

Risk Management Checklist		Score	Actions/Comments	ASS6.06
2 = Full Compliance	This is common practice at this operation; i.e. at least 80% of the time	Score		
1 = Part Compliance	This occurs less than 80% but more than 40% of the time			
0 = Not Compliant	This occurs less than 40% of the time			
Using examples from Hazard/Risk Registers, Work Permits, JSAs, SOPs, Design Reviews, HAZOPS, Modification Control, do you have:				
Systematic processes for the identification of hazards?				
Systematic processes for the identification of the associated risk scenarios of the identified hazards?				
Systematic processes for risk assessments carried out on the identified risk scenarios with realistic consequences and likelihoods?				
Assessment of Consequence and Likelihood using the company's Consequence and Likelihood Matrices?				
Combination of the score from the Consequence and Likelihood matrices to give an overall risk rating somewhere between 2 and 10, with 2 the lowest and 10 the highest?				
Understanding of the levels of risks assessed into Extreme, High, Moderate and Low to apply priority to risk reduction activities?				
Understanding of the implementation of controls in accordance with the Hierarchy of Controls?				
When implementing controls to achieve risk tolerability for low risks or risks to ALARP (as low as reasonable practical), do you ensure:				
Rigorous Hazard Identification and Risk Assessment process				
Engagement of expertise				
Consultation with those exposed to the risk				
Awareness of past accidents on similar plant				
Application of the organisation's Codes of Practice				
Awareness of how this risk is already managed within the organisation				
Awareness of legislative obligations				
Awareness of past failings and prosecutions				
Application of the organisation's Guarding Guidelines				
Rigorous application of the Hierarchy of Control as an obligation				
Belief that "all accidents are preventable"				

Do you always avoid:		
Lax application of risk management processes		
Past over-reliance on administrative or procedural control measures		
Existing risk levels already accepted within the business		
Lack of consultation with those exposed to the risk		
Lack of awareness of available technology or methods to mitigate risk		
Lack of awareness of legislative obligations		
Past acceptance of risky behaviour		
Not aware of the definition of “reasonably practicable”		
Too great an emphasis on avoiding additional cost		
Disbelief that “all accidents are preventable”		
To ensure you have reached ALARP with your control measures, do you always consider:		
Where on the Hierarchy of Control does the current control measure lie?		
What would I do tomorrow if an accident happened today?		
Could I defend that I have done all that is reasonably practicable in a court of law?		
What circumstances would lead to a failure of the current control measure?		
How is this risk managed on similar plant or equipment within the organisation and other similar industries?		
Is the relevant and appropriate management representative involved in the approval?		
Is the cost of doing more, grossly disproportionate to any further risk reduction that could be achieved?		
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