SAVE FORM PRINT FORM

Princeton Research
Electronic Research Administration

Non-Funded Agreement Assurance

This form must be submitted and uploaded with each Agreements Module Non-Funded Agreement that requires ORPA review and signature. Download this form to your computer prior to completion.

As the Select from List I certify to the best of my knowledge:

- Relative to my role on this Non-Funded Agreement/Project, I am responsible for the completeness of the information included in this Princeton ERA record and certify that the information herein is true and accurate. If I am the lead PI, I further certify that I am responsible for this Agreement and the activity it supports in its entirety.
- I am not debarred, suspended or proposed for debarment or suspension, declared ineligible or otherwise excluded from receiving federal monies by any agency of the United States Government.
- My required annual University Conflict of Interest (COI) disclosure is accurate, complete and updated. I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies.
- I have read, understood and approve this Non-Funded Agreement and any related project statement of work. I also understand that I may be obligated to report on this Non-Funded Agreement/Project in external funding proposals and progress reports in accordance with University and sponsor policies.
- I have reviewed and accept the University requirements and policies applicable to this Non-Funded Agreement/Project. If the Agreement is executed by all parties, I accept responsibility for the scientific, administrative and financial activities relative to my role under this Non-Funded Agreement/Project. I am responsible relative to my role under this Non-Funded Agreement/Project for executing it in accordance with University policies and Agreement requirements, including, as applicable, engagement with University compliance offices (e.g., related to human subject research, information security) as well as submission of required technical/progress reports in a timely and complete fashion.
- **I understand any false, fictitious or fraudulent statements or claims may subject me personally to criminal, civil or administrative penalties.**

Please complete these questions as they apply to this Non-Funded Agreement submission.

Funding or Related Agreement Questions

Is this Non-Funded Agreement is directly related to either an externally funded proposal, an existing external award/ agreement or an external award/agreement currently under negotiation? Yes No

If yes, please provide the related Princeton ERA Funding Proposal Number (from the Grants module), Princeton ERA Agreement Number and/or Prime award number(s). If none, enter "None". ERA/AWD Number

Compliance Questions

Please complete these compliance questions as they apply to this Agreement submission.

1. Does this work associated with this agreement involve the following:

a.	Human Subjects	Yes No	Select from List	Protocol No.		Approval Date		Expiration Date	
b.	Live Vertebrate Animals	Yes No	Select from List	Protocol No.		Approval Date		Expiration Date	
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes No		Registration No.		Approval Date		Expiration Date	
d.	Human Embryonic Stem Cells	Yes No		List Cell Lines					

2. Select if any of the following chemical or select agents will be used for this project. Select from List

University Research Board Review Questions			
3. Is there a lab or facility space change required for this project? If yes, attach details.		Yes ☐	No ☐
4. Does this project require Biosafety Level 3 (BSL-3) handling? *(BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure)*		Yes ☐	No ☐
5. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? *No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval.* If yes, please enter the following:		Yes ☐	No ☐
Manufacturer Name		Model Number	
Description of article or information being accessed			
6. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries?			
Cuba ☐ Iran ☐ Iraq ☐ North Korea ☐ Syria ☐ Ukraine ☐ None of these ☐			
7. Are you collaborating with or receiving funding from any of the countries listed below in relation to this project?			
China ☐ Russia ☐ Saudi Arabia ☐ None of these ☐			

Export Control Questions			
8. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University? If yes, please enter the following:		Yes ☐	No ☐
Manufacturer Name		Model Number	
Description			
9. Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)? If yes, complete information below (attach separate sheet if required) and add this information to Question 3B on the Personnel page of the Funding proposal.		Yes ☐	No ☐

First Name	Last Name	Employer	Country	Email

Signature

Date