



FILED

OFFICE OF
INSURANCE COMMISSIONER

2013 MAR -8 A 8: 23

IN THE MATTER OF:

DARRYL L. STROM,

Licensee.

NO. 13-0035

OFFICE OF THE INSURANCE
COMMISSIONER WITNESS LIST

Hearings Unit, DIC
Principal, Felton
Chief, Compliance Officer

COMES NOW the Office of the Insurance Commissioner (OIC) and provides the following list of witnesses. The OIC reserves the right to call the Licensee and supplement and amend this list. The Department anticipates all witnesses will testify in person.

<u>Witness Name</u>	<u>Current Address</u>	<u>Telephone No.</u>
Jeff Baughman Program Manager Licensing and Education Consumer Protection Division	OIC Consumer Protection PO Box 40255 Olympia, WA 98504-0255	(360) 725-7156

Mr. Baughman may testify concerning licensing procedures and requirements as well as sanctions.

Cheryl Penn Investigator Licensing and Education Consumer Protection Division	OIC Consumer Protection PO Box 40255 Olympia, WA 98504-0255	(360) 725-7153
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Ms. Penn may testify concerning licensing procedures and requirements as well as experience with Licensee.

RESPECTFULLY SUBMITTED this 8th day of March, 2013

MIKE KREIDLER
Insurance Commissioner

Kate Reynolds
KATE REYNOLDS
Staff Attorney, Legal Affairs
Office of the Insurance Commissioner



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OFFICE OF
INSURANCE COMMISSIONER

Hearings Unit, DIC
Principal, Helgeson
Chief Insurance Officer

IN THE MATTER OF:

DARRYL L. STROM,

Licensee.

NO. 13-0035

OFFICE OF THE INSURANCE
COMMISSIONER EXHIBIT LIST

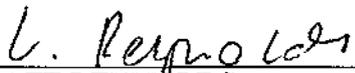
COMES NOW the Office of the Insurance Commissioner (OIC) and provides the following list of exhibits it may use at the hearing scheduled in this matter. The OIC reserves its right to amend its exhibit list for good cause shown.

1. Email to Darryl Strom from Cheryl Penn dated December 10, 2012 regarding ability to apply for a license;
2. Application Summary for Darryl Strom;
3. Letter to Darryl Strom from Jeff Baughman dated January 3, 2013 regarding the producer license application;
4. Explanation to Actions Not Disclosed on Application received by the OIC from Darryl Strom;
5. Email to Darryl Strom from Cheryl Penn dated January 4, 2013 regarding FINRA action;
6. Agreement between Darryl Strom and the OIC dated September 13, 1993;
7. Order Revoking License issued September 4, 1996;
8. Amended Findings of Facts, Conclusions of Law and Order on Hearing entered March 31, 1997;
9. Snohomish County Superior Court Findings of Fact, Conclusion of Law and Order entered February 27, 1998;

- 1 10. Department of Financial Institutions Summary Order to Cease and Desist
2 issued October 23, 1995; Amended and Restated Statement of Charges issued
3 November 20, 1995; and Statement of Charges, Notice of Intent to Revoke
4 issued October 16, 1995;
- 5 11. Snohomish County Superior Court Preliminary Injunction entered January 8,
6 1997;
- 7 12. NASD Order Accepting Offer of Settlement of Darryl Strom dated May 29,
8 1998;
- 9 13. Excerpt from NASD Disciplinary Actions Reported for August 1998;
- 10 14. FINRA Broker Check Report for Darryl Strom;
- 11 15. Department of Licensing Findings of Fact, Conclusions of Law, and Initial
12 Order entered November 20, 2000;
- 13 16. Department of Licensing Final Order of Director entered October 1, 2001;
- 14 17. Email to Darryl Strom from Cheryl Penn dated February 19, 2013 regarding
15 denial of licensc.

16 RESPECTFULLY SUBMITTED this 8th day of March, 2013

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18 MIKE KREIDLER
Insurance Commissioner

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21 KATE REYNOLDS
22 Staff Attorney, Legal Affairs
23 Office of the Insurance Commissioner
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