

Attachment 2

HITRUST CSF® Assessor Quality Checklist

<u>Procedure</u>	<u>Signoff (Initials)</u>	
	<u>Engagement/Lead Executive</u>	<u>QA Reviewer</u>
All required documents have been collected for submission to HITRUST®, including: <ul style="list-style-type: none">• HITRUST CSF Assurance Participation Agreement• Management Representation Letter• Test Plan• Working Papers• Overview & Scope• HITRUST Assessor Quality Checklist		
Test plan is documented consistent with HITRUST Assurance Program Requirements.		
All workpapers have been reviewed by appropriate team members and contain the required information as documented in the HITRUST CSF Assurance Program Requirements.		
Testing exceptions were appropriately documented in the comment field and reflected in the scoring.		
All testing was performed within 90 days of submission date.		
All items tested had been approved and/or implemented for 90 days prior to being tested.		
At least 50% of the validation and 100% of QA work was performed by HITRUST CCSFPs who are current on their certifications.		
Appropriate sampling guidance was followed when HITRUST Illustrative Procedures suggest testing a sample to validate the operation of a control requirement.		
Any changes to scores were discussed and agreed with the client before submitting the engagement object to HITRUST.		
Where reliance was placed on testing performed by a third party, the timing of when the tests were performed was considered per the HITRUST CSF Assurance Program Requirements.		
The timesheet accurately reflects the hours spent performing scoring validation.		
The QA Reviewer has reviewed and approved the scoring and HITRUST CSF Assessor commenting entered into the HITRUST MyCSF.		

N/A scoring and associated comments entered into the HITRUST MyCSF are appropriate based upon the scope and risk factors of the engagement.		
The overview and scoping documentation, along with the system table, were reviewed for grammar, accuracy, completeness and approved by assessed entity.		
Staff resources performing the HITRUST Assessment procedures were not involved in designing, implementing or operating the controls that were tested and validated.		

I have performed the above procedures where indicated by my initials and in compliance with the HITRUST CSF Assurance Program requirements.

Signature of Client Service Executive

Signature of QA Reviewer