Suburban Transportation Fund	Capital Projects	
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects	
31800-31849	374	Housing Assistance Fund	Capital Projects	
31850-31899	376	Housing Program Fund	Capital Projects	
31900-31949	378	Natural Resource Damages Fund	Capital Projects	
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects	
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects	
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects	
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects	
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects	
32400-32999	384	State University Capital Projects Fund	Capital Projects	
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects	
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects	
40000-40049	064	Debt Reduction Reserve Fund	Debt Service	
40100-40149	304	Mental Health Services Fund	Debt Service	
40150-40199	311	General Debt Service Fund	Debt Service	
40250-40299	316	Housing Debt Fund	Debt Service	
40300-40349	319	Department of Health Income Fund	Debt Service	
40400-40449	361	Clean Water/Clean Air Fund	Debt Service	
40450-40499	364	Local Government Assistance Tax Fund	Debt Service	
50000-50049	324	Youth Commissary Account	Enterprise	
50050-50099	325	State Exposition Special Account	Enterprise	
50100-50299	326	Correctional Services Commissary Account	Enterprise	
50300-50399	331	Agencies Enterprise Fund	Enterprise	

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

