

# Investment Services Account

## NOMINATION FORM

In order to safeguard your interest, it is important that all the documents are duly completed and signed by you, prior to being handed over to the Bank/Bank Employee. (For Bank Use Only - AMC Folio No.):

Account Holder(s) Name/Title	Date :	<table style="width: 100%; text-align: center; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; width: 20px;">D</td> <td style="border: 1px solid black; width: 20px;">D</td> <td style="border: 1px solid black; width: 20px;">M</td> <td style="border: 1px solid black; width: 20px;">M</td> <td style="border: 1px solid black; width: 20px;">Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	Batch No. :	<input style="width: 100%;" type="text"/>
D	D	M	M	Y	Y	Y	Y					
1st Holder :	<input style="width: 100%;" type="text"/>											
2nd Holder :	<input style="width: 100%;" type="text"/>											
3rd Holder :	<input style="width: 100%;" type="text"/>											
Citibank Account No. :	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>	<input style="width: 20px;" type="text"/>			
	Investment Services Account No.:					<input style="width: 20px;" type="text"/>						

### Nomination Details

Please note that the nomination section needs to be mandatorily completed for all first time transactions to an AMC. (Only for Individual Accounts with Single Holder & Sole Proprietor Accounts)

The Bank recommends that all Customers avail nomination facility. Nomination facility is intended only for individuals including a sole proprietary concern. It may be noted that in the case of a Joint Account the nominee's right arises only after the death of all the holders.

I/ We do hereby wish to:  
 nominate the under mentioned Nominee  cancel the nomination made by me/us earlier  modify the nomination made by me/us earlier  Not nominate anyone on my behalf

Kindly note that the nominee/s stated below will be affected for all the units in the respective Asset Management Company's Folio. In case you do not receive a confirmation of the updation of the nomination stated below kindly contact the branch / relationship manager for clarifications.

I/ We do hereby nominate the under mentioned Nominee to receive the Units to my / our credit pertaining to the \_\_\_\_\_ ("AMC") (Please complete details of the Fund House) the Investment Services Account No. as mentioned in the form in the event of my event of my/our death. I / we also understand that all payments and settlements made to such Nominee (upon such documentation) shall be a valid discharge by the AMC.

Nominee 1 Name :   
(Please enter in capital letters)

Nominee 2 Name :   
(Please enter in capital letters)

Nominee 3 Name :   
(Please enter in capital letters)

(The below table is not required to be filled in case you wish to cancel a nomination)

	Signature of Nominee**	Relationship	Date of Birth	Name & Address of Guardian		Signature of Guardian	Proportion*(%) by which the units will be shared by each nominee (should aggregate to 100%)
				To be furnished incase the Nominee is a minor			
Nominee 1							
Nominee 2							
Nominee 3							100%

Witness Name :

(Witness name, address and signature only required if customer's thumb impression has been used)  
 (Please note that Bank employee cannot sign as witness)

Witness Address :

Signature of Witness:

### Nomination Facility Terms and Conditions

\*In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent. If the aggregate is less than 100% then the balance will be re-balanced to the first Account Holder. If the aggregate is greater than 100% then nomination would be rejected. In the event of the Account Holders not indicating the percentage of allocation/share for each of the nominees, Mutual Fund / Asset Management Company, by invoking default option shall settle the claim equally amongst all the nominees.

The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. The Nomination being made in terms hereof shall supercede all previous nominations so made and/or effected by me. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the Units are held jointly, all joint holders will sign the form. Nomination can be made for maximum number of three nominees. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force from time to time. Nomination in respect of the Units stands rescinded upon the transfer of Units. Transfer of Units in favour of a Nominee shall be valid discharge by the AMC against the legal heir. The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the Bank / AMC shall not be under any obligation to transfer the Units in favour of the Nominee. Nomination will not be allowed for minor accounts. \*\*Kindly note that signature of nominee is not mandatory.

Please note that this nomination request will override the existing nomination in case the same has already been recorded for your account earlier.

I/We acknowledge that the term "United States Person" or "US Person"(s) refers to: (a) a United States Citizen, and/or; (b) a United States resident; meaning a green card holder, or (c) an individual physically present in the United States for 31 days in the current calendar year and 183 days during the 3 year period that includes the current year and the two years immediately before that, counting, (i) all the days present in the US in the current year, (ii) 1/3 of the days present in the US in the first year before the current year, and (iii) 1/6 of the days present in the US in the second year before the current year; and it could also include Clients with a US address and/or, Social Security Number. For Companies / Corporations it refers to: (a) a corporation, partnership or entity organised or existing under the laws of any state territory or possession of the United States; (b) an estate or trust of which any executor, administrator or trustee is a United States Person; (c) an agency or branch of a foreign entity located in the United States; and it could also include Companies with US address and/or; Companies owned or controlled by a US Person (ownership of 10% or more by a US Person).

• I am/we are not - Residents of Canada, US Person . I/we further declare and undertake that I/we shall, immediately upon becoming a Resident(s) of Canada, US Person, person not resident in India who is a Non Resident Indian (s) or a Person of Indian Origin inform the Bank of such change in my status.



VER.13/BAN/SAN/INVESTMENT/WPC/05-19

Kindly note that all Account Holders are required to sign in order to effect a nomination.  
The form should be signed after all details are completely filled.

Signature of 1st Holder/Authorised Signatory :		Signature of 2nd Holder/Authorised Signatory :		Signature of 3rd Holder/Authorised Signatory :	
1st Holder Name :		2nd Holder Name :		3rd Holder Name :	

<b>For Bank Use Only</b>	<b>Maker</b>	<b>Checker</b>
	<input type="checkbox"/> Customer Signature Verified <input type="checkbox"/> All signatories to the account to have signed in case of a nomination/intention to not nominate <input type="checkbox"/> Nomination/intention to not nominate mandatory for first time txns. to an AMC for Individual Accounts with Single Holder & Sole Proprietor Accounts <input type="checkbox"/> Nomination not done for minor accounts	<input type="checkbox"/> Signature Verified by Maker <input type="checkbox"/> All signatories to the account to have signed in case of a nomination/intention to not nominate <input type="checkbox"/> Nomination/intention to not nominate mandatory for first time txns. to an AMC for Individual Accounts with Single Holder & Sole Proprietor Accounts <input type="checkbox"/> Nomination not done for minor accounts
	Maker Signature : _____	Checker Signature : _____
	Stamp-Name/ID : _____	Stamp-Name/ID : _____

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