

## Customer Profile Questionnaire

Name : (Chinese) \_\_\_\_\_ (English) \_\_\_\_\_ (Mr./ Ms/ Mrs.)

Tel. No. : \_\_\_\_\_ Email address : \_\_\_\_\_

Address : \_\_\_\_\_

Age :  20- 29  30 - 39  40 - 49  50 or above

Education Level :  Primary Level  Secondary Level  Post secondary  University  Post-graduate

Occupation :  Professional / Executive / Employer  Blue collar  Retired  House-wife  Others \_\_\_\_\_ (pls specify)

**1. Are you existing(?) Sun Hung Kai Financial client?**

Yes  No

**2. Which financial product (s) that you are holding?** (can pick more than 1 product)

Securities  Futures  Unit Trusts/Mutual Funds(?)  Unit Links  Bonds  
 Foreign Exchange  Bullion  Commodities  Insurance  Others \_\_\_\_\_ (pls specify)

**3. Which financial product (s) / service you are interested in?** (can pick more than 1 product)

Securities  Futures  Unit Trusts/Mutual Funds(?)  Unit Links  Bonds  
 Foreign Exchange  Bullion  Commodities  Insurance  Others \_\_\_\_\_ (pls specify)

**4. Which channel of securities trading you prefer?** (can pick more than 1 channel)

Account Executive  Online Trading  Branch Network  IVRS

**5. Your investment experience**

Less than 6 months  6 months – 1 year  1 – 3 years  3 –5 years  5 – 10 years  10 years or above

**6. Your monthly personal income (HK\$)**

Less than \$20,000  \$20,000 - \$49,999  \$50,000 - \$74,999  \$75,000 - \$99,999  
 \$100,000 - \$499,999  \$500,000 or above

**7. Your total investment amount (HK\$)**

Less than \$50,000  \$50,000 - \$99,999  \$100,000 - \$299,999  \$300,000 - \$499,999  
 \$500,000 - \$999,999  \$1,000,000 or above

**8. Risk Tolerance**

Aggressive  Moderate  Conservative

**Acknowledgement: I hereby acknowledge and expressly consent that Sun Hung Kai Financial group of companies can use my personal data provided herein to contact me by whatever means (including telephone call and visit in person).**

**Important: The information you provide above is collected to enable Sun Hung Kai Financial group of companies (“SHK”) to carry on marketing or related activities. You hereby give SHK permission to use all kinds of communication method (including mails, emails and telephone, etc) to contact you for such activities. You have the right to obtain access to and to request correction of any personal information concerning the above held by SHK and can make request for such access or correction to customer service. (Customer Service Hotline: 2822 5001 / Fax: 3920 2789)**